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# **Conceptual Design of a Dynamic Turbospacer for Efficient Low Pressure Membrane Filtration**

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## 1 **Abstract**

2 This study presented a conceptual design of a novel dynamic turbospacer to enhance the  
3 performance of a low pressure membrane filtration process. It consists of ladder type filaments  
4 and a series of microturbine networks within the filament cells. The rotation of the turbines  
5 leads to the formation of turbulence in the feed channel that prevents foulants accumulation.  
6 Direct numerical simulation (DNS) was conducted to characterize the fluid flow behaviors of  
7 the feed channel for the proposed turbospacer and compared with a standard symmetric non-  
8 woven feed spacer. Further, their performances were investigated for a low pressure  
9 ultrafiltration (UF) process in a lab-scale experimental setup using 2.8 mm thick 3D printed  
10 prototypes of the turbospacer and the standard spacer. Experiments for the proof of this concept  
11 were conducted at 173 mL/min and 250 mL/min feed solution inlet velocity when Reynolds  
12 number of the flow is 160 and 230 respectively. Substantial reductions in fouling effects using  
13 the turbospacer was confirmed by the in-situ Optical Coherence Tomography (OCT) scans of  
14 the fouling cake layer accumulated over the membrane during the filtration of seawater with  
15 high fouling potential. The proposed turbospacer also lowered the average pressure drop by 4  
16 times and enhanced the specific permeate flux by more than 3 times at 173 mL/min inlet  
17 flowrate. At the same operating condition, the specific energy consumption for the turbospacer  
18 was found about 2.5 folds lower than the standard spacer.

19

## 20 **Keywords**

21 Feed Spacer Design; Membrane Filtration; 3D Printing; DNS; Fouling; Optical coherence  
22 tomography (OCT)

23

24

## 25 **1. Introduction**

26 Membrane desalination has emerged as a promising technology to satisfy the growing demand  
27 for clean freshwater by recovering water from unconventional sources such as seawater [1-3].  
28 Ultrafiltration (UF) is one of the most widely used low-pressure membrane filtration  
29 technology for the pretreatment of membrane based seawater desalination. It removes  
30 particulate matters, organic/inorganic compounds, and micro-organisms from the feed  
31 seawater to ensure the stable operation of the desalination system. Therefore, this process is  
32 highly susceptible to fouling effects, as the foulants accumulate on the ultrafiltration membrane  
33 and in the feed channel [4-6]. It increases the pressure drop across the feed channel and reduces  
34 the water permeation through the membrane, which results in limiting the process performance.  
35 However, as unsteady flow inside the membrane channel hinders the growth of the foulants [7-  
36 10], filtration modules employ a feed spacer to separate the membrane sheets and aim to  
37 generate fluid flow unsteadiness [11-13]. Therefore, the design of feed spacers plays a crucial  
38 role in the efficient operation of low pressure membrane filtration processes.

39 Numerous research articles presented the enhancement of fluid unsteadiness by modifying the  
40 filament shape, arrangement, spacing, and thickness of the net type conventional feed spacer  
41 [14-18]. However, the main limitation of these spacers lies in its unsteadiness/turbulence  
42 production at filtration operating conditions (typically  $\sim 0.16$  m/s feed velocity) [7, 19].  
43 Depending on feed velocity (or Reynolds number) flow transition is triggered from steady to  
44 unsteady state due to vortex separation mechanism [7, 10, 20, 21]. This transition is known to  
45 occur at relatively high crossflow velocity (much greater than 0.16 m/s), as the very small  
46 clearance between the membrane and filament constrains the separation of boundary layer [19,  
47 22]. In addition, high shear stress and velocity are only produced in the constriction zone of the  
48 spacer, while the major portion of the membrane surface has low shear stress. Further, the drag  
49 force produced due to the spacer filaments results in a significant pressure drop across the

50 channel which increases the specific energy consumption of the processes [22].

51 Some attempts to modify the spacer design to change the mechanism of fluid  
52 unsteadiness/turbulence generation resulted in the development of helical micro-structured  
53 type spacer [23], perforated spacers [24], triply periodic minimal surfaces (TPMS) spacer [25]  
54 and symmetric helical filaments [26]. These spacers increased the fluid unsteadiness, but the  
55 pressure drop can be further reduced. A column type feed spacer with thinner filament  
56 substantially reduced the pressure drop significantly but with the expense of a reduction in fluid  
57 unsteadiness [27]. In another study, a hairy type spacer was proposed for a drastic reduction  
58 in pressure drop [28]. But, the maximum oscillation of the fibers was only  $\pm 0.05^\circ$ . In contrast,  
59 a rotating object in the feed channel may reduce the pressure drop as it lowers the drag force  
60 compared to any stationary object and increases the flow turbulence as well.

61 This proof of concept study aims to explore a novel design of feed spacer to improve the  
62 performance of a low pressure membrane process by minimizing the fouling effects through  
63 the creation of turbulent fluid flow and significant pressure drop reduction. This spacer is  
64 designed by adding a network of microturbines within the filament cells of a ladder type feed  
65 spacer. The proposed spacer is termed as “turbospacer” in this study. Boxed in the sufficiently  
66 large rectangular flow channels, microturbines were designed within the transverse filaments  
67 so that it reduces the pressure drop in the flow channel but also forms strong jets of fluid that  
68 strike the turbine blades causing high speed rotation of the turbines contributing to the  
69 generation of turbulence in the feed channel and ensures uniform distribution of shear stress  
70 and water velocity. It limits the accumulation of foulants and further reduced the feed channel  
71 pressure drop. The spacer can be assembled in plate and frame modules with its current  
72 dimension, but with the miniaturization of the spacer size as a result of rapid progress in  
73 material and manufacturing technology, it may be applied in other module configurations in  
74 future. However, the turbospacer was prototyped by using three dimensional (3D) printing

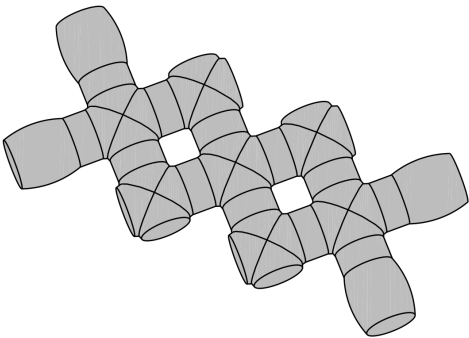
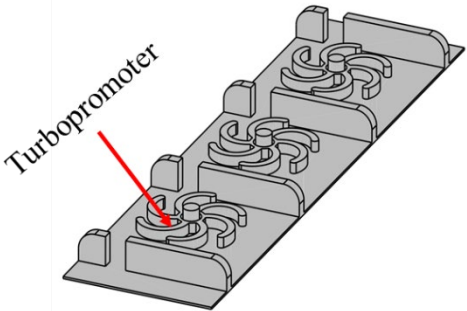
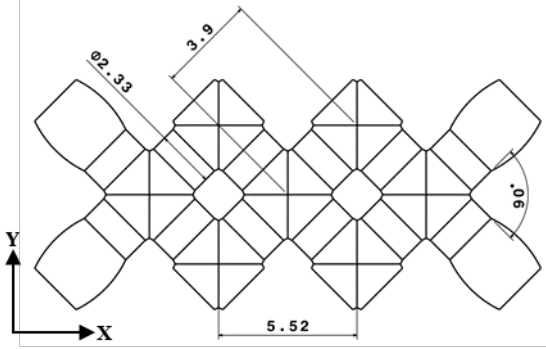
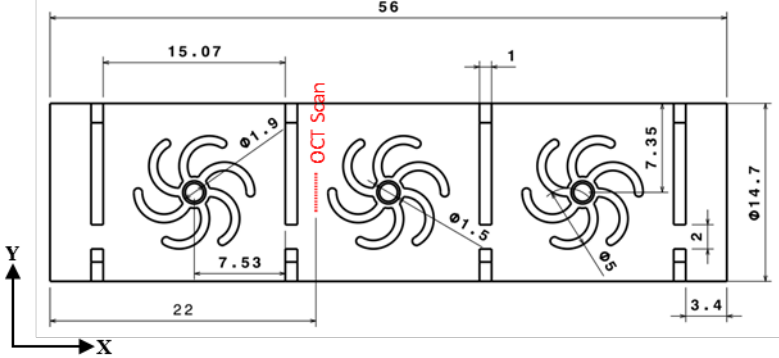
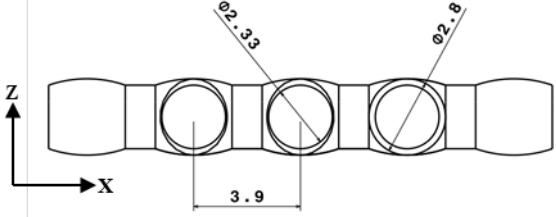
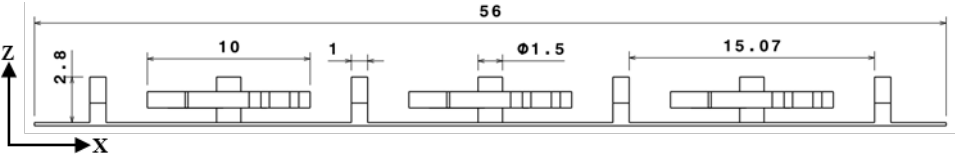
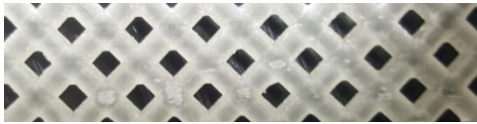

