EUROPEAN RESPIRATORY journal

FLAGSHIP SCIENTIFIC JOURNAL OF ERS

Early View

Correspondence

Caution in Interpretation of Abnormal Carbon Monoxide Diffusion Capacity in COVID-19 Patients

David G. Chapman, Tanya Badal, Gregory G. King, Cindy Thamrin

Please cite this article as: Chapman DG, Badal T, King GG, *et al*. Caution in Interpretation of Abnormal Carbon Monoxide Diffusion Capacity in COVID-19 Patients. *Eur Respir J* 2020; in press (https://doi.org/10.1183/13993003.03263-2020).

This manuscript has recently been accepted for publication in the *European Respiratory Journal*. It is published here in its accepted form prior to copyediting and typesetting by our production team. After these production processes are complete and the authors have approved the resulting proofs, the article will move to the latest issue of the ERJ online.

Copyright ©ERS 2020. This article is open access and distributed under the terms of the Creative Commons Attribution Non-Commercial Licence 4.0.

Caution in Interpretation of Abnormal Carbon Monoxide Diffusion Capacity in

COVID-19 Patients

David G. Chapman^{1,2}, Tanya Badal^{1,3}, Gregory G. King^{1,3,5,6}, Cindy Thamrin^{1,4}

¹ Airway Physiology and Imaging Group and Woolcock Emphysema Centre, The

Woolcock Institute of Medical Research, The University of Sydney, Glebe NSW

2037, Australia.

² School of Life Sciences, Faculty of Science, University of Technology Sydney,

Ultimo NSW 2007, Australia.

³ Department of Thoracic Medicine, Concord Repatriation General Hospital, Concord

NSW 2137, Australia

⁴ Faculty of Medicine and Health Sciences, The University of Sydney, NSW 2006,

Australia

⁵ Department of Respiratory Medicine, Royal North Shore Hospital, St. Leonards

NSW 2065, Australia.

⁶ NHMRC Centre of Excellence in Severe Asthma, New Lambton Heights NSW

2305, Australia.

Word Count: 454

Tweetable abstract: Reduced KCO in discharged patients with COVID-19 suggests

persistent abnormalities in gas exchange. Further research is required to understand

why.

We read with much interest the recent findings published in the *European Respiratory Journal* of reduced gas transfer in patients following COVID-19. Drs Mo et al (1) investigated conventional pulmonary function in survivors of mild, moderate and severe COVID-19 approximately 20-30 days after onset of symptoms. While patients had relatively normal spirometry, DLCO was reduced in 50% and DLCO/VA (or KCO, to avoid misinterpretation) reduced in 25%. These findings are welcome as they provide significant insight into the long-term lung function impairment associated with COVID-19.

In response, Dr Nusair (2) contributed the interpretation that "low D_{LCO} is caused mainly by reduced alveolar volume, and not residual interstitial abnormalities or pulmonary vascular abnormalities caused by COVID-19" i.e. normal capillaryalveolar units. However, we believe that this interpretation does not consider the complex relationship between VA, DLCO and KCO, and may prematurely rule out the presence of abnormal gas exchange. To demonstrate our point, Figure 1 illustrates the expected effect of a reduction in VA on DLCO and KCO due to either suboptimal alveolar expansion or loss of alveolar units (with normal expansion in communicating alveoli) (3). Firstly, it is evident that in the "severe pneumonia" patients in the study by Mo et al the impairment in DLCO (1) (represented by the star) is considerably greater than expected if a reduction in VA was the sole abnormality, regardless of the mechanism for the reduced VA. Secondly, a reduction in VA due to either aforementioned mechanism would be is associated with an increase in KCO, which is opposite to the reduced KCO in many of the discharged patients with severe COVID-19 (3). This reduction in KCO suggests that loss of alveolar units is not sufficient to cause the observed impairment in DLCO.

Thus, while the DLCO findings of Mo et al (1) are partially explained by reduced VA, the reduction in KCO measured at that reduced VA also implicates abnormal gas exchange. Whether this is due to disruption of the alveolar-capillary barrier or abnormal pulmonary blood volume cannot be determined based on their data. Lung fibrosis associated with acute respiratory distress syndrome in COVID-19 patients

(4), would likely damage alveolar-capillary units, leading to loss of alveolar units and impaired gas exchange. The result would be a reduction in both VA and KCO (for the reduced VA). There is increasing suggestion of altered pulmonary haemodynamics in COVID-19 patients (5), including vascular pruning and reduced pulmonary blood volume measured via high resolution CT (6). Use of more specific measures of the alveolar-capillary membrane, such as combined DLCO and DLNO measurements or advanced imaging techniques, are likely required to determine whether interstitial abnormalities or pulmonary vascular abnormalities contribute to reduced DLCO in patients who have recovered from COVID-19.

Figure Legend

Figure 1: The relationship between alveolar volume (VA) and, [A] diffusing capacity for carbon monoxide (DLCO) and [B] rate constant for CO uptake (KCO), are plotted as a percentage of the value at total lung capacity (TLC). The relationships are shown for two situations that result in reduced VA; i) suboptimal alveolar expansion (DLCO and KCO measured at volumes below maximum TLC, *solid line*), ii) loss of alveolar units (e.g. theoretical removal of lobules or lobes with remaining lung expanded to its normal TLC, *dashed line*). The star (\bigstar) represents the group mean data of the 'severe pneumonia' from Mo et al (1). Mean VA was calculated as mean percent predicted DLCO/ mean percent predicted KCO. The relationship between VA, DLCO and KCO was calculated using the equations as described in (3): for suboptimal alveolar expansion, KCO=0.43+(0.57/VA); for loss of alveolar lung units, *change in KCO = 0.4x + 2.1* where x = the proportion of volume diverted to the remaining lung; for both scenarios, DLCO = VA*KCO.

References

- 1. Mo X, Jian W, Su Z, Chen M, Peng H, Peng P, et al. Abnormal pulmonary function in COVID-19 patients at time of hospital discharge. The European respiratory journal. 2020;55(6).
- 2. Nusair S. Abnormal carbon monoxide diffusion capacity in COVID-19 patients at time of hospital discharge. The European respiratory journal. 2020;56(1).
- 3. Hughes JM, Pride NB. Examination of the carbon monoxide diffusing capacity (DL(CO)) in relation to its KCO and VA components. American journal of respiratory and critical care medicine. 2012;186(2):132-9.
- 4. Spagnolo P, Balestro E, Aliberti S, Cocconcelli E, Biondini D, Casa GD, et al. Pulmonary fibrosis secondary to COVID-19: a call to arms? The Lancet Respiratory medicine. 2020;8(8):750-2.
- 5. Potus F, Mai V, Lebret M, Malenfant S, Breton-Gagnon E, Lajoie AC, et al. Novel insights on the pulmonary vascular consequences of COVID-19. American journal of physiology Lung cellular and molecular physiology. 2020;319(2):L277-l88.
- 6. Lins M, Vandevenne J, Thillai M, Lavon BR, Lanclus M, Bonte S, et al. Assessment of Small Pulmonary Blood Vessels in COVID-19 Patients Using HRCT. Academic radiology. 2020.

