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Cognitive impairment in ICU patients: A pilot mixed methods feasibility study exploring incidence
and experiences for recovering patients

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Abstract

Background

Despite improvements in survival after critical illness and intensive care unit (ICU) treatment, some recovering patients still face ongoing challenges. There are few investigations exploring the incidence, risk factors and trajectory for cognitive impairment (CI) in former ICU patients in Australia.

Objectives

To test the feasibility of a study protocol designed to ascertain the incidence and impact of CI during recovery from a critical illness.

Methods

We conducted a mixed-methods longitudinal single centre pilot study. Participants were adult patients mechanically ventilated for ≥ 48 hours. Cognitive function was assessed during hospitalisation and at 1 week, 2 and 6 months after hospital discharge, using the Montreal Cognitive Assessment instrument. Factors potentially affecting cognitive function were also collected, including demographic and clinical variables, and fatigue, frailty and muscle strength. Semi-structured interviews were conducted to further explore participants' experiences during recovery.

Results

We screened 2068 patients (10% met the inclusion criteria). Participants (n=20) were mostly male with a mean age 61.9 years and a median of 4 days of mechanical ventilation. Data collection was complete for 14 and 11 participants at 2 and 6 months, respectively. Pre-illness patients were not cognitively impaired; one patient had delirium in ICU. The proportion of patients with CI ranged from 80% (17/18) while in hospital to 35% (5/14) at 6 months. Participants were challenged by fatigue and sleep disruption during recovery, but were not particularly concerned about CI.

Conclusions

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61
62 Recruitment in ICU was challenging as few patients received prolonged mechanical ventilation. The
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64 protocol was feasible but some attrition was noted. A significant proportion of patients had mild CI,
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66 largely confined to recall and language cognitive domains; quantitative findings were supported by
67
68 interview findings. Further investigations are required to ascertain the most appropriate inclusion
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70 criteria to enable identification of those at highest risk of CI.
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75 Key words

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77 Cognitive impairment, critical illness, mechanical ventilation
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121 **Introduction**
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123 Despite improvements in hospital survival after critical illness and intensive care unit (ICU)
124 treatment^{1, 2}, some patients face physical, psychological and cognitive challenges during their
125 recovery. International reports of cognitive impairment (CI) in **this patient cohort** are frequent,
126 impacting on recovery and **perceived** quality of life, **and** a return to independent living/employment.
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128 Cognition relates to an individual's ability to comprehend, reason, plan and make decisions. These
129 mental processes require a working memory, attention/concentration and executive function (EF).
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131 Executive function is 'the coordinated operation of various processes to accomplish a particular goal
132 in a flexible manner' ^{3, pg 150}.

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140 The prevalence of CI presents in varying degrees for most ICU patients until hospital discharge^{4, 5},
141 and remains high (78%) beyond six months⁶. **Persistent** impaired EF is particularly difficult for
142 younger patients who are unable to return to fulltime employment⁷, while for older individuals
143 independent living may be impossible⁸. Self-reports from former ICU patients indicate that impaired
144 EF is a common problem during recovery⁹ together with frailty, fatigue, sleep disruption and poor
145 appetite¹⁰. While the risk factors for CI after critical illness are unclear the underlying mechanism is
146 probably multifactorial⁶. Pre-illness CI and sedative medications are known risk factors ^{11, 12},
147 education level and advanced age are considered contributing factors¹³, and there is a strong
148 association between ICU delirium (a temporary confusional state) and CI during recovery¹⁴. The
149 influence of illness and many treatment related factors on short and long-term cognitive function
150 and the trajectory of CI is however largely unknown, with infection, low oxygen levels and shock
151 states implicated ⁵.

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165 Most research reflect patient outcomes from North America and Europe, with investigations into the
166 incidence of and risk factors for CI in ICU patients in Australia in their infancy^{15, 16}. Studies in related
167 topics with former patients indicate it may be a frequent and serious problem for Australian patients
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171 ^{17, 18}. In light of this we aimed to test the feasibility of a specifically designed protocol to ascertain the
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180 incidence of CI in patients who had received invasive mechanical ventilation for 48 hours or more in
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182 ICU.

183 **Materials and methods**

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186 The study was approved by the Human Research Ethics Committee (HREC) of the Local Health
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188 District (HREC/14/HAMKE221) and ratified by the university HREC (HREC 2014000680). Study
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190 participation was voluntary; participants provided written consent and were informed that they
191
192 could decline further participation at any time without prejudice.