75 technology and the rotational speed of the turbines inside the membrane module at different  
76 inlet velocities was measured employing a high-speed camera, which influences the  
77 performance and fluid flow through the spacer-filled channel. Direct numerical simulation  
78 (DNS) was conducted to computationally investigate the fluid flow behavior in the feed  
79 channel using the turbospacer and compared with a standard symmetric non-woven spacer  
80 design of the same thickness which served as a reference for the comparison at the same  
81 operating conditions. After the theoretical analysis, performance of the turbospacer and the  
82 standard spacer in terms of foulants accumulation on the membrane, pressure drop across the  
83 channel, specific flux, and specific energy consumption were experimentally investigated in a  
84 lab-scale UF setup for the seawater filtration using a feed solution synthesized by mixing  
85 sodium alginate and Xanthan gum with microbes incubated real seawater.

## 86 **2. Materials and Methodology**

### 87 **2.1. Design of the conceptual turbospacer and the standard spacer**

88 The proposed turbospacer and standard symmetric non-woven spacer were designed using a  
89 commercial computer aided design (CAD) software CATIA (Dassault Systems, France). Table  
90 1 compares the CAD design of the spacers with their major dimensions. The turbospacer  
91 consists of rectangular filaments arranged in a ladder type structure. The conceptual  
92 turbospacer was designed 2.8 mm thick in this study. It is very common to design spacers  
93 thicker than 2 mm for the lab-scale proof of concept studies as the commercial feed spacer  
94 thickness for filtration module varies from 22 mil (0.56 mm) to 120 mil (3mm) [29]. For  
95 example, thickness of the microstructured helical spacer, zigzag spacer, and sawtooth spacer  
96 was 4 mm[30, 31]. In another study, the thickness of a static mixing spacer was 3 mm [32].  
97 However, a 2 mm × 2.8 mm opening was designed over each filament (can be seen in the top  
98 and front view as shown in Table 1) of the turbospacer.

99 **Table 1** CAD design and 3D printed prototypes of the standard spacer and turbospacer.

	Standard Spacer	Turbospacer
Isometric View		
Top View	Standard 	Turbo 
	Standard 	Turbo 
3D printed Prototypes	Standard 	Turbo 
All the dimensions are given in mm		

100 Each filament cell also contains a turbine shaped rotor installed around a cylindrical shaft at  
101 the centroid that holds the turbines at a fixed location and also supports the membrane. Because  
102 of this additional membrane support, the filament spacing is almost double for the turbospacer,  
103 which helps in further reducing pressure drop for this spacer. Moreover, there is a clearance of  
104 0.4 mm between the inner diameter of the rotors (1.9 mm) and the outer diameter of the shaft  
105 (1.5 mm). Due to this clearance, when the feed solution micro-jets strike the rotor blades the  
106 turbines rotate around the shaft. The thickness of these rotors was 1 mm which was about three  
107 times thinner than the feed channel height so that the rotors can rotate freely inside the channel.  
108 Another standard non-woven symmetric spacer of equal thickness was designed to serve as a  
109 benchmark for the performance comparison of the turbospacer developed in this study. The  
110 standard spacer consists of cylindrical filaments arranged in diamond shaped structure as  
111 shown in Table 1. Maintaining the same thickness with the turbospacer, the standard spacer  
112 (D) is designed 2.8 mm thick. Moreover, the diameter of the filament (d) is 2.33 mm which is  
113 selected based on the same clearance ratio ( $d/D = 0.83$ ) with the reference spacers used in the  
114 previous studies [24, 27]. Thickness of the reference spacer from our previous studies was 1.2  
115 mm (47 mil) which is also widely used in filtration module (for example 47 mil feed spacer for  
116 microdyn-Nadir turboclean element, 47 mil medium foulant spacer for Sterlitec). However,  
117 since the diameter of the standard spacer used in this study was different from the previous  
118 spacers, a non-dimensional Reynolds number was employed to select the crossflow velocity.  
119 Selection of the crossflow velocity for the experiments is discussed in detail in section 2.3.  
120 Finally, these CAD designs were used to develop the prototypes of the turbospacer and standard  
121 spacers by employing a DLP (Digital light processing) 3D printer (Miicraft 125, Rays Optics  
122 Inc., Hsinchu, Taiwan). Both the spacers were fabricated sufficiently larger than the filtration  
123 channel of the experimental setup (60 mm × 15 mm) and were cut into the required size before  
124 the experiments.



## 125 2.2. Numerical Analysis

126 Fluid flow behavior of feed channel was computationally simulated and compared for the  
127 proposed turbospacer and standard spacer at two different feed inlet velocities as used in the  
128 experiments. The hydrodynamics for turbospacer primarily depends on the blade rotation  
129 speed. Therefore, the rotational speed was experimentally measured for different feed  
130 velocities using a high-speed camera. The obtained rotational speed was used as an input in the  
131 DNS simulations. The experimental setup employed to measure the rotational speeds is  
132 described in Fig. S1 of the supplementary information.

133 In the present study, DNS technique was utilized to solve the conservation equation. Although  
134 high-grid resolutions are required to perform DNS, it has the advantage that no turbulence  
135 model is required as the smallest flow scales (up to Kolmogorov scale) are resolved in these  
136 types of simulations [33]. Further, DNS is inherently unsteady so no prior assumption about  
137 the state of the fluid is required. Therefore, the spatial and temporal accuracy of the DNS  
138 technique is much higher as compared to the other methods utilized in fluid-flow simulations.  
139 Considering feed as an incompressible Newtonian fluid, the governing equations (mass and  
140 momentum conservation equations) are given by:

$$141 \quad \nabla \cdot \mathbf{u} = 0 \quad (1)$$

$$142 \quad \rho \left( \frac{\delta \mathbf{u}}{\delta t} + (\mathbf{u} \cdot \nabla) \mathbf{u} \right) = -\nabla p + \mu \nabla^2 \mathbf{u} + \rho g \quad (2)$$

143 where  $t$  is time (s),  $\mathbf{u}$  is the velocity (m/s) vector,  $p$  is the pressure (N/m<sup>2</sup>) and  $\nabla$  represents  
144 the spatial gradient. In addition,  $\rho$  and  $g$  represent the density (998 kg/m<sup>3</sup>) of the feed fluid and  
145 the acceleration due to gravity (9.81 m/s<sup>2</sup>), respectively.

