

1 Low dose particulate matter exposure causes pulmonary inflammation and changes in
2 mitochondrial dynamics [in mice](#)

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28

29 Running title: Low dose PM causes inflammation and affects mitochondria

30

31 **Abstract**

32 Air pollution is a ubiquitous problem and comprises gaseous and particulate matter (PM).
33 Epidemiological studies have clearly shown that exposure to PM is associated with impaired
34 lung function and the development of lung diseases such as chronic obstructive pulmonary
35 disease and asthma. To understand the mechanisms involved, animal models are often used.
36 However, the majority of such models represent high levels of exposure and are not
37 representative of the exposure levels in less polluted countries, such as Australia. Therefore,
38 in this study we aimed to determine whether low dose PM₁₀ exposure has any detrimental
39 effect on the lungs. Mice were intranasally exposed to saline or traffic-related PM₁₀ (1µg or
40 5µg per day) for three weeks. Bronchoalveolar lavage (BAL) and lung tissue were analysed.
41 PM₁₀ at 1µg did not significantly affect inflammatory and mitochondrial markers. At 5µg,
42 PM₁₀ exposure increased lymphocytes and macrophages in BAL fluid. Increased NACHT,
43 LRR and PYD domains-containing protein 3 (NLRP3) and IL-1β production occurred
44 following PM₁₀ exposure. PM₁₀ (5µg) exposure reduced mitochondrial antioxidant manganese
45 superoxide (antioxidant defence system) and mitochondrial fusion marker (OPA-1) whilst
46 increased fission marker (Drp-1). Autophagy marker Light chain 3 microtubule-associated
47 protein (LC3)-II and phosphorylated-AMPK were reduced, and apoptosis marker (Caspase-3)
48 was increased. No significant change of remodelling markers was observed. In conclusion, a
49 sub-chronic low level exposure to PM can have an adverse effect on lung health, which
50 should be taken into consideration for the planning of roads and residential buildings.

51

52

53 Introduction

54 The World Health Organisation (WHO) air quality model demonstrates that ambient air
55 pollution annually causes 4.2 million deaths, and 91% of the world's population lives in
56 places where air quality exceeds the limits of WHO guidelines. Air pollution causes 1.8
57 million deaths from lung diseases (1). Forty three percent of chronic obstructive pulmonary
58 diseases (COPD) and 29% of lung cancer deaths are attributable to air pollution (2). PM is
59 the sum of all particles suspended in the air which includes both organic and inorganic
60 particles such as dust, pollens, and vehicle emissions. Respirable PM is thought to be the
61 most detrimental to human health. PM sized equal or below 10 microns (PM_{10}) is capable of
62 entering the lungs, whilst PM sized equal or below 2.5 microns ($PM_{2.5}$) can reach the distal
63 lung segments including alveoli (16).

64

65 In adults, every $5 \mu\text{g}/\text{m}^3$ increment of PM exposure is associated with a 39% to 56%
66 increased risk of developing COPD (12). In developed countries such as the UK, [traffic](#)
67 [related air pollution \(TRAP\)](#) accounts for 13% of total PM (4). In Sydney Australia, the
68 levels of TRAP are amongst the lowest in the world, accounting for 14% of total PM (5),
69 which often assumed to be safe. However, a study on 65,000 children in Canada found that
70 children exposed to TRAP, even in urban areas with low levels of pollution, had a 25%
71 increased risk of developing asthma by the age of 5 years.

72

73 PM is a strong oxidant, with its oxidant capacity regulated by antioxidants such as manganese
74 superoxide dismutase (15). However, in humans, even short-term exposure of PM_{10} increased
75 circulating levels of Interleukins (IL)- 1β , IL-6 and TNF- α (25). PM_{10} contains approximately
76 10^{16} free radicals/g which can increase oxidative stress in human macrophages and lung
77 epithelial cells (8, 26). ROS can induce inflammatory responses via the activation of the

78 nucleotide-binding domain and leucine-rich repeat protein (NLRP)3 inflammasome, which
79 in-turn cleaves pro-interleukin (IL)-1 β into IL-1 β . Interestingly, Hirota et al have shown that
80 PM activates the NLRP3 inflammasome resulting in increased IL-1 β in bronchial epithelial
81 cells (13).

