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1 **New insights to the difference in microbial composition and interspecies**
2 **interactions between fouling layer and mixed liquor in a membrane**
3 **bioreactor**

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28 **Abstract**

29 This work examined fouling-associated microbial community in a carefully controlled laboratory-
30 scale membrane bioreactor (MBR) at different fouling stages. In agreement with the literature, fouling
31 severity was positively correlated with bound polysaccharide and protein content (indicators) in the
32 mixed liquor. UPGMA clustering analysis with different indices indicated that the biofouling layer
33 (biofilm) and mixed liquor possessed highly similar microbial identity, important differences between
34 the two communities' structures were observed. This is the first comprehensive study to apply
35 differential abundance analysis (ANCOM) to identify microbial taxa driven the divergence in
36 microbial structure including *Victivallales*, *Coxiellales*, unassigned *Microgenomatia* and
37 *Blastocatellia* 11-24 (all presented at <1% abundance). Network analysis also identified *Victivallales*
38 and *Blastocatellia* 11-24 among the few key players in the mixed liquor and biofilm community,
39 respectively. Despite their low abundances, key players in both communities positively correlated
40 (Pearson's correlation coefficient >0.6) with fouling indicators, confirming their important
41 contributions to fouling propensity. The biofilm community exhibited a more complex structure with
42 higher level of inter-species interaction and prevalence of positive connections (74.6%) compared to
43 the mixed liquor community (42.2%), reflecting higher stability and synergy between microbial taxa
44 in the biofilm. Results from this comprehensive investigation can support the development of new
45 fouling control strategies.

46 **Keywords:** membrane fouling; microbial community; membrane bioreactors; ecological network;
47 biofilm; mixed liquor.

48 **1. Introduction**

49 Membrane bioreactor process (MBR) has many advantages over the conventional activated sludge
50 process. These include a smaller physical footprint and better effluent quality suitable for water reuse
51 applications [1]. Globally, there are 73 large MBR plants for municipal wastewater with a designed
52 capacity of over 100 ML/d currently in operation or the construction phase (mrbsite.com). There is a
53 much larger number of small and medium MBR plants for municipal and industrial wastewater
54 treatment around the world. Recent scientific progress in membrane fabrication and module design,
55 system integration, and process automation has significantly reduced the cost of wastewater treatment
56 by MBR technology. Thus, there has been a greater focus on membrane fouling which is inherent in
57 any MBR plant and has become a major hurdle for further improvement in energy efficiency and cost-
58 saving [2].

59 Numerous techniques have been developed and applied to control fouling during MBR operation [2,
60 3]. They include regular backwashing, membrane cleaning by biocide and oxidising reagents, such as
61 hypochlorite, and modification of membranes and their modules. These techniques are based on
62 chemical and physical processes to remote and disrupt the formation of biofilm on the membrane
63 surface. While they are effective, they cannot completely prevent biofouling regrowth given the direct
64 contact of membrane with microbe-abundant activated sludge (i.e. mixed liquor in MBR). They must
65 be applied frequently, resulting in additional cost and gradual deterioration of membrane
66 performance.

67 MBR is a biological membrane separation process. As such, biological techniques to control MBR
68 fouling have shown very promising results. Nevertheless, these biological techniques have not yet
69 been applied widely in full-scale operation [4]. In 2009, Lee and co-workers [5] demonstrated for the
70 first time a relationship between microbial quorum sensing activities (i.e. the presence of the N-acyl
71 homoserine lactone quorum signalling molecule) and biofilm formation on the membrane surface.
72 Their work has triggered many subsequent investigations to develop biological techniques to control
73 membrane fouling during MBR operation [6, 7]. Bacteriophage to inhibit specific bacteria in the
74 biofilm is another promising approach to control biofouling [8]. It is essential for these biological
75 techniques to selectively target the biofilm on the membrane surface while maintaining the microbial
76 community in the mixed liquor so that biological performance of the MBR is unaffected. Thus, the
77 key is to understand the difference in microbial composition and inter-species interactions between
78 the biofilm (fouling layer) and mixed liquor.

79 Recent progress in culture-independent molecular techniques has paved the way for in-depth
80 investigation of the microbial community associated with fouling on the membrane surface in
81 comparison to the mixed liquor [9]. Early works on this topic have focused on characterizing the
82 microbial diversity and composition in the fouling layer and mixed liquor [10-13]; however, inter-

83 species interactions in each community were rarely examined [14-16]. There is a consensus that the
84 biofilm community differs from the mixed liquor community [13, 14, 17], although the extent of this
85 difference has not been systematically and quantitatively examined. In addition, findings in the
86 literature have been rather inconsistent. For example, Gao et al., [18] reported higher microbial
87 richness and abundance in the bio-cake than that of the bulk sludge. On the other hand, Jo et al. [14]
88 measured biofilm diversity and observed no significant difference from those of activated sludge. Luo
89 et al. [13] reported that the biofilm microbial composition in laboratory-scale MBRs was
90 indistinguishable from that of the mixed liquor during the initial stage of operation but significantly
91 diverged from the sludge over time and ultimately showed a unique biofilm profile. By contrast, Xu et
92 al. [15] observed a greater similarity between the bio-cake and the bulk sludge as the fouling
93 developed.

94 On a particular note, previous works often assumed that fouling-associated species were highly
95 abundant microbial taxa or taxa that showed higher relative abundance in the biofilm than the mixed
96 liquor [13, 19]. This assumption is problematic because dominant taxa in the biofilm are also
97 abundant in the mixed liquor since the mixed liquor is a major source of inoculum for the biofilm
98 [17]. Thus, their high abundances do not necessarily affirm them as key players in the biofilm
99 community. Through network and biomarker analyses, more recent studies have suggested that low-
100 abundance taxa, rather than high-abundance ones, play critical roles in fouling development and
101 biofilm formation [15, 20-22]. Furthermore, the difference between the biofilm and mixed liquor
102 community based on relative abundance may not reflect the actual difference due to the caveat of
103 relative data. Relative abundances are absolute abundances of different species normalized to the total
104 number of sequences detected in the sample. Thus, the change in the absolute abundance of one
105 microbial species can alter the relative abundance of all other species.

