

1 The effects of polyphenols found in a Mediterranean diet on the symptoms of  
2 depression: A systematic literature review

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13 JB would like to acknowledge the support of the Australian Government Research Training  
14 Program Scholarship. No conflicts of interest.

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18 No financial support to declare and no conflict of interest

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22 No financial support to declare and no conflict of interest

23

24 The review is registered with PROSPERO: CRD42019125747

25 Word count: 7278

26 Figures: 0 Supplementary figures: 1

27 Tables: 4 Supplementary tables: 2

28 List of Abbreviations: HADS – Hospital anxiety and depression score; DASS - Depression,  
29 anxiety, stress scale; ZSDS - Zung Self Rating Depression Scale; HAM-D - Hamilton Rating  
30 Scale for Depression; BDI - Becks Depression Inventory

31 Running Title: Effect of Polyphenols on symptoms of depression

32 Conflicts of Interest: None

33 Supplemental Figure 1 and Table 1 and 2 is available from the "Supplementary data" link in  
34 the online posting of the article and from the same link in the online table of contents  
35 at <https://academic.oup.com/advances>.

36

### 37 **ABSTRACT**

38

39 Depression is a mood disorder which currently effects 350 million individuals worldwide.  
40 Recently, research has suggested a protective role of diet for depression. The Mediterranean  
41 style dietary pattern has been highlighted in several systematic reviews as a promising  
42 candidate for reducing depressive symptoms. It has been speculated that this could be due  
43 to the high polyphenol content of foods commonly found in the diet. Therefore, the aim of  
44 this review was to assess the effects of polyphenols found in a Mediterranean diet on the  
45 symptoms of depression. A systematic literature review was conducted of original research  
46 which assessed the role of polyphenols on the symptoms of depression in humans. The  
47 following databases were searched: PROQUEST, SCOPUS (Elsevier), MEDLINE (EBSCO),  
48 CINAHL, and EMBase up to the 18<sup>th</sup> February 2019. The inclusion criteria consisted of both  
49 observational and experimental research in adults aged 18-80 and assessed depression scores  
50 in relation to polyphenol intake. A total of 37 studies out of 12084 met the full inclusion  
51 criteria. Of these, 17 were experimental studies and twenty were observational studies.  
52 Several different polyphenols were assessed including those from tea, coffee, citrus, nuts,  
53 soy, grapes, legumes and spices. Twenty-nine of the studies found a statistically significant  
54 effect of polyphenols for depression. This review has found both an association between  
55 polyphenol consumption and depression risk, as well as evidence suggesting polyphenols can

56 effectively alleviate depressive symptoms. The review uncovered gaps in the literature  
57 regarding the role of polyphenols for depressive symptoms in both young adults and men.

58

59 **Keywords:** Polyphenols; phytochemicals; flavonoids; depression; major depressive disorder;  
60 mental health

61

## 62 **INTRODUCTION & BACKGROUND**

63

64 Depression is a mood disorder characterised by anhedonia or lack of pleasure, a depressed  
65 mood and altered cognitive function (1). Currently, 350 million individuals suffer from  
66 depression globally (2) with the World Health Organisation (WHO) estimating that mental  
67 health conditions are now the leading cause of disability worldwide (3). Although the exact  
68 aetiology of depression is still unknown, several similarities exist between depression and  
69 inflammatory diseases such as cardiovascular disease (CVD), diabetes and cancer which  
70 include reduced insulin sensitivity, endothelial dysfunction and increased production of  
71 proinflammatory cytokines (4).

72

73 The field of *Nutritional Psychiatry* is relatively new and relates to the emerging research  
74 focusing on the role of diet and nutrition on mental health (5). New investigations into the  
75 microbiome, immune and inflammation pathways demonstrate a powerful paradigm shift in  
76 the way we understand depression (6). Research into how diet and nutrition effects these  
77 pathways could yield valuable insights into potential treatment strategies for depression. A  
78 recent review examining the role of fruit and vegetable consumption and various health  
79 outcomes suggested several possible links between these foods and depression

80 pathophysiology (7). The free-radical scavenging and anti-inflammatory components found in  
81 fruits and vegetables, particularly the high content of carotenoids, vitamin C and polyphenols  
82 appear to play an important role (7). Other possible therapeutic components include folate  
83 and the effects to methylation, homocysteine and vitamin B12 as well as the effect of fiber  
84 on gastric emptying and brain-derived neurotrophic factor (BDNF) (7).

85

86 Several traditional diets which are high in fruits and vegetables have been associated with a  
87 reduced risk of depression, including the traditional Japanese diet (8) and Norwegian diet (9).  
88 Currently, the diet with the most evidence for protecting against depression risk is the  
89 Mediterranean diet (MD) which has recently been hypothesised as a promising treatment  
90 strategy for improving clinical outcomes in depression (10). Several reviews on diet and  
91 depression have speculated that the efficacy of the Mediterranean diet for depression may  
92 be due to the high polyphenols content (10-12). Therefore, conducting a systematic review  
93 to examine the research on these polyphenols may assist in verifying this potential  
94 mechanism of action.

95

96 The term *Mediterranean Diet* reflects the diets of several countries in the Mediterranean  
97 Basin during the early 1960s (13). It was noted that the populations within these countries  
98 had reduced mortality and morbidity from various diseases (14). One of the common linking  
99 factors was their shared dietary pattern which has since gained much attention, particularly  
100 for preventing coronary heart disease (15). In 1993 the International Conference on the Diets  
101 of the Mediterranean defined the various components of the diet (13). They conclude that it  
102 is abundant in plant foods such as fruits, vegetables, whole grains, nuts, seeds and legumes.  
103 The principle source of dietary lipids is in the form of olive oil. Red wine is consumed in

104 moderate amounts generally with meals (13). All of these dietary components are rich in  
105 polyphenols which may explain the favourable health outcomes, particularly in depression.

106

107 Polyphenols are natural compounds found in a wide variety of foods and are particularly high  
108 in plant-based foods (16). Polyphenols exert protective effects on mental health via  
109 upregulating the body's natural defence systems, stabilising free radicals, and reducing  
110 oxidative damage (17). Additionally, neuroprotective properties have been observed, with  
111 polyphenols modulating specific cellular signalling pathways involved in cognitive processes  
112 (17). The main classes of polyphenols are defined according to the nature of their carbon  
113 skeleton: phenolic acids, flavonoids and the less common stilbenes and lignans (18).

