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LETTER

Machine learning-based integration of large-scale climate drivers can improve the forecast of seasonal rainfall probability in Australia

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Abstract

Probabilistic seasonal rainfall forecasting is of great importance for stakeholders such as farmers and policymakers to assist in developing risk management strategies and to inform decisions. In practice, there are two kinds of commonly used tools, dynamical models and statistical models, to provide probabilistic seasonal rainfall forecasts. Dynamical models are based on physical processes but are usually expensive to operate and implement, and rely overly on initial conditions. Statistical models are easy to implement but are usually based on simple or linear relationships between observed variables. Recently, machine learning techniques have been widely used in climate projection and perform well in reproducing historical climate. For these reasons, we conducted a case study in Australia by developing a machine learning-based probabilistic seasonal rainfall forecasting model using multiple large-scale climate indices from the Pacific, Indian and Southern Oceans. Rainfall probabilities of exceeding the climatological median for upcoming seasons from 2011 to 2018 were successively forecasted using multiple climate indices of precedent six months. The performance of the model was evaluated by comparing it with an officially used forecasting model, the SOI (Southern Oscillation Index) phase model (SP) operated by Queensland government in Australia. Results indicated that the random forest (RF) model outperformed the SP model in terms of both distinct forecasts and forecasting accuracy. The RF model increased the percentages of distinct forecasts to 64.9% for spring, to 71.5% for summer, to 65.8% for autumn, and to 63.9% for winter, $1.4 \sim 3.2$ times of the values from the SP model. Forecasting accuracy was also greatly increased by 28%, 167%, 219%, and 76% for four seasons respectively, compared to the SP model. The proposed rainfall forecasting model is based on readily available data, and we believe it can be easily extended to other regions to provide seasonal rainfall outlooks.

1. Introduction

Rainfall is a natural phenomenon that results from complex global and regional atmospheric processes.

Forecasting terrestrial rainfall several months in advance has significant implications for more efficient usage of water resources, *e.g.* agricultural planning (He *et al* 2014). However, accurate and reliable

seasonal rainfall forecasting remains a great challenge for scientific community, which limits the prospective use of natural resources to guide production activities of mankind.

Australia is among the world's largest agricultural exporters (Gunasekera et al 2007). For example, Australian wheat commodity contributes roughly 15% of global annual wheat trade (www.aegic.org.au). Thus, Australian agricultural sector is very important to ensure global food supply and security (Qureshi et al 2013). However, highly variable interannual seasonal rainfall exerts serious adverse impacts on Australian agricultural productivity (Cobon and Toombs 2013). For example, the drought in 2018 has resulted in yield loss of 53% in eastern Australia compared to the average of past two decades (https://www.agriculture.gov.au/abares). Researchers have developed different seasonal rainfall forecasting tools for decision-makers to deal with high rainfall variability in order to minimize losses in potentially 'bad' seasons and maximize profits in potentially 'good' seasons (Stone et al 1996, Mekanik et al 2016).

At present, there are two kinds of official seasonal rainfall forecasting programs in Australia, dynamical models and statistical models. Dynamical models are often referred to coupled oceanatmosphere general circulation models, which are based on the laws of physical processes. The most typical dynamical model in Australia is the ACCESS-S (Australian Community Climate Earth-System Simulator-Seasonal, launched in 2018) developed and run by the Australian Bureau of Meteorology (www.bom.gov.au/climate/ahead/about/model/ access.shtml). This model is able to initiate rainfall outlooks for the season ahead as the probability of receiving above median rainfall. Major advantages of dynamical models are that they have the capacity to simulate nonlinear interactions of meteorological processes and are adaptable to climate shift (Schepen et al 2012). However, dynamical models are usually expensive to implement and operate, and they are overly dependent on initial conditions. Despite substantial technological advances and research efforts, sophisticated dynamical models are still unable to consistently outperform simple statistical models for forecasting seasonal rainfall (Abbot and Marohasy 2014, Mekanik et al 2016).