106 Several bioinformatics tools/analyses have been employed for microbial community characterization
107 in MBRs. Alpha diversity indices describe the number of species in a community (i.e. Chao1 index)
108 and the evenness between their proportions in the community (i.e. Shannon index) [15], while
109 coordination analyses such as principal coordinate analysis (PcoA) and non-metric multidimensional
110 scaling (NMDS) based on beta diversity indices (i.e. unweighted UniFrac and Bray-Curtis) show the
111 similarity/dissimilarity between different communities [17, 23]. Although less popular than
112 coordination analyses, clustering analyses, including the unweighted pair group method with
113 arithmetic mean (UPGMA), can also depict the similarity/dissimilarity between communities and
114 clearly show the pairwise similarities between samples [14, 24, 25]. It is worth noting that the
115 selection of beta diversity index for analysis can influence the extent of dissimilarity between
116 microbial communities since different indices were calculated differently. To address these
117 shortcomings, in recent years, researchers have begun to use network-based techniques for
118 deciphering complex microbial interaction patterns under dynamic conditions such as the composting

119 of organic waste [26] or to compare the fouling evolution between aerobic and anaerobic MBR [22].
120 These techniques may also be useful for delineating the difference in microbial composition and inter-
121 species interactions between the fouling layer and mixed liquor in the MBR.

122 This study addresses key research gaps identified above, such as the lack of attention on the roles of
123 inter-species interactions in fouling, and the impact of the bioinformatics tools and index used for
124 comparison of different microbial communities. This study aims to delineate the distinction between
125 biofouling community (biofilm) and suspended community (mixed liquor) and identify the role of
126 individual microbial taxa in fouling development. Comparison in terms of microbial identity profiles
127 was performed using UPGMA clustering analysis was conducted based on unweighted UniFrac
128 distance metric. Differential abundance analysis (ANCOM) was used to specifically identify species
129 with true different abundance over-represented in each community. Phylogenetic molecular ecological
130 network was constructed for both communities to deduce species-species ecological interactions and
131 the role of high- and low-abundance microbial taxa. Results from this study contribute to a more
132 comprehensive understanding the biofouling microbial community structure to address the problem of
133 membrane fouling in MBR operation.

134 **2. Materials and Methods**

135 **2.1. Laboratory-scale membrane bioreactor system setup**

136 A laboratory-scale aerobic membrane bioreactor (MBR) system was used in this study. The MBR was
137 equipped with 6 L glass reactor, a hollow fibre polyvinylidene difluoride membrane module
138 (Mitsubishi Rayon, Japan), a water bath, two peristaltic pumps, a chiller, a pressure sensor and an air
139 pump. The membrane module had a nominal pore size of 0.04 μm and an effective surface area of
140 0.073 m^2 . The pressure sensor was a high-resolution pressure sensor (± 0.1 kPa, John Morris Group,
141 Australia), which was installed between the membrane module and the permeate pump for continuous
142 monitoring of the transmembrane pressure (TMP). The chiller (Thermoline Australia) was equipped
143 with a stainless-steel heat-exchanging coil. Two peristaltic pumps (Masterflex L/S, USA) were used
144 for feeding and permeate extraction. The reactor's working volume were maintained at 6.0 L. The air
145 pump (AquaOne, Australia) aerated the reactor at an air flowrate of 400 mL/min via a diffuser at the
146 bottom of the reactor.

147 **2.2. Operating protocol**

148 Activated sludge was transferred from another MBR system (with two identical membrane modules
149 as used in this study). This MBR system was under stable operation for over 2 months and fed with
150 synthetic influent similar to that in this study (Supplementary Information). Synthetic feed was used
151 to provide carbon, nitrogen, and phosphorus for microbial growth in the MBR. The synthetic feed has
152 COD: TN: TP = 150: 6.5: 1, which is similar to the municipal sewage. In details, the synthetic feed

153 solution (influent) contains mg per litre: glucose (600), peptone (100), urea (35), KH_2PO_4 (17.5),
154 MgSO_4 (17.5), FeSO_4 (10), and sodium acetate (225) as described in previous studies [27, 28].

155 During the acclimatisation period, the MBR was operated at different water fluxes in the range from
156 11 to 15 LMH to determine a suitable value for a reproducible and representative fouling profile. The
157 membrane module was operated with 9 min “suction” and 1 min “relaxation”. TMP profiles of these
158 preliminary fouling runs are available in the Supplementary Information. The critical flux was 11
159 LMH. At flux higher than 11 LMH, the fouling onset was observed within 1-2 days. Based on these
160 preliminary fouling runs, water flux value of 10 LMH used in this study to achieve reproducible and
161 representative fouling under subcritical flux condition. The thresholds for three fouling stages were
162 defined as: no-fouling ($\text{TMP} \leq 10$ kPa) – TMP increases slightly and at slow rate, mild fouling ($10 <$
163 $\text{TMP} \leq 30$ kPa) – TMP increases exponentially, and severe fouling ($\text{TMP} > 30$ kPa) – TMP increases
164 gradually and tends to reach a plateau.

165 In the biomass collection period, three repetitive phases were conducted to capture sufficient DNA
166 samples of mixed liquor and biofilm at different fouling stages. The biomass concentration at the
167 beginning of each fouling cycle was set at 12.4 ± 0.1 g/L. When the TMP reached a threshold, the
168 MBR operation was paused and the membrane module was removed from the reactor for DNA
169 sample collection (Section 2.3.2). At the end of each phase, the membrane module was removed for
170 chemical cleaning. The chemical cleaning protocol was able to fully restore to the membrane
171 permeability to as new condition. Sludge withdrawal was conducted to reset the MLSS concentration
172 to around 12.4 ± 0.1 g/L prior to the next phase.