114

115 The aim of this literature review is to assess the effects of polyphenols on the symptoms of  
116 depression.

117

## 118 **METHODOLOGY**

119

120 A protocol was developed according to the Preferred Reporting Items For Systematic Reviews  
121 And Meta-Analysis Protocols (PRISMA-P) 2015 statement (19). The review is registered with  
122 PROSPERO: CRD42019125747

123

### 124 **Search Strategies and Inclusion Criteria**

125 A literature search was conducted in the following databases: PROQUEST, SCOPUS (Elsevier),  
126 MEDLINE (EBSCO), CINAHL, and EMBase. Search terms were divided in two groups and  
127 combined within the search. Group 1: Polyphenols OR Phytochemicals OR flavonoids. Group

128 2: depression OR major depressive disorder OR major depression OR mental health. Initial  
129 investigations on search terms for group 1 included the search terms phenolic acids, ligands,  
130 stilbenes, and anthocyanins. These terms found no results and hence were excluded from the  
131 group.

132

133 Original research, published up to the 18<sup>th</sup> February 2019, which assessed the effect of  
134 polyphenols on the symptoms of depression were included in the review. All fruits,  
135 vegetables, nuts and seeds, wholegrains, beans and legumes, plant oils and common culinary  
136 herbs and spices were included. This is the first literature review to assess the role of  
137 polyphenols on depressive symptoms.

138

139 Articles were excluded from the review for the following reasons: articles which were not  
140 published in English; articles which were not related to the search terms such as those on  
141 Alzheimer's Disease or cognitive decline; not original research; articles which did not use a  
142 depression rating scale; studies which examined polyphenols not usually consumed as part  
143 the diet such as the medicinal herbs St. Johns wort, lavender and Ginkgo biloba.

144

#### 145 **Study Selection and Data Extraction**

146 The initial search identified 12084 papers. After removal of 790 duplicates, articles were  
147 screened by title and by abstract. The remaining articles were then screened by full text  
148 resulting in 35 articles which met the full inclusion criteria. After hand-searching the  
149 references of the full text articles an additional 2 articles which used different key words were  
150 included. This resulted in 37 articles to be assessed in this review. Screening was performed

151 by JB and citations were stored and filed in EndNote X7. The article selection process is  
152 outlined in Supplemental Figure 1.

153

#### 154 **Assessment of Risk of Bias and Data Summary Table:**

155 Each paper was critically appraised for methodological consistency using critical appraisal  
156 tools. For the 17 experimental studies the Joanna Briggs Institute Critical Appraisal tool for  
157 Systematic Reviews Checklist for Randomized Control Trials was used (20). For the 20  
158 observational studies the STROBE checklist for cohort, case-control, and cross-sectional  
159 studies was used (21). Overall, the appraisals found reliable methodology and no papers were  
160 excluded from the review. **Supplemental Table 1** displays the results for randomized control  
161 trials (RCTs) and **Supplemental Table 2** displays the results for the observational studies.  
162 During this process data was extracted from the final articles and summarised in **Tables 1** and  
163 **2**.

164

## 165 **RESULTS**

166

### 167 **Study Characteristics:**

168 All included studies provided quantitative data on human subjects. The observational studies  
169 included both longitudinal cohort and cross-sectional designs and had an average number of  
170 10301 participants. The experimental studies were randomized control trials with either a  
171 placebo or an anti-depressant medication with an average number of 80 participants. The  
172 experimental studies varied in time duration from two weeks to two years with the most  
173 common time frame being eight weeks. The majority of the studies assessed both genders  
174 ( $n=23$ ), twelve assessed only females and only two studies assessed only men. Twenty-six of

175 the studies were in adults aged between 23-55 years, ten were in older adults, either  
176 postmenopausal or the elderly aged between 40-80 years, and only one study was in young  
177 adults aged 18-25 years. Twelve studies looked at depression in disease states. These include  
178 major depressive disorder (22-26) chronic fatigue syndrome (27), osteopenia (28), obesity  
179 (29, 30), breast cancer (31), type 2 diabetes (32) and irritable bowel syndrome (33). An  
180 overview of these study characteristics can be viewed in **Table 5**.

181

### 182 **Critical Appraisal:**

183 Over all the results from the critical appraisal tools showed good methodology. Results can  
184 be seen in Supplemental Tables 1 and 2. A common weakness observed in the experimental  
185 studies was the lack of information in regards to blinding. Although the majority of studies  
186 claimed to be double blinded in either the title or the abstract, many failed to provided details  
187 of how the assessors and those delivering the interventions were blinded in the methodology  
188 section. In the observational studies common weaknesses included failure to explain how loss  
189 of follow-up was addressed, not describing study design bias, not providing a flow diagram to  
190 show included participants and failing to indicate number of participants with missing data  
191 for each variable of interest. These limitations were considered when synthesising the results  
192 from this review.

193

### 194 **Depression scales:**

195 The most common depression scale used in the observational studies was the Center for  
196 Epidemiologic Studies Depression Scale (CESD) which was used in six of the twenty studies  
197 (34-37). The CESD is a 20-item measure that asks subjects to rate how often over the past  
198 week they experienced symptoms associated with depression, such as restless sleep, poor



199 appetite, and feeling lonely (38). In the experimental studies the most common scale used  
200 was the Hamilton Depression Rating Scale (HDRS) which was used in five of the seventeen  
201 studies (22, 24, 26, 39, 40). The second most popular scale was the Hospital anxiety and  
202 depression scale (HADS) which was used in four of the studies (25, 27, 33, 41). HADS is a  
203 fourteen-item scale used to measure anxiety and depression in a hospital or community  
204 setting (42). Another popular depression scale used was the Zung Self Rating Depression Scale  
205 (ZSDS) which was used in both observational (43, 44) and experimental designs (28, 39). ZSDS  
206 is a 20-item self-report questionnaire covering affective, psychological and somatic symptoms  
207 associated with depression (45).

208

#### 209 **Polyphenols:**

210 A variety of different polyphenols are assessed in the articles included in this review. The  
211 observational studies looked at polyphenols consumed in their biological whole food form  
212 and the majority of experimental studies assessed the effect of polyphenols consumed via a  
213 capsule (22-26, 28-30, 33, 39-41), powder (46), dried herbal tea (47) or liquid (48). Only two  
214 experimental studies assessed polyphenols consumed in their whole food form (27, 49). The  
215 most commonly tested group of polyphenols were flavanols from tea (n=9 observational) and  
216 cocoa (n=2 experimental), isoflavones from soy (n=3 observational and n=4 experimental) and  
217 hydroxycinnamic acids from coffee (n=5 observational) and curcumin (n=6 experimental).  
218 Other classes of polyphenols tested include flavanones in the form of citrus (n=2  
219 experimental), stilbenes in the form of resveratrol (n=1 experimental) and flavonols in the  
220 form of nuts (n=1 observational and n=1 experimental). Three of the observational studies  
221 considered the combined effect of all dietary sources of polyphenols in depression risk (35,  
222 36, 50).