193 *Primary and secondary aims*

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196 The primary objective was to test the feasibility of the study protocol including numbers of patients
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198 agreeing to participate and completion rates. Secondary objectives included collection of data on
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200 cognitive status, fatigue and frailty, and to explore patient experiences of recovering from critical
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202 illness.

203 *Design*

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206 This was a mixed-methods longitudinal pilot study, using a prospective cohort design with imbedded
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208 semi-structured interviews. The study setting was a single-centre tertiary referral facility ICU and the
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210 hospital wards that participants were discharged to.

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212
213 The 58-bed ICU supported all medical and surgical sub-specialties, separated into four distinct areas
214
215 ('pods'); two general medical-surgical, one cardiothoracic surgery and one neurosurgery unit. The
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217 ICU operated as a closed unit, with registered nurse: patient ratios of 1:1 for mechanically ventilated
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219 patients, and 1:2-3 for high dependency patients.

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222 Pain and sedative management was targeted to individual patient requirements guided by the
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224 Critical Care Pain Observation Tool¹⁹ and Richmond Agitation and Sedation Scale²⁰ (usual sedation
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226 target level for mechanically ventilated patients: 0 = alert and calm).

227 *Sample*

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230 All patients treated in the ICU were screened for eligibility; aged ≥ 18 years and mechanically
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232 ventilated for ≥ 72 hours. When it was clear that few patients received prolonged mechanical
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239 ventilation we amended the intubated and mechanical ventilated study criterion three months into
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241 patient recruitment to ≥ 48 hours. Exclusion criteria were: documented history of drug/alcohol
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243 dependence; intellectual disability; diagnosis of dementia; brain/spinal cord injury on imaging; non-
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245 English speaking; or documented palliation/treatment limitation orders. Patients were screened
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247 daily for potential inclusion. As this was a feasibility study no specific sample size calculation was
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249 conducted, with a pre-specified minimum target sample of 20 patients considered appropriate.
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251 *Measuring instruments*

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253 A specific case report form (CRF) was designed to collect demographic and clinical data (severity of
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255 illness on ICU admission (Acute Physiology and Chronic Health Evaluation²¹; APACHE II), admission
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257 diagnosis, sedative medications intravenously administered, duration of invasive mechanical
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259 ventilation, and length of ICU and hospital stay). To assess cognitive status and a range of potential
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261 influencing factors, a battery of instruments was used (see Table 1 **for details and study time points**)
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263 including: delirium in ICU (Confusion Assessment Method in ICU²² (CAM-ICU) and wards (CAM²³;
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265 **both instruments were used routinely in daily practice**), quality of sleep in hospital (Richards
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267 Campbell Sleep Questionnaire²⁴ visual analogue scale five; RCSQ VAS 5), CI (Montreal Cognitive
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269 Assessment²⁵; MoCA), physical function (Chelsea Critical Care Physical Assessment Tool²⁶; CPAx),
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271 muscle strength (Medical Research Council muscle strength scale²⁷; MRC), fatigue (Fatigue Severity
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273 Scale²⁸; FSS-9) including the Visual Analogue Fatigue Scale (VAFS), frailty (Clinical Frailty Scale²⁹; CFS),
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275 pre-illness cognitive function (Informant Questionnaire on Cognitive Decline in the Elderly - Short
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277 Form³⁰; IQCODE), and unwanted symptoms (Symptom Assessment Scale³¹; SAS).
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280 Recorded semi-structured interviews were conducted at two and six months for available and
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282 consenting participants. Questions were designed to explore any 'out of range' answers patients
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284 reported from the written questionnaires, enabling elaboration of experiences and any specific
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286 concerns about cognitive function during their recovery.
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298 At two months questions focused on the nature and impact of unwanted symptoms, and exploring
299 responses to the MoCA, experiences of CI and any coping strategies. At six months, questions again
300 explored cognitive function, experience of CI and coping strategies.
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304 *Recruitment and data collection*

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306 After first checking with the patient's nurse eligible patients (or their proxies) were invited to
307 participate while they were in the ICU by the investigators. The initial study protocol comprised of
308 collecting relevant data after informed consent at four measurement time points: in ICU, on the
309 hospital ward day two after ICU discharge and 1-2 days prior to hospital discharge, and two months
310 after discharge. Due to missed assessments during the ward admission period, we revised the
311 protocol with HREC approval and collected data once only on the ward 2-4 days prior to hospital
312 discharge, one week, two and six months after hospital discharge (see Table 1).
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321 The MoCA-TV was administered for participants who resided beyond a 50 km radius and did not
322 attend a follow-up appointment at the study hospital for the two month and six month data
323 collection time points. To reduce the likelihood of loss to follow up two or more contact telephone
324 numbers were recorded for each patient. Feasibility issues and screening challenges with the
325 protocol were noted by investigators throughout the study.
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331 *Data management and analysis*