146 For standard spacer at the inlet boundary, average velocity corresponding to the experimental  
147 condition was used. The membrane surface was considered as a rigid wall [19, 22, 34, 35].  
148 Periodic boundary conditions were specified along the span-wise direction (Y-axis) and at the  
149 outlet pressure condition was specified. For turbospacer, velocity inlet condition was specified

150 at the inlet face and pressure outlet condition was specified at the outlet face. Remaining  
151 boundaries were treated as walls with no-slip boundary conditions.

152 The cut-cell meshing approach was utilized for meshing the fluid volume [24, 36]. In this  
153 approach majority of the discretized control volumes are hexahedrons except for a few layers  
154 of tetrahedrons near the solid boundaries. This ensures a low grid aspect ratio resulting in  
155 improved convergence and higher accuracy when compared to a mesh made of tetrahedrons  
156 only. For the case of turbospacer, which involves moving components, the overset technique  
157 was utilized. Here the rotating component has separate meshing, which is immersed in a  
158 background fixed mesh [36]. For all time, the background mesh is fixed and the rotating mesh  
159 is updated at each time step taking into account the turbospacer rotation (rotational speed was  
160 obtained by high-speed camera as explained in Fig. S1 and S2 in the supplementary  
161 information).

162 Using the above specified boundary conditions, the system of Eqs. (1) and (2) was solved on  
163 the commercial solver ANSYS Fluent. The spatial and temporal discretizations were second  
164 order accurate [37] with PISO scheme [36]. A mesh independence study was first performed  
165 and mesh  $\sim 10$  million points for the standard spacer and  $\sim 25$  million for turbospacer was  
166 selected, which accurately resolve the flow field. The discretized system of equation was quite  
167 large, therefore, computations were performed on in-house supercomputing facility (Shaheen  
168 II) using 2000 core for  $\sim 48$  hours for the standard spacer and  $\sim 200$  hours for turbospacer,  
169 respectively. The validation and accuracy of the DNS solution method have been already  
170 demonstrated in previous work [19].

### 171 **2.3. Experimental setup and operating conditions**

172 The rotational speed of the microturbine blades was experimentally measured by using a high-  
173 speed camera to analyze the flow pattern in the channel. One of the six blades of the 3D printed  
174 turbospacer was painted red to distinguish it from others. Then the turbospacer was assembled

175 in a crossflow filtration test cell over a UF membrane, as shown in the schematic diagram of  
176 the high-speed camera setup in Fig. S1 of the supplementary section. Water was circulated  
177 through the cell at different velocities from 40 mL/min to 330 mL/min. Rotation of the blades  
178 at these velocities was recorded at 7000 frames per second using the high-speed camera  
179 (Phantom V1212) at an image resolution of  $1280 \times 800$  pixels. After analyzing the recorded  
180 videos, time (in microsecond) required for one revolution of the blade was determined which  
181 provided the rotating speed in rpm. Rotational speed at different velocities is reported in Fig.  
182 S2 in the supplementary section. Further, performance of the spacers was experimentally  
183 investigated using a typical lab-scale filtration setup with permeate production, simulating  
184 crossflow membrane process. The schematic of the filtration setup is given in Fig. S3 of the  
185 supplementary information. The experimental setup consists of a feed solution circulation  
186 pump, a feed solution tank, a permeate collection tank, a membrane test cell, and a data  
187 acquisition system. Feed channel dimension of the test cell was  $60 \text{ mm} \times 15 \text{ mm} \times 2.8 \text{ mm}$ .  
188 In addition, 150 kDa UF membranes were used for all the experiments of this study (Detailed  
189 specification of the membrane is provided in Table S1 of supplementary section). This setup  
190 was also devised with a flowmeter, two pressure sensors, and an electronic weight balance. The  
191 weight balance measured the permeate production at a regular period of interval (1 minute).  
192 These sensors also transmitted their dataset to a computer connected to the setup using a data  
193 acquisition system for further analysis. Finally, Optical Coherence Tomography (OCT)  
194 technique was employed for the capturing of the *in-situ* images of the foulants layer deposited  
195 over the membrane at the end of the filtration experiment (after 48 h) [38, 39]. OCT images  
196 were recorded at the same location (22 mm from the feed solution inlet and at the center in the  
197 span wise direction of both spacers) over the membrane for the spacers as shown in the top  
198 view of the turbopromter in Table 1. Specifications of all the components and the sensors are  
199 described in Table S1 of the supplementary information.

200 Usually, performance of the spacers is characterized by the permeate flux of the filtration  
 201 system [30, 40]. This study compared the transient normalized flux through the membrane  
 202 using both turbospacer and the standard spacer, which is a ratio of the instantaneous flux ( $J_w$ )  
 203 and the initial flux ( $J_{w0}$ ) during the filtration experiment. But the spacer performance should  
 204 be evaluated by a term that combines both feed channel pressure drop and permeate flux [26,  
 205 27]. In this study, the spacer performance was compared in terms of specific flux and specific  
 206 energy consumption, which are the functions of permeate flux and pressure drop across the test  
 207 cell. Specific water flux is given by [26, 27],

$$J_w^s = \frac{J_w}{\Delta P_{cell}} \quad (3)$$

208 where  $J_w$  is the water flux through the membrane (LMH) and  $\Delta P_{cell}$  represents the pressure  
 209 drop across the test cell length (mbar). Specific energy consumption (SEC) is another  
 210 important performance parameter used for the comparison of different spacer designs [27],  
 211 which is expressed by Eq. (4).

$$SEC = \frac{E}{Q_p} \quad (4)$$

212 here,  $E$  denotes the amount of energy (kWh) consumed by the system and  $Q_p$  is the rate of  
 213 permeate production ( $m^3/h$ ). However, excluding any hydraulic resistance in the tubings of the  
 214 experimental setup, the energy consumption of the filtration system accounting only for the  
 215 spacers used in the channel is defined by [27],

$$E = \frac{1.67E - 9 \times Q_F \times \Delta P_{cell}}{\eta} \quad (5)$$

216 where  $Q_F$  represents the feed inlet flowrate (mL/min) and  $\eta$  is the pump efficiency (%).  
 217 Derivation of equation (5) is provided in the supplementary section.

218 Feed solution inlet velocity is a major operating condition that influences the performance of  
 219 the spacer significantly. The typical crossflow velocity for the membrane filtration applications

220 is 0.16 m/s [24, 27]. Therefore, to generate flow similarity using the current 2.8 mm thick  
221 symmetric spacer, a non-dimensional Reynolds number is used to calculate the crossflow  
222 velocity, At 0.16 m/s feed solution velocity, Reynolds number is 160 for the 1.2 mm thick  
223 spacer as the diameter of the filament is 1 mm. For the same Reynolds number, the crossflow  
224 velocity is 0.07 m/s, when the standard spacer proposed in this study (2.88 mm thick) is used.  
225 Therefore, the crossflow velocity in this study is about 2.3 times lower than the typical  
226 operating velocity for the 1.2 mm spacer. However, experiments were also conducted at a  
227 velocity of 0.10 m/s to study the effects of feed solution inlet velocity on the turbospacer and  
228 the spacer performances when the Reynolds number was 230. But, due to the rotation of the  
229 turbine blades, inlet velocity to the channel for the turbospacer was significantly different from  
230 the standard spacer. Therefore, performances of the spacers were not compared at the same  
231 feed solution inlet velocities rather the performances were compared for the same inlet  
232 flowrates. Feed solution inlet flowrates corresponding to 0.07 m/s and 0.10 m/s inlet velocities  
233 are 173 mL/min and 250 mL/min, respectively.