82

83 Mitochondria can be damaged by both oxidative stress and the activation of NLRP3
84 inflammasome, resulting in reduced capacity to produce ATP. Mitophagy is a quality control
85 process where fission removes damaged mitochondria fragments and fusion merges healthy
86 mitochondrial fragments to regenerate new mitochondria (7), which has been shown to
87 ameliorate inflammatory disorders (21). The impact in low level PM exposure on mitophagy
88 markers has not been reported.

89 TRAP contains both gaseous and PM components. While the gaseous components are
90 equally toxic as PM, gases dissipate quicker in air than the PMs which can remain airborne
91 for long periods of time. However, most PM / TRAP exposure models used very high PM
92 exposure regimens (e.g. 50 to 200 μ g (11, 19)), which are not relevant to the PM/TRAP
93 levels in countries with low levels of air pollution. We hypothesized that exposure to low
94 levels of PM would be detrimental for lung health. Our objective was to establish an
95 environmentally relevant model of TRAP-related PM exposure and to characterise
96 pulmonary changes including inflammasome activation (NLRP 3 and IL-1 β), IL-6 production,
97 mitochondrial fission and fusion markers (Optic atrophy (Opa)-1 and dynamin-related protein
98 (Drp)-1), autophagy markers and fibrotic markers (fibronectin, collagen III and transforming
99 growth factor beta 1 (TGF β 1)).

100

101 **Materials and Methods**

102 *PM collection*

103 Twenty-four-hour integrated PM₁₀ were collected through a 47-mm Teflon (Pall Life
104 Sciences, Ann Arbor, MI) and pre-fired (800 °C, 3 hr) 47-mm quartz-fibre filters (Whatman
105 Inc., Clifton, NJ) from a busy roadside in Hong Kong (114,000 vehicles per day) with URG
106 PM samplers (URG-2000-30EH) in the summer (24th June to 11th July, 2017) with a flow
107 rate of 8 L/min at each channel. Filter preparation (e.g. equilibrated for 24 hr at 25 °C and
108 relative humidity of 40% before and after sampling) and gravimetric analysis were conducted
109 in a high-efficiency particulate absorption clean room (ISO 14644 Class 7) at The Hong
110 Kong Polytechnic University. All filters were stored at -20 °C and in dark prior to the
111 analysis. PM was extracted in 90% ethanol with 5 minutes of sonication, followed by freeze
112 drying overnight.

113

114 *PM analysis*

115 Energy-dispersive x-ray fluorescence spectrometry (PANalytical Epsilon 5) was used to
116 determine concentrations of Al, Si, Ca, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ba and Pb. Each
117 sample was analysed for 30 min. Thin-film standards were used for calibration (MicroMatter,
118 Arlington, USA) (28). All reported chemical concentrations were corrected for field blanks,
119 and duplicated samples were analyzed for quality assurance.

120

121 Ion chromatography (IC) for water-soluble inorganic ions analysis. One quarter of the filter
122 was extracted with 10 mL of distilled deionized water and the extract underwent IC (Dionex
123 DX-600) analysis (IonPac CS12A and AS14A columns) Six species were analysed as
124 previously described (30).

125 Analysis of organic carbon and elemental carbon were by thermal optical reflectance (TOR)
126 technique on a thermal/optical carbon analyser (DRI Model 2001, Atmoslytic Inc., Calabasas,
127 CA as described in Pathak et al (20).