Statistical models are also extensively used in Australia to issue seasonal rainfall outlooks (He et al 2014) with the format of the probability of exceeding the seasonal median (Fawcett and Stone 2010). Statistical models employ empirical relationships between the response variable and various predictor variables to generate forecasts. Therefore, these models depend on the availability of observed data and stationary relationships between the variables (Schepen et al 2012). One typical statistical forecasting model in Australia is the Southern

Oscillation Index (SOI) phase seasonal rainfall forecasting program (Stone et al 1996), which is currently operated by the Queensland Government (https://www.longpaddock.qld.gov.au/seasonalclimate-outlook/rainfall-probabilities/). This program was developed based on the great and lagged impacts of El Nino Southern Oscillation (ENSO) from the Pacific on Australia's climate. Pairs of consecutive monthly SOI values are categorized into five kinds of phases using principal components analysis and cluster analysis. Rainfall probability (exceeding median) of upcoming three months can be quantified based on historical situations with a same SOI phase (Stone et al 1996). The SOI phase forecasting program has been widely used by crop producers and pastoral industries to reduce climate-related risks (Cobon and Toombs 2013). However, this program usually has poor performance in western Australia, where the impacts of ENSO are weak due to large spatial distance (Risbey et al 2009). Moreover, oceanic activities from the Southern Ocean and the Indian Ocean, e.g. Southern Annular Mode (SAM) (Thompson and Wallace 2000) and Indian Ocean Dipole (IOD) (Saji et al 1999), also show regulatory effects on the variability of Australian seasonal rainfall (Risbey et al 2009). Thus, a forecasting method based solely on ENSO may not be sufficient to be applied in the whole continent or all seasons. In addition, the SOI phase program usually generates probability values of around 50%, however, intermediate probability of exceeding the median is not particularly helpful for making decisions.

In recent years, machine learning algorithms have gradually received wide attention in both classification and regression tasks with the development of artificial intelligence (Aguasca-Colomo et al 2019, Scher and Messori 2019). Machine learning algorithms are capable of investigating hierarchical and nonlinear relationships between the response variable and predictor variables based on ensemble learning approaches (Shalev-Shwartz and Ben-David 2014). In seasonal rainfall forecasting, predictor variables may comprise various preceding large-scale climate signals. For example, Hartmann et al (2008) used artificial neural network to forecast summer rainfall in the Yangtze River basin using large-scale climate indices including SOI and the Scandinavia pattern. Kashid and Maity (2012) used genetic programming to predict Indian Summer Monsoon Rainfall using large-scale climate signals from both tropical Indian Ocean and tropical Pacific Ocean. However, machine learning-based forecasting methods have rarely been used for forecasting seasonal rainfall probability in Australia (Abbot and Marohasy 2014).

The present study employed a machine learning method with multiple large-scale climate indices aiming for developing a skillful and robust seasonal rainfall forecasting technique. We took the rainfall forecasting results obtained from the SOI phase

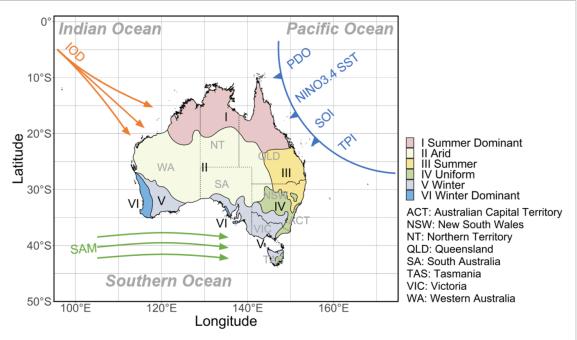


Figure 1. Map of climate zones of Australia and influential large-scale climate indices from its surrounding oceans. IOD: Indian ocean dipole; SAM: southern annular mode; NINO3.4 SST: NINO3.4 sea surface temperature; PDO: Pacific decadal oscillation; SOI: southern oscillation index; TPI: the interdecadal Pacific oscillation tripole index.

forecasting program as the benchmark to compare whether our proposed machine learning method can better predict rainfall probability in Australia.

2. Materials and methods

2.1. Study area

The study area covers the whole Australian continent, with latitude ranging from 10°S to 44°S and longitude ranging from 112°E to 154°E (figure 1). Due to the large geographical size of the country, Australia has a wide variety of climates which have been classified into six distinct climate zones (figure 1) based on seasonal rainfall (https://www.bom.gov.au). The northern and northeastern zones of Australia have a more tropical influenced climate, with humid and hot austral summers (Dec-Feb) and dry and warm austral winters (Jun-Aug). The southern coastal zones have a Mediterranean-like climate, dry and hot during summers and wet and mild during winters. In addition, central interior areas are dominant by a desert climate, mostly governed by sinking air of the subtropical high-pressure belt (Turney et al 2007).