#### 234 **2.4. Synthesis of feed solution**

235 Total 2 L of feed solution was synthesized to stimulate fouling growth in a faster manner for  
236 some short term (48 h) experiments to compare the filtration performance and fouling effects  
237 using the proposed turbospacer and the standard spacer. 0.5 g/L of Bacto™ Yeast was first  
238 added with 0.5 L seawater as a source of nutrient for the microbes that exist in the seawater  
239 and incubated for 24 h at 30°C. 0.25 g/L of xanthan gum (Sigma-Aldrich) and 0.1 g/L of sodium  
240 alginate (Sigma-Aldrich) were added to the remaining 1.5 L seawater. Xanthan gum in the  
241 solution is a type of polysaccharide that works as an organic foulant. In contrast, sodium  
242 alginate is another polysaccharide that is extracted from the cell walls of brown algae. It does  
243 not only represent the organic foulants in the seawater but also works as a biofoulant in the

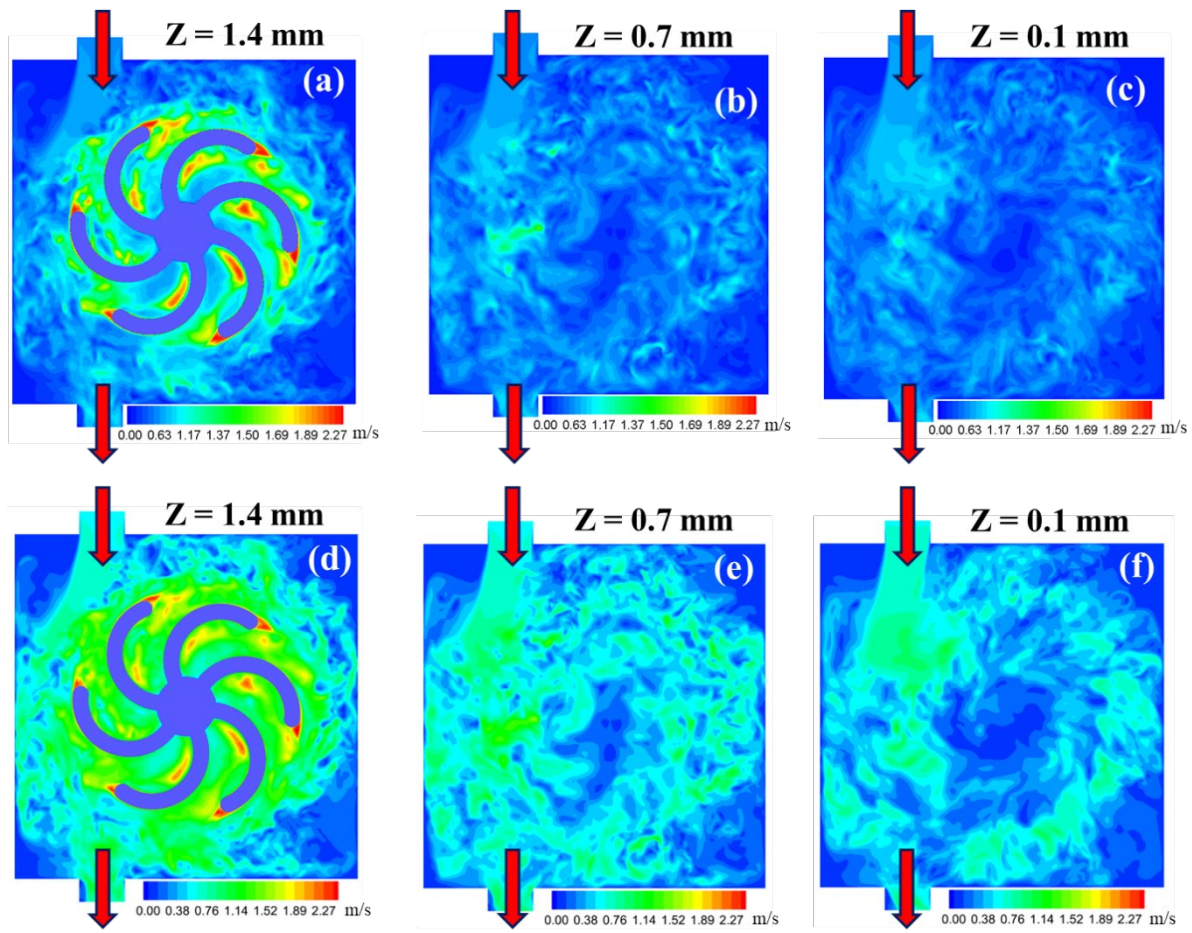
244 solution. Finally, the 0.5 L incubated seawater and the remaining 1.5 L seawater were mixed  
245 and stirred for 4 h before the experiments.

### 246 **3. Results and discussion**

247 Fluid flows of the feed channel filled with the standard and the proposed turbospacer were first  
248 numerically simulated to explain the role of hydrodynamics at an elemental level occurring  
249 inside the filament cell. After that filtration performances of the standard spacer and  
250 turbospacer were experimentally studied in terms of fouling effects, pressure drop, specific  
251 flux, and specific energy consumption for the filtration of seawater using a lab-scale UF setup.

#### 252 **3.1. Hydrodynamic behaviors of feed channel flow**

253 The DNS computations were performed at two different feed solution inlet flowrates of 173  
254 mL/min and 250 mL/min for both spacers. Turbospacer inlet velocities to the filament cell were  
255 computed as 0.6 m/s (turbine rotation speed, 355 rpm) and 0.74 m/s (turbine rotation speed,  
256 485 rpm), while for standard spacer they were 0.07 m/s and 0.1 m/s corresponding to 173  
257 mL/min and 250 mL/min flowrates, respectively. For the turbospacer, a single cell was only  
258 computed while for the standard spacer 2 cells with two half cells were simulated to properly  
259 resolve the flow dynamics [21, 26]. Fig. 1 shows the variation in velocity magnitude at quasi-  
260 steady state ( $\sim t = 0.2$  seconds) at three planes ( $Z = 1.4$  mm, 0.7 mm and 0.1 mm) inside the  
261 turbospacer cell for 173 mL/min and 250 mL/min inlet velocities. At the initial stages (refer to  
262 the attached movie) when the blades just start their movement, the high velocity regions are  
263 around the central plane of the channel.

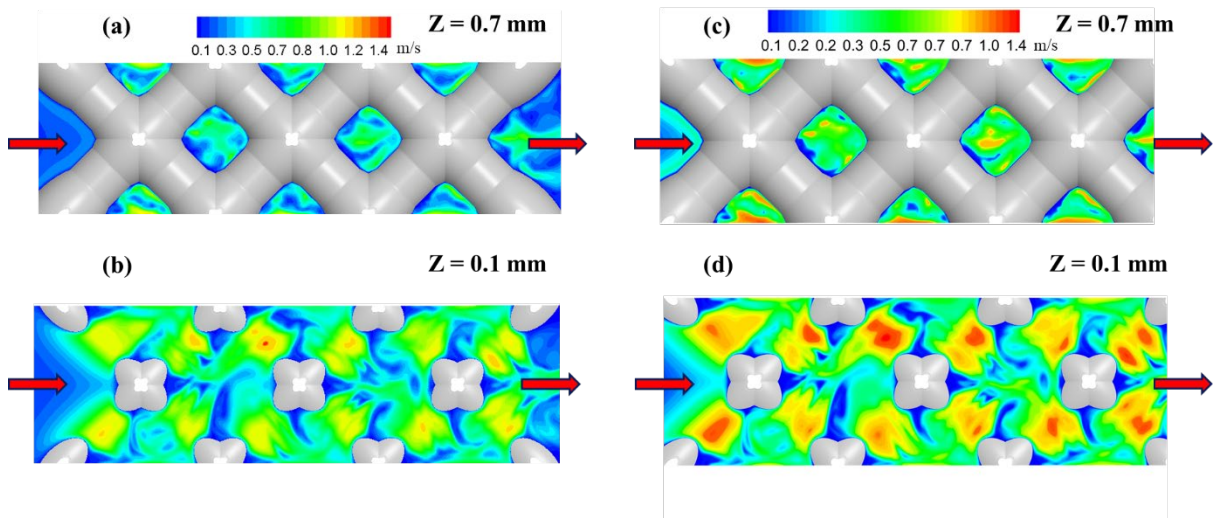


264

265 **Fig. 1.** Numerically simulated velocity magnitude at various locations along the height of the  
 266 channel for turbospacer at two different inlet flowrates. Top row is for  $Q_F = 173 \text{ mL/min}$  at  
 267 (a)  $Z = 1.4 \text{ mm}$ , (b)  $Z = 0.7 \text{ mm}$ , (c)  $Z = 0.1 \text{ mm}$  height and bottom row is for  $Q_F =$   
 268  $250 \text{ mL/min}$  at (d)  $Z = 1.4 \text{ mm}$ , (e)  $Z = 0.7 \text{ mm}$ , (f)  $Z = 0.1 \text{ mm}$ . Due to the rotation of the  
 269 turbines (355 rpm and 485 rpm), fluid enters the filament cell at 0.6 m/s and 0.74 m/s. Arrow  
 270 heads on the figure show the inlet and outlet of fluid. Fluid flow unsteadiness is clear in the  
 271 figures.