332 Quantitative data were entered into Microsoft Excel spreadsheet (Microsoft Corporation, USA).

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334 Descriptive statistics were used for continuous data, with means and standard deviations (SD) and
335 medians and interquartile range (IQR) reported depending on the data distribution.
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339 Categorical data were described using frequencies and percentages. Data collected from semi-
340 structured interviews were initially transcribed verbatim, and then analysed line by line using
341 content analysis techniques to identify key concerns and associated patterns.
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345 Text was reduced to concepts via open coding^{32, 33}. Content analysis was performed independently
346 by two investigators (RE and KC) with trustworthiness of the data interpretation checked by another
347 investigator (DE)³⁴.
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Results

Study **screening and participant** recruitment occurred from November 2014 to August 2015 **with a break** mid-December 2014 to January 2015, **and** final follow-up data collection **was** completed in February 2016. We screened 2068 patients; 217 met the inclusion criteria **and** 168 were excluded. The final sample size was 20 (Figure 1). Some loss to follow up was noted, with a number of participants not contactable at follow-up (n = 6 at two months; n = 3 at six months). The final number of patients who completed data collection six months after hospital discharge was 11/14 (Figure 1).

Feasibility

Despite adjustments to the protocol, only 10% (n = 217/2068) of screened patients met the inclusion criteria. While initial enrolment and data collection (in ICU) was successful (n = 20/20) there was loss to follow-up at two months (n = 14/20, 70%). The proportion of participants available at six months improved (n = 11/14, 78%). Participants reported that they did not find the data collection procedure onerous; the reason for declining further involvement was the potential for additional 'mental' burden they perceived associated with on-going medical consultations and rehabilitation treatment (n = 2). Some participants not contactable were later found to be receiving treatment in another facility or were not living in their home at the time of data collection (n = 3).

Patient characteristics and cognitive function

The mean age of the sample was 61.9 (15.6) years with more males than females (13:7). The majority had an operative diagnosis and the mean severity of illness score was high: 21.7 (7.2). Patients received benzodiazepine and opioid medication infusions for a median of 6 days (Table 2). **Our sample appeared to reflect the expected characteristics of a cohort of patients treated in the study ICU for >3-4 days.** At baseline, cognitive function was not impaired (median IQCODE score 3.05 (3.00-3.20)) and no patient had likely CI (>3.6). Delirium was identified in **only** one patient in ICU (CAM-ICU: positive) and the same patient was identified to have delirium while recovering on the hospital ward. The mean MoCA score was 21.9 (3.3) for participants on hospital wards (15 scores

414 exceeded the MoCA cut-off score for CI of 26; 75% incidence). For participants who completed the
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418 MoCA-TV one week at home after hospital discharge (n =12) the mean score was 16.7 (3.7) (eight
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420 scores exceeded the cut-off score for CI of 19; 67% incidence).

421
422 Of note, the majority of patients achieved MoCA / MoCA-TV scores reflective of population norms at
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424 two and six month follow-up (Table 3). Of note, 35% and 45% of participants demonstrated cognitive
425
426 dysfunction at these time points. The most common cognitive domains that participants had
427
428 difficulty with were memory (specifically delayed recall) and language. Self-reported sleep on the
429
430 hospital ward varied greatly (RCSQ VAS 5 1-100 mm; the 'worst possible' to 'could not be any
431
432 better'), with a mean of 53.2 (29.9) mm.

433
434 The majority of patients reported mild to moderate severity for unwanted physical symptoms during
435
436 their recovery (median SAS score <5). Higher scores were noted for 'fatigue' and 'insomnia'.
437
438 Persistent fatigue was evident for all measures beyond two months. Clinician reports of frailty and
439
440 muscle strength appeared to improve over time and were within population norms at two months
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442 (Supplementary file: table 1).
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444 Patient interviews

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446 Ten patient interviews were recorded at two months and 11 at six months. The average duration of
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448 interview recordings was 14 (range: 2 to 35) minutes. There was wide variation in the recovery
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450 experiences, but some common key concepts emerged which were congruent with the descriptive
451
452 quantitative findings; physical fatigue, cognitive fatigue, and delayed recovery. De-identified direct
453
454 quotes are used to elaborate findings.
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457 At two months the prevalent theme was 'fatigue'; for example:

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459 *'When you are tired you don't want to blooming, think you just want to go with the flow.'*

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461 (#10, two months)

