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1 **Machine learning modelling and analysis of biohydrogen**  
2 **production from wastewater by dark fermentation process**

3

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13

14 **Abstract**

15 The fermentation process for wastewater treatment and H<sub>2</sub> production simultaneously is gaining  
16 attention. In this study, machine learning (ML)-assisted procedures were used to analyze and  
17 model H<sub>2</sub> production from wastewater by this process. Different ML-assisted procedures were  
18 assessed based on mean square error (MSE) and R<sup>2</sup> to select the most robust models for  
19 modelling the fermentation process. The research showed that gradient boosting machine  
20 (GBM), support vector machine (SVM), random forest (RF) and AdaBoost were the most  
21 appropriate, which were optimized by grid search and deeply analyzed by permutation variable  
22 importance (PVI) to identify the relative importance of the variables. All four models  
23 demonstrated promising performances in predicting H<sub>2</sub> productions with determination  
24 coefficient values of 0.893, 0.885, 0.902 and 0.889. The MSE of these models were 0.015,  
25 0.015, 0.016 and 0.015, respectively. Moreover, RF-PVI demonstrated better performance in  
26 variables' relative importance showing that acetate (A), butyrate (B), A/B, ethanol, Fe and Ni  
27 have a higher importance in decreasing order.

28

29 *Keywords:* Dark fermentation; Bio-hydrogen; Machine learning; Process modelling,

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## 36 **1. Introduction**

37 The explosion of the world population and urbanization and industrialization have caused  
38 serious challenges in energy deficiency, freshwater shortage, and environmental pollution  
39 (Hosseinzadeh et al., 2021). Fossil fuels have long been the dominating source of energy  
40 generation, which has led to growing emission of various pollutants (e.g. NO<sub>x</sub>, CO, PM) and  
41 greenhouse gases (e.g. CO<sub>2</sub>) into the atmosphere resulting in deteriorating air quality and global  
42 warming (Hosseinzadeh et al., 2020b; Huang et al., 2019). Based on the reports (Alassi et al.,  
43 2019; Mai-Moulin et al., 2021), renewable energy currently provides less than 25% of the total  
44 global energy requirement, which will be increased to more than half in 2040. Currently,  
45 bioenergy represents the highest portion of renewable energy (Gómez-Marín and Bridgwater,  
46 2021). In addition, wastewater and solid wastes are regarded as one of the main sources of  
47 health and environmental challenges (Alidadi et al., 2016; Zorpas, 2020). In order to tackle the  
48 current challenges, different technologies can be adopted, e.g. generating energy from  
49 renewable sources (Hosseinzadeh et al., 2021), sorting and recycling of solid wastes (Alidadi  
50 et al., 2016), and advanced oxidation processes for wastewater treatment (Bao et al., 2020a;  
51 Bao et al., 2020b; Kamranifar et al., 2021). Furthermore, developing technologies that can  
52 simultaneously address the mentioned problems is exciting and rewarding, supporting  
53 individual nations to meet the UN Sustainable Development Goals (Hosseinzadeh et al., 2021).

54 Dark fermentation is when the microorganisms syntrophically treat wastewater and  
55 produce biohydrogen simultaneously (Sekoai et al., 2021). Therefore, the dark fermentation  
56 process can address all three challenges mentioned, i.e. energy deficiency, freshwater shortage  
57 and environmental pollution. As a sustainable process, it has received extensive attention owing  
58 to several merits, e.g. considerable capability in the consumption of various substrates, no need  
59 for light, cheap and simple reactor configurations, and the ability to produce biohydrogen under  
60 ambient temperature and pressure (Baeyens et al., 2020; Pradhan et al., 2016; Sekoai et al.,  
61 2021). However, the performance of the process is affected by different operating conditions,

62 e.g. pH, temperature, substrate, process type, hydraulic retention time (HRT) and the  
63 metabolites produced during the process (Wong et al., 2014). Solution pH can influence the  
64 performance of the process through different ways, e.g. in the selection of a suitable microbial  
65 community (Toquero and Bolado, 2014; Zhao et al., 2015), maintaining surface charge on the  
66 microbial membrane simplifying the nutrient absorption by the microorganism and providing  
67 an appropriate environment for the enzymes' activity catalyzing H<sub>2</sub> production (Liu et al., 2012;  
68 Wong et al., 2014). Temperature can affect the physiological activities of the microorganisms  
69 in H<sub>2</sub> production, and the higher the temperature, the lower the solubility of H<sub>2</sub>, and  
70 consequently, the lower the consumption of the produced H<sub>2</sub> by H<sub>2</sub> consumer microorganisms  
71 in the process (Wong et al., 2014). The type of substrate plays a crucial role in the H<sub>2</sub> production  
72 by this process. Each mole of glucose and lactose can theoretically produce 12 moles and 23  
73 moles H<sub>2</sub>, respectively; however, the process is less efficient in practice (Wong et al., 2014).  
74 Most of the thermal enthalpies are consumed to produce volatile fatty acids (VFAs), the most  
75 important metabolites in this process. Correspondingly, the common maximum H<sub>2</sub> production  
76 efficiency is 4 moles and 2 moles H<sub>2</sub> per mole of glucose using the acetate and butyrate  
77 pathways. The acetate to butyrate ratio determines the type of the dominant H<sub>2</sub> production  
78 pathway. If the ratio is more than one, the pathway will be via acetate; otherwise, the pathway  
79 will be via butyrate. Moreover, providing all co-factors by the substrate required for H<sub>2</sub>  
80 producing bacteria is another aspect of substrate effectiveness (Wong et al., 2014). For example,  
81 the hydrogenase enzymes catalyzing H<sub>2</sub> are categorized into [Fe-Fe] and [Ni-Fe], based on the  
82 metals at their active sites. Therefore, Fe<sup>2+</sup> and Ni<sup>2+</sup> are two of the key ingredients of the  
83 enzymes used for H<sub>2</sub> production, which should be provided by the substrates (Karadag and  
84 Puhakka, 2010). In addition, the loss of the adapted inoculum and avoiding the trace elements  
85 deficiency over the process are the other effective factors affected by the process mode, the  
86 hydraulic retention time (HRT) and the inoculum proportion (Cao et al., 2019; Li et al., 2020).

87 Therefore, optimizing the dark fermentation process is key to its success, which the  
88 experimental and numerical procedures can accomplish. The numerical modelling of the  
89 process is highly complementary and usually faster and more economical than the experimental  
90 approach. In comparison, there has been a wide range of experimental studies conducted to  
91 optimize the fermentation process. Yet, there is a lack of studies regarding the application of  
92 the modelling procedures in the fermentation process. In addition, to the best of our knowledge,  
93 there is no study yet to consider all of those parameters together to study the fermentation  
94 process, which is very important to pre-design the process before the experimental study. More  
95 importantly, the relative importance of the effective factors should be determined to support the  
96 experimental design and optimization of operating conditions, which will reduce the number of  
97 experiments for achieving the intended outcome.

98 Machine learning (ML)-assisted approaches are vigorous techniques to learn and model the  
99 complicated correlations among the dependent and independent variables in various processes  
100 or phenomena. These approaches do not need to understand all complicated background  
101 mechanisms of the processes to master the potential correlations. Various types of such  
102 approaches can model different types of processes; however, the performances of these  
103 approaches can be different in various applications. So far, there is a major knowledge gap  
104 regarding the application of these approaches in H<sub>2</sub> production from wastewater by the  
105 fermentation process. More importantly, there is no study to systematically investigate the  
106 application of various ML-assisted approaches in the fermentation process to select the most  
107 vigorous ones for modelling and analysis purposes.