2.2. Climate data

2.2.1. Rainfall data.

Long-term (1889–2018) historical monthly rainfall data for 0.05° × 0.05° grids (figure 2) across Australia were obtained from Scientific Information for Land Owners (SILO, www.longpaddock.qld.gov.au/silo/), which is currently hosted by the Queensland government. SILO rainfall dataset is constructed based on observational records provided by the Australian

Bureau of Meteorology. Missing data in observational time series and gridded rainfall data are both derived using ordinary kriging interpolation technique. SILO rainfall dataset is readily available for climate applications and has been well tested in many climate-related studies (Boer *et al* 2016, Williamson *et al* 2016, Wang *et al* 2018). In our study, $0.05^{\circ} \times 0.05^{\circ}$ spatial resolution was too high and might result in too much unnecessary computational load. Thus, we firstly reduced the spatial resolution and obtained 2780 grids $(0.5^{\circ} \times 0.5^{\circ})$ across Australia. Rainfall medians of four seasons for 2780 grids based on data in 1889–2018 are presented in figure 2.

2.2.2. Large-scale climate indices.

The inter-annual variability of Australia's seasonal rainfall is regulated by climatic activities of three surrounding oceans, the Pacific, Indian, and Southern Oceans (Risbey et al 2009). These climatic activities include sea surface air pressure fluctuation, sea surface temperature (SST) fluctuation, atmospheric circulation (e.g. Walker and Hadley cells), etc. They exert complex impacts on Australia's rainfall and most impacts remain elusive. In the past decades, a number of large-scale climate indices have been introduced to describe various aspects of oceanic activities. For example, SOI is calculated based on the sea surface air pressure differences between Tahiti and Darwin, which is one of the key climate indices that measure the strength of ENSO-related events in the Pacific Ocean. We collected 6 influential and commonly used large-scale climate indices as potential predictors. A brief description of each involved index

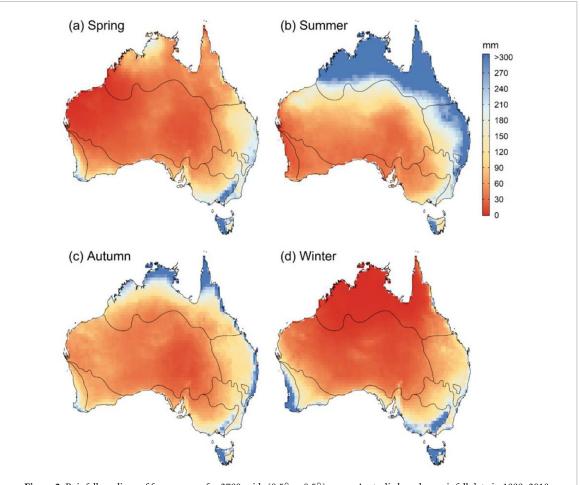


Figure 2. Rainfall medians of four seasons for 2780 grids $(0.5^{\circ} \times 0.5^{\circ})$ across Australia based on rainfall data in 1889–2018.

is presented in table 1. Monthly series from 1889 to 2018 for the six indices except SAM were directly obtained from Earth System Research Laboratory (ESRL, https://www.esrl.noaa.gov/). While for SAM, we re-calculated it using the generation code and Hadley Centre Sea Level Pressure dataset from ESRL.