173 The performance of the MBR was regularly monitored by sampling effluent, influent, and mixed
174 liquor twice per week. Monitored parameters included pH, dissolved oxygen (DO) concentration in
175 the mixed liquor, effluent turbidity, total organic carbon (TOC), mixed liquor suspended solids
176 (MLSS), mixed liquor volatile suspended solids (MLVSS), extracellular polymeric substance (EPS),
177 soluble microbial products (SMP). MLSS and MLVSS were measured gravimetrically following the
178 method 2540D [29]. TOC was analysed using a TOC-V_{CSH} analyser (Shimadzu, Japan). Nitrate
179 concentration was measured using ion chromatography (Thermo Scientific, Australia). The
180 temperature and DO concentration of the MBR was maintained at $20.0 \pm 0.1^\circ\text{C}$ and above 3 mg/L,
181 respectively.

182 **2.3. Analytical methods**

183 **2.3.1. Extraction of extracellular polymeric substances and soluble microbial products**

184 EPS and SMP concentrations in mixed liquor samples were measured according to the thermal
185 extraction method [30]. In brief, 25 mL of mixed liquor sample was centrifuged in a 50 mL tube at
186 $1500 \times g$ and 4°C for 20 min to collect SMP fraction. The residual sludge was resuspended with 50 mL
187 of 0.9% NaCl solution at room temperature by a vortex mixer for 3 min. The mixture was transferred

188 to an enclosed flask and heated at 80 °C for 1 h to release bound polysaccharide and bound protein
189 (EPS). Then, the mixture was cooled to room temperature before centrifugation at 1500×g and 4 °C
190 for 20 min. The supernatant was collected for further analysis and denoted as the EPS fraction. The
191 heating method showed high extraction efficiency compared to other physical extraction methods (62
192 mg EPS/g VSS, yield 4%) [31].

193 The phenol–sulfuric acid method [32] was applied for determination of polysaccharides with a series
194 of glucose solutions (0.5 – 50 mg/L, calibration curve $R^2 = 0.97$) as the standard. Protein content in
195 EPS and SMP fractions were determined by an UV/VIS spectrophotometer (DR5000, HACH)
196 following the modified Lowry method using Total Protein Kit, Micro Lowry, Peterson's Modification
197 kit (Sigma-Aldrich) with a series of bovine serum albumin solution as the standard (0.5 – 15 mg/L,
198 calibration curve $R^2 = 0.99$).

199 **2.3.2. DNA extraction and quality monitoring**

200 As mentioned in Section 2.2, duplicate samples of the mixed liquor were collected at the beginning of
201 each phase and at three fouling stages (based on TMP). This resulted in 14 DNA samples. The
202 samples from mixed liquor were labelled as MLx.x.x with ML is mixed liquor; first digit is fouling
203 phase number; second digit is fouling stage; third digit is replication number. For example, ML1.3.1 is
204 the mixed liquor sample at fouling phase 1, fouling stage 3 and replication 1.

205 Duplicate samples of the membrane biofilm were collected at mild- and severe-fouling stages with
206 minor modifications in each phase. In phase 1, no sample collection was conducted under mild
207 fouling condition in phase 1 to maintain the natural progress of biofilm development. In phase 2, only
208 part of the biofilm was collected from the membrane surface under mild fouling condition to
209 minimize the impact of sampling on biofilm development. Samples were taken from multiple
210 positions on the membrane surface. In phase 3, the entire biofilm was collected under mild fouling
211 condition thus the phase was terminated and no sample collection was conducted under severe fouling
212 condition. This results in slightly different operational period of each phase: phase 1 (day 14 – 33),
213 phase 2 (day 34 – 49), phase 3 (day 50 – 56). The biofilm (a mixture of cake layer and gel layer
214 deposited on the membrane surface) was scrapped off the membrane surface using cotton swabs prior
215 to membrane chemical cleaning. This resulted in 7 DNA samples. The samples from membrane
216 biofilm were labelled as BFX.x.x with BF: biofilm; first digit is fouling phase number; second digit is
217 fouling stage; third digit is replication number. For example, BF1.3.1 is the biofilm sample at fouling
218 phase 1, fouling stage 3 and replication 1. . Details of samples collection regime in this study is shown
219 in Figure 1 (Section 3.1).

220 Samples were mixed with ethanol (1:1 v/v) and stored at -20 °C prior to DNA extraction. Genomic
221 DNA extraction was carried out using QIAamp DNA Stool Mini Kit (Qiagen) following the manual's
222 instructions. An additional bead-beating step was performed at the beginning of the extraction to

223 enhance DNA yield. The integrity, purity and concentration of the extracted DNA were evaluated by
224 NanoDrop® spectrophotometer. DNA concentration of all samples was normalized to 20 ng/μl using
225 DNase/Pyrogen-Free Water before sending to the sequencing facility.

226 **2.4. Amplicon sequencing and bioinformatics analysis**

227 The universal primer set Pro341F (5'-CCTAYGGGRBGCASCAG-3') and Pro806R (5'-
228 GGACTACNNGGGTATCTAAT-3') was used to amplify 16S rRNA V3 – V4 regions of the
229 microbial community. Paired-end amplicon sequencing (2 × 300 bp) was carried out on the Illumina
230 MiSeq platform (UTS Next Generation Sequencing Facility, Sydney, Australia). Raw sequence data
231 were generated with the Illumina *bcl2fastq* pipeline (version 2.20.0.422). All sequencing
232 data in this study are available at the Sequence Read Archive (accession number: PRJNA752525) in
233 the National Center for Biotechnology Information.