128

129 *In vivo PM exposure.*

130 Animal experiments were approved by the Animal Care and Ethics committee at the
131 University of Technology Sydney (ACEC#ETH16-0886). Male Balb/c mice (6 weeks,
132 Animal Resources Centre, Perth, Australia) were housed at 20 ± 2 °C and maintained on a
133 12-h light, 12-h dark cycle (lights on at 06:00 h) with *ad libitum* access to standard laboratory
134 chow and water. After the acclimatisation period, mice were assigned to 3 groups (n =10)
135 which were exposed to either particulate matter with 1µg (PM₁₀(1µg)) or 5µg (PM₁₀(5µg)) or
136 saline as control (SHAM). In urban Sydney, the average PM₁₀ levels are 17 µg/m³, equating
137 to a daily human exposure of 181µg (3). Based on the breathing volumes, mice should be
138 exposed to around 5µg/day to reflect air pollution levels in Sydney. Mice were exposed
139 intranasally by instillation of 40µl of saline or saline resuspended PM₁₀ daily for three weeks.

140



141 At the endpoint, the animals were sacrificed via cardiac puncture after deep anaesthesia (3%
142 isoflurane). Lungs were perfused with phosphate buffered saline to obtain bronchoalveolar
143 lavage (BAL) fluid. Lungs were then harvested, snap frozen and stored at -80°C for protein
144 analysis. Anthropometry measurements were done following dissection and measurement on
145 a microbalance.

146

147 BAL analysis.

148 The BAL cells evaluated by Diff-Quik staining (Polyscience Inc, Taipei, Taiwan).

149 Differential cell counts were performed for macrophages, lymphocytes, eosinophils and
150 neutrophils.

151 Western blotting.

152 Lung tissue homogenates (20µg) were analysed using standard techniques, as described
153 previously (9). Antibodies were purchased from Cell Signaling Technology, USA: IL-1β and
154 IL-6 (1:1000); Caspase-3, p-Akt, Akt, p- AMP-activated protein kinase (AMPK), AMPK,
155 light chain 3 microtubule-associated protein (LC)3A/B-I/II (1:2000); from Novus
156 Biotechnology, USA: Drp-1, Opa-1 (1:2000) and Collagen-III (1:1000); from Millipore,
157 USA: MnSOD (1:2000,); from Sigma-Aldrich, USA Fibronectin (1:2000); and R&D systems,
158 USA: TGF-β1 (1:500).

159

160 Mitochondrial DNA copy number.

161 mtDNA was measured using qPCR on DNA as we have previously done (23).

162

163 *Statistical methods.*

164 The data conformed to the normal distribution and differences between groups were analysed
165 using one-way ANOVA followed by a Bonferroni post-hoc tests. P<0.05 was considered
166 significant.

167

168 **Results**

169 PM characterisation

170 The main components of the PM were organic carbons. Sulphate, elemental carbon, chloride
171 and nitrate were the other components in abundance in the PM sample. Traces of other
172 substances such as titanium, manganese, lead, chromium and nickel were also detected, see
173 Table 1.

174

175 Anthropometry markers

176 Weight gain was used as a generic indicator of health status. As shown in Table 2, body
177 weight was not affected by PM exposure (Table 2). However, PM₁₀ (5ug)-exposed animals
178 had significantly more retroperitoneal fat mass compared to the SHAM group (p<0.05).
179 There were no significant changes in liver or muscle weights.

180

181 Bronchoalveolar (BAL) cell count

182 PM₁₀ (5μg) exposure increased leukocyte counts in BAL fluid (P<0.01, PM₁₀ (5μg) vs
183 SHAM, Figure 1A), as well as lymphocytes and macrophages (both P<0.01 vs SHAM,
184 Figure 1A, B). There were no neutrophils or eosinophils observed.

185

186 Lung Inflammation

187 NLRP 3 and IL-1β were increased in the PM₁₀ (5μg) group compared to the SHAM group
188 (P<0.05, Figure 1D,E), but not IL-6 (Figure 1F).

189

190 Markers of matrix remodeling

191 Protein levels of fibronectin, TGF-β1 and collagen-III were not changed in any of the PM
192 groups compared to the SHAM group (Figure 1G-I).

193

194 Mitochondrial antioxidant, mitophagy markers and mitochondrial DNA copy number

195 PM₁₀ (5μg) exposure significantly increased mitochondrial fission protein Drp-1 (P<0.05,
196 PM₁₀ (5μg) vs SHAM, Figure 2A) and reduced mitochondrial fusion protein OPA-1 and the
197 antioxidant MnSOD levels (both P<0.05, PM₁₀ (5μg) vs SHAM, Figure 2B/C). Mitochondrial
198 DNA copy number was not changed between SHAM and PM₁₀ (5μg) (Figure 2D).