2.3. SOI phase model

The SOI phase (SP) seasonal rainfall forecasting model can provide probabilistic forecasts of rainfall exceeding the median for upcoming three months across Australia (Stone et al 1996). The SP model is theoretically based on prognostic features of SOI on rainfall conditions of upcoming few months in Australia. Pairs of consecutive monthly SOI values are categorized into five kinds of phases (consistently negative, consistently positive, rapidly falling, rapidly rising, and consistently near zero) using principal components analysis and cluster analysis. Rainfall probability (exceeding median) of upcoming few months can be quantified based on historical situations with a same SOI phase. In practice, the SP model is explicitly adopted for quantifying rainfall probabilities of upcoming three months with 'zero' lead time (e.g. SOI values for April and May are used to predict June-August rainfall). It can be expressed as follows:

$$P_{I,j} = \frac{\sum_{i=1889}^{I-1} N_{SR_{i,j}, SP_{i,j}}}{\sum_{i=1899}^{I-1} N_{SP_{i,j}}} \times 100\%$$
 (1)

$$N_{SR_{i,j}, SP_{i,j}} = \begin{cases} 1, & \text{if } SR_{i,j} > \text{the median } \& SP_{i,j} = SP_{I,j} \\ 0, & \text{otherwise} \end{cases}$$
(2)

$$N_{SP_{i,j}} = \begin{cases} 1, \text{if } SP_{i,j} = SP_{I,j} \\ 0, \text{othewise} \end{cases}$$
 (3)

where j and I represent a target three-month period j of a target year I. $P_{I,j}$ denotes forecasted rainfall probability of exceeding the climatological median for year I period j. SR and SP are seasonal rainfall and SOI phase respectively. Thus, 60 maps of Australia (five SOI phases \times twelve 3-month rainfall periods) are created showing the probability of exceeding the climatological rainfall median for any 3-month period following each SOI phase. These maps are updated progressively monthly as more data are available and can be involved to calculate probabilities.

Table 1. Six large-scale climate	indices used	l in this study.
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Name	Abbreviation	Description	Ocean	Key reference
Indian Ocean Dipole	IOD	A sea surface temperature dipole between the western and eastern tropical Indian Ocean	Indian	(Saji et al 1999)
Southern Annular Mode	SAM	Pressure dipole between the Antarctic and Southern Hemisphere midlatitudes	Southern	(Thompson and Wallace 2000)
Nino3.4 sea surface tem- perature	NINO3.4	Mean SST over the Nino3.4 region (5° N– 5° S, 120° – 170° W)	Pacific	(Kaplan <i>et al</i> 1998)
Pacific Decadal Oscillation	PDO	A long-lived ENSO-like pattern of Pacific climate variability	Pacific	(Mantua and Hare 2002)
Southern Oscillation Index	SOI	An indication of the development and intensity of El Niño or La Niña events	Pacific	(Horel and Wallace 1981)
Tripole Index	TPI	A robust and stable representation of the Interdecadal Pacific Oscillation phe- nomenon	Pacific	(Henley et al 2015)

2.4. Random forest model

The machine learning algorithm used in this study is random forest (RF), a tree-based ensemble learning algorithm (Breiman 2001). An ensemble method is an algorithm that obtains averaged results from multiple learning models. In the case of RF, it first builds a forest of decision trees, in which each tree is independently created based on randomized subsets of input predictors generated from a bootstrap aggregating procedure (Heung et al 2014). All trees in the forest grow to maximum size without pruning and the average of the outputs from all trees is regarded as the final outcome (Cutler et al 2007). RF is capable of effectively reducing the variance in comparison with other tree-based models because of the application of the bootstrap aggregating procedure. RF can be used to build predictive models for classification purposes and can also estimate probability for each class.

RF can well process nonlinear and hierarchical relationships between the response and predictor variables and is not sensitive to the problem of multicollinearity among predictors (Breiman 2001, Li *et al* 2015). It can obtain useful information from multiple data sources and has been widely applied to address real-world problems in various fields including remote sensing (Belgiu and Drăguţ 2016), image

processing (Alexander *et al* 2014) and ecology (Fox *et al* 2017). However, RF is rarely used for rainfall forecasts in practice. Therefore, we tested the ability of RF in forecasting rainfall using large-scale climate indices. The output of the RF model was used to compare with that of the SP model.