234 Raw reads were imported into Quantitative Insights into Microbial Ecology (QIIME) 2 (version
235 2020.11.1) for computational analysis [33]. Quality filtering, denoising (primer and read trimming),
236 paired-end reads merging, dereplication, chimera filtering and feature clustering ($\geq 97\%$ similarity)
237 were performed using the q2-dada2 denoise-paired plugin [34]. Forward reads were truncated at
238 position 280 and reverse reads were truncated at position 250 in the 3' end due to decrease in quality.
239 The parameter *min-fold-parent-over-abundance* was set to 4 in the denoising step. Reads were
240 mapped back to amplicon sequence variants (ASV) with a minimum identity of 97% to obtain the
241 number of reads in each feature.

242 Taxonomy was assigned to features using the q2-feature-classifier [35] classify-sklearn Naïve Bayes
243 taxonomy classifier against the SILVA database (release 132) [36-38] with a confidence of 0.7. All
244 features were aligned with mafft [8] and used to construct phylogenetics tree with FastTree2 [39] via
245 the q2-phylogeny align-to-tree-mafft-fasttree pipeline. Phylogenetic tree was visualized using FigTree
246 (version 1.4.4). Beta diversity metrics (Bray-Curtis dissimilarity) were estimated using q2-diversity
247 core-metrics-phylogenetic pipeline after samples were rarefied (subsampling without replacement) to
248 25,000 sequences per sample. 2D principal coordinates analysis (PcoA) was plotted using Bray-Curtis
249 distance matrix. Statistical analyses were conducted using QIIME2 to test the difference between the
250 mixed liquor and biofilm communities structure (PERMANOVA test), and identify microbial taxa
251 with differential abundance (analysis of composition of microbiomes - ANCOM) [40]. Results from
252 ANCOM analysis was visualized using RStudio (version 3.6.1).

253 **2.5. Network construction and analysis**

254 The Random Matrix Theory (RMT) based molecular ecological network analysis
255 (MENA) was employed to construct modular networks of microbial taxa in mixed liquor and biofilm
256 at order level [41]. Network analysis can provide insights into microbial co-occurrence patterns in the
257 community, keystone species and interactions between community members, rather than the simple

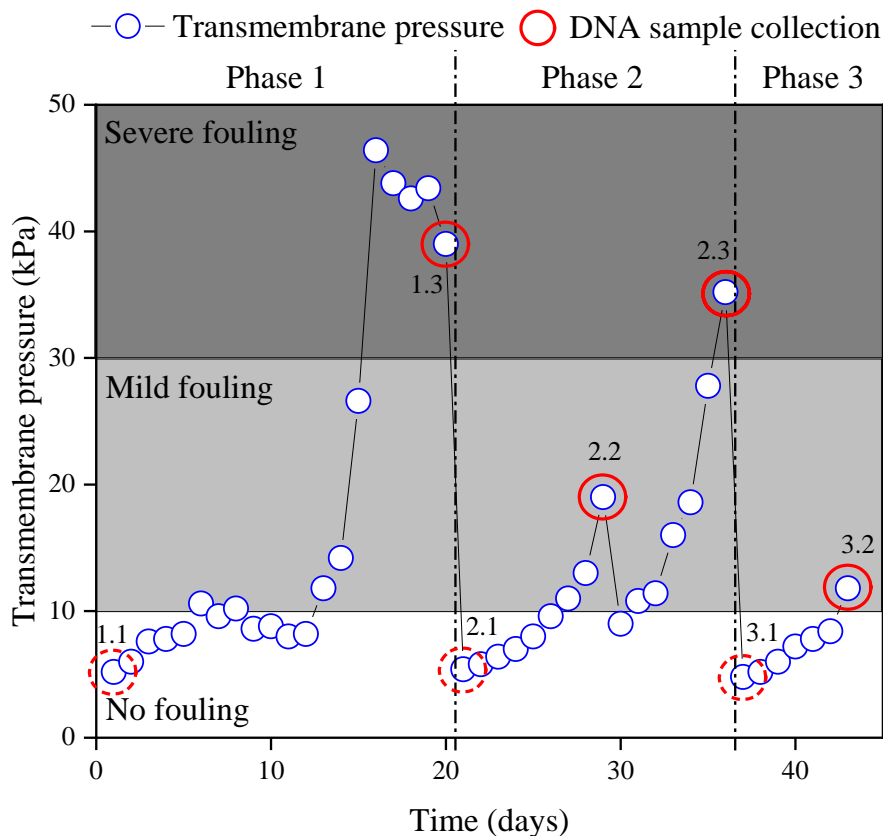
258 species richness and abundance. Only taxa detected in at least 4 samples were included in the
259 analysis. Network construction procedures followed the developer's recommendations on the online
260 pipeline, with a correlation cut-off of 0.8 for both mixed liquor and biofilm networks. Networks were
261 constructed based on Pearson's correlation between microbial orders, and the cut-off for network
262 construction was selected based on Chi-square test on Poisson distribution. Networks were
263 modularized using the greedy modularity optimization method. Pearson's correlations between
264 microbial taxa and environmental traits (EPS and SMP concentration) were also determined using
265 MENA pipeline. Network visualization was carried out using Cytoscape (version 3.8.2) [42]. Among-
266 module and within-module connectivity plot was constructed in RStudio (version 3.6.1).

267 **3. Results and Discussion**

268 **3.1. Membrane bioreactor performance and fouling development**

269 The MBR system showed stable biological treatment performance and long-term flux profile. High
270 TOC removal (96.3 – 99.1%) was achieved during the experimental period. The average effluent TOC
271 concentration was 3.8 ± 1.6 mg/L. Stable biomass growth was also observed, with biomass
272 concentration (MLSS) increased steadily from around 12 g/L to 18 g/L in 15 days (Supplementary
273 Information). MLVSS/MLSS ratio was above 0.8 throughout the experimental period, indicating high
274 biomass quality.