108 Therefore, this study aims first to apply different ML-assisted procedures, i.e. gradient  
109 boosting machine (GBM), support vector machine (SVM), random forest (RF), AdaBoost,  
110 multilayer perceptron (MLP), linear regression (LR) and Ridge in H<sub>2</sub> production from  
111 wastewater through the fermentation process. Key parameters including Fe, Ni, biomass  
112 proportion, acetate (A), butyrate (B), A/B, ethanol, pH, HRT and COD are considered inputs

113 to select the more robust procedures, which are then used to carefully model and analyze the  
114 process. Finally, the performances of the chosen models will be compared using the outcomes,  
115 and the relative importance of the effective factors will be studied by permutation variable  
116 importance procedure.

117

## 118 **2. Materials and Methods**

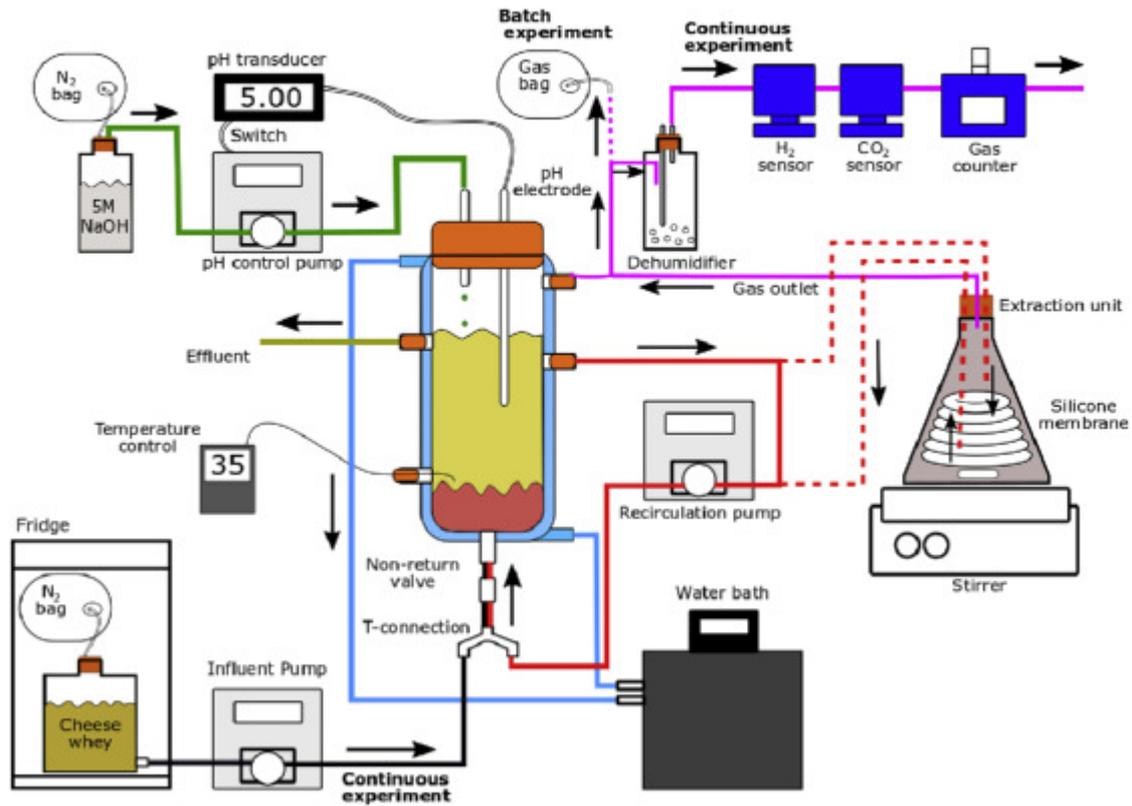
### 119 *2.1. Data collection and processing*

120 To model and analyze H<sub>2</sub> production from wastewater by fermentation process, a detailed  
121 literature review was accomplished by considering a wide spectrum of factors, e.g. reporting  
122 the acetic and butyric acids proportions over the process, the presence of Fe and Ni as cofactors  
123 and enzymatic metals, comparable units presenting H<sub>2</sub> production, the application of same  
124 inoculum in the process and the other operating condition in wastewater treatment by dark  
125 fermentation process. A schematic setup for the production of H<sub>2</sub> from wastewater by dark  
126 fermentation process is presented in Fig. 1. Based on the literature search, 211 data points were  
127 selected and extracted from the published papers (Dessi et al., 2020; Karadag and Puhakka,  
128 2010). The extraction of the experimental data was carried out by Plot Digitizer. In addition,  
129 experimental data were normalized to a range of 0-1, using Eq. 1, to avoid overfitting and reduce  
130 the computation complexity (You and Zhang, 2017):

$$131 \text{ Normalized value } (X) = \frac{x_i - \text{minimum value of data}}{\text{maximum value of data} - \text{minimum value of data}} \times (1 - 0) + 0.1 \quad (\text{Eq. 1})$$

132 where  $x_i$  is any data.

133



134

135 Fig. 1. Schematic setup for H<sub>2</sub> production from wastewater by dark fermentation (Dessi et al.,  
 136 2020).

137

138 *2.2. Pearson correlation coefficient*

139 In order to compute the linear correlation or relation validity between two parameters affecting  
 140 the H<sub>2</sub> production in the fermentation process, the Pearson correlation coefficient (*r*) was used.  
 141 Pearson correlation coefficient was calculated by Eq. 2 (Hasheminasab et al., 2020).

142 
$$r_{xy} = \frac{\sum_{i=1}^n (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^n (X_i - \bar{X})^2} \sqrt{\sum_{i=1}^n (Y_i - \bar{Y})^2}} \quad (\text{Eq. 2})$$

143 where *Y* and *X* are the peer parameters,  $\bar{Y}$  and  $\bar{X}$  are the means of the peer parameters studied  
 144 for their linear correlations, and *n* is the sample size.

145

146 *2.3. Selection of ML-assisted procedures and modelling generality*

147 Regarding the Occam's Razor's principle stating that "a model should be as simple as possible,  
 148 and as complex as needed" (Baeten et al., 2018), along with the different performances of the

149 various ML-assisted procedures in different applications (Hosseinzadeh et al., 2020a;  
 150 Hosseinzadeh et al., 2020c; Zaghoul et al., 2021), the selection of the most appropriate  
 151 procedures will be crucial. Therefore, seven ML-assisted procedures, including gradient  
 152 boosting machine (GBM), support vector machine (SVM), random forest (RF), AdaBoost,  
 153 multilayer perceptron (MLP), linear regression (LR) and Ridge from *Scikit-learn* library were  
 154 pre-screened by considering the default hyperparameters which may be obtained from to find  
 155 the more proper approaches. The mean square error (MSE) and determination coefficient ( $R^2$ )  
 156 were used to evaluate the outcomes of pre-screened approaches. To pre-screen and conduct  
 157 deeply modelling, all datasets were randomly partitioned into training datasets (80%) and test  
 158 datasets (20%). To avoid wasting the data and overfitting, cross-validation with 5-folds was  
 159 used to check the validation of the developed models. The test dataset was applied to monitor  
 160 the generalization performance of the developed model (Serfidan et al., 2020). To tune the  
 161 hyperparameters, a grid search was defined for each of the selected procedures. Finally, the  
 162 tuned hyperparameters were used in developing and testing the models. MSE (Eq. 3) and  $R^2$   
 163 (Eq. 4) were used to assess and choose each procedure's most proper developed models. It is  
 164 worth highlighting that the average of the statistical indices in all folds was considered to  
 165 evaluate the performances of the validation phase over the modelling process.

$$166 \quad MSE = \frac{1}{N} \sum_{i=1}^N (y_{prd,i} - y_{Act,i})^2 \quad (\text{Eq. 3})$$

$$167 \quad R^2 = 1 - \frac{\sum_{i=1}^N (y_{prd,i} - y_{Act,i})^2}{\sum_{i=1}^N (y_{prd,i} - y_m)^2} \quad (\text{Eq. 4})$$

168 where  $y_{prd,i}$  and  $y_{Act,i}$  are the predicted and real proportions of  $H_2$  production, consecutively;  $y_m$  is the  
 169 mean of real  $H_2$  production, and  $N$  is the total number of data points.