223

**224 Intervention/variable effect:**

225 The majority of studies ( $n=29$ ) found a statistically significant positive and protective effect of  
226 consuming polyphenols on the symptoms and risk of depression. Five studies noted a positive  
227 effect which was not statistically significant (22, 24, 27, 43, 49) two studies reported mixed  
228 result (34, 44) and only two studies showed no difference after the intervention (30, 48). An  
229 overview of polyphenol effect on depression is displayed in **Table 3**. *P*-values are given for  
230 experimental studies in Table 1 and odds ratios, relative risk and *P*-values are given for  
231 observational studies in table 2.

232

**233 DISCUSSION**

234

235 This systematic review provides important insights into the role polyphenols play in  
236 depression. The cross-sectional and cohort studies reported on represent the polyphenol  
237 intake of individuals in a real life setting and estimate the prevalence of depression among  
238 low, moderate and high consumers of polyphenols. The majority ( $n=17$ ) of these studies  
239 found a statistically significant result (31, 32, 35-37, 50-61) suggesting that a higher  
240 polyphenol intake is associated with decreased prevalence of depression. Polyphenol intake  
241 was measured via various different food frequency questionnaires and diet history forms.  
242 Several challenges exist with these methods such as under or over reporting consumption  
243 and measurement error (62) and these factors must be considered when interpreting the  
244 results. However, the results from these observational studies provide a strong foundation  
245 for suggesting that polyphenols play a role in depression, but they can only infer correlation  
246 about disease risk and prevalence.

247

248 The seventeen experimental trials included in this systematic review can provide more  
249 information about causation in regards to polyphenols exerting a therapeutic benefit for  
250 depressive symptoms. These experimental results demonstrate a positive therapeutic benefit  
251 for depression with various different polyphenols appearing to reduce depressive symptoms.  
252 In contrast to the observational studies which looked at depression risk in healthy individuals,  
253 the experimental studies assessed individuals presenting with depressive symptoms or who  
254 were diagnosed with depression prior to the commencement of the intervention. The  
255 majority ( $n=9$ ) looked at depressive symptoms (27-30, 33, 41, 47-49) with eight of the studies  
256 assessing participants with diagnosed clinical depression (22-26, 39, 40, 46). Of these studies,  
257 several also included anti-depressant use either as the active control or in combination with  
258 a polyphenol. These include escitalopram (22, 26), venlafaxine (22), fluoxetine (24, 39) and  
259 sertraline (39). The studies which used polyphenols in combination with antidepressants  
260 found that the anti-depressive effects of the polyphenol/anti-depressant combination was  
261 greater when compared to the anti-depressant as a monotherapy (22, 24, 26, 39). Further  
262 investigations into the effects of polyphenols in individuals with clinical depression are  
263 needed and should be the focus of future studies in this area.

264

265 The findings of this systematic review of polyphenols are in part supported by a recent meta-  
266 analysis which highlighted the protective role of adhering to a Mediterranean diet for  
267 depression risk (63). The authors suggest that the protective role of the Mediterranean diet  
268 could be multidimensional, encompassing both anti-inflammatory functions and protection  
269 from oxidative stress (63, 64). Depression is commonly associated with a subclinical

270 inflammatory status characterised by an increase in pro-inflammatory cytokines and neuronal  
271 damage (36) which could be the pathways targeted by this dietary pattern.

272

273 The polyphenols that this review has highlighted as being effective include soy isoflavones  
274 (28, 37, 39, 41, 44, 51), tea (31, 52, 53, 56-60) and cocoa flavanols (27, 29), curcumin (23, 25,  
275 26) and coffee hydroxycinnamic acid (32, 54, 55, 58, 61), walnut flavonols (43, 49), citrus  
276 flavanones (46) and the stilbene resveratrol (40). Polyphenols are naturally produced plant  
277 compounds which form part of the plants defence mechanisms protecting it from pathogens  
278 and ultraviolet radiation (17). Several animal studies have demonstrated that polyphenols  
279 reduce depression like behaviour in rodents (16). Studies have suggested an interaction  
280 between polyphenols and monoamine oxidase (MAO), an enzyme utilised in the catabolism  
281 of monoamines thus reducing the breakdown of monoaminergic neurotransmitters, and  
282 increasing serotonin and dopamine levels (17). Another possible mechanism for how  
283 polyphenols exert their beneficial effects on mental health include their anti-inflammatory  
284 properties via inhibition of proinflammatory cytokines, free radical scavenging and  
285 antioxidant activity as well as neuroprotective properties (65).

286

287 However, the antioxidant activity, bioavailability and enzyme and cell-receptor interactions  
288 vary greatly depending on the chemical structure of different polyphenols (18). The structure  
289 of polyphenols effects the rate and extent of intestinal absorption which in turn, effects the  
290 metabolites circulating in the plasma (18). In addition, the polyphenols which are the most  
291 common in the diet may not necessarily be the most active due to poor intestinal absorption  
292 or from high metabolism and excretion from the body (66). Studies suggest that the majority

293 of polyphenols are not actually absorbed through the intestinal barrier, but are metabolised  
294 by colonic microflora further down the digestive tract (18). Research even suggests that  
295 metabolism pathways and metabolites of polyphenols may be one of the responsible  
296 characteristics for their therapeutic effects (66). A recent review found that gallic acid and  
297 isoflavones have the best absorption rates with proanthocyanins displaying the poorest  
298 absorption (67). The differences in bioavailability and absorption rates of various polyphenols  
299 is an important limitation of this review and should be considered when interpreting the  
300 results.

301

302 Several studies have demonstrated that the absorption rate of curcumin is relatively poor (68-  
303 70) and the inclusion of piperine in order to enhance absorption is often recommended (70).  
304 Of the six studies included in this review which tested curcumin, three included an absorption  
305 enhancer (22, 25, 30) and three did not (23, 24, 26), which may have affected the results. All  
306 six studies were randomized clinical trials, with three displaying statistically significant results  
307 (23, 25, 26). More studies on the therapeutic use of curcumin for depression are needed  
308 before firm conclusions can be drawn. Other promising polyphenols include those from tea  
309 and coffee. Tea and coffee are two of the most commonly consumed beverages worldwide  
310 (71) and act as a major source of total dietary polyphenol intake (18). All of the twelve studies  
311 on tea and coffee included in this review were observational studies. Randomised control  
312 trials are needed to determine if a cause and effect relationship also exists for these  
313 polyphenols.