275 The TMP profiles of individual fouling phases progressed with the operation times (Figure 1). At the
276 beginning of each fouling phase, TMP gradually increased from 5 to 10 kPa. Once the TMP reached
277 10 kPa, it increased rapidly to over 30 kPa within 4 days (TMP jump). At TMP higher than 30 kPa,
278 the rate of TMP increase was even higher. Thus, for further analysis, membrane fouling was
279 categorised to three stages: (i) no fouling (TMP <10 kPa), (ii) mild fouling (TMP of 10 to 30 kPa),
280 and severe fouling (TMP >30 kPa). Severe fouling condition was associated with high MLSS content
281 in the reactor (Pearson's correlation $R = 0.79$, p -value < 0.05). The MLSS content was 18 g/L when
282 severe fouling (TMP > 30 kPa) was observed. However, while the increase in MLSS content over
283 time was gradual (Supplementary Information), the increase in TMP was exponential (Figure 1).



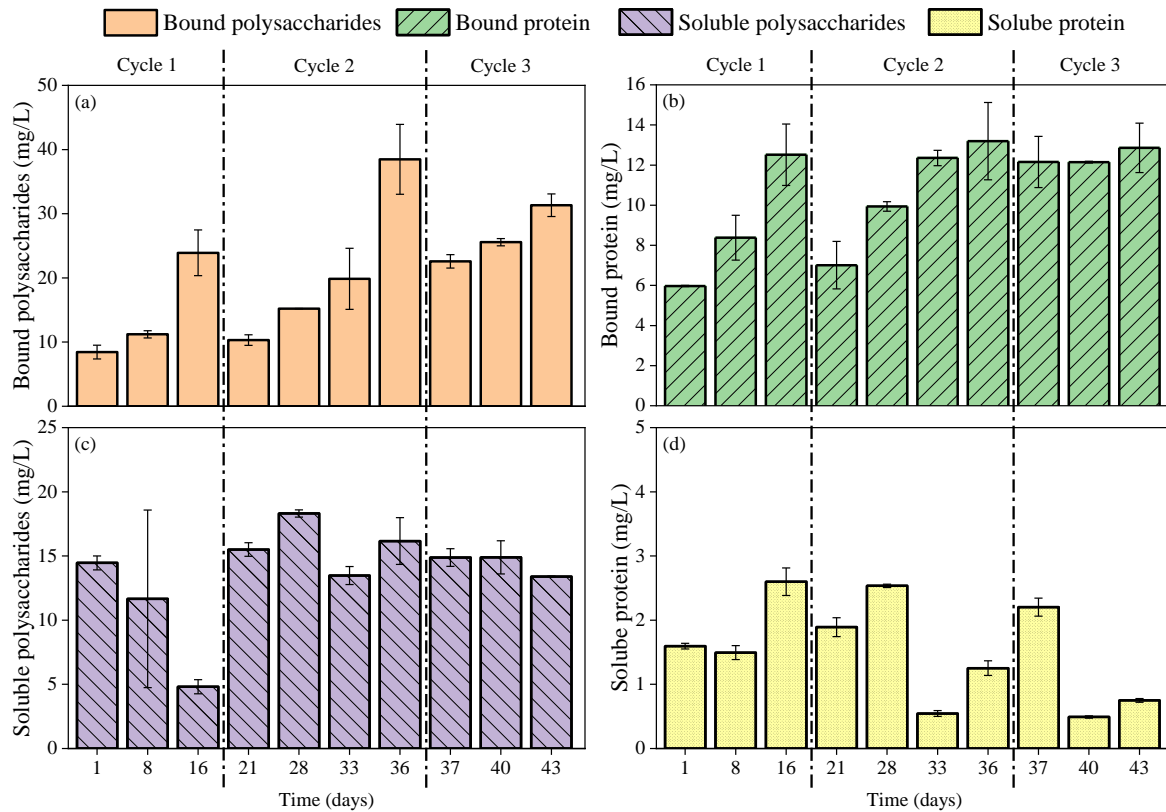
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285 **Figure 1.** Fouling profile in the membrane bioreactor during the experiment. Each DNA sampling
 286 point is marked by a circle and a number. Dashed circles represent the collection of mixed liquor
 287 samples only, rounded circles represent the collection of both mixed liquor and biofilm samples.

288 The first digit is the fouling phase number and the second digit is the fouling stage.

289 There is a correlation between fouling severity and EPS concentration in mixed liquor samples at each
 290 fouling phase (Figure 2A&B). EPS and SMP are biopolymers produced by microbial metabolism.

291 Thus, higher microbial activity results in higher release of EPS and SMP. Both EPS and SMP
 292 primarily consist of polysaccharides and proteins [43]. The concentration of bound polysaccharides
 293 increased proportionally from no fouling to mild fouling and was highest at the severe fouling stage.
 294 Similarly, bound protein concentration increased with the three corresponding fouling stages (Figure
 295 2B). This phenomenon can also be observed while normalized bound polysaccharides and proteins to
 296 the biomass concentration (Supplementary Information). No clear relationship was observed between
 297 fouling and SMP content (i.e. soluble polysaccharides and protein concentration) during the
 298 experimental period (Figure 2 C&D).



299

300 **Figure 2.** Extracellular polymeric substances (EPS) and soluble microbial products (SMP)
 301 concentration in the mixed liquor during the experiment. The error bar represents the standard
 302 deviation from duplicate samples.