170

#### 171 2.4. Support vector machine (SVM)

172 SVM was proposed by Cortes and Vapnik as a supervised and well known machine learning  
 173 approach designed according to the minimization of the structural risk and the theory of  
 174 statistical learning (Cortes and Vapnik, 1995). This approach has been efficaciously applied for

175 different applications, e.g. regression problems, text detection, troubleshooting and image  
176 retrieval. There are three different layers in the SVM structure network, i.e. input, hidden and  
177 output layers (Zendehboudi et al., 2018). The independent and dependent variables are located  
178 in the input and output layers, respectively. In the hidden layer, kernels are defined as a  
179 collection of the mathematical functions getting the inputs and converting them into the  
180 required forms. SVM algorithms make benefit from various types of kernels. Finding a  
181 hyperplane through nonlinear mapping to properly train the model/classify the data is the key  
182 gist of this procedure. The nonlinear input area is transferred into a high dimensional feature  
183 space. According to the reports, SVM has demonstrated better performance than the  
184 conventional statistical models in all regression analysis, pattern recognition and classification  
185 fields. When SVM is used for regression and function approximation, it is called support vector  
186 regression (SVR). General kernel functions, e.g. linear, radial basis function (rbf), and  
187 polynomial (poly) are commonly applied in various SVMs (Zendehboudi et al., 2018).

188 The independent variables were regarded as the inputs to develop an SVR model for H2  
189 production from wastewater by the dark fermentation process. The generality of the modelling  
190 was based on the condition in section 2.2. However, to tune the hyperparameters and selection  
191 of the best kernel, a grid search was defined to tune and optimize all the hyperparameters, i.e.  
192 C (1, 100 and 50), epsilon (0.01, 0.1, 0.15, 0.3, 0.8, 1 and 2), and degree (2, 3, 4 and 5), to find  
193 the best condition of the hyperparameters for each of the kernels. Then, the tuned  
194 hyperparameters along with the related kernel were used to develop the models. In the end, the  
195 most appropriate one was selected.

196

### 197 *2.5. Gradient boosting machine (GBM)*

198 GBM is an ensemble and powerful supervised machine learning approach proposed first by  
199 Friedman and can model and analyze data for regression and classification problems (Cai et al.,  
200 2020; Friedman, 2001). In GBMs, which are from the decision tree category, there are three

201 elements, i.e. weak and strong learner, loss function and additive model. The weak or base  
202 learner is introduced as the initial decision trees, having at least rarely better prediction strength  
203 than the random guess; the strong one is a learner whose performance in prediction is  
204 considerable and created with a combination of several weak learners. GBMs use training  
205 decision trees in a gradual, additive and serial method to model and analyze the processes by  
206 boosting the weak learners into the strong ones. In order to reduce the total error or loss function,  
207 new weak or base learners are added and trained to decrease the error of the model. Meanwhile,  
208 the present weak learners in the model will not be altered (Grillone et al., 2020; Nguyen et al.,  
209 2021). To develop a GBM for this process, a grid search was employed to find the best condition  
210 of the hyper-parameters in a grid. Although finding the hyperparameters' proper proportions in  
211 a grid sometimes needs unacceptable time, it can assure to find the optimal conditions of the  
212 hyper-parameters (Zhou et al., 2021). Some of the main hyper-parameters considered in this  
213 procedure were the number of gradient boosted trees (*n\_estimator*), a minimum number of  
214 samples per leaf (*min\_samples\_leaf*) and required to split an internal node (*min\_samples\_split*),  
215 maximum depth of trees of GBM (*max\_depth*) and the number of features for best split  
216 (*max\_features*). These parameters were tuned in the ranges (100-1000), (2, 3, 4, 5, 6 and 7), (2,  
217 3, 4, 5, 6 and 7), (1, 2, 3, 4 and 5) and (2, 3, 4, 5, 6 and 7) consecutively.

218

## 219 2.6. Random Forest (RF)

220 RF is a supervised machine learning approach that models both classification and regression  
221 phenomena (Li et al., 2018), which Breiman first proposed to work according to the regression  
222 trees (Ma and Cheng, 2016). RF produces a wide range of decision trees as a function of  
223 regression so that the ultimate proportion of the response variable is the mean of all decision  
224 trees (Li et al., 2018). As a single regression tree is insufficient to develop a proper model in  
225 most items, the RF algorithm was suggested to resolve the problem (Ma and Cheng, 2016). In  
226 developing the RF model, the generality of the modelling was conducted based on section 2.2

227 and in a grid search. The hyperparameters, i.e. number of gradients boosted trees (*n\_estimator*),  
228 a minimum number of samples per leaf (*min\_samples\_leaf*) and required to split an internal  
229 node (*min\_samples\_split*) and the number of features for best split (*max\_features*) were tuned  
230 in the ranges (100-1000), (1, 2, 3, 4, 5, 6, 7 and 8), (0.5, 1 2, 3, 4, 5 and 6) and (2, 3, 4, 5, 6, 7  
231 and 8) consecutively.

232

### 233 2.7. AdaBoost

234 The AdaBoost procedure can be applied for classification and regression problems (Min and  
235 Luo, 2016). This procedure is classified as an ensemble machine learning based on finding a  
236 promising predictor from a number of weak predictors (Min and Luo, 2016). The generality of  
237 the AdaBoost model development for this process was according to the mentioned condition in  
238 section 2.2. However, to tune the hyperparameters and selection of the best loss function, a grid  
239 search was defined to tune and optimize all the hyperparameters, i.e. several gradients boosted  
240 trees (*n\_estimator*) and learning rate in the ranges (20-500) and (0.1, 0.5, 1, 2, 3, 4 and 5)  
241 respectively. In addition, like all three other models (SVR, GBM and RF), the learning curve  
242 were prepared to show the goodness of fit of the models.

243

### 244 2.7. Variable importance evaluation

245 Permutation variable importance (PVI) proposed by Breiman (2001) is a procedure to inspect  
246 any fitted model in the tabular data. This procedure considers the developed model's errors in  
247 predicting the output with a random permutation of the considered input. So that the more the  
248 errors, the more the importance of the feature (Mohammadifar et al., 2021). Regarding the  
249 errors, MSE was used to measure the relative importance of the features. There are various  
250 merits for PVI procedure, e.g. fast and easy to calculate, a general method, considering both  
251 individual and interactive effects of each variable (Altmann et al., 2010; Antoniadis et al., 2021;  
252 Wei et al., 2015). To identify the relative importance of the input variables in H<sub>2</sub> production

253 from wastewater through dark fermentation process, PVI procedure was used for all the  
254 developed GBM, SVR, RF and AdaBoost models.

255

### 256 *2.8. Comparison of model performance*

257 Four statistical indices, determination coefficient ( $R^2$ ), MSE and MAE (Eq. 5) were used to  
258 compare the performances and strengths of the developed SVR, GBM, RF and AdaBoost  
259 models to predict the H<sub>2</sub> production from wastewater by the fermentation process. It is worth  
260 mentioning that the test datasets were used to calculate the mentioned statistical parameters.

$$261 \quad MAE = 1 - \frac{\sum_{i=1}^n |y_i - x_i|}{n} \quad (\text{Eq. 5})$$

262 where  $y_i$ ,  $x_i$  and  $n$  are predicted value, actual value and total number of data points,  
263 respectively.