462
463 *'Well fatigue is the main thing that is affecting my life in that I do not have the stamina to do*
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465 *what I do in my normal life even simple tasks I would not even thought twice about like*
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467 *walking around the block. I find it exhausting.'* (#7, two months)
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475 Also of note were the number of references to 'muscle weakness' and 'the length of time it was
476
477 taking to feel stronger/get better':
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479 *'I just can't, I've got no energy to do anything. I have trouble. I can't walk very far. I've just*
480 *got no energy. I've got no strength on my arms. I can't even open a bottle of drink without*
481 *help.'* (#20, two months)
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485
486 *'I was stunned at the drop in physical fitness. I am similarly stunned at the time it's taken to*
487 *get to the point where I am at. I thought I would be here much quicker. I am disappointed to*
488 *be told that it will take a fairly long time and measured in [several] months not weeks.'* (#7,
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492
493 two months)
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495 'Sleep difficulties' - problems getting to sleep and staying asleep - were noted by several
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497 participants; for example:
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499 *'Ever since I come back from hospital I haven't been able to sleep properly. They given me*
500 *[sic] sleeping tablets but they did not work so I stop taking them. I can go to bed at say 10*
501 *o'clock at night and wake up again at say 12 o'clock and then stay awake till maybe 2, 3, or 4*
502 *o'clock in the morning just tossing and turning.'* (#18, two months)
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509 Content from the six month interviews was even more varied, although concepts highlighted in the
510
511 two month interviews remained evident:
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513 *'I did slow down a bit and lost my fitness physical fitness ... which I am now slowly regaining.*
514 *But it is a bit of an effort. I try to walk every morning and I do gardening.'* (#3, six months)
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519 *'... getting back into my normal old routine is taking much longer than I ever expected. But*
520 *then I got people saying yes it is only seven months and three operations. [laughter] I am sick*
521 *of hearing it.'* (#17, six months)
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534 As participants perhaps became less concerned about physical symptoms, they were more aware of
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536 their 'cognitive fatigue' and some volunteered strategies to deal with this, such as the use of
537
538 reminders in calendars, Sudoku and pacing activity **levels**; for example:
539

540 *'But you know I think that definitely helps ... when I play it [Sudoku] and the time it takes for*
541 *me to do it is all related to the fatigue factor and the concentration factor so if I am fatigued*
542 *it takes forever to do it and I just have to put it down.'* (#21, six months)
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547 *'I do have to write on the calendar. So I write everything down so that I am doing something*
548 *every day this week. Sometimes 2 or 3 like I am going to the taxman, yesterday and the day*
549 *before I was doing things. But I had the whole week planned in the beginning and I had to write*
550 *it all down to make sure I knew exactly what I was doing. Tomorrow the car is going in for*
551 *service, today you were coming and get down to the taxman.'* (#13, six months)
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558 **Discussion**

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560 This pilot study explored the feasibility of a comprehensive mixed methods protocol to explore the
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562 incidence of and contributing factors for CI in recovering critically ill patients. While the study
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564 protocol was achievable with low levels of burden reported by patients, screening and recruitment
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566 of an adequate sized cohort of patients with a relatively long duration of mechanical ventilation was
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568 challenging. We recruited a small heterogeneous sample of participants who were characteristic of
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570 ICU patients who had received mechanical ventilation for a prolonged period.
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572
573 The selection criteria were successful in excluding patients with pre-existing CI and therefore pre-
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575 illness cognitive function for our participants was reflective of population norms. While the
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577 incidence of delirium was low, this was assessed when patients were suitable for ICU discharge and
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579 on the ward. Any floridly delirious patient would not have been transferred and may have had other
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581 reasons requiring treatment in critical care.
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584 Despite the known and theoretical increased risk associated with longer duration of mechanical
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586 ventilation, the incidence of CI for our cohort during recovery was similar to estimates derived from
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593 systematic reviews^{6, 14}. Early in recovery approximately 80% of our cohort had mild impairments in
594 cognitive function; primarily confined to deficits in patients' ability to successfully complete tasks
595 with delayed recall and language, although this had resolved at six months. Our findings contrast
596 with other studies where more difficulties with EF^{35, 36} were reported, and was an unexpected
597 finding in our study. Long term (>6 months after discharge from hospital) rates of CI in general differ
598 widely³⁷. Higher rates (50-94%^{35, 36}) are found at the time of hospital discharge and tend to stabilise
599 (<50%) after a year³⁶. A recent Australian study¹⁶ identified an incidence rate of 24% at 6 months in a
600 sample with lower APACHE II (18.1 versus 21.7), mechanical ventilation duration (2.2 versus 4.0
601 days) and ICU length of stay (4.3 versus 8.5 days) than our cohort. Cognitive function was assessed
602 by a trained psychologist using two validated instruments. With a combined administration time of
603 30-35 minutes, this approach may not be feasible from a routine practice or screening perspective.
604
605 Congruent with other studies reporting patient experiences of recovery from critical illness^{38, 39},
606 fatigue was a persistent unwanted symptom with our cohort. This may in part be explained by the
607 prevalence of self-reported insomnia. Both symptoms were reported during interviews and
608 appeared to be the predominant concern for several participants. While muscle weakness and the
609 time taken to recover were also concerns, notably CI did not appear to feature highly in patient
610 interviews. Our quantitative measurement of muscle strength and physical function indicated that
611 participants had recovered sufficient gross muscle strength to participate in activities of daily living.
612 The severity of muscle weakness was apparently less troublesome for our cohort compared to
613 reports of other similar cohorts in which physical function was more limited early in recovery^{38, 40}.
614 Likewise frailty did not appear to be as prevalent in our cohort; a recent prevalence rate of 30% was
615 estimated based on international reports for patients with moderate to severe critical illness but this
616 rate was predominately based on pre-illness assessments⁴¹.
617
618 Our qualitative findings reflected similar themes to a recent grounded theory study from Scotland⁴².
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620 Participants' concerns about being in transition, reflecting 'liminality' (experiences of being in-