314

315 A common theme present throughout several of the studies is the use of isoflavones for  
316 women, either during menopause or in postmenopausal and elderly women. Isoflavones are

317 flavonoids abundant in legumes which are able to influence hormone levels by binding to  
318 some estrogen receptors and are thus referred to as phytoestrogens (41). It has been  
319 suggested that isoflavones may alleviate the symptoms of depression which commonly  
320 accompany menopause by modulating the dramatic fluctuations in ovarian hormones which  
321 occurs during this period (37). This potential mechanism of action suggests that isoflavones  
322 may only be effective in this specific demographic.

323

324 This hypothesis is further supported by the study by Li et al which found mixed results when  
325 comparing the results between men, women and menopausal status (34). The researchers  
326 found that in premenopausal women consumption of legumes was associated with an  
327 increased risk of depression. However, moderate consumption was associated with a lower  
328 risk of depression among perimenopausal women. No significant association was found  
329 among men and postmenopausal women (34). Together, these findings support the theory  
330 that isoflavones may exert their beneficial effect for depression by acting as phytoestrogens  
331 and therefore may only be appropriate for use in specific population groups.

332

333 This review has limitations of its own which need to be acknowledged. The initial search  
334 resulted in a large number of very diverse studies. Refinement of the inclusion and exclusion  
335 criteria allowed for a more focused review, however, the large exclusion criteria may limit the  
336 applicability of this review. The limited number of studies per polyphenol intervention is  
337 another key limitation of this review, which may have impacted the overall findings and  
338 conclusions drawn from this review. Given the heterogeneous mix of studies included in this  
339 review, no cumulative statistical meta-analysis was conducted. This was due to the large  
340 diversity of polyphenols tested and variety of depression scales used. The lack of reported

341 data on effect sizes is another important limitation of this review which effects both the  
342 meaningfulness and practical importance of these results. A narrative synthesis of the results  
343 has been provided which comes with a risk of interpretation bias from the authors. Only  
344 published trials available on the preselected databases were available to be reviewed, which  
345 may have skewed the findings.

346

347 The review also highlighted a lack of research assessing polyphenols for depression in both  
348 men and young adults. Emerging research is beginning to highlight differences in which men  
349 and women express symptoms of depression, however, it still remains unclear if these  
350 differences affect treatment outcomes (72). Studies in young adults are also needed. Over  
351 75% of mental health problems occur before the age of 25 (73). According to the Australian  
352 Bureau of Statistics (ABS) National Survey of Mental Health and Wellbeing: Summary of  
353 Results 2007, younger people were more likely to have a mental disorder than older people  
354 (74). The lack on studies on young adults and men included in this review limits the relevance  
355 of these finding to a broader audience.

356

## 357 **CONCLUSION**

358

359 This was the first systematic literature review to assess the effects of polyphenols on the  
360 symptoms of depression. The review has identified a strong foundation for suggesting that  
361 polyphenols do play an important role in the disorder. The inclusion of both observational  
362 and experimental designs has allowed for a comprehensive synthesis of both depression  
363 prevalence as well as intention to treat analysis. There appears to be a protective role of

364 consuming higher amounts of polyphenols in reducing depression risk across several  
365 populations. In addition to the reduced prevalence, there also appears to be a therapeutic  
366 benefit of consuming certain polyphenols in reducing depressive symptoms. In the case of  
367 isoflavones this could be due to their phytoestrogen effect. Of the polyphenols included in  
368 this review, coffee and curcumin, soy isoflavones, tea and cocoa flavanols, walnut flavonols,  
369 citrus flavanones and the stilbene resveratrol show the most promise and would be good  
370 candidates for future research. The review also identified that further research is required to  
371 investigate the role of polyphenols for depression in men and young adults. Additional  
372 studies are needed to confirm these finding.

373

#### 374 **ACKNOWLEDGMENTS**

375

376 The authors' contributions were as follows: JB, DS, and JS designed the research question  
377 and developed the search terms; JB conducted the literature search, analysed the data and  
378 drafted the manuscript with edits from DS and JS. All authors read and approved the final  
379 manuscript. JB would like to acknowledge the support of the Australian Government  
380 Research Training Program Scholarship.

381

#### 382 **CONFLICTS OF INTEREST**

383

384 There are no conflicts of interest and no competing financial interests exist.

385



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493 **Table 1. Data Summary of Experimental Trials assessing polyphenols in depressed participants**

Author	Year	Country	Study Design	Intervention	Subjects	Depression Scale	Other Measurements	Results
Sathyapalan et al.(27)	2010	England	Randomized placebo-controlled trial. Duration: 8 weeks of initial intervention followed by a 2 week wash out period followed by 8 weeks of the crossover intervention.	1: Polyphenol rich chocolate with 85% coco solids 2: Placebo chocolate	10 subjects (n=6 women) (n=4 men)  Condition: Chronic fatigue	Hospital anxiety and depression scale (HADS)	Chalder fatigue scale and London handicap scale	Depression scores improved after the high polyphenol chocolate but deteriorated after the placebo chocolate. Coco group HADS median scores: Baseline = 10, conclusion = 5.5. Placebo baseline = 6, conclusion = 12. However, the results were non-significant: Wilcoxon signed rank sum test Z value: -2.68 (0.01).
Bergman et al. (22)	2013	Israel	Randomized, double blinded, placebo controlled, pilot clinical trial. Duration: 5 weeks	1: 500 mg/d curcumin plus antidepressant 2: Placebo plus antidepressant	40 subjects (n=23 women) (n=17 men)	Hamilton Depression rating scale and Montgomery-Asberg Depression Scale (MADRS)	Global Impression Severity Scale	Both groups had a significant improvement in depressive symptoms. MADRS Score for the Curcumin group 95% CI, 7.2-13.7; $P = <0.001$ and Placebo group 95% CI. 2.1-8.5; $P = <0.01$ . Although no significant differences were observed between the intervention and placebo, the curcumin group displayed a more