303 Results in Figure 2 indicate that EPS governed the fouling process. This is consistent with previous
 304 work that reported EPS as a major cause of membrane fouling [25, 44] and correlated strongly with
 305 fouling potential and filtration resistance [10, 45]. EPS has been shown to play key role in initial
 306 adhesion of microbial biofilm to surfaces [46-48]. In addition, EPS can facilitate cell adhesion [49],
 307 cell cohesion and cell communication in biofilm, through dispersion forces, electrostatic interactions,
 308 and hydrogen bonds between polymeric substances [46, 50]. This provides the mechanical stability
 309 allowing different microorganisms to be retained in long-term close proximity and to establish stable
 310 and synergistic community [50]. In addition, both low and excessive production of EPS can weaken
 311 the aggregation of microbial sludge flocs in the mixed liquor, and the expanded sludge/small sludge
 312 flocs can easily adhered to the surface of the membrane, thereby causing biofouling [44]. The
 313 adsorption of EPS on the membrane surface also contribute to organic fouling and can lead to
 314 irreversible fouling [51, 52].

315 A higher concentration of polysaccharide than protein in both EPS and SMP fractions was observed
 316 (Figure 2). The protein/polysaccharide (PN/PS) ratio determines specific interactions (e.g.
 317 hydrophobic, van der Waals, electrostatic interaction and cation bridging) between sludge flocs and

318 membrane surface and thus affect membrane fouling [53]. In this study, the PN/PS ratio in EPS and
319 SMP exerted a negative impact on membrane fouling, as fouling propensity increased when PN/PS
320 ratio decreased. The impact of EPS/SMP composition on membrane fouling was also observed in
321 previous studies [53-55]. When protein concentration was constant, flux decline became faster and
322 fouling rate increased as PN/PS ratio decreased for PVDF membrane [55].

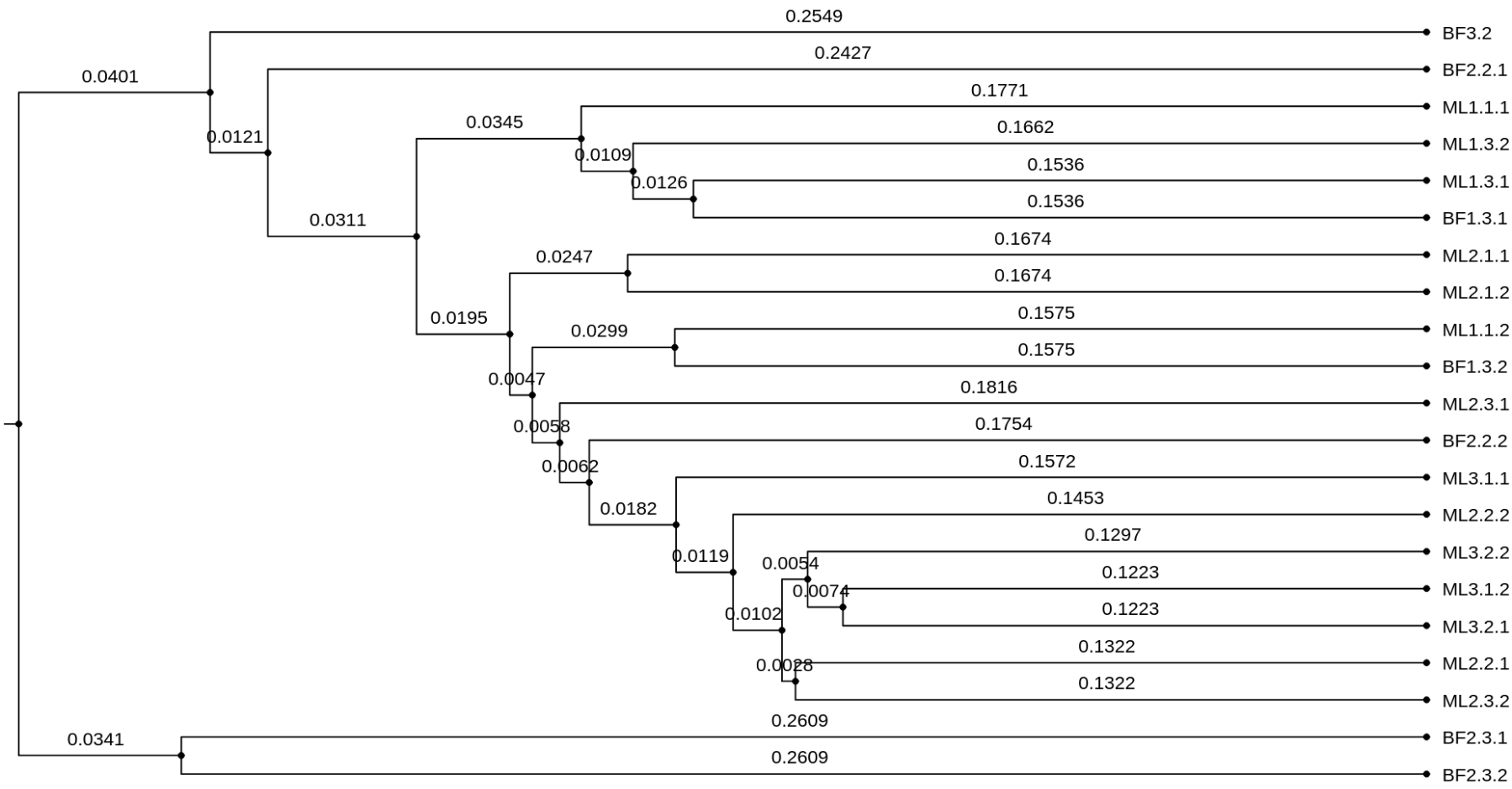
323 **3.2. Differences between membrane and mixed liquor microbial communities**

324 **3.2.1. Differences in microbial identity**

325 UPGMA clustering analysis was conducted based on unweighted UniFrac distance metric to
326 reconstruct a dendrogram of DNA samples from the MBR (Figure 3). A small but observable
327 dissimilarity in microbial identity profiles can be seen between the mixed liquor and membrane
328 biofilm (Figure 3). Unweighted UniFrac distance metric calculates the distance between pairs of
329 microbial communities based on the presence/absence of observed microorganisms and phylogenetic
330 distances between these microorganisms [56]. The distance between two samples (two tips of the tree)
331 is the sum of all branch lengths connecting between them. Duplicate samples showed small distances
332 to each other (e.g. BF2.3.1 vs BF2.3.2, ML2.1.1 vs. ML2.1.2), confirming the reliability of our
333 sampling procedure and analysis.