272 Roughly more than three times rise in velocity magnitude is observed (maximum velocity  
 273 achieved is 2.27 m/s) compared to plane close to the bottom wall (membrane). As the rotation  
 274 of the turbine is quite fast, the fluid region surrounds the blades pick larger velocity. Further,  
 275 the outburst to unsteady state occurs very quickly (see the attached movie) and flow is highly

276 perturbed leading to a turbulent breakdown. In its highly turbulent state, the highest velocity  
 277 region is wrapped around the rotor blades and as the flow moves toward the bottom wall  
 278 (depicting membrane) the velocity magnitude reduces compared to the central plane. However,  
 279 the magnitude is still roughly double than the inlet velocity. Further, the perturbed velocity  
 280 covers the majority area of the bottom wall, indicating better foulant cleaning should be  
 281 achieved with this turbospacer.



282

283 **Fig. 2.** Theoretically estimated velocity magnitude variation along the depth of channel for  
 284 standard spacer at  $Q_F = 173 \text{ mL/min}$  and  $250 \text{ mL/min}$ . Left column represents the spatial  
 285 distribution of fluid velocity for  $Q_F = 173 \text{ mL/min}$  at (a)  $Z = 0.7 \text{ mm}$ , (b)  $Z = 0.1 \text{ mm}$  height  
 286 whereas right column stands for  $Q_F = 250 \text{ mL/min}$  at (c)  $Z = 0.7 \text{ mm}$ , (d)  $Z = 0.1 \text{ mm}$ . Color  
 287 bar on the figure explains the distribution of velocity magnitude.

288 For the case of standard spacer, velocity magnitudes are presented at two planes ( $Z = 0.7 \text{ mm}$   
 289 and  $0.1 \text{ mm}$ ) inside the computational domain as depicted in Fig. 2 for both flowrates. As seen  
 290 clearly for both cases, the flow is unsteady with level of unsteadiness and is slightly higher for  
 291  $250 \text{ mL/min}$  inlet flowrate. The highest velocity magnitude is observed under the filaments (at the  
 292 constriction zone), whereas the lowest velocity regions are behind the filament or the filament  
 293 intersections. Therefore the distribution of high velocity regions for the standard spacer is very

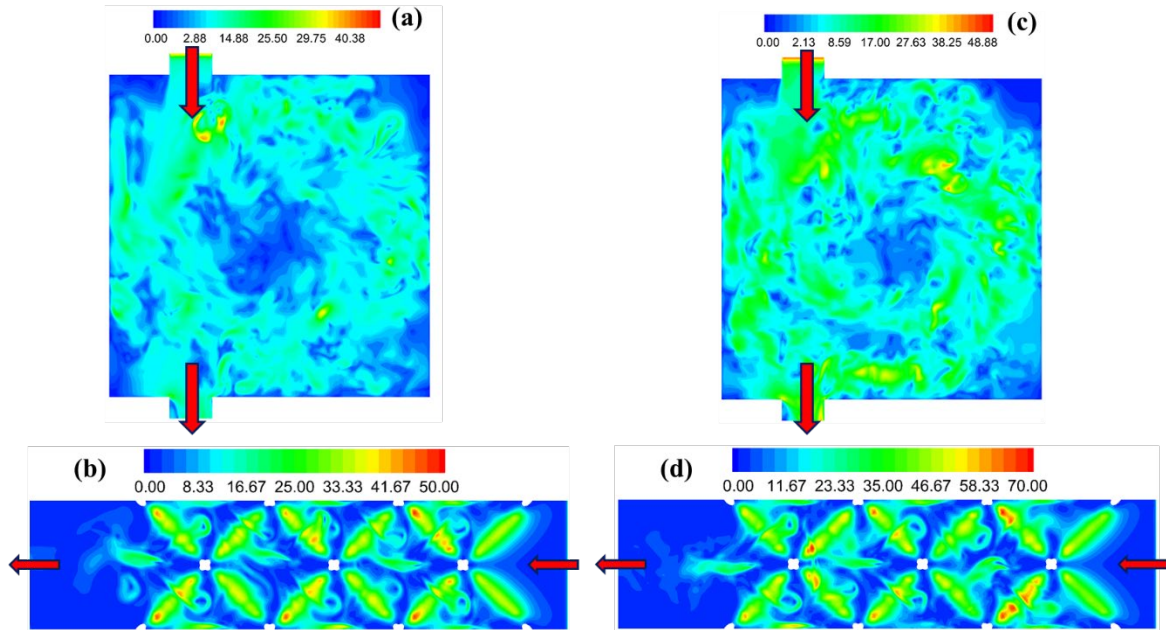


294 heterogeneous. The trend is opposite in case of standard spacer compared to the turbospacer.  
295 Velocity magnitude increases as the fluid approach the membrane surface, whereas for  
296 turbospacer it reduces while moving closer to the membrane surface. Although the flow is also  
297 unsteady, the amount of perturbation generated by the standard spacer is quite less compared  
298 to the turbospacer (See the attached video). Thus, it is evident that much higher turbulence is  
299 achieved inside a spacer cell of turbospacer compared to the standard spacer, and therefore, it  
300 should effectively minimize the foulants deposition on the membrane surface and improve flux  
301 recovery.

302 In the filtration systems, the membrane fouling characteristics are not only a function of  
303 membrane material and its affinity to different types of bacteria and foulants but also depend  
304 on the hydrodynamics shear stress experienced at the surface of the membrane [41, 42].  
305 Therefore, it is pivotal to understand the shear stress distribution to gauge hydrodynamics  
306 influence on fouling. Fig. 3 shows the computational shear stress distribution on the bottom  
307 wall of the standard spacer and the turbospacer at  $Q_F = 173$  and  $250$  mL/min flowrates. At  
308 the initial stage, when the rotor blades just start moving, shear stress values are higher under  
309 the rotor (see the attached movie). As time progress, and the hydrodynamics field quickly  
310 transits to the turbulent regime which results in fluctuating shear stress on the membrane  
311 surface in a range of  $2$ - $13$  N/m<sup>2</sup> for  $173$  mL/min and between  $2$  and  $20$  N/m<sup>2</sup> for  $250$  mL/min  
312 case. This fluctuating shear stress not only provides a hostile environment for the (bio)fouling  
313 attachment but also prevents any further growth. On the other hand, a high constant (not  
314 effected by flow unsteadiness) shear stress ( $\sim 40$  N/m<sup>2</sup> and  $\sim 50$  N/m<sup>2</sup> at  $173$  mL/min for  $250$   
315 mL/min, respectively) is visible under the filament (at the constriction zone) at all times for the  
316 case of standard spacer. High shear stresses at the constriction zones are known to produce  
317 faster attachment of (bio)foulants under the filament resulting in fouled membrane surface later

318 during the filtration [27]. In addition, as for both flowrates ( $Q_F = 173$  and  $Q_F = 250$  mL/min)  
319 the flow is unsteady, therefore, fluctuation in shear stress is also visible.