								rapid improvement in symptoms compared to the placebo. Curcumin group MADRS mean scores: Baseline = 34.4, conclusion = 14.0. Placebo baseline = 32.8, conclusion = 15.4.
Nina Estrella et al.(39)	2014	Dominican Republic	Pilot randomized clinical study.  Duration: 3-month duration with four intervention arms	1: Fluoxetine (10mg/day) 2: Soy isoflavones concentrate (100mg/day) 3: Sertraline (50mg/day) 4: Soy (100mg/day) and Sertraline (50mg/day)	40 women aged 45-55yrs.  Condition: menopausal depressive	Zung self-rating depression scale (ZSDS)  Hamilton Rating Scale for Depression (HAMD)	Not reported	ANOVA for both ZSDS and HAMD showed statistically significant differences between groups ( $F=24.06$ , $P < 0.0001$ ) and ( $F=31.73$ , $-P < 0.0001$ ) respectively. Soybean has antidepressant effect and may increase the effects of anti-depressants.
Atteritano et al.(28)	2014	Italy	Double blinded randomized control trial  Duration: 2 years	1: Isoflavone Genistein (45mg/day) 2: Placebo	262 women  Condition: Osteopenic postmenopausal	Zung self-rating depression score (ZSDS)	Health rated quality of life (HRQL) assessed via Italian version of Short Form-36 (SF-36)	The genistein group saw a decrease in depression scores after 1 and 2 years. The difference between groups was statistically significant ( $P < 0.01$ vs placebo). Genistein group ZSDS mean scores: Baseline = 41, conclusion = 36. Placebo baseline = 41, conclusion = 43.

Lopresti et al. (23)	2014	Australia	Randomized double blinded, placebo control trial  Duration: 8 weeks	1: 500mg twice daily of Curcumin  2: Placebo	56 subjects  (n=40 women)  (n=16 Men)	Inventory of Depressive Symptomatology self-rated scale (IDS-SR 30)	Spielberger State-Trait Anxiety Inventory	From weeks 4-8 the Curcumin group demonstrated significantly more efficacy than placebo. IDS-SR Total Score ( $F_{1,53} = 4.22, P = 0.045$ ) and Mood Score ( $F_{1,53} = 6.51, P = 0.014$ ). Curcumin group IDS-SR Total mean scores: Baseline = 33, conclusion = 22.7. Placebo baseline = 33, conclusion = 25.8.
Sanmukhani et al. (24)	2014	India	Double blinded randomized control trial.  Duration: 6 weeks	1: Fluoxetine 20mg/day 2: Curcumin 1000mg/day (500mg BD) 3: Fluoxetine 20mg/day plus curcumin 1000mg/day (500mg BD)	40 subjects  (n=24 women)  (n=16 men)	Hamilton Depression Rating Scale (HAM-D <sub>17</sub> )	Clinical Global Impression – severity of illness scale	A greater response was observed in the combined Fluoxetine and curcumin group (77.8%) compared to the fluoxetine group (64.7%) and curcumin group (62.5%). However, the differences between groups were not statistically significant ( $P=0.58$ ). Group 1 HAM-D mean scores: Baseline = 21, change from baseline at conclusion = -13.6. Group 2 baseline = 19.3, change at conclusion = -13.3. Group 3 baseline = 21.9, change at conclusion = -14.6.



Esmaily et al. (30)	2015	Iran	Double blind, cross over, placebo controlled randomized control trial  Duration: 4 weeks with a 2 week wash out between groups	1: Curcumin 1g/day  2: Placebo	30 subjects (n=24 women) (n=6 men)	Becks Depression Inventory (BDI)	Beck Anxiety Inventory (BAI)	No significant differences in BDI scores were observed for the curcumin group $P = >0.05$
Panahi et al. (25)	2015	Iran	Open label randomised control trial  Duration: 6 weeks	1: Standard anti-depressant therapy  2: Standard antidepressant therapy plus curcuminoids 1000mg and 10mg piperine.	111 subjects (n=60 women) (n=51 men)	Hospital Anxiety and Depression Scale (HADS) and Becks Depression Inventory (BDI)	Not Reported	Significantly reduced HADS and BDI scores in the curcumin group compared to the control group. HADS Score $P = <0.001$ and BDI Score $P = <0.001$ . Curcuminoids group BDI mean scores: Baseline = 38.66, conclusion = 29.66. Placebo baseline = 40.44, conclusion = 37.60. Curcuminoids group HADS mean scores: Baseline = 42.59, conclusion = 30.90. Placebo baseline = 38.82, conclusion = 36.10.
Yu et al. (26)	2015	China	Double blinded, placebo controlled, pilot randomized control trial  Duration: 6 weeks	1: Curcumin 1000mg/day  2: Placebo soybean powder	108 male subjects	Chinese version of the 17-item Hamilton Depression Rating Scale	Blood pathology: plasma cytokines IL-1 $\beta$ , TNF- $\alpha$ and	Significant reduction in depressive symptoms in the curcumin group for both the HDRS and MADRS $P < 0.05$ . Significant reduction in cytokines IL-1 $\beta$ , TNF- $\alpha$ and BDNF

						(HDRS) and Montgomery-Asberg Depression Rating Scale (MADRS)	brain-derived neurotropic factor (BDNF)	for the curcumin group $P < 0.001$ . Curcumin group HDRS mean scores: Baseline = 14.06, change from baseline at conclusion = 4.52. Placebo baseline = 14.28, change from baseline at conclusion = 3.30. Curcumin group MADRS mean scores: Baseline = 18.22, change from baseline at conclusion = 6.26. Placebo baseline = 18.68, change from baseline at conclusion = 4.52.
Ibero- Baraibar et al.(29)	2016	Spain	Double blinded, randomized, placebo-controlled trial.  Duration: 4 weeks	1: 15% energy restriction diet plus 1.4g coco extract (645mg total polyphenols) 2: 15% energy restriction diet only	50 subjects (n=27 women) (n=23 men)  Condition: Overweight or obese adults	Spanish translation of the Beck Depression Inventory (BDI)	3-day food recall questionnaire	Depressive symptoms were reduced significantly in both experimental groups ( $P < 0.05$ ). However, no differences were observed in depression scores between the two groups. Coco group BDI mean scores: Baseline = 9.4, conclusion = 5.7. Placebo baseline = 11.8, conclusion = 6.1.
Pribis (49)	2016	USA	Double blinded, randomized, placebo-controlled, cross over design.	1: Banana bread with 60g of ground walnuts 2: Banana bread without walnuts	49 subjects (n=29 women) (n=20 men)	The profile of mood states (POMS)	Lifestyle survey and Food frequency questionnaire (FFQ)	Males, but not females, had a significant medium effect size improvement in total mood disturbances. Both men and women had a non-statistically