334 The discernible difference between biofilm and mixed liquor samples in terms of microbial identity
335 could be attributed to the presence of unique taxa - specific microbial groups presented in the biofilm
336 that was or was not present (at low abundances) in the mixed liquor and vice versa. This is in
337 agreement with a previous study investigating membrane fouling in five full-scale MBR plants [17].
338 In this study, the biofilm harvested at the severe fouling stage of phase 1 showed higher similarity to
339 the mixed liquor compared to other biofilms. This is possibly due to the deposition of microbes from
340 the mixed liquor onto the biofilm outer layer due to accumulation of EPS and strong drag force. This
341 observation is also consistent with a previous study by Xu et al., [15] who reported greater similarity
342 between the microbial structure of the biofilm and the mixed liquor as fouling develops at a water flux
343 below the critical flux value.

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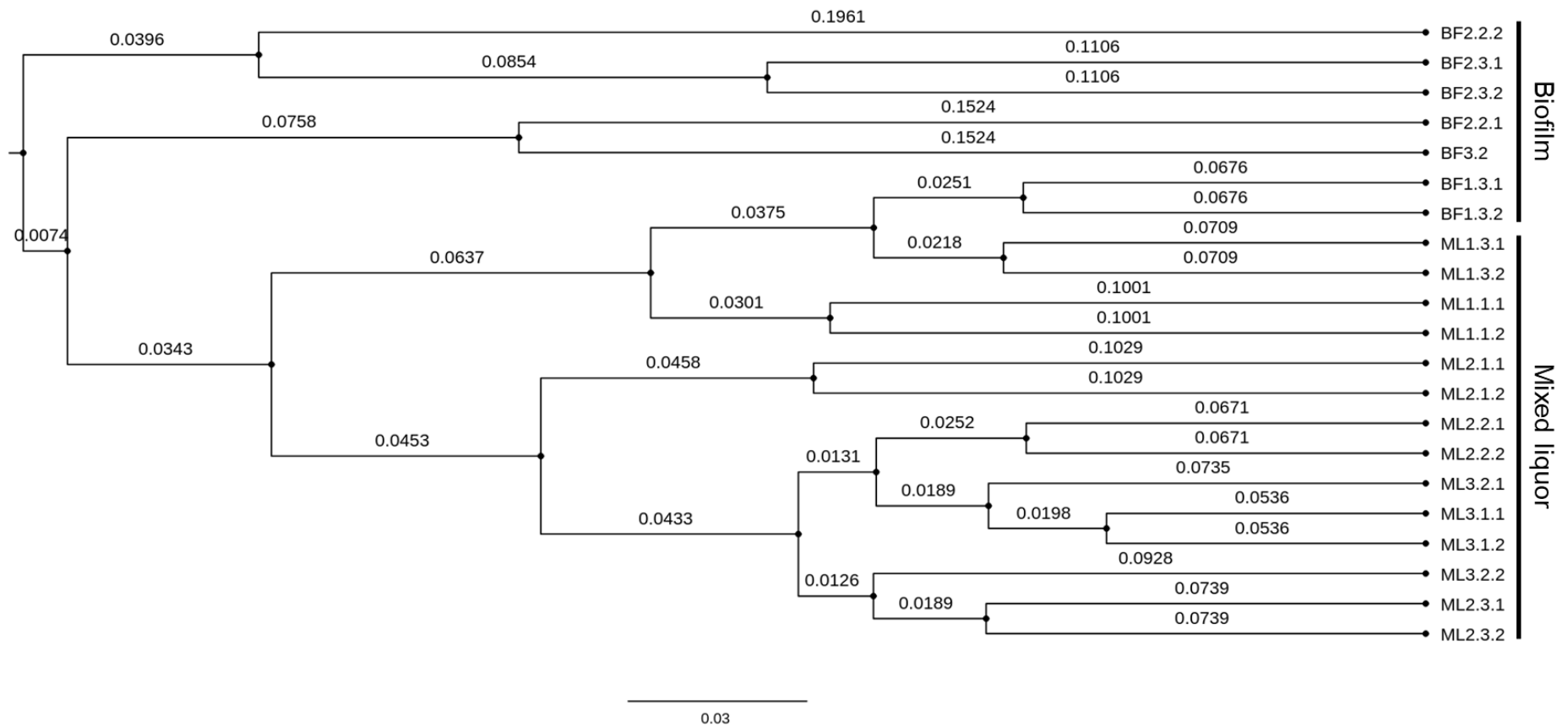
346 **Figure 3.** UPGMA clustering dendrogram based on unweighted UniFrac distance metric showing similarity between mixed liquor (ML) and biofilm (BF)

347 microbial identity. BF2.2.2: membrane sample in run 2 fouling stage 2 duplicate 2.

348 **3.2.2. Differences in microbial structure**

349 To highlight the difference in microbial structure between the mixed liquor and biofilm, a dendrogram
350 was constructed by UPGMA clustering analysis using the Bray-Curtis dissimilarity (Figure 4). There
351 is a fundamental difference between the unweighted UniFrac distance metric and Bray-Curtis
352 dissimilarity. While unweighted UniFrac distance metric is a binary (presence/absence) system, Bray-
353 Curtis dissimilarity considers both microbial identity and their abundances. A Bray-Curtis
354 dissimilarity of zero (0) between a pair of samples means these two samples share the same taxa with
355 the same abundance (same structure). As a result, it is expected that the dendrogram based on Bray-
356 Curtis dissimilarity quantifies the difference between the mixed liquor and biofilm in terms of
357 microbial structure. The mixed liquor and biofilm microbial communities become more
358 distinguishable under the microbial structure angle compared to microbial identity angle (Figure 4),
359 indicating that microbial abundance was the key driver of the difference between the two
360 communities. Results from the coordination analysis (PcoA) based on Bray-Curtis dissimilarity also
361 support this finding, with mixed liquor and biofilm samples form distinct clusters (Supplementary
362 Information). The difference between the mixed liquor and biofilm communities was statistically
363 significant (PERMANOVA test, $n = 21$, permutation = 999, pseudo-F = 3.53, p-value < 0.05)
364 (Supplementary Information). These results also highlight how diversity index selection strongly
365 impact the extent of difference between the mixed liquor and biofilm communities.