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651
652 between and uncertainty), and attempting to move forward by setting goals with specific targets
653 and tasks, within an initial focus on physical recovery⁴², were echoed by our participants.
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655

656 *Strengths and limitations*

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658 Our study protocol was comprehensive, and strengthened by the embedded patient interviews. The
659 study inclusion criteria affected enrolment and therefore the feasibility for recruitment was poor.
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662 Despite a protocol to optimise patient screening and pre-hospital data collection, we were unable to
663 recruit a sample size sufficient to allow inferential data analyses to determine factors contributing to
664
665 CI in recovering ICU patients.
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667
668 The data collection protocol was however feasible, although some attrition was evident. Many
669 functional measures and screening assessments are not sensitive enough to highlight subtleties that
670
671 may impact patients' abilities to function at levels required for work and complex activities of daily
672
673 life such as financial planning⁴³; inclusion of participant interviews were therefore vital in capturing
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675 this information.
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679 We did not however collect data on education level of participants; this may have affected the
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681 results for cognitive function. However one participant told us that he had 'trouble finding words'
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683 and that he had not completed school beyond age 15 years and we were able to make the necessary
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685 adjustment (i.e. add 1 point) for the MoCA score.
686

687 *Implications for practice*

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689 Despite a limited sample size, our pilot study findings suggest that there may be considerable
690
691 burden associated with reduced physical and cognitive function early in recovery and during this
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693 vulnerable time patients are frequently reliant on family and friends^{38, 39, 44}. It is imperative that
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695 hospital discharge planning is comprehensive and includes assessment of social and living conditions
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697 for recovering critically ill patients. No participants reported social isolation with provision of specific
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699 support noted. It is therefore essential that families and carers are consulted in relation to the type
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701 of support necessary to reduce the burden during this sometimes prolonged recovery period.
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704 *Recommendations for further research*

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711 Our findings suggest that further research is required using a similar study protocol to explore the
712 effects of sleep quality and fatigue on cognitive and physical recovery after critical illness. In order to
713 achieve an adequate sample size and more accurately identify those at greatest risk of CI (and in
714 need of interventions), different inclusion criteria are required. 'Prolonged mechanical ventilation' (\geq
715 48 hours) may not be the appropriate criterion to select patients most at risk of CI (and therefore in
716 need of interventional investigation), particularly in a cohort who was treated with a relatively
717 conservative sedative medication regimen (individual ICU sedation levels were titrated to a calm and
718 cooperative level).

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728 Criteria such as duration of systemic inflammatory response or diagnosis of moderate traumatic
729 injury may be more specific for exploring CI^{8, 45}, as at least one study failed to demonstrate an
730 association between long-term CI and severity of illness⁴⁶. More appropriate inclusion criteria for
731 future studies therefore may be confirmed diagnosis of sepsis on ICU admission⁴⁷; increased
732 problems with cognition after hospitalisation for patients with severe sepsis were confirmed in one
733 study⁸ and one case study revealed long term structural brain decline on MRI⁴⁸ in North America.

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740 Addition of a more comprehensive subjective sleep assessment for each time point would add
741 valuable information about the mediating effects of sleep quality on cognitive aspects of recovery.