199

200

201 Autophagy and apoptosis

202 Autophagy marker LC3A/B-II, LC3A/B-II to I ratio were reduced in PM₁₀ (5μg) compared
203 to SHAM (P<0.05, Figure 2E, F). Apoptotic marker Caspase-3 was increased in the PM₁₀
204 (5μg) group compared to the SHAM group (P<0.05, Figure 2G). The upstream marker of
205 autophagy, p-AMPK was reduced by the exposure to PM₁₀ (5μg) compared to the SHAM
206 exposure (P<0.05 vs SHAM, Figure 2K). Akt and AMPK protein levels were increased in the
207 PM₁₀ (5μg) group compared to the SHAM group (P<0.05 vs SHAM, Figure 2I, L), but there
208 were no changes in p-Akt protein levels and p-Akt to Akt ratio by PM₁₀ exposure (Figure 2J,
209 L).

210

211 **Discussion**

212 We found that the exposure to low levels of traffic related PM₁₀ induced marked pulmonary
213 activation of NLRP3 inflammasome, and inflammation, as well as reduced mitochondrial
214 antioxidants, and impaired mitophagy capacity.

215

216 PM₁₀ exposure for three weeks did not affect the overall wellbeing of the mice reflected by
217 body weight, suggesting low toxicity. However, fat mass was increased following the
218 exposure to 5μg of PM₁₀, consistent with other human and mouse studies (24, 27).

219

220 We found increased lymphocytes and macrophages, which has also been observed with high
221 dose PM exposure (8). However, PM₁₀ (5μg) did not induce eosinophilic or neutrophilic
222 inflammation. Increased IL-1β was accompanied by NLRP3 inflammasome activation as
223 expected. Zheng et al (31) also found that 3 weeks exposure to 50μg of PM_{2.5} daily increased
224 IL-1β and TGF-β1 levels in BAL. Inflammasome activation has been observed in asthma,

225 COPD and during pulmonary inflammation (10, 17, 29), suggesting that continuous exposure
226 to even low level of PM may increase the susceptibility to these conditions.

227

228 Mitochondrial dysfunction is associated with various pulmonary diseases. COPD patients
229 have mitochondrial fragmentation through an increase in Drp-1. In-vitro prolonged cigarette
230 smoke exposure increased mitochondrial fission (6, 14). Damaged mitochondria increase
231 oxidative stress which can consume the antioxidative MnSOD. Our study shows that 5µg of
232 PM reduced MnSOD, suggesting reduced antioxidant capacity. Mitochondrial DNA copy
233 number was unaffected, suggesting mitochondrial biogenesis by PM in this model. The
234 reduction in LC3A/B-II protein in the PM₁₀ (5µg) group indicates that there was reduced
235 capacity of autophagy which can increase apoptosis. This was confirmed with the increased
236 protein levels of caspase-3 in our study.

237

238 Activated AMPK was reduced by PM₁₀ exposure. AMPK is a stress sensor which is crucial
239 for maintaining intracellular homeostasis during oxidative stress and importantly, AMPK
240 deficient mice have increased progression of COPD (18). AMPK typically supresses Akt, but
241 we found no change in Akt levels, suggesting dysregulation of AMPK/Akt signalling.

242

243 Inflammasome activation by asbestos or crystalline silica is strongly associated with the
244 development of lung fibrosis (22). However, in this study, exposure to a low level of PM did
245 not induce fibrosis. The negative findings are most likely attributable to the low PM dose
246 and the short duration of this study.

247

248 This study has several limitations. PM₁₀ composition varies by generation source, and as such
249 future studies need to compare different types of PM. We did not assess endotoxin levels in

250 PM which are likely to influence the proinflammatory capacity of the PM. The lung tissues
251 were not fixed to assess any histological changes or mitochondrial morphology, which need
252 to be addressed in future studies.