2.5. Model development

We aimed to build a machine learning-based forecasting model with a same feature as the SP model, forecasting rainfall probabilities. Thus, we first built RF classification models in which the response variable was a binary variable that reflected whether rainfall exceeded the climatological median for an upcoming season. We focused on four natural seasons instead of any three-month period in this study. While for predictor variables, we adopted six large-scale climate indices (table 1) over six months $(6 \times 6 \text{ variables})$ as input variables for the classification models. We obtained the probability for each class instead of classification results from the RF classification models, as the probability values could be directly compared with the output from the SP model. Thus, the RF model for each season can be expressed as the following form:

$$P_{I,j} = \begin{cases} RF_{I,spring}\left(\text{IOD}_{Mar_{I} \sim Aug_{I}}, \text{SAM}_{Mar_{I} \sim Aug_{I}}, \text{NINO3.4}_{Mar_{I} \sim Aug_{I}}, \text{PDO}_{Mar_{I} \sim Aug_{I}}, \text{SOI}_{Mar_{I} \sim Aug_{I}}, \text{TPI}_{Mar_{I} \sim Aug_{I}}\right) \\ RF_{I,summer}\left(\text{IOD}_{Jun_{I} \sim Nov_{I}}, \text{SAM}_{Jun_{I} \sim Nov_{I}}, \text{NINO3.4}_{Jun_{I} \sim Nov_{I}}, \text{PDO}_{Jun_{I} \sim Nov_{I}}, \text{SOI}_{Jun_{I} \sim Nov_{I}}, \text{TPI}_{Jun_{I} \sim Nov_{I}}\right) \\ RF_{I,autumm}\left(\text{IOD}_{Sep_{I-1} \sim Feb_{I}}, \text{SAM}_{Sep_{I-1} \sim Feb_{I}}, \text{NINO3.4}_{Sep_{I-1} \sim Feb_{I}}, \text{PDO}_{Sep_{I-1} \sim Feb_{I}}, \text{SOI}_{Sep_{I-1} \sim Feb_{I}}, \text{TPI}_{Sep_{I-1} \sim Feb_{I}}\right) \\ RF_{I,winter}\left(\text{IOD}_{Dec_{I-1} \sim May_{I}}, \text{SAM}_{Dec_{I-1} \sim May_{I}}, \text{NINO3.4}_{Dec_{I-1} \sim May_{I}}, \text{PDO}_{Dec_{I-1} \sim May_{I}}, \text{SOI}_{Dec_{I-1} \sim May_{I}}, \text{TPI}_{Dec_{I-1} \sim May_{I}}\right) \end{aligned}$$

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where $P_{I,j}$ was forecasted probability of rainfall exceeding the climatological median, which was comparable with the output of the SP model.

The SP model followed a concept that all preceding available data are used in forecasting rainfall probability of the upcoming three months. That is, when forecasting rainfall probability of the season j in year I, all available rainfall conditions of season j with a same SOI phase from 1889 to year I-1, were used to calculate the probability. The RF model also followed this approach in our study. All available rainfall conditions of season j and precedent indices from 1889 to year I-1 were used to build the RF model and then generated the forecast for the season j in year I. For example, when forecasting the probability of rainfall exceeding the median for 2011 spring (Sep-Nov), we first built a classification model based on training data including spring rainfall and six large-scale climate indices over six months (Mar-Aug) (36 predictors in total) from 1889 to 2010. Whether or not spring rainfall exceeded the median was adopted as the target variable, and 36 climate variables were adopted as predictors. Then, this classification model was used to forecast whether 2011 spring rainfall exceeded the median. We obtained the probability of 2011 spring rainfall exceeded the median as the final output. Therefore, the output of the RF model can be compared with the SP model. We successively built models and generated forecasts for four seasons of recent eight years (I = 2011, 2002,..., 2018) for each grid. The 'caret' package sourced in the R software was adopted to build RF models. Default values were used for the parameters of the RF model, as RF was not sensitive to parameter settings and default parameters can usually provide satisfactory results (Duro et al 2012, Immitzer et al 2012).

2.6. Model performance evaluation

We aimed to forecast the probability of rainfall exceeding the climatological median. If the forecasted value is around 50%, we still do not have confidence to say whether the upcoming three months tend to be wetter or drier. In other words, forecasts of ~50% probability cannot provide any instructive information for decision makers to take actions. To compare the accuracy of the two models, we assumed forecasts with $P_{I,j} > 60\%$ as the case that forecasted rainfall was expected to exceed the climatological median. Alternatively, forecasts with $P_{I,j} < 40\%$ meant the case that forecasted rainfall was expected to be lower than the median. We defined forecasts with 40%-60% probabilities as indistinct forecasts, while forecasts with probabilities more than 60% or less than 40% were distinct forecasts. We compared the percentage values of distinct forecasts for each grid and each season from the RF model and the SP model respectively.