742 743 744 **Conclusions**

745
746 Our pilot study findings reveal that CI was evident for a significant proportion of patients and largely
747 confined to memory recall and language cognitive domains. Further investigations are required to
748 ascertain the most appropriate inclusion criteria in order to identify those at greatest risk of CI and
749 need of investigation for effective interventions. Developing a feasible and sustainable study
750 protocol, for exploring CI is challenging.

751 752 753 **Acknowledgements**

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760 from a significant illness.

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Figure legend

Figure 1 Flow diagram showing patient enrolment and follow-up during the study *Changed protocol potential 14 patients

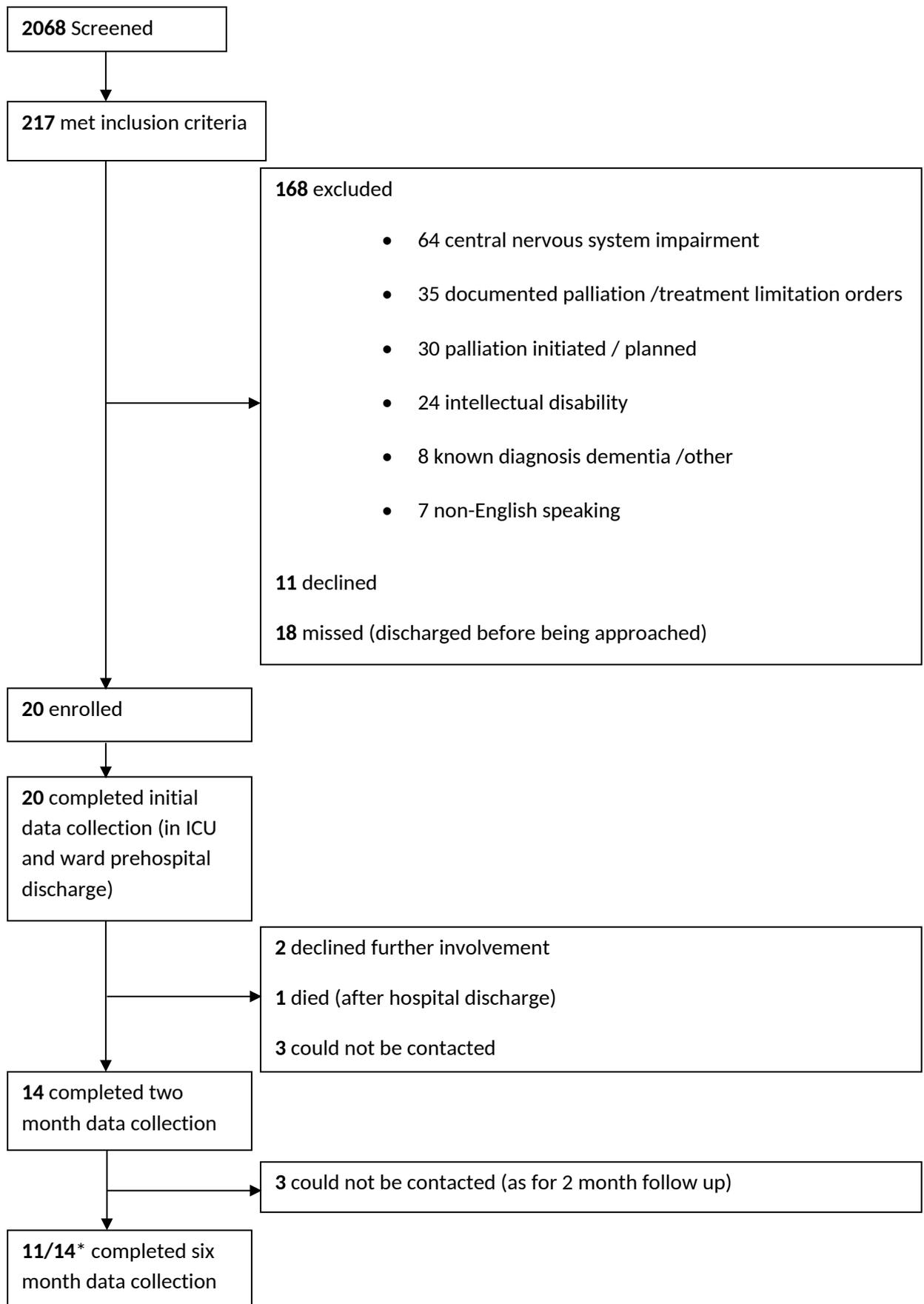


Figure 1 Flow diagram showing patient enrolment and follow-up during the study *Changed protocol potential 14 patients