264

## 265 **3. Results and discussion**

### 266 *3.1. Selection of ML-assisted procedures*

267 The performances of various ML-assisted procedures in modelling H<sub>2</sub> production from  
268 wastewater using dark fermentation were assessed, with their results presented in Table 1.  
269 Based on the statistical indices' values indicating the models' prediction strengths (Table 1),  
270 GBM, SVR, RF and AdaBoost were selected as the most efficient modelling procedures.  
271 Furthermore, various studies demonstrate promising performances of GBM, SVR, RF and  
272 AdaBoost in different applications (Almuhtaram et al., 2021; Thompson and Dickenson, 2021;  
273 Xia et al., 2020; Xing et al., 2019). Therefore, these four procedures were used in this study to  
274 model the H<sub>2</sub> production by fermentation process deeply.

275

276

277

278 **Table 1.** Performances of different ML-assisted procedures in modelling H<sub>2</sub> production during  
 279 dark fermentation process

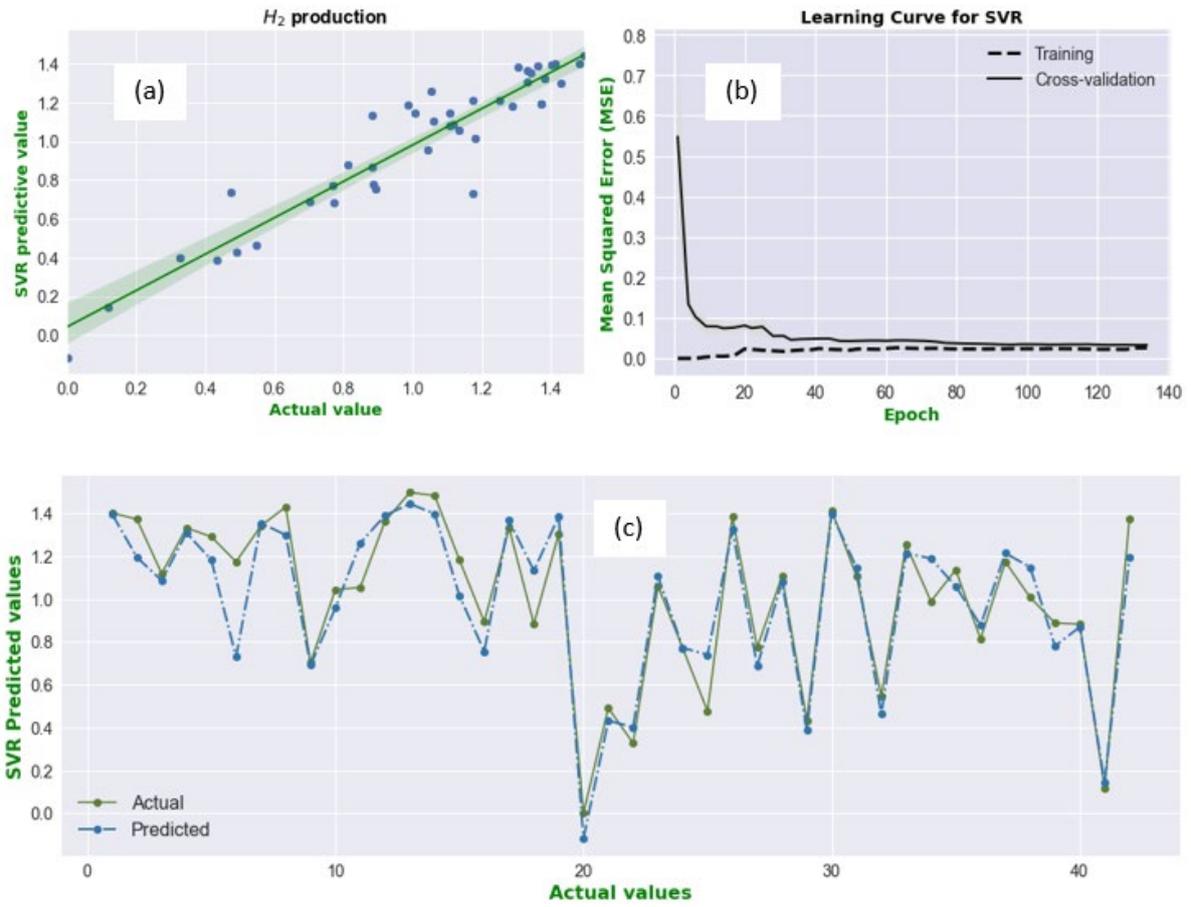
	GBM	RF	AdaBoost	SVR	MLP	LR	Ridge
Total-Train $R^2$	0.985	0.976	0.910	0.853	0.737	0.766	0.750
Total-Test $R^2$	0.802	0.805	0.805	0.734	0.685	0.693	0.670
Train MSE	0.002	0.004	0.014	0.023	0.038	0.037	0.040
Test MSE	0.023	0.023	0.023	0.032	0.038	0.037	0.039

280

### 281 3.2. SVR

#### 282 3.2.1. Kernel selection and tuning the hyperparameters

283 To select the most appropriate kernel, the different conditions of the hyperparameters were  
 284 tuned by grid search with each mentioned kernels. All values of the tuned hyperparameters and  
 285 their MSE and R<sup>2</sup> values in different modelling phases are listed in Table 2. As can be observed,  
 286 rbf was shown as the best kernel with C, degree and epsilon of 11, 2 and 0.01 consecutively.  
 287 The MSE and R<sup>2</sup> of the training and validation phases were 0.021 and 0.864, and  
 288 0.024 and 0.845 correspondingly. 20% of the unseen data points regarded as the test dataset  
 289 were used to test the performance of the developed model in H<sub>2</sub> production by fermentation  
 290 process as well. As observed in Table 2 and Fig. 2, the prediction strength of this model was  
 291 88.5%, with an MSE of 0.016. Moreover, the prediction strength of the SVR model in the test  
 292 phase showed the considerable performances of this model in this field. In addition, Chen et al.  
 293 (2015) used SVM to model the production of iturin A through the fermentation process. Using  
 294 asparagine concentration, glutamic acid and proline as inputs, they introduced SVM as a proper  
 295 model with a relatively low root MSE of 466.13, which agrees with the present study.



296

297

Fig. 2. The presentation of the SVR model. a) correlation coefficient of the model in test phase, b) learning curve of the developed model, and c) prediction strength of the model in

298

299

test phase.

**Table 2.** SVR model outcomes using various kernels with tuned hyperparameters

	Tuned hyper-parameters by grid search			Determination coefficient ( $R^2$ )				MSE			
	C	degree	epsilon	Train	validation	Total-Train	Test	Train	validation	Total-Train	Test
Linear	91	2	0.15	0.747	0.713	0.746	0.745	0.038	0.043	0.039	0.035
<b>rbf</b>	<b>11</b>	<b>2</b>	<b>0.01</b>	<b>0.864</b>	<b>0.845</b>	<b>0.863</b>	<b>0.885</b>	<b>0.021</b>	<b>0.024</b>	<b>0.021</b>	<b>0.016</b>
poly	21	2	0.01	0.856	0.814	0.855	0.874	0.021	0.029	0.022	0.017

### 3.2.2. SVR learning curve

Underfitting and overfitting are two main problems, which can be observed in models developed by machine learning procedures. In underfitting, the model cannot learn the process, while overfitting is more complicated, according to which the generalizability of the model will not be acceptable; that is, the developed model only memorizes the train dataset and cannot predict the unseen dataset (Bejani and Ghatee, 2021). Since demonstrating the fact that there is no underfitting and overfitting in the developed models is regarded as a very important part of the modelling process, the learning curve, which is deemed as an effective tool to show underfitting/overfitting/good fitting condition of the models was provided for the developed model. The learning curve is an efficient tool showing the performance of the model in training and validation phases over different epochs (Braga et al., 2019). The learning curve of the SVR model in train and validation phases are depicted in Fig. 2. Based on which MSEs of the validation decreased approximately to epoch 70, followed a stable and consistent condition with a small gap with train minimum MSEs pointing out that there is no overfitting and underfitting.