			Duration: 8-week intervention followed by 6 weeks wash out period followed by 8 weeks cross over intervention		Condition: Students between 18-25yrs			significant improvement in depression $P=0.103$ .
Hirose et al.(41)	2016	Japan	Randomized, double blinded, placebo-controlled trial  Duration: 8 weeks	1: Isoflavone aglycone (12.5mg/day) 2: isoflavone aglycone (25mg/day) 3: Placebo	90 women aged 40-60yrs  Condition: Menopausal	Hospital Anxiety and Depression Scale (HADS)	Menopausal symptom scale and Athens Insomnia Scale	Low dose (25mg/day) isoflavone aglycone significantly reduced symptoms of depression ( $P=0.033$ ).
Mirghafourvand et al.(48)	2017	Iran	Randomized control trial  Duration: 8 weeks	1: Orange peel essential oil (10 drops 3x/day) 2: Placebo	48 women  Condition: Postpartum	The Edinburg Postnatal Depression Questionnaire	The Spielberg state-trait anxiety inventory	No statistically significant difference between intervention and placebo ( $P=0.956$ ). Orange peel group depression mean scores: Baseline = 8.0, conclusion = 6.7. Placebo baseline = 8.1, conclusion = 6.7.
Kamalifard et al.(46)	2017	Iran	Triple blind randomized control trial  Duration: 8 weeks	1: Bitter orange powder (500mg/day)	156 women aged 45-60  Condition: menopausal	Beck Depression Inventory	Socio-demographic questionnaire	Both orange and lavender were effective at reducing symptoms of depression compared to placebo ( $P=0.001$ ). There was no significant difference between orange and

				2: Lavender flower powder (500mg/day) 3: Placebo – starch (500mg/day)				lavender. Bitter orange group BDI mean scores: Baseline = 21.38, conclusion = 14.48. Lavender baseline = 20.82, conclusion = 14.07. Placebo baseline =20.01, conclusion = 16.78.
Davinelli, et al.(40)	2017	Italy	Randomized, double blinded, placebo-controlled trail  Duration: 12 weeks	1: Capsule containing 200mg of fermented soy (80mg of isoflavone aglycones and 10mg equol) and 25mg of resveratrol per day 2: Placebo capsule	60 women aged 50-55yrs  Condition: menopausal	Hamilton Rating Scale for Depression (HAM-D)	Health rated quality of life (HRQL) Menopause Rating Scale (MRS)	Treatment group saw improvements in depression scores in comparison to the placebo group ( $P=0.001$ ).
Kazemian et al.(33)	2017	Iran	Randomized controlled trial  Duration: 1 month	1: Capsule containing Zingiber Officinale (ginger), Boswellia carterii (frankinsence) and Achillea millefolium (yarrow) daily. 2: Placebo	42 subjects ( $n=19$ women) ( $n=23$ men)  Condition: Irritable bowel syndrome (IBS)	Hospital Anxiety and Depression Scale (HADS)	IBS-severity scoring system (IBS-SSS)	Symptoms of depression reduced significantly in the intervention group ( $P=0.001$ ) with no significant changes in the placebo group ( $P=0.31$ ). Herb group HADS mean scores: Baseline = 17.4, conclusion = 12.5. Placebo baseline = 18.0, conclusion = 17.22.

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Chang et al. (60)	2018	Taiwan	Single blinded. Placebo controlled, randomized clinical trial  Duration: 2 weeks	1: Camomile tea (1 cup per day which included 2g of dried flowers and 300ml hot water steeped for 10-15 minutes) 2: Regular care with no camomile tea.	80 women  Condition: 6 weeks postpartum	Edinburgh Postnatal Depression Scale	Postpartum Fatigue Scale	The camomile teat group significantly lowered depressive symptoms compared with the control group ( $T=-2.372$ , $P=0.020$ ). Camomile group depression mean scores: Baseline = 7.86, conclusion = 7.26. Placebo baseline = 9.71, conclusion = 9.51.
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507 **Table 2. Data Summary of Observational Studies assessing polyphenols on depressive symptoms**

Author	Year	Country	Study Design	Main Variable	Subjects	Depression Scale	Other measures	Results
Hintikka et al. (52)	2005	Finland	Cross Sectional Study	Tea consumption	2011 participants from the Kuopio Depression Study aged 25-64 (n=1121 women) (n=890 men)	Beck Depression Inventory (BDI)	Food Frequency Questionnaire	Daily tea drinkers had a significantly reduced risk of being depressed (OR 0.46, 95% CI 0.3-0.7).
Niu et al. (53)	2009	Japan	Cross Sectional Study	Green tea consumption	1058 elderly participants >70 years old	30-item Geriatric Depression Scale	Height and weight, blood tests for C reactive protein. A 75-item diet history questionnaire	The prevalence of depressive symptoms was 44% lower for participants who consumed ≥4 cups of green tea compared to those who consumed ≤1 cup per day 9Bonferroni corrected P=<0.01.
Chen et al. (31)	2010	China	Prospective Cohort Study	Tea consumption	1399 women Condition: Breast cancer survivors	20-item Center for Epidemiological Studies Depression Scale	Quality of Life and Medical outcome short form 36 health survey	Regular tea consumption (>100g dried tea leaves/month) was inversely associated with overall depression (OR, 0.64; 95% CI, 0.41-0.99).

Ruusunen et al. (54)	2010	Finland	Prospective Cohort Study	Coffee and tea consumption	2232 middle aged men	18-Item Human Laboratory (HPL) Depression Scale	4 day food record, BMI.	Heavy coffee drinkers had a decreased risk of depression compared to non-drinkers ( $RR=0.28$ , 95% CI 0.08, 0.98). No associations were observed for tea consumption and depression ( $RR=1.19$ , 95% CI 0.54, 2.23).
Li et al.(34)	2010	USA	Longitudinal cohort study Duration: cohort from 1971-1982	Legume consumption	4869 adults who participated in the National Health and Nutrition Examination Survey (NHANES I)	Centre for Epidemiological Studies Depression Scale (CES-D)	3-month food frequency questionnaire (FFQ)	In premenopausal women, consumption of legumes was associated with an increased risk of depression ( $P=0.0148$ ). However, moderate consumption was associated with a lower risk of depression among perimenopausal women ( $RR=0.52$ (0.27,1.00)). No significant association was found among men and postmenopausal women.
Lucas et al. (55)	2011	USA	Prospective longitudinal study Duration: 10 year follow up.	Coffee consumption	50739 women (mean age 63 years)	36 item short form health survey	Food frequency questionnaire	Depression risk decreases with increasing coffee intake. Multivariate relative risk for those consuming 4 cups per day or more was 0.80 (95% CI, 0.68-0.95; $P$ for trend = 0.02).