320



321

322 **Fig. 3.** Theoretically computed shear stress distribution on the bottom wall of the channel for  
323 turbospacer and standard spacer. Top row shows the shear stress for turbospacer at (a)  $Q_F =$   
324 173 and (c) 250 mL/min inlet flowrates. Shear stress using standard spacer is presented below  
325 for the flowrates (b and d).

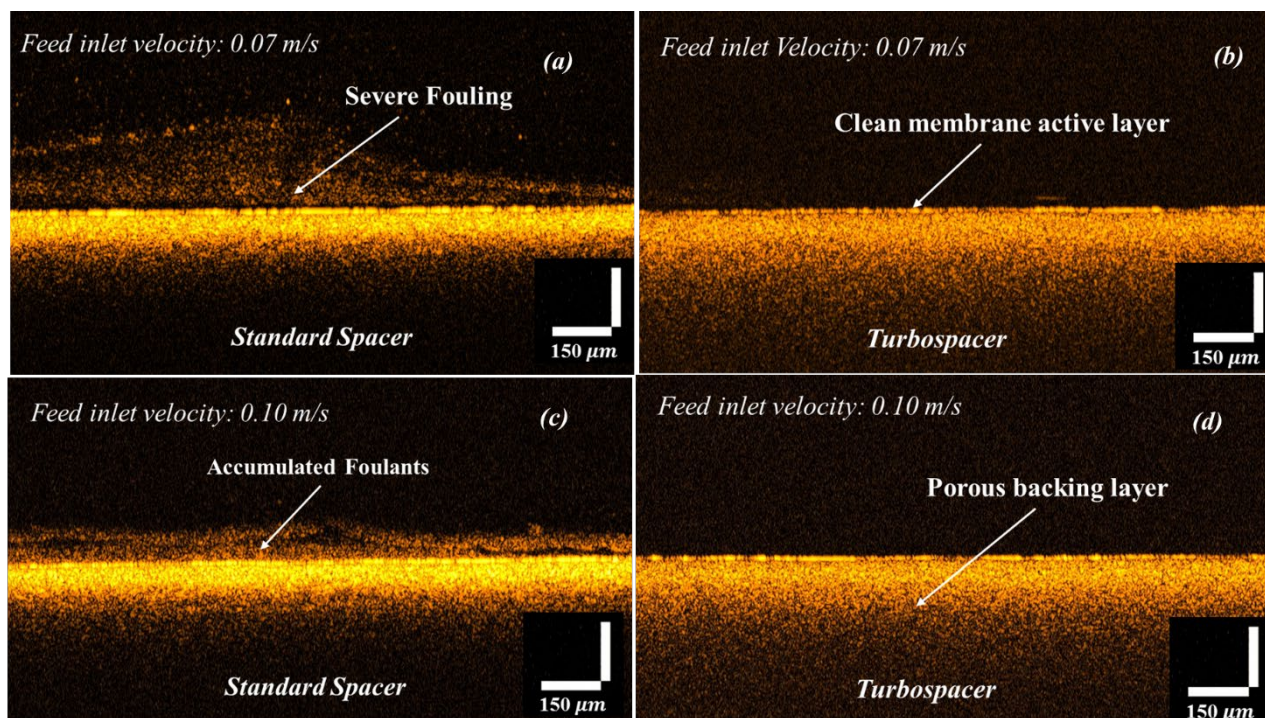
326 However, these fluctuations and vortex breakdown in standard spacer appear much smaller  
327 compared to the turbospacer. As expected, the magnitude and fluctuations of shear stress are  
328 more for higher flowrate ( $Q_F = 250$  mL/min) than the lower flowrate ( $Q_F = 173$  mL/min),  
329 therefore, higher fouling is predicted for lower flowrate in case of standard spacers. Thus, the  
330 current computational findings indicate that the proposed turbospacer should perform much  
331 superiorly than the standard spacer of similar thickness in terms of energy consumption,  
332 permeate flux, and in fouling mitigation.

### 333 **3.2. Performances of the feed spacers in a filtration system**

334 Performances of the membrane filtration process were further experimentally investigated to  
335 observe the effects of the feed channel hydrodynamics due to the rotation of the turbospacer  
336 on the fouling accumulation, pressure drop, flux behavior, and energy consumption. These  
337 experiments were conducted at two different feed solution inlet flowrates (173 mL/min and  
338 250 mL/min) to compare the performance of the conceptual turbospacer design with the  
339 standard spacer at different operating conditions.

#### 340 **3.2.1. Membrane fouling**

341 Images of the foulant layer accumulated over the membrane surface after the filtration achieved  
342 its steady state (48 h) were captured in-situ by an OCT device at the same location (22 mm  
343 apart from the first filament cell inlet) on the membrane surface for the turbospacer and the  
344 standard spacer as shown in Table 1 (top view of turbospacer). Fig. 5 shows the OCT images  
345 of the fouling behaviors for the turbospacer and the standard spacer at 173 mL/min and 250  
346 mL/min inlet flowrates. These images exhibit the membrane active layer, support layer, and  
347 the accumulated fouling layer.



348

349 **Fig. 5.** OCT images of the accumulated foulants on the membrane surface at 173 mL/min and  
 350 250 mL/min feed solution inlet velocities for (a, c) standard spacer and (b,d) turbospacer after  
 351 48 h of operation.

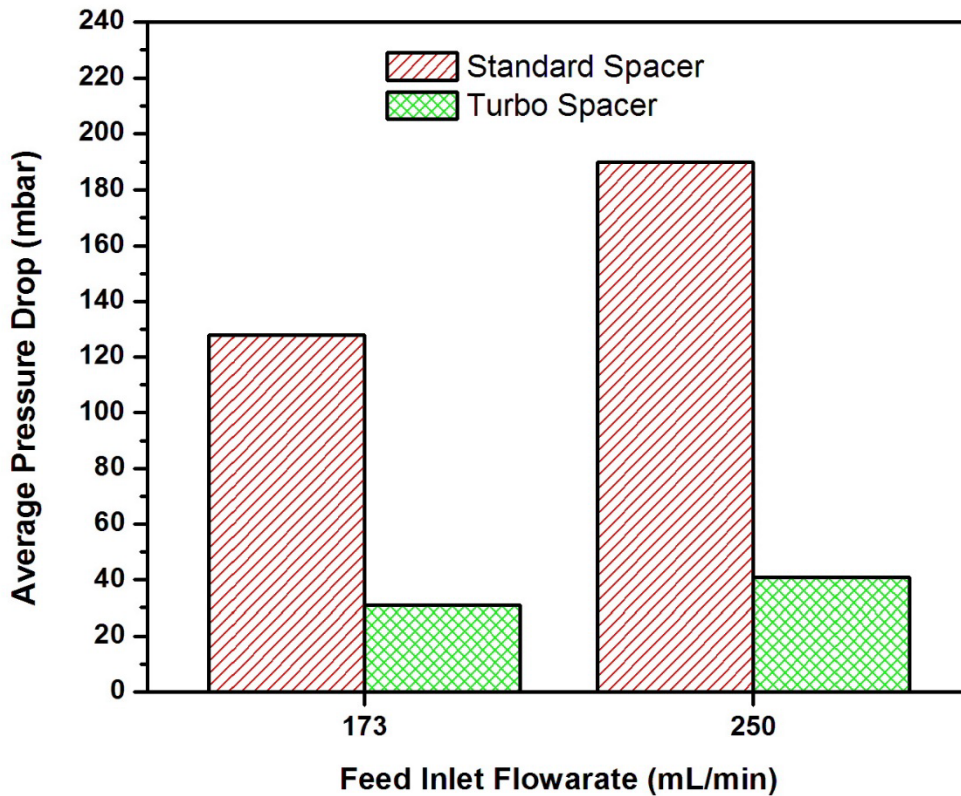
352 It can be seen that the turbospacer significantly restrained the fouling growth at any flowrate  
 353 as compared to the standard spacer. At 173 mL/min inlet flowrate, the membrane surface  
 354 observed to be clean for the turbospacer as a result of very high fluid unsteadiness and  
 355 uniformly distributed perturbed shear stress across the channel (as shown in Fig. 1 and 3) which  
 356 did not allow the foulant particles to settle over the membrane surface. In contrast, the standard  
 357 spacer accumulated  $179 \pm 53 \mu\text{m}$  thick fouling layer over the membrane surface (Fig. 5(a)) for  
 358 the same flowrate. High shear stress on membrane surface under the filament (constriction  
 359 zone) account for higher bacterial attachments and subsequent lower fluid velocity (Fig. 2) and  
 360 shear stress (Fig. 3) in the central region of the spacer cell resulted in the attachment and growth  
 361 of biofilm to cause more complex fouling. At a higher inlet flowrate of 173 mL/min, the fouling  
 362 layer thickness reduced to  $90 \pm 8 \mu\text{m}$  when the standard spacer was used, whereas no  
 363 accumulation of foulants was found for the turbospacer. Fluid flow unsteadiness enhanced for

364 both spacers when the feed inlet flowrate was increased (according to Fig. 1 and 2) which  
365 contributed to the reduction in fouling effects.