### 3.3. GBM

To develop the GBM, the considered hyperparameters, i.e. number of gradient boosted trees, maximum depth of trees of GBM, number of features for best split, a minimum number of samples per leaf, minimum number of samples per split were tuned in a grid search, and the obtained best condition of these parameters were 100, 5, 6, 3 and 6 respectively. With respect to this condition, the training and cross-validation were conducted, and the  $R^2$  values (0.996 and 0.813) and MSE values (0.0005 and 0.027) were obtained for these two phases correspondingly. The obtained  $R^2$  for the total train (train and validation) along with the test phases were 0.995 and 0.893, and MSEs of 0.0008 and 0.015, respectively, showing that the model has considerable prediction strength (89.3%) in  $H_2$  prediction from wastewater by the fermentation process. Fig. 3 depicts the test dataset's fitting condition in the model's test phase,

demonstrating promising prediction strength of the model. In addition, Zhuang et al. (2021) applied GBM to model a membrane bioreactor performance in COD, NH<sub>4</sub>-N and TN removal. Their GBM model could show a considerable performance with  $R^2$  of 0.847, 0.792 and 0.851 correspondingly. Therefore, these findings show the considerable potential of GBM in different applications.

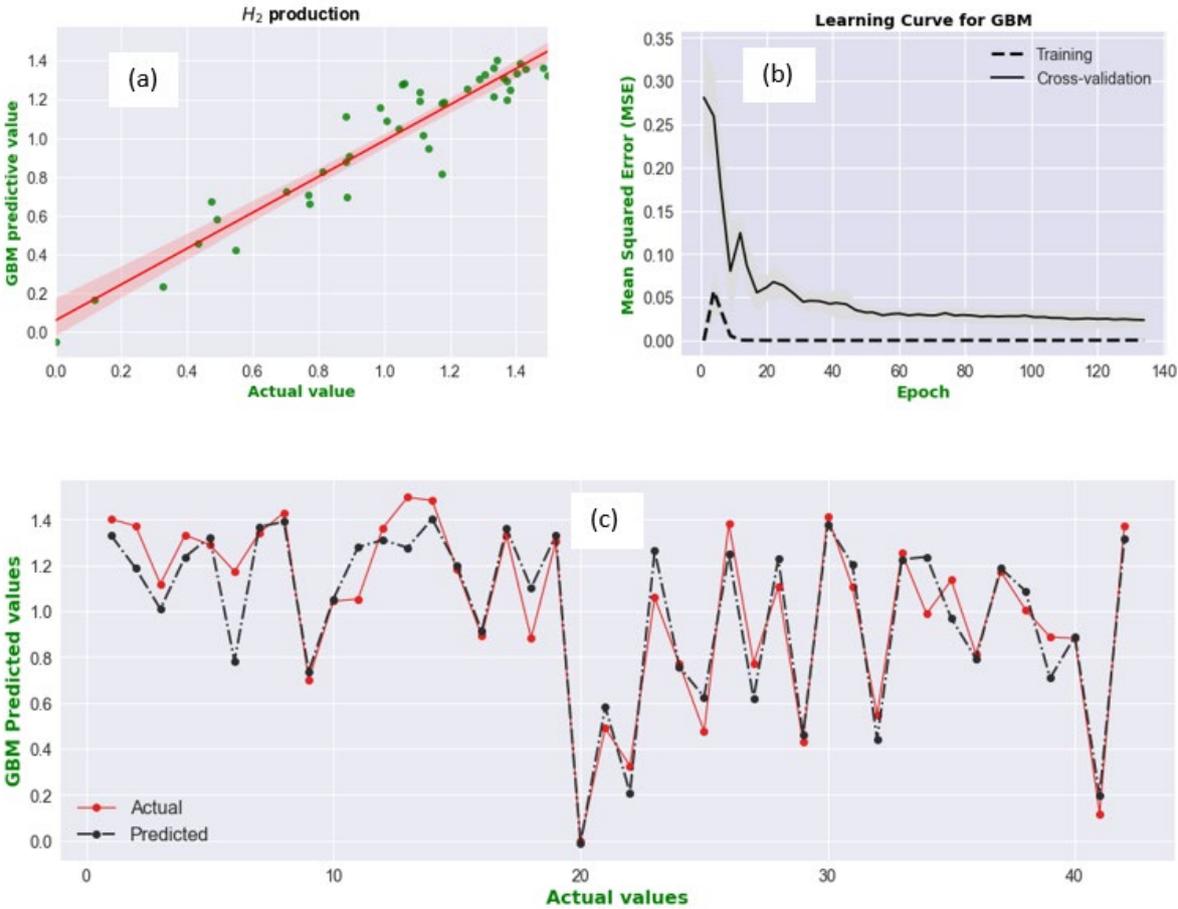


Fig. 3. The presentation of the GBM model; a) correlation coefficient of the model in test phase; b) learning curve of the developed model, and c) prediction strength of the model in the test phase.

In addition, in order to check the good fitting condition of the model and showing there is no overfitting in the model, as observed in Fig. 3, the MSEs of the training and validation phases experienced a decreasing trend with the same pattern with a small gap between themselves

pointing out that there is no overfitting. Approximately from epoch 60 there is a constant and stable condition in the MSEs of these phases.

### 3.4. RF

The hyperparameters were tuned in a grid search to construct an RF model. Following optimization, the appropriate conditions were determined to be 7, 1000, 1 and 2 for the number of features for best split, several gradients boosted trees, a minimum number of samples per leaf, and a minimum number of samples per split, respectively. Regarding the conditions attained, the  $R^2$  and MSE for the training phase (0.973, 0.004) and validation phase (0.823, 0.025) were obtained in the same order. The attained  $R^2$  for the total train (train and validation) coupled with the test phases were 0.975 and 0.902, with MSE of 0.004 and 0.016, correspondingly demonstrating that the model has considerable prediction strength (86.3%) in  $H_2$  prediction from wastewater by the fermentation process. Fig. 4 depicts the fitting condition of the test dataset in the test phase of the model. All of the provided information presents an acceptable model for this process.

In addition, as observed in Fig. 4, the MSE of the various epochs during training and validation phases approximately experienced a decreasing trend and showed that there is no overfitting on the developed RF model. It can be seen that these MSEs follow the same pattern with a minimum gap between themselves for training and validation phases from almost epoch 60, pointing out that the prediction strengths and errors of the condition in these two phases experience stability and consistency.