Tables

Table 1 Description of instruments and administration time points

Instrument	Domain/s	Description /response	Time to administer (minutes)	Study time point				
				ICU day 1	2-4 days post-ICU	Post-1 week	hospital 2 months	discharge 6 months
Confusion Assessment Method in ICU (CAM-ICU) ²¹	Delirium	Extensive validation in this population ⁴⁵ and recommended for use in clinical practice guidelines ⁴⁶ / Categorical negative or positive	5-10	X				
Confusion Assessment Method (CAM) ²²	Delirium	A reliable and valid instrument for distinguishing delirium from permanent types of CI in non-ICU settings ²² / Categorical negative or positive	5-10		X			
Richard Campbell Sleep Questionnaire (RCSQ VAS 5 ^a) ²³	Quality of sleep	100 mm VAS 5 is the visual analogue scale for quality of sleep in the RCSQ. Sleep quality was assessed, as poor sleep quality and fatigue adversely affect cognitive function ⁴⁷ / 0 mm = worst, 100 mm = best	1-1.5	X	X			

Montreal Cognitive Assessment (MoCA) / telephone (-TV ^b) ²⁴	Visuospatial / executive, naming, memory, attention, language, abstraction, delayed recall, orientation	A brief method for detecting mild CI, with better sensitivity than the MMSE ⁴⁸ and compares favourably with more detailed neuropsychological tests ⁴⁹ . Cognitively intact individuals score 30. For this study the cut-off score for CI of <26 (based on reported population norms ²⁴). MoCA-TV includes items except visuospatial / executive and naming ⁵⁰ / Total assessment score = 22 (for this study CI was identified if the MoCA-TV was ≤19) ⁵⁰ .	20-30		X	X	X	X
Chelsea Critical Care Physical Assessment Tool (CPAx ^c) ²⁵	Physical function	Demonstrated construct validity for describing physical function at hospital discharge in ICU survivors ³⁹ . Importantly, cognitive function is inextricability linked with physical function and is known to be affected by critical illness ^{7,8} / 0 – 50 points (0 = complete dependence, 50 = complete independence)	3		X ^b		X	
Fatigue Severity Scale (FSS-9) ²⁷	Fatigue experience, cause and impact	Assesses self-reported participant experience, causes and impact of fatigue on daily life, with moderate to high validity ⁵¹ across a range of patient populations ⁵² . Poor sleep quality and fatigue adversely affect human performance on some tests of cognitive function for example, attention, short-term recall and response time ⁴⁷ / 7-point (1 = strongly disagree, 7 = strongly agree)	3		X	X	X	
Visual Analogue Fatigue Scale (VAFS) (part of the FSS-9)	Global fatigue	Often used in conjunction with FSS-9. A measure of global fatigue / 11-point (0 = worst fatigue possible, 10 = normal)	2		X			

Medical Research Council Muscle Strength Scale (MRC) ²⁶	Muscle strength	A reliable and valid measure of muscle strength in quadricep and bicep muscles in ICU patients ^{53 54} / 6-point scale (0 = no muscle movement, 6 = contracts against full resistance)	5-10	X	X		X	
Clinical Frailty Scale (CFS) ²⁸	Physical abilities / activities	Used to predict the need for assisted living ²⁸ , and to screen for frailty over the telephone ⁵⁵ . Frailty is a recognised risk factor for poor long-term outcomes, and for recovering ICU patients of all ages ¹⁰ . 9-point scale (1 = very fit, 8 = very severely frail, 9 = terminally ill)	3		X		X	
Informant Questionnaire on Cognitive Decline in the Elderly – Short Form (IQCODE) ²⁹	Cognitive impairment	A brief, reliable screening instrument for cognitive decline by proxies ⁵⁶ ⁵⁷ Ratings for the 16 items are averaged to give a 1–5 score, with 3 representing no change on any item / A cut off score of > 3.6 indicates cognitive decline.	5	X ^d	X ^d		X ^d	
Symptom Assessment Scale (SAS) ³⁰	7 physical symptoms ^c	Assesses unpleasant distracting symptoms such as nausea and poor appetite in oncology patients; tested extensively in palliative care settings in Australia ³⁰ . 11-point scale (0 = no problem, 10 = worst possible problem)	5-10		X	X	X	

Notes: ^aRCSQ VAS 5: Richard Campbell Sleep Questionnaire – visual analogue scale 5; ^bMoCA-TV telephone version (only administered to patients who resided >50km away from hospital); ^cCPAx: aspects of physical functioning using the CPAx were recorded using reports from nurse(s) and physiotherapist caring for the patient after carefully questioning; ^d if not already completed