We also used a commonly used metrics, accuracy (AC), to compare the performance of the RF model

and the SP model.

$$AC = \frac{\text{true positive} + \text{true negative}}{\text{total number of forecasts}}$$
 (5)

where true positive means the number of records with observed rainfall exceeding the median and forecasted $P_{I,j} > 60\%$; true negative means the number of records with observed rainfall below the median and forecasted $P_{I,j} < 40\%$; total number of forecasts is 8 in our study. In general, forecasts become increasingly accurate as AC approach 1. We compared the AC values for each grid and each season between the RF model and the SP model.

3. Results

3.1. Percentage of distinct forecasts

Distributions of all forecasted rainfall probabilities $(n = 2780 \text{ grids} \times 8 \text{ years})$ for four seasons are presented in figure 3. Distributions for each climate zone separately are shown in figure S1 (available online at stacks.iop.org/ERL/15/084051/mmedia). Forecasted probabilities by the SP model were distributed mainly around 50%, particularly for autumn (figure 3(c)). Conversely for the RF model, forecasted probabilities were less centralized with more forecasts located on either side. Thus, the RF model had more distinct forecasts compared to the SP model. The results of percentages of distinct forecasts (figure 3) illustrated that the RF model increased the percentage value to 64.9% for spring, to 71.5% for summer, to 65.8% for autumn, and to 63.9% for winter, which are $1.4 \sim 3.2$ times as large as the SP model. Therefore, the RF model could provide more instructive forecasts than the SP model.

3.2. Forecasting accuracy

In general, the RF model performed better than the SP model for all the four seasons in terms of the AC values (figure 4). The RF achieved an AC of >0.3 in most grids for all seasons (figures 4(a1)–(d1)). The SP model only had acceptable performance for spring, but had poor performance for the other three seasons in most grids, which might be due to more indistinct forecasts for the three seasons (figure 3(c)). We also calculated forecasting accuracy for each class (Fig. S3 and S4) and the results also illustrated better performance of the RF model in most grids for all seasons, compared to the SP model.

3.3. Relative contributions of climate drivers to rainfall forecasts

We also obtained the list of importance values of input variables from the RF model to give a preliminary overview of relative contributions of climate drivers to rainfall forecasts. For each climate zone, relative contributions of climate drivers to rainfall forecasts were aggregated values based on the outputs of RF models at all grids located in that zone.

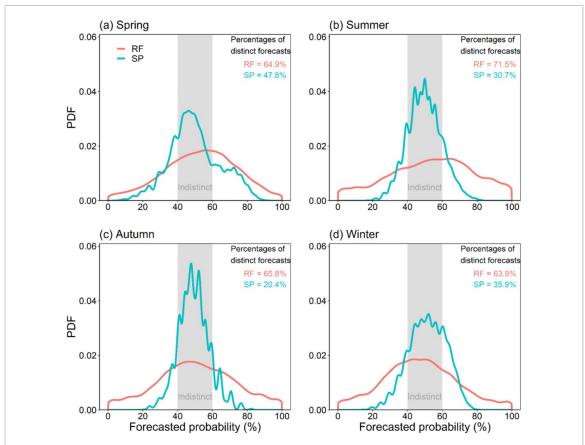


Figure 3. Distributions of all forecasted rainfall probabilities ($n = 2780 \text{ grids} \times 8 \text{ years}$) for each season based on probability density function (PDF). Shaded areas indicate indistinct forecasts. Percentages of distinct forecasts for the RF model and the SP model are given in each subplot.

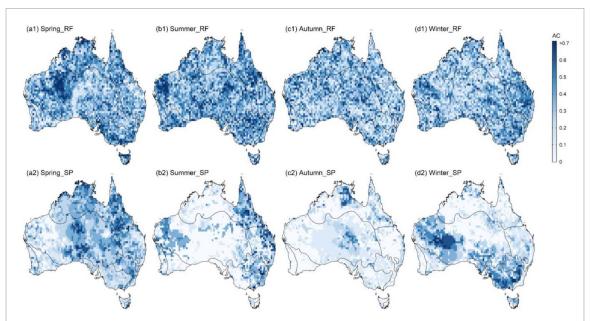


Figure 4. Accuracy values (AC) for validation period (2011–2018) for four seasons across 2780 grids $(0.5^{\circ} \times 0.5^{\circ})$ in Australia based on the RF model and the SP model.