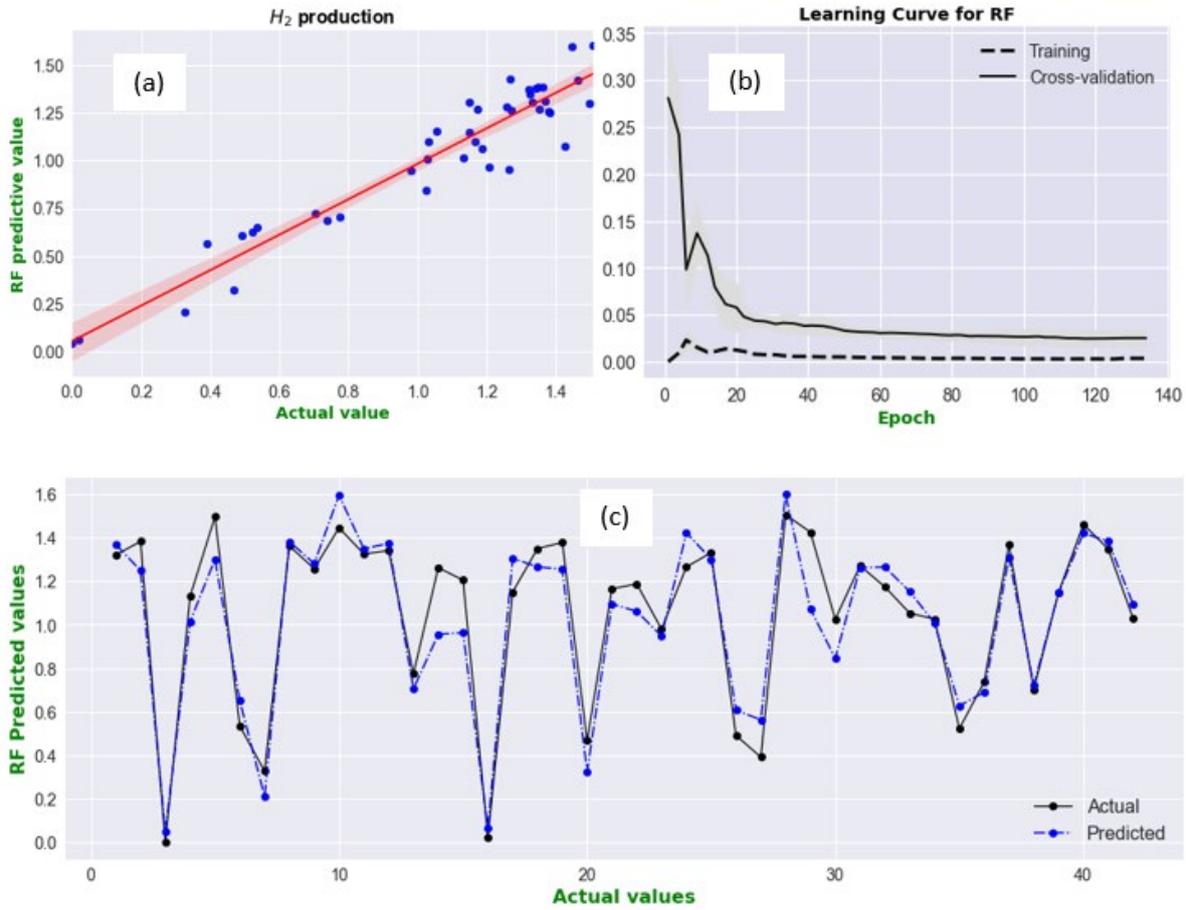


Fig. 4. The presentation of the RF model; a) correlation coefficient of the model in test phase; b) learning curve of the developed model, and c) prediction strength of the model in test phase.

### 3.5. AdaBoost

#### 3.5.1. Loss function selection and tuning the hyperparameters

To select the most appropriate loss function, the mentioned different conditions of the hyperparameters were tuned by grid search with each mentioned loss function. All values of the tuned hyperparameters and their MSE and  $R^2$  values in different modelling phases have been listed in Table 3. As can be seen, linear was the best loss function with  $n\_estimator$  and learning rate of 200 and 0.1, respectively. The MSE and  $R^2$  of the training and validation phases were (0.014 and 0.027) and (0.901 and 0.801) correspondingly.

**Table 3.** AdaBoost model outcomes using various loss functions with tuned hyperparameters

	Grid search		$(R^2)$	$(R^2)$	$(R^2)$	$(R^2)$	MSE	MSE	MSE	MSE
	n-estimator	learning rate	Train	validation	Total-Train	Test	Train	validation	Total-Train	Test
<b>Linear</b>	<b>200</b>	<b>0.1</b>	<b>0.901</b>	<b>0.801</b>	<b>0.889</b>	<b>0.888</b>	<b>0.014</b>	<b>0.027</b>	<b>0.015</b>	<b>0.023</b>
Square	80	1.0	0.911	0.816	0.909	0.844	0.012	0.025	0.013	0.029
Exponential	260	0.1	0.914	0.813	0.906	0.847	0.013	0.027	0.014	0.024

Like the previous models, 20% of the unseen data points regarded as the test dataset were used to test the performance of the developed model in H<sub>2</sub> production by the fermentation process. As observed in Table 3 and Fig. 5, the considerable prediction strength of this model in the test phase was obtained 87.4% with an MSE of 0.023. In addition, Thompson and Dickenson (2021) applied AdaBoost to detect *de facto* reuse in water. In a way that TOC, turbidity, temperature, ORP, conductivity, pH, UVA<sub>254</sub> and tryptophan-like fluorescence were used as inputs to model the quality of a surface water resource before intake for drinking purpose to produce proper alerts for the operators to perform required actions to intake water with better quality. The model developed could successfully work with an accuracy of more than 99%, demonstrating the high potential of AdaBoost in other different applications.