Feng et al. (56)	2012	Singapore	Prospective Cohort Study	Tea consumption	1615 older participants aged 55-93 years	15-item Geriatric Depression Scale	Food frequency questionnaire	Risk of depression decreased with increasing tea consumption. Odds Ratio for low, medium and high tea consumption was 1.15, 0.55 and 0.37, respectively. ( <i>P</i> for linear trend = 0.01).
Feng et al. (57)	2013	China	Cross Sectional Study	Tea consumption	1368 older aged participants ≥60 years	15-item Geriatric Depression Scale	Mini mental state examination. Tea consumption questionnaire	Daily tea consumption is associated with a reduced risk of depressive symptoms. Weekly tea consumption OR=0.86; 95% CI=0.56-1.32 and daily consumption OR=0.59; 95% CI=0.43-0.81. ( <i>P</i> for linear trend = 0.001).
Omigari et al. (32)	2014	Japan	Cross Sectional Study	Coffee consumption	89 participants with type 2 diabetes ( <i>n</i> =34 women) ( <i>n</i> =55 men)	Japanese version of the Hospital Anxiety and Depression Scale (HADS)	Food frequency questionnaire and BMI	Coffee consumption was inversely associated with depressive symptoms with participants who drink 3 or more cups per day having a significantly reduced risk of depression ( <i>P</i> =0.032)
Pham et al. (58)	2014	Japan	Cross Sectional Study	Green tea and coffee consumption	537 men	Center for Epidemiological Studies	Diet history questionnaire C reactive protein and	Higher green tea consumption ≥4 cups/day was associated with a lower prevalence of



						Depression Scale	folate blood test.	depressive symptoms (51% significantly lower prevalence odds) ( $P$ for trend = 0.01). Coffee consumption was also inversely associated with depressive symptoms with $\geq 2$ cups/day compared to 1 cup/d: ( $OR=0.61$ ; 95% CI 0.38, 0.98).
Yu et al.(51)	2015	China	Cross Sectional Study	Soybean and soybean product consumption	1717 Liaoning Province residence aged>65 years ( $n=849$ women) ( $n=868$ men)	Patient Health Questionnaire-9	Food frequency questionnaire	Frequent consumption of soybeans and soybean products is associated with a decrease in the likeliness of depressive symptoms. Consumption 2-3 times per week ( $P=0.23$ ) $OR$ 95% CI= 0.36 (0.15,0.87) Consumption >4 times per week ( $P=0.001$ ), $OR$ 95% CI= 0.50 (0.34,0.74).
Li et al. (59)	2016	China	Cross Sectional Study	Tea consumption	9371 elderly ( $\geq 60$ years of age) participants ( $n= 4853$ women) ( $n= 4518$ men)	Patient Health questionnaire (PHQ-9)	Daily living scale and the Mini Mental State Examination. Food frequency questionnaire	The black tea drinkers had a significantly decreased risk of depressive symptoms ( $P= < 0.01$ ), Compared with non-drinkers, the adjusted $OR$ 95% CI =0.48 (0.23, 0.99) and 0.35 (0.17, 0.72) for participants consuming

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								< 3 cups and $\geq 3$ cups of black tea per day, respectively ( <i>P</i> for trend: <0.01)
Chang, et al.(35)	2016	USA	Longitudinal cohort study  Duration: 1976-2001	Dietary flavonoid intake	82648 women who participated in the Nurses' Health Study	The 5 item mental health index and the Center for Epidemiologic Studies Depression Scale (CESD-10) and the Geriatric Depression Scale (GDS)	Food frequency questionnaire	Greater intakes of dietary flavonoids were significantly associated with a modest reduction in depression risk. Participants in the highest flavonoid consumption group had a 7-10% reduction in depression risk compared to the lowest intake group. There was evidence of an inverse linear trend across consumption groups ( <i>-P</i> -trend=0.08, 0.0004 and 0.0007, respectively)
Su et al.(43)	2016	China	Cross sectional Study	Nut consumption	13626 adults who participated in the Tianjin Chronic Low-grade Systemic Inflammation and Health Cohort. Recruited during 2013-2014	Zung Self Rating Depression Scale (ZSDS)	Food frequency questionnaire	Frequent nut consumption is associated with lower prevalence of depression. <i>OR</i> 95% CI= 0.82 (0.75, 0.90) for consumption 1-3 times per week and <i>OR</i> 95% CI= 0.82 (0.73,0.92) for consumption $\geq 4$ times per week.

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Chan et al. (60)	2018	Singapore	Prospective Cohort Study	Tea consumption	614 elderly participants aged 60 years and above	15-item Geriatric Depression Scale (GDS)	Geriatric Anxiety Scale. Tea consumption questionnaire	Long term tea consumption was significantly associated with reduced odds of depressive symptoms. Tea consumption for over 15 years resulted in lower GDS Scores ( <i>OR</i> : 0.82, <i>P</i> =0.01).
Navarro et al. (61)	2018	Spain	Longitudinal Cohort Study	Coffee consumption	14413 middle aged participants	Validated physician diagnosis of depression using the Structured Clinical Interview for DSM-IV (SCID-I)	Food frequency questionnaire	Greater coffee consumption is associated with reduced risk of depression. Participants who drank $\geq 4$ cups/day showed a significantly lower risk of depression than participants who drank less than one cup of coffee per day ( <i>HR</i> : 0.37 (95% CI 0.15–0.95).
Miyake et al.(37)	2018	Japan	Cross sectional study	Soy isoflavones	1745 pregnant women who participated in the KOMCHS study (an ongoing prospective pre-birth cohort study)	Center for Epidemiologic Studies Depression Scale (CESD)	Diet history questionnaire	Isoflavone intake was associated with a lower prevalence of depressive symptoms during pregnancy. Prevalence ratios (95 % confidence intervals, <i>P</i> for trend) 0.63 (0.47–0.85, 0.002), 0.72 (0.54–0.96, 0.007), 0.74 (0.56–0.98, 0.04), 0.57 (0.42– 0.76, <0.0001), 0.73 (0.55–0.98, 0.03), 0.65 (0.49–0.87, 0.003), and 0.63 (0.46–0.86, 0.002).