As shown in figure 5, NINO3.4 and TPI, two drivers from the Pacific, had relatively large contributions in most seasons and eastern zones. On the other hand, the contributions from the Indian Ocean (IOD) and the Southern Ocean (SAM) were not neglectable,

especially during autumns and winters, ranging from 12% to 18%. Thus, preceding oceanic activities from three surrounding oceans can provide prognostic and useful information for seasonal rainfall forecast in Australia.

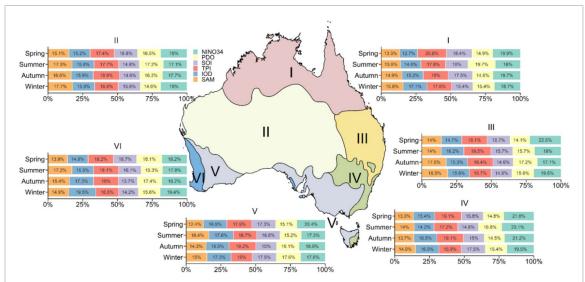


Figure 5. Relative contributions of input predictor variables to seasonal rainfall probabilities in each climate zone as determined from the RF model. The stacked bar plot for a given zone was made using aggregate contribution values from all grids located in that zone.

4. Discussion

4.1. Probabilistic rainfall forecasting

Seasonal rainfall forecasting is one of the available tools to help deal with high rainfall variability and is currently used by nearly half of agricultural producers in decision-making in Australia (Meinke et al 1996, Cobon and Toombs 2013). We developed a machine learning-based seasonal rainfall forecasting model, the RF model, based on large-scale climate drivers. The overall performance of the RF model was better compared to the SP model, for rainfall forecasting of four seasons across Australia in terms of both distinct forecasts and forecasting accuracy. This may be because our method considered the impacts of multiple climate drivers rather than the SOI alone. Australian rainfall is regulated by oceanic activities from three surrounding oceans, the Pacific, Indian and Southern Oceans, depending on regions and seasons (Min et al 2013). Thus, using only one driver from the Pacific may not provide sufficient information for seasonal rainfall forecasts. Moreover, the ability of disentangling nonlinear relationships between the response and predictor variables may also assist the RF model achieve satisfactory performance. For example, Hossain et al (2019) demonstrated that non-linear artificial neural network models outperformed multiple linear regression models in forecasting Western Australian spring rainfalls in regards to statistical errors and Pearson correlation. The proposed seasonal rainfall forecasting method is efficient based on readily available data. It can be easily extended to other regions to initiate seasonal rainfall outlooks to enhance the present capabilities of water resource management.

4.2. Relative contributions of climate drivers to seasonal rainfall

Our RF model relied on six large-scale climate indices and illustrated that each index can contribute to 10%-20% of seasonal rainfall forecasts in most climate zones and seasons (figure 5). This is consistent with previous studies which demonstrated that rainfall conditions throughout Australia were generally the result of the synchronization of multiple climate drivers (Cleverly et al 2016). Additionally, each climate driver usually accounted for less than 20% of rainfall variability (Risbey et al 2009, Gallant et al 2012). On the other hand, climate indices from the Pacific, NINO3.4, TPI, PDO and SOI, particularly the first two, show relatively large contributions to rainfall forecasts despite that there are some spatial or seasonal differences. The principal influence on Australian seasonal rainfall is ENSO from the Pacific Ocean and this was well established (Allan 1988, Nicholls et al 1997, Wang and Hendon 2007). Nevertheless, the impacts of the Indian Ocean (IOD) and the Southern Ocean (SAM) cannot be ignored in a forecasting model, especially for autumn or winter rainfall (figure 5).