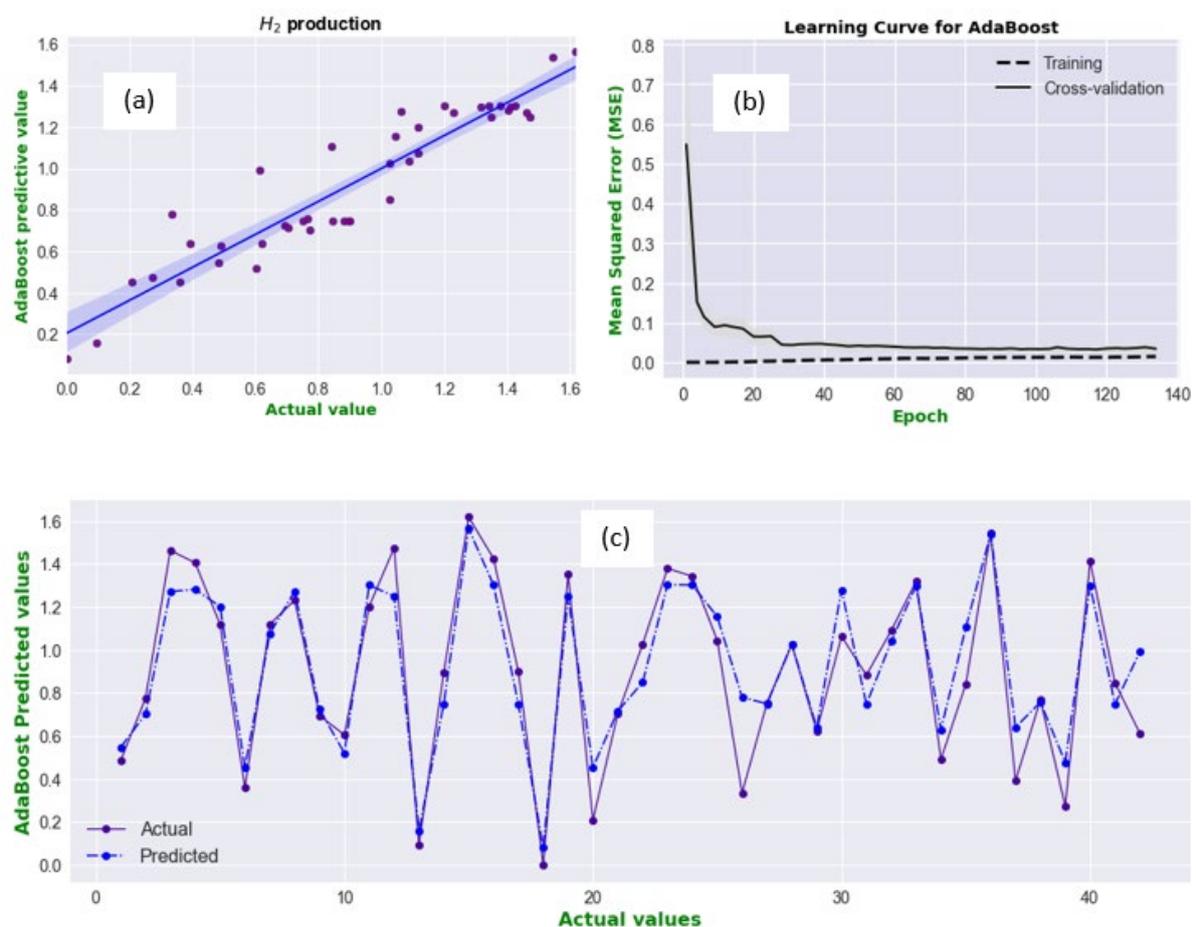


Fig. 5. The presentation of the AdaBoost model; a) correlation coefficient of the model in test phase; b) learning curve of the developed model, and c) prediction strength of the model in test phase.

Furthermore, the learning curve of the developed AdaBoost model (Fig. 5) points out the training and validation learning condition of the developed model in different epochs, representing no overfitting in the developed model.

### *3.6. Relative importance of the variables*

The obtained relative importance of the variables by PVI procedure in the developed GBM, SVR, RF and AdaBoost is demonstrated in Fig 6. As observed, different importance values were obtained for the inputs by PVI of each of these four models. Regarding the results, ethanol shows more importance in H<sub>2</sub> production from wastewater by the dark fermentation process, which can be completely justified. In strict anaerobic processes, solventogenesis and acidogenesis are two main pathways producing solvent, e.g. ethanol, and acid, e.g. acetate and butyrate, respectively. Since ethanol as a solvent can undesirably affect some of the H<sub>2</sub> producing bacteria, and solventogenesis is not a friendly pathway for H<sub>2</sub> production, the considerable importance of this variable can completely be reasonable (Wong et al., 2014). In addition, the demonstrated higher importance of A/B ratio and acetate and butyrate by the SVR-PVI and RF-PVI can be justified because A/B ascertains whether the fermentation pathway is acetate or butyrate one. The proportion of the produced H<sub>2</sub> from one mole glucose in the acetate pathway is two folds higher than that of the butyrate one (Liu et al., 2006; Wong et al., 2014). Regarding the importance of Fe and Ni as cofactors of the H<sub>2</sub> production pathways in the dark fermentation process, since [Fe-Fe] and [Ni-Fe] are two groups presented in the H<sub>2</sub> catalyzing enzymes, basically, it seems that the higher importance of the Fe can be more justifiable than Ni (Karadag and Puhakka, 2010). However, it is obvious that the considerable importance of

biomass, COD and pH cannot be ignored in the dark fermentation process because, without biomass and COD, the biological activity leading to H<sub>2</sub> production will not be possible. At alkaline pH, hydrogen-producing bacteria will not properly activate and produce H<sub>2</sub> (Durán et al., 2020). The less importance of these three variables in Fig. 5, especially in RF-PVI, can be attributed to the fact that the optimum range of pH, COD and biomass in the dark fermentation process have been cleared. Most researchers consider the optimum condition, so there is a limit range of values for these variables resulting in these outcomes. Therefore, among all these four analyses, RF-PVI and SVR-PVI procedures pointed out more accurate conditions in comparison to the others. Overall, RF-PVI can be more better option than SVR-PVI as well.

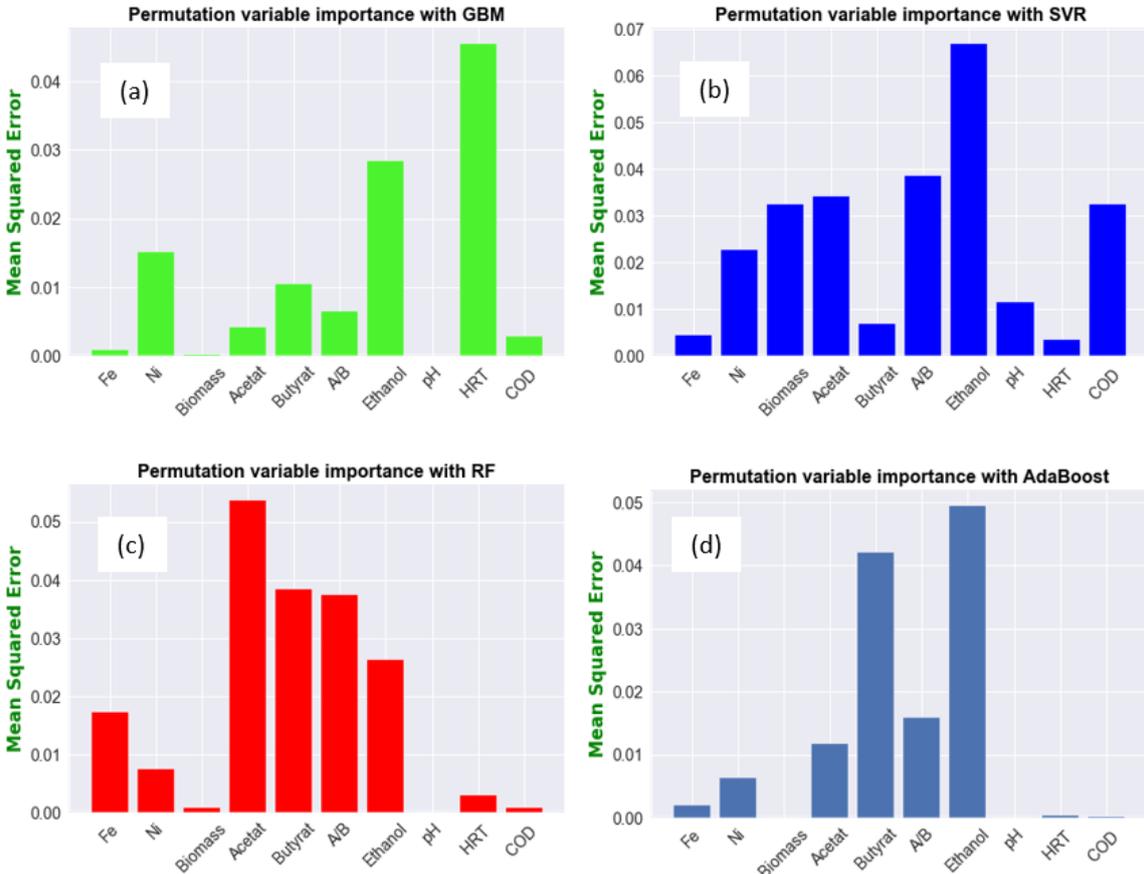


Fig. 6. Permutation variable importance through a) GBM; b) SVR; c) RF; d) AdaBoost models

3.7. Comparison of the models

In order to assess the performances of the developed models, i.e. GBM, SVR, RF and AdaBoost, three various statistical indexes showing the strengths of the models in H<sub>2</sub> production from wastewater by fermentation process were employed. Based on the results in Table 4, approximately all four developed models pointed out the same strengths; however, there were a few differences between these models. From the errors, both MSE and MAE, SVR had the lowest one followed by GBM, RF and AdaBoost with increasing order. The residual errors of these models in the test phase are presented in Fig 7. Furthermore, regarding the  $R^2$  of these models, RF showed rarely better performance than the others did. Generally with considering both  $R^2$  and errors, SVR and GBM and RF demonstrated promising performance compared to the AdaBoost one.