### 366 **3.2.2. Feed channel pressure drop**

367 Fig. 6 compares the average fluid pressure drop across the filtration cell with the standard  
368 spacer and the proposed turbospacer during the filtration process. Mean values of the initial  
369 pressure drop (measured at the beginning of the filtration) and the final pressure drop  
370 (measured at the end of these experiments) were considered as average pressure drop in this  
371 study. At 173 mL/min inlet flowrate, 130 mbar average pressure drop was found for the  
372 standard spacer, whereas only 30 mbar pressured drop was recorded at the same flowrate when  
373 the turbospacer was used. Large openings through the filaments, large filament spacing, less  
374 accumulation of foulants in the channel, and the reduced drag force due to the rotation of the  
375 turbines contributed to almost four folds reduction in average pressure drop using the  
376 turbospacer.

377



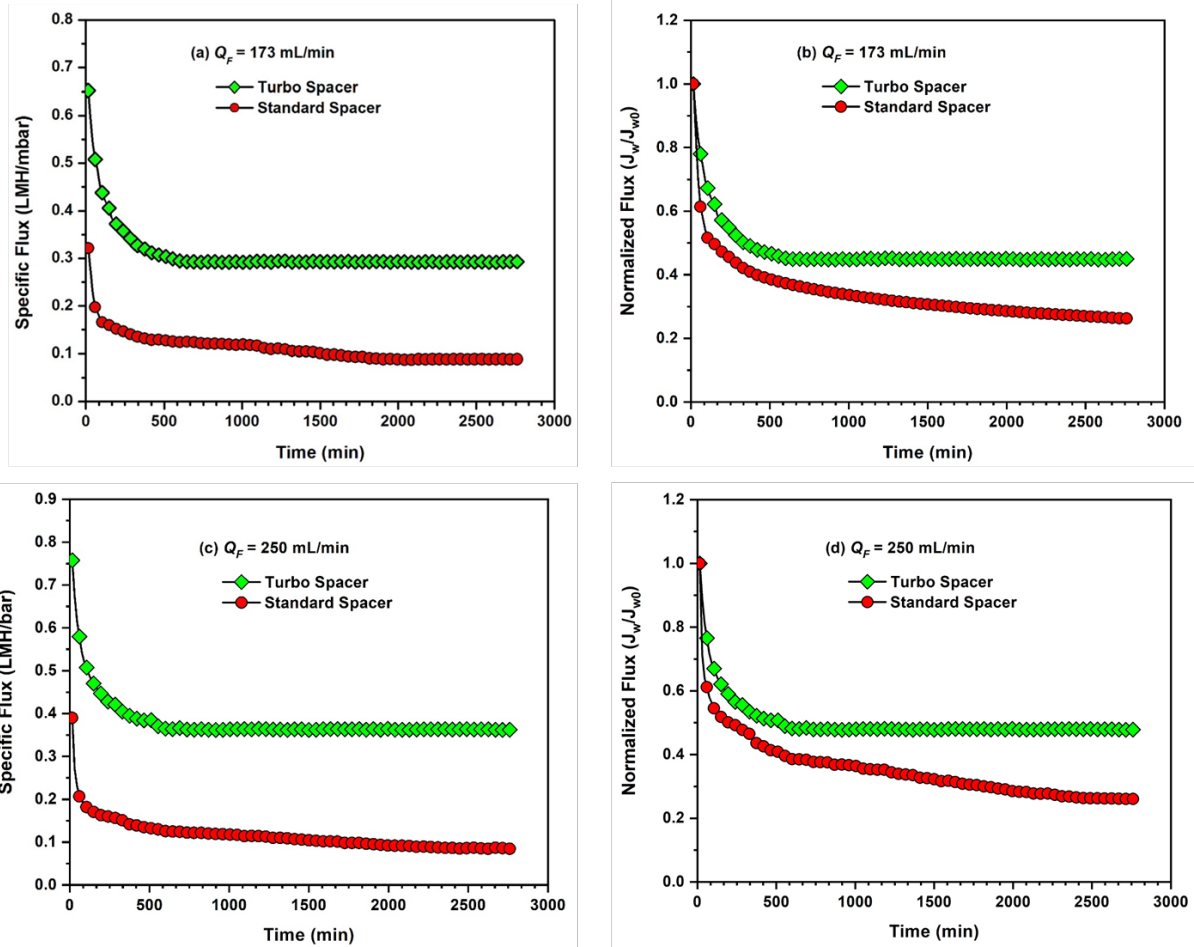
378

379 **Fig. 6.** Comparison of experimentally measured average pressure drop across the filtration cell  
 380 for the standard spacer and turbospacer at  $Q_F = 173 \text{ mL/min}$  and  $Q_F = 250 \text{ mL/min}$ .

381 On the other hand, the combined effects of more foulants accumulation and excessive  
 382 obstruction in the channel cause a higher pressure drop for the standard spacer. At a higher feed  
 383 solution flowrate of 250 mL/min, this trend remained almost similar, where pressure drops for  
 384 both spacers increased. At this flowrate, pressure drops for the standard spacer and the  
 385 turbospacer were 190 and 40 mbar, respectively.

### 386 3.2.3. Flux behaviors of the spacers

387 As explained in the experimental setup and operating conditions section, transient flux  
 388 behaviors of the standard spacer and the turbospacer were compared in terms of specific flux  
 389 by relating the pressure drop and the permeate flux.



390

391 **Fig. 7.** Experimentally measured transient specific and normalized flux behaviour of the  
 392 filtration system at (a, b) 173 mL/min and (c, d) 250 mL/min for the turbospacer and the  
 393 standard spacer.

394 Fig. 7 describes the decline of the specific flux for the turbospacer and the standard spacer as  
 395 a function of time at 173 mL/min and 250 mL/min. At any flowrate, when the standard spacer  
 396 was used, specific flux was drastically reduced initially (up to 100 min) and then declined at a  
 397 much lower rate to reach a steady state after about 2000 min. In comparison, the specific flux  
 398 declined for a longer time (about 500 min) but reached steady state faster (by 800 min) when  
 399 the turbospacer was used. Initial longer flux decline period for the turbospacer was attributed  
 400 to the turbulence created by the spacer which delayed the pore blocking and fouling  
 401 accumulation on the membrane surface. However, the specific flux at steady state for the  
 402 standard spacer was 0.1 LMH/mbar of pressure drop at the feed solution inlet flowrate of 173

403 mL/min, whereas the specific flux increased about 3 times (0.3 LMH/mbar) at the same  
404 flowrate when the turbospacer was used. Lower pressure drop and less fouling accumulation  
405 in the feed channel resulted in higher specific flux for the turbospacer fitted with the UF  
406 membrane. The trend of the specific flux enhancement using the turbospacer remained almost  
407 similar at a higher flowrate. At 250 mL/min the specific flux for the standard spacer and the  
408 turbospacer was 0.08 LMH/mbar and 0.36 LMH/mbar, respectively.