4.3. Future work to improve statistical seasonal rainfall forecasting models

Physics-based dynamical models are normally considered as the mainstream approach by scientific community and are currently used by Australian Bureau of Meteorology to provide official seasonal climate forecasts. However, despite substantial technological advances and research efforts, dynamical models still have similar performance on seasonal

rainfall forecasts in comparison to simple statistical models (Abbot and Marohasy 2014, Cohen et al 2019). Moreover, Mekanik et al (2016) and Abbot and Marohasy (2014) both demonstrated that machine learning-based statistical models were comparable to the former dynamical model, the Predictive Ocean Atmosphere Model for Australia (POAMA) used by BOM. A review of 27 dynamical models under the Coupled Model Intercomparison Project Phase 5 demonstrated that different models can produce widely divergent rainfall forecasts in Australia (Irving et al 2012). Thus, owing to low forecasting skill and/or complexity of dynamical models, statistical models remain the most commonly used methods for seasonal rainfall forecasting in terms of agricultural planning (Meinke et al 2007, He et al 2014).

We advocate that statistical models should continue to be improved and we believe it will play a key role in seasonal rainfall forecasting. We summarize three aspects that statistical forecasting model might be further improved as follows:

- (a) Search for better indices. Large-scale climate indices can provide prognostic information for statistical models. Each index is likely to have its own affected zones and seasons. For example, SOI has been shown to mainly influence eastern Australia and have strong correlations with spring and winter rainfall but weak correlations with summer and autumn rainfall (Cobon and Toombs 2013). IOD was negatively correlated with rainfall from June to October in Western Australia, Victoria, South Australia, and southern New South Wales (Steptoe et al 2018). As only six climate indices were included in the proposed RF model, some impacts from certain oceanic activities may be missed in consideration. That might result in relatively poor performance in the RF model for autumn rainfall forecast (figure 4). Moreover, impacts of many oceanic activities on terrestrial rainfall remain undiscovered. It is also possible that there are some influential but undiscovered oceanic activities from surrounding oceans affecting Australian rainfall. Therefore, more efforts should be made to explore potential oceanic activities and their impacts on terrestrial rainfall to improve the performance of statistical models.
- (b) Forecast extremes rather than above or below median. Currently, the two official forecasting models, the ACCESS—S model and the SP model, both provide rainfall outlooks as the probability of getting above median rainfall for the seasons ahead. However, the probability of above median can provide limited information in guiding agricultural activities, as decision-makers focus more on extreme conditions (e.g. drought or flood) to develop

- targeted strategies (He *et al* 2014). One example is the 2018 drought in eastern Australia, during which rainfall shortage resulted in a 53% reduction in winter crop production (www.agriculture.gov.au/abares). Categorizing rainfall into more classes, *e.g.* low (0%–33%), median (34%–66%), and high (67%–100%), and forecasting a probability value for each class may be a feasible choice, which may provide more useful information for application sides.
- Focus on non-stationary predictor-predictand relationships. Statistical models usually assume stationary relationships between the response and predictor variables (Schepen et al 2012). However, the relationships between rainfall and large-scale climate drivers are normally non-stationary and changing with time. For example, the impacts of IOD on Australia's rainfall has enhanced in recent decades and the major driver of several main droughts in 20th century in Australia was attributed to increased positive IOD events rather than ENSO related phenomena (Cai et al 2012, Yuan and Yamagata 2015, Nguyen-Huy et al 2018). Meanwhile, global warming may also contribute to the alteration of the effects of different climate drivers (Cai et al 2015). Some deep learning algorithms (e.g. the long shortterm memory algorithm) that can dynamically explore predictor-predictand relationships could be introduced to develop statistical forecasting models to achieve better accuracy.

5. Conclusion

Our study developed a seasonal rainfall forecasting model for Australia using machine learning technique and multiple precedent large-scale climate indices. The officially used SOI phase model was adopted as the benchmark. Results indicated that the RF model could provide better forecasts in nearly all climate subregions and four seasons in terms of both the percentage of distinct forecasts and forecasting accuracy, compared to the SP model. However, the proposed model had relatively poor performance for autumn rainfall forecasts, which highlights more efforts to explore oceanic activities occurring in surrounding oceans.

Australia is a major food producer and exporter in the world. Reliable seasonal rainfall forecasting can effectively help stakeholders reduce rainfall shortage-induced yield losses, which is of great importance for both national food supply and global food security. We believe the seasonal rainfall forecasting model developed in our study can provide valuable information for both Australian farmers and policy makers. Moreover, the proposed model could also easily be implemented in other regions as input data are readily available.

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Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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