Yu et al.(44)	2018	China	Cross sectional study	Soy isoflavones	13760 adults who participated in the Tianjin Chronic Low-grade Systemic Inflammation and Health Cohort.	Zung Self Rating Depression Scale (ZSDS)	Food frequency questionnaire	Moderate intake of soy foods may reduce the incidence of depression while high intakes may worsen depressive symptoms. <i>OR</i> 95% CI for <1/week) were 0.80 (0.67, 0.95) for 1-3/week, 0.69 (0.55, 0.86) for 4-7/week, and 1.85 (1.21, 2.80) for $\geq 2$ /day.
Godos et al.(36)	2018	Italy	Cross sectional study	Dietary polyphenols	1572 adults who participated in the Mediterranean Healthy Eating and Lifestyle and Aging (MEAL) Study	Center for Epidemiologic Studies Depression Scale (CESD)	Food frequency questionnaire	Higher dietary flavonoid intake may be inversely associated with depressive symptoms. ( <i>-P</i> for trend <0.001) Dietary intake of phenolic acid ( <i>OR</i> = 0.64, 95% CI: 0.44, 0.93), flavanones ( <i>OR</i> = 0.54, 95% CI: 0.32, 0.91), and anthocyanins ( <i>OR</i> = 0.61, 95% CI: 0.42, 0.89) showed significant inverse association with depressive symptoms, when comparing the highest with the lowest quartile.
Mofrad et al.(50)	2019	Iran	Cross sectional study	Dietary phytochemicals	488 women aged 20-50yrs	Depression, anxiety, stress scale (DASS)	Food frequency questionnaire	Higher consumption of dietary phytochemicals is associated with a decrease in depressive symptoms

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(OR: 0.22; 95% CI: 0.12–0.38; -P =  
<0.001)

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**Table 3. Effect of Polyphenols on Symptoms of Depression**

Author	Positive Effect: Statistically Significant	Positive Effect: Not Statistically Significant	Mixed results	No Difference Observed
<b>Experimental:</b>				
Sathyapalan et al. (27)		X		
Bergman et al. (22)		X		
Nina Estrella et al. (39)	X			
Atteritano et al. (28)	X			
Lopresti et al. (23)	X			
Sanmukhani et al. (24)		X		
Esmaily et al. (30)				X
Panahi et al. (25)	X			
Yu et al. (26)	X			
Ibero-Baraibar et al. (29)	X			
Pribis (49)	X*	X		
Hirose et al. (41)	X			
Mirghafourvand et al. (48)				X
Kamalifard et al. (46)	X			
Davinelli et al. (40)	X			
Kazemian et al. (33)	X			
Chang et al. (47)	X			
<b>Observational:</b>				
Hintikka et al. (52)	X			
Niu et al. (53)	X			
Chen et al. (31)	X			
Ruusunen et al. (54)	X			
Li et al. (34)			X	
Lucas et al. (55)	X			
Feng et al. (56)	X			
Feng et al. (57)	X			

Omagari et al. (32)	X		
Pham et al. (58)	X		
Yu et al. (51)	X		
Li et al. (59)	X		
Chang et al. (35)	X		
Su et al. (43)		X	
Chan et al. (60)	X		
Navarro et al. (61)	X		
Miyake et al. (37)	X		
Yu et al. (44)			X
Godos et al. (36)	X		
Mofrad et al. (50)	X		

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- 509 Key:
- 510 X indicates that the study contains this item.
- 511 \*Only significant in males
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514 Table 4. Characteristics of included articles

Author	Sex			Age				Disease			Polyphenols									
	Experimental	M	F	B	Young adult	Adult	Pregnancy or postpartum	Menopause	Post-menopausal or elderly	Disease state	Soy	Citrus	Resveratrol	Cocoa	Nut	Legume	Herb and spice	Coffee	Tea	All polyphenols
Sathyapalan et al. (27)				X					X					X						
Bergman et al. (22)				X		X			X								X			
Nina Estrella et al. (39)		X				X		X		X										
Atteritano et al. (28)		X						X	X	X										
Lopresti et al. (23)				X		X			X								X			
Sanmukhani et al. (24)				X		X			X								X			
Esmaily et al. (30)				X		X			X								X			
Panahi et al. (25)				X		X			X								X			
Yu et al. (26)	X					X			X								X			
Ibero-Baraibar et al. (29)				X		X			X					X						
Pribis (49)				X	X										X					
Hirose et al. (41)		X				X		X		X										
Mirghafourva nd et al. (48)		X				X		X			X									



Kamalifard et al. (46)	X		X			X				X									
Davinelli et al. (40)	X		X			X				X			X						
Kazemian et al. (33)		X	X						X									X	
Chang et al. (47)	X					X												X	
515																			
516																			
<b>Author</b>	<b>Sex</b>		<b>Age</b>			<b>Disease</b>				<b>Polyphenols</b>									
<b>Observational</b>	<b>M</b>	<b>F</b>	<b>B</b>	<b>Young adult</b>	<b>Adult</b>	<b>Pregnancy or postpartum</b>	<b>Menopause</b>	<b>Post-menopausal or elderly</b>	<b>Disease state</b>	<b>Soy</b>	<b>Citrus</b>	<b>Resveratrol</b>	<b>Cocoa</b>	<b>Nut</b>	<b>Legume</b>	<b>Herb and spice</b>	<b>Coffee</b>	<b>Tea</b>	<b>All polyphenols</b>
Hintikka et al. (52)			X		X														X
Niu et al. (53)			X					X											X
Chen et al. (31)	X				X				X										X
Ruusunen et al. (54)	X				X												X	X	
Li et al. (34)			X		X			X							X				
Lucas et al. (55)		X						X									X		
Feng et al. (56)			X					X											X
Feng et al. (57)			X					X											X
Omagari et al. (32)			X		X				X								X		

Pham et al. (58)	X	X							X	X
Yu et al. (51)	X			X		X				
Li et al. (59)	X			X						X
Chang et al. (35)	X	X		X						X
Su et al. (43)	X	X							X	
Chan et al. (60)	X			X						X
Navarro et al. (61)	X	X							X	
Miyake et al. (37)	X	X	X					X		
Yu et al. (44)	X	X						X		
Godos et al. (36)	X	X								X
Mofrad et al. (50)	X	X								X

517 Key: M = Male

518 F = Female

519 B = Both Genders

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