253

254 In conclusion, this study shows that the exposure to low levels of roadside PM has
255 detrimental effects on lung health. As such people living alongside major traffic corridors
256 need to be aware of the potential adverse effects on their respiratory health. Our results also
257 have implications for government agencies responsible for urban planning.

258

259

260

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376

377 **Figure Legends**

378 Figure 1. Leukocytes counts bronchoalveolar lavage (A-C). Lung protein levels of NLRP3
379 (D), IL-1 β (E), IL-6 (F), fibronectin (G), TGF- β 1 (H) and collagen-III (I) in Sham,
380 particulate matter (PM)₁₀ (1 μ g) and PM₁₀ (5 μ g) groups. Results are expressed as mean \pm
381 SEM, n = 8-10 (one-way ANOVA followed by Bonferroni post hoc test). *p<0.05, **p<0.01,
382 compared with SHAM; #P<0.05, ##p<0.01, compared with PM₁₀ (1 μ g).

383

384 Figure 2. Lung mitochondrial protein levels of Drp-1(A), Opa-1(B), MnSOD (C). Lung
385 protein levels of LC3A/B-II (D), LC3A/B-II to I ratio (E), Caspase-3 (F), p-Akt (G), Akt (H),
386 p-Akt/Akt ratio (I), p-AMPK (J), AMPK (K) and p-AMPK to AMPK ratio (L) in Sham,
387 PM₁₀ (1 μ g) and PM₁₀ (5 μ g) groups. Results are expressed as mean \pm SEM, n=8. (one-way
388 ANOVA with Bonferroni tests). *P<0.05 compared to SHAM. **P<0.01 compared to
389 SHAM, #P<0.05, compared to PM₁₀ (1 μ g). Akt, protein kinase 3; AMPK, 5' adenosine
390 monophosphate-activated protein kinase; Drp-1, dynamin related protein 1; LC3A/B, Light
391 chain 3 microtubule-associated protein A/B; MnSOD, manganese superoxide dismutase;
392 Opa-1, optic atrophy 1; PM, particulate matter.

393

394 **Chemical components of PM**

395 **Table 1. Chemical characteristic of PM₁₀**

	$\mu\text{g}/\text{m}^3$		$\mu\text{g}/\text{m}^3$
PM₁₀ mass	22.61±1.26	Ammonium	0.16±0.03
Organic Carbon (OC)	4.19±0.20	Barium	0.08±0.003
Sulfate	4.00±0.34	Zinc	0.08±0.01
Elemental Carbon (EC)	3.26±0.17	Copper	0.04±0.03
Chloride	2.52±0.41	Titanium	0.02±0.004
Nitrate	1.92±0.13	Manganese	0.02±0.002
Iron	0.85±0.04	Lead	0.02±0.002
Calcium	0.43±0.03	Vanadium	0.01±0.002
Silicon	0.35±0.02	Chromium	0.01±0.001
Aluminium	0.17±0.02	Nickel	0.01±0.001

396 Results are expressed as mean ± SEM. Data showing different components inside the traffic
 397 related air pollutants (n=10).

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400 **Table 2. The effects of PM₁₀ exposure on anthropometry markers**

	SHAM	PM₁₀ (1μg)	PM₁₀ (5μg)
Body Weight	22.39±0.31	22.26±0.36	22.13±0.37
Liver (g)	1.26±0.045	1.21±0.037	1.15±0.037
Liver %	5.62±0.0015	5.47±0.0011	5.21±0.0015
Muscle (g)	0.073±0.0024	0.075±0.0023	0.072±0.0032
Muscle %	0.33±0.00013	0.34±0.00011	0.33±0.00019
Retroperitoneal fat weight (g)	0.077±0.0037	0.109±0.014	0.12±0.012*
Retroperitoneal fat %	0.34±0.00016	0.50±0.00064	0.55±0.00052*
Glucose (mM)	9.13±1.14	9.6±1.07	9.27±1.1

401 Results are expressed as mean \pm SEM, n = 10. Data were analysed by one-way ANOVA
402 followed by Bonferroni post hoc test. *p<0.05, compared with SHAM. PM₁₀: particulate
403 matter.