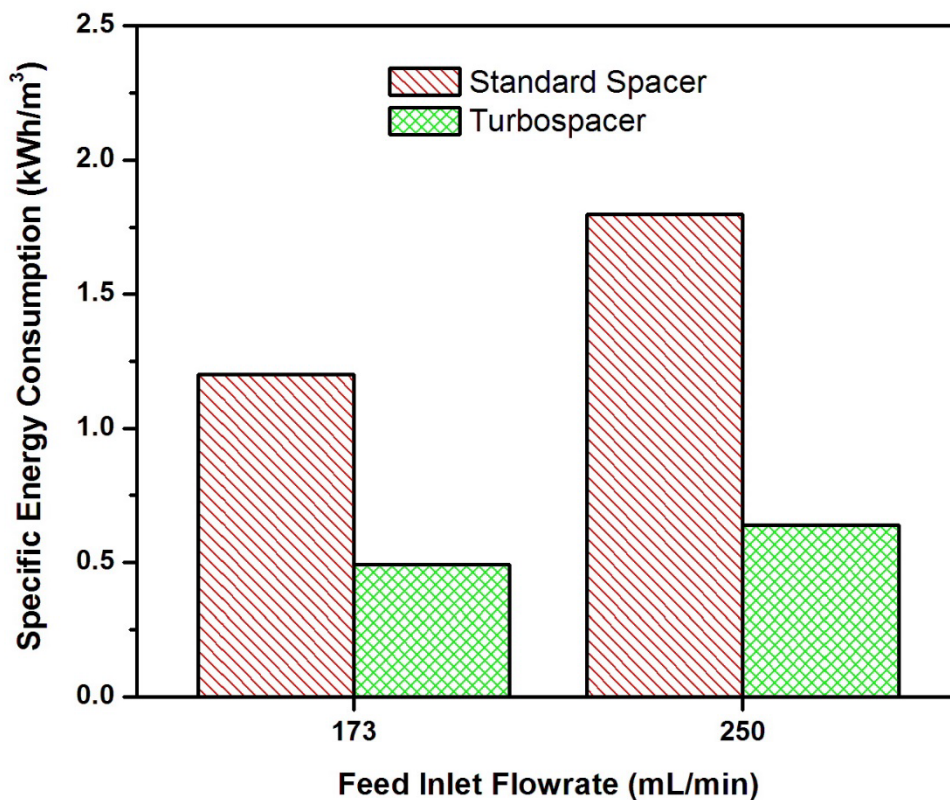
409 Fig. 7(b) and 7(d) compare the transient normalized water flux for the turbospacer and the  
410 standard spacer at 173 mL/min and 250 mL/min respectively. It can be seen from both figure  
411 that the normalized flux decline ( $J_w/J_{w0}$ ) for the standard spacer was almost double compared  
412 to the turbospacer. At 173 mL/min, water flux declined to 45% of its initial value for  
413 turbospacer and reached a steady state. In contrast, water flux using the standard spacer  
414 dropped to 27% of its initial value after 48 h of filtration experiment (Fig. 7 (b)). At a higher  
415 flowrate of 250 mL/min, the trends remain almost similar (Fig. 7(d)).

#### 416 **3.2.4. Comparison of energy consumption**

417 Specific energy consumption is one of the most important parameters to compare the  
418 performance of the newly designed spacers. In this study, feed solution flowrates, final pressure  
419 drops, and steady state fluxes were considered for the calculation of the specific energy  
420 consumption. Fig. 8 exhibits the specific energy consumption of the membrane filtration  
421 system for the designed turbospacer and the standard spacer. At 173 mL/min, specific energy  
422 consumption of the system using the standard spacer was 1.2 kWh/m<sup>3</sup> where the final pressure  
423 drop across the test cell was 40 mbar. At the same flowrate, specific energy consumption using  
424 the turbospacer (0.50 kWh/m<sup>3</sup>) was about 2.5 times lower than the standard spacer when the  
425 final pressure drop was found to be 14 mbar. Reduced fouling effects on the membrane surface  
426 (as shown in Fig. 5) in case of turbospacer augmented the mass transport through the membrane  
427 at the same transmembrane pressure. In addition to this, lower resistance to the fluid flow in



428 the channel minimizes the pressure drop across the test cell. Therefore, the effects of better  
429 permeation and lower pressure drop reduced the specific energy consumption for the  
430 turbospacer. However, the energy consumption for the standard spacers increased when the  
431 feed solution inlet flowrate was increased to 250 mL/min. But the energy consumption  
432 remained almost similar when the trubopromoter was used. Specific energy consumption for  
433 the standard spacer increased to 1.8 kWh/m<sup>3</sup> from 1.2 kWh/m<sup>3</sup> as the pressure drop increased  
434 at the higher flowrate. On the other hand, for the turbospacer membrane surface remained clean  
435 at 250 mL/min flowrate but the pressure drop across the test cell slightly increased.



436  
437 **Fig. 8.** Comparison of the experimentally investigated specific energy consumption for the  
438 standards spacer and turbospacer at 173 mL/min and 250 mL/min.

439 As a result, the specific energy consumption slightly increased from 0.50 kWh/m<sup>3</sup> to 0.65  
440 kWh/m<sup>3</sup>. However, experimentally measured specific energy consumption values of the lab-  
441 scale UF setup in the present study were very high in comparison with a full-scale UF system,

442 which was mostly operated under dead-end filtration mode and consumed less energy [43]. But  
443 these performance values were only employed to compare the spacers when the operating  
444 parameters remained the same.

#### 445 **4. Conclusions**

446 This proof of concept study demonstrated the design of a novel dynamic turbospacer to exploit  
447 the kinetic energy of the flowing feed solution to enhance the flow turbulence in plate and  
448 frame membrane module. 3D direct numerical simulation was conducted to theoretically  
449 analyze the fluid flow behaviour in the feed channel using the proposed turbospacer and a non-  
450 woven symmetric standard spacer. Moreover, the performance of the standard spacer and the  
451 turbospacer in a low pressure membrane filtration process (UF) for the filtration of seawater  
452 were experimentally investigated using their 3D printed prototypes. The major findings of the  
453 studies are listed below:

- 454 • Numerical results revealed that the turbospacer facilitated a homogenous distribution  
455 of high velocity and shear stress in the channel and produced a completely turbulent  
456 flow through the fluctuating shear stress and vortex breakdown. In contrast, the  
457 standard spacer exhibited high velocity and shear stress only under the filaments with  
458 a very small effect of flow unsteadiness.
- 459 • The proposed turbospacer achieved more than 3 times higher specific flux in  
460 comparison with the standard spacer at 173 mL/min inlet flowrate when the Reynolds  
461 number is 160.
- 462 • About 2.5 folds lower specific energy consumption was obtained for the turbospacer in  
463 comparison with the standard spacer when a synthetic seawater with high fouling  
464 potential was filtered by using a UF membrane.

- 465       • OCT images of the foulant layer on the membrane surface showed that the turbospacer  
466           minimized the accumulation of foulants very efficiently.

467 The prototype of the turbospacer used in this proof of concept study showed promising  
468 performance in short term filtration experiments with harsh fouling conditions. For more  
469 realistic applications, the spacer can be tested for longer term experiments with different  
470 foulant concentrations. Moreover, the geometry and arrangements of the filaments and rotors,  
471 fluid flow path, and cleaning strategies can be further optimized.

## 472 **CRedit authorship contribution statement**

473 **Syed Muztuza Ali:** Conceptualization, Data curation, Formal analysis, Investigation,  
474 Methodology, Validation, Writing - original draft. **Adnan Qamar:** Methodology, Data  
475 curation, Formal analysis, Writing - original draft, Writing - review & editing; **Sherub**  
476 **Phuntsho:** Writing - review & editing. **Noredine Ghaffour:** Validation, Writing - review &  
477 editing; **Johannes S. Vrouwenvelder:** Validation, Writing - review & editing; **Hokyong**  
478 **Shon:** Supervision, Project administration, Resources, Funding acquisition, Validation,  
479 Writing - review & editing.

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487

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