**Table 4.** Performance comparison of GBM, SVR, RF and AdaBoost models developed for H<sub>2</sub> production from wastewater by fermentation

Models	Statistical indices		
	$R^2$	MSE	MAE
GBM	0.893	0.015	0.097
SVR	0.885	0.015	0.092
RF	0.902	0.016	0.098
AdaBoost	0.889	0.015	0.117

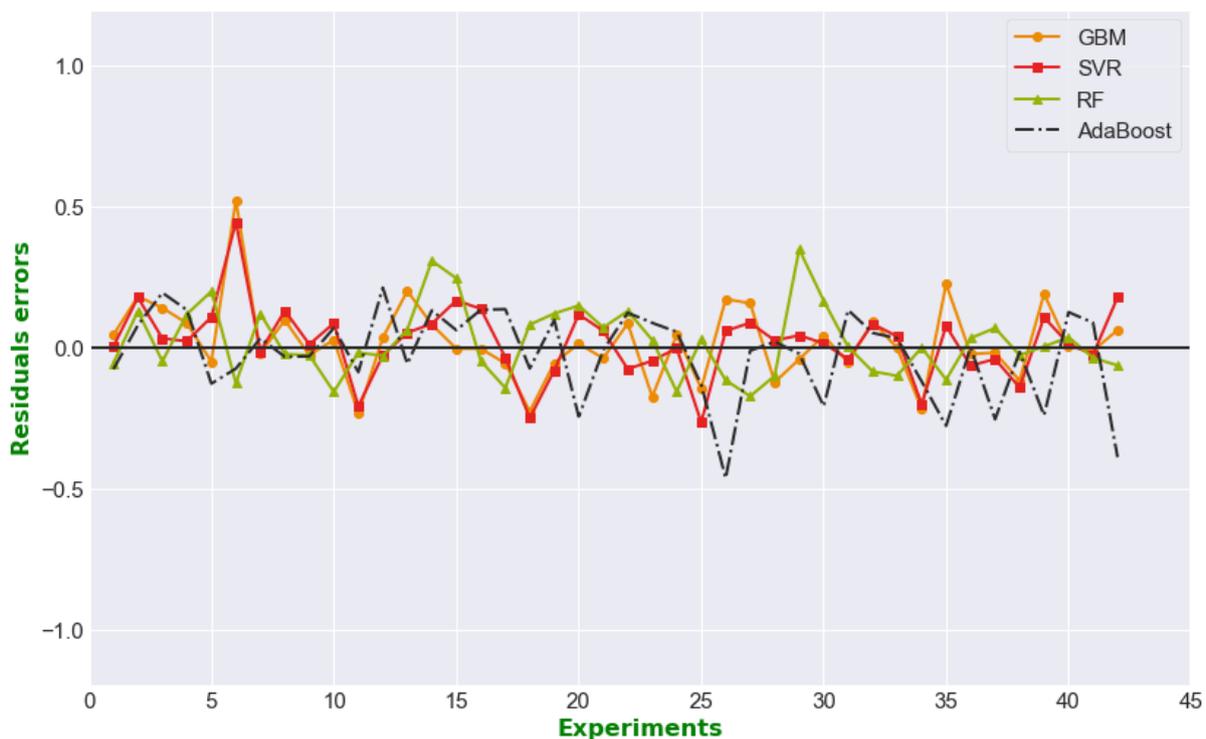


Fig. 7. The residual errors of the developed GBM, SVR, RF and AdaBoost models in prediction of H<sub>2</sub> production from wastewater by fermentation process.

### 3.8. Pearson correlation coefficient

This analysis shows the linear relationships between the variables. However, it is worth highlighting that if the correlation of both variables considered is input, the  $R$  will be near 0; however, the opposite statement is incorrect (Nguyen et al., 2021). As shown in Fig. 8 pointing out colour map correlation matrix and pair-wise scatter correlation plots of the variables, Fe and Ni have a strong negative correlation showing that the more the biomass, the more the consumption of the Fe and Ni. It is clear that the correlation between the Fe-biomass with 0.27 is rarely higher than the Ni-biomass correlation, which can be attributed to the fact that the enzymes catalyzing the biohydrogen production are [Fe-Fe] and [Ni-Fe] groups requiring more Fe than the Ni (Karadag and Puhakka, 2010). Furthermore, the strong negative correlation between the pH and COD can be observed because the more the COD, the more the production of the VFAs reduces the pH.

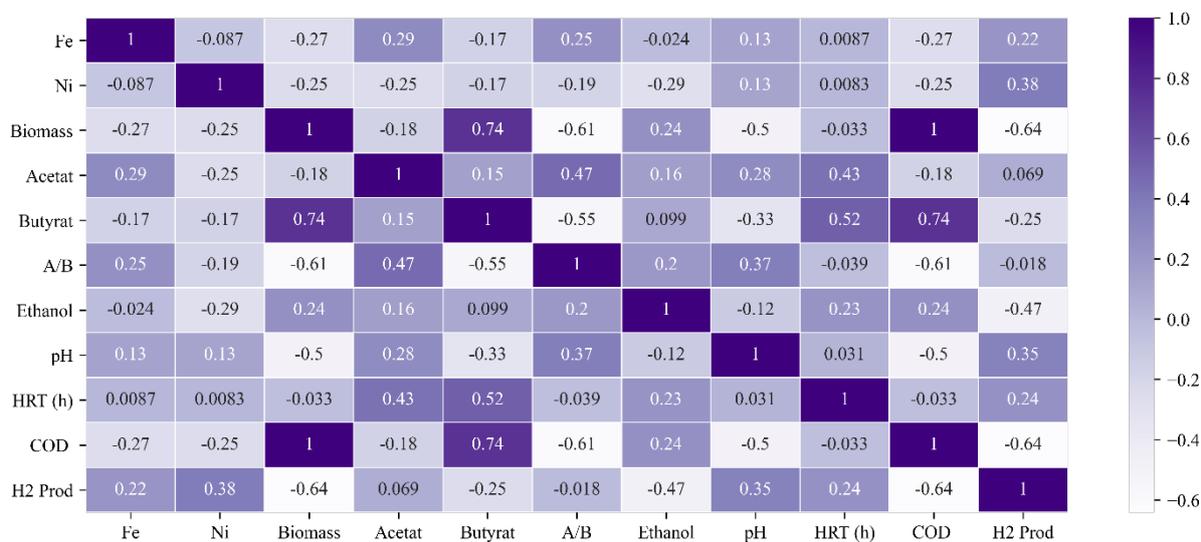


Fig. 8. Correlation coefficients of the independent variables affecting H<sub>2</sub> production from wastewater in the fermentation process.

#### 4. Conclusions

H<sub>2</sub> production from wastewater by dark fermentation process is regarded as an interesting process. Seven different types of machine learning approaches were pre-screened to model this process to find the most appropriate ones for this application. Based on the results, the SVR, GBM, RF and AdaBoost were selected and deeply model this process. The results showed that all four developed models showed approximately the same performance to the dark fermentation process of H<sub>2</sub> production from wastewater. Regarding permutation relative variable importance, the RF-PVI demonstrated better outcomes, based on which acetate, butyrate, A/B ratio, ethanol, Fe and Ni were identified as the most important ones with a decreasing order.

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