Table 2 Selected demographic and clinical characteristics for the sample

Characteristic	Statistic
Age, yrs, mean (SD ^a)	61.9 (15.6)
Male, n (%)	13 (65)
APACHE II score, mean (SD)	21.7 (7.2)
Diagnosis, operative, n (%)	11 (55)
Duration of mechanical ventilation, days, median (IQR ^b)	4.0 (3.0 - 6.0)
Length of ICU ^c stay, days, median (IQR)	8.5 (5.0 - 13.7)
Length of hospital stay, days, median (IQR)	22.0 (13.2 - 33.0)
Continuous benzodiazepine infusion, days, median (IQR)	4.0 (3.0 - 6.5)
Continuous opioid infusion, days, median (IQR)	4.0 (3.0 - 6.0)
ICU mortality, n (%)	0 (0)
Hospital mortality, n (%)	0 (0)

Notes:^a SD = standard deviation; ^bIQR = interquartile range; ^cICU = intensive care unit

Table 3: Summary descriptive statistics for cognitive function

Score	Statistic
IQCODE ^a – short form score, mean (SD ^b)	2.0 (0.3)
CAM-ICU ^c positive (n)	1
CAM ^d positive (n)	1
MoCA ^e Ward	
Mean (SD)	21.9 (3.3)
<26, n (%)	16 (80)
MoCA-TV ^e 1 week (n = 12)	
Mean (SD)	16.7 (3.7)
<19, n (%)	8 (67)
MoCA (<26) or MoCA-TV (<19) two months (n =14), n (%)	5 (35)
MoCA (<26) or MoCA-TV (<19) six months (n = 11), n (%)	5 (45)

Notes: ^aIQCODE: Informant Questionnaire on Cognitive Decline in the Elderly; ^bSD = standard deviation; ^cCAM-ICU = Confusion Assessment Method in ICU; ^dCAM = Confusion Assessment Method; ^eMoCA = Montreal Cognitive Assessment/MoCA-TV telephone version

Supplementary file table 1: Unwanted physical symptoms, fatigue, frailty and strength and function

Symptom	Statistic
Appetite SAS ^a score, median (IQR ^b)	
Ward	5.0 (2.0 – 5.0)
Week 1	2.5 (0.0 – 7.0)
Month 2	0.5 (0.0 -3.8)
Bowel SAS score, median (IQR)	
Ward	2.5 (0.0 – 4.0)
Week 1	0.5 (0.0-3.7)
Month 2	0.5 (0.0 -2.8)
Breathing SAS score, median (IQR)	
Ward	3.0 (0.0 – 6.0)
Week 1	6.0 (2.7 – 7.0)
Month 2	1.5 (0.3 – 3.8)
Fatigue SAS score, median (IQR)	
Ward	6.0 (3.0 -8.0)
Week 1	5.5 (2.0 -7.2)
Month 2	5.3 (1.3 -7.8)
Insomnia score, median (IQR)	
Ward	5.0 (3.0 -7.0)
Week 1	3.5 (0.0 – 6.5)
Month 2	3.0 (0.3 – 6.8)
Nausea SAS score, median (IQR)	
Ward	1.0 (0-4.0)
Week 1	0.0 (0.0 – 2.0)

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	Month 2	0 (0.0 – 1.0)
Pain SAS score, median (IQR)		
	Ward	5.0 (1.0 - 7.0)
	Week 1	1.0 (0.0 – 6.0)
	Month 2	2.0 (0.0 -5.8)
VAFS ^c score, median (IQR)		
	Ward	7.5 (4.7 – 10.0)
	Week 1	4.7 (3.3 – 5.1)
	Month 2	5.0 (2.0 -7.5)
FSS-9 ^d score, median (IQR)		
	Week 1	4.7 (3.3 -5.1)
	Month 2	4.0 (2.8 – 5.8)
MRC MSS ^e score, median (IQR)		
	ICU	5.0 (4.0 -5.0)
	Ward	5.0 (5.0)
CPAx ^f score, median (IQR)		
	Ward	39.0 (36.2 – 44.8)
	Month 2	49.0 (48.0-49.0)
CFS ^g score, median (IQR)		
	Ward	6.0 (4.7 – 6.3)
	Month 2	2.0 (2.0 – 3.0)

Notes: ^aIQR = interquartile range; ^bSAS = Symptom Assessment Scale; ^cVAFS = Visual Analogue Fatigue Scale, ^dFSS-9= Fatigue Severity Scale; ^eMRC MSS = Medical Research Council Muscle Strength Scale (bicep and quadricep muscle bilateral equal limb strength); ^fCPAx = Chelsea Critical Care Physical Assessment Tool; ^gCFS = Clinical Frailty Scale