366 Distinct patterns in microbial structure of biofilm (both early and mature) and mixed liquor have been
367 reported previously in lab [20, 23, 24], pilot [12, 57] and full-scale MBR systems [14, 17]. This
368 difference in microbial structure could be attributed to different assembly mechanisms and
369 environmental conditions [15, 17]. Selective deposition of microorganisms from the mixed liquor to
370 the membrane surface occurs due to multiple factors, such as species mobility and adhesive ability,
371 membrane flux, membrane properties [58]. In addition, biofilm is a microenvironment with high local
372 cell density, resulting in a substantially different level of oxygen and nutrient compared to the mixed
373 liquor [24], with a nutrient concentration gradient forming along the thickness of the biofilm as it
374 developed [59]. As such, microorganisms that can adapt to these conditions emerge in the biofilm
375 community, and further drive the divergence between biofilm and mixed liquor microbial structure.

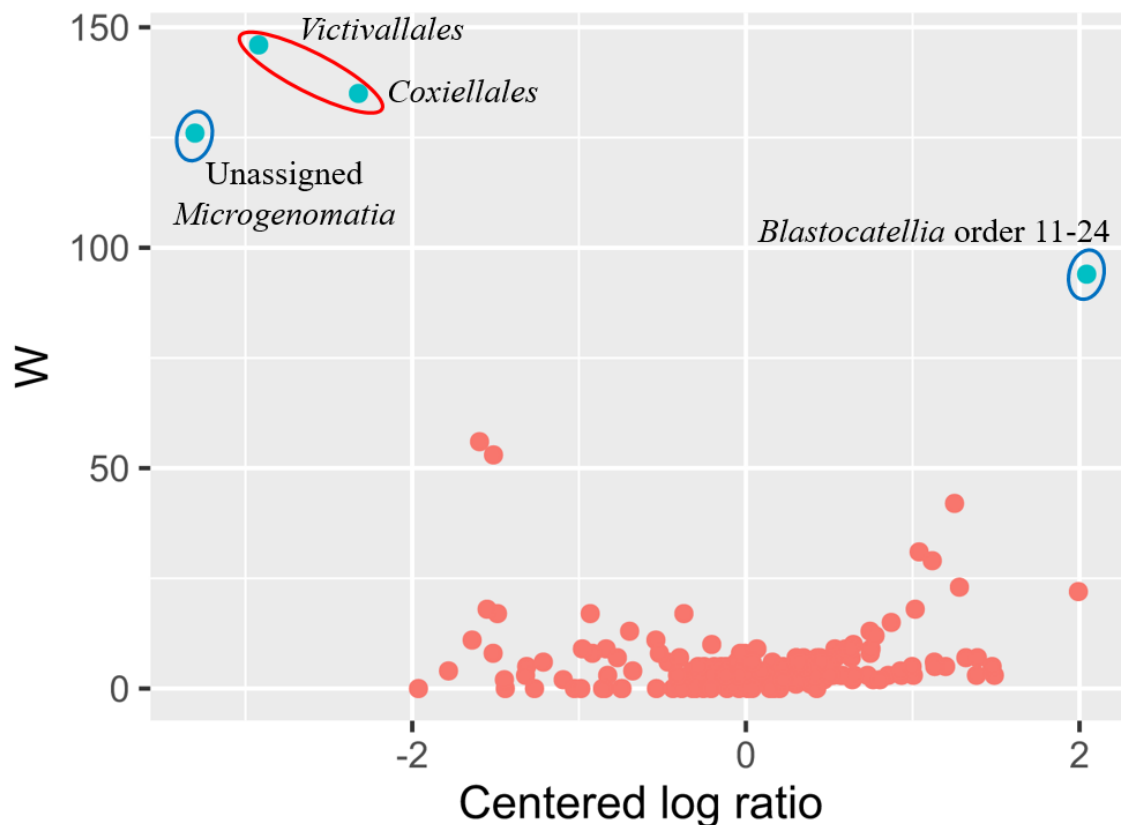


376

377 **Figure 4.** UPGMA clustering based on unweighted Bray-Curtis dissimilarity showing difference between mixed liquor (ML) and biofilm (BF) microbial
 378 structure. BF2.2.2: membrane sample in run 2 fouling stage 2 duplicate 2.

379 **3.2.3. Difference in microbial abundance**

380 Since the difference between the two communities was mainly caused by difference in microbial
381 abundance (Section 3.2.2), microbial abundance was further examined. Differential abundance
382 analysis was performed to identify specific microbial taxa that steers the divergence between mixed
383 liquor and biofilm communities. Differential abundance analysis can be used to identify taxa that
384 present in different absolute abundances across two or more environments (sample groups) [60]. In
385 this study, a log-ratio based normalization method (known as ANCOM) was used for differential
386 abundance analysis. This method successively uses each taxon as the reference taxon and transforms
387 the observed abundances to log ratios of the observed abundance each taxon relative to the reference
388 taxon [40]. It controls the false discovery rate at the low level (5%) while maintaining high statistical
389 power [60].



390

391 **Figure 5.** Differential abundance analysis (ANCOM) volcano plot. The W value represents the
392 number of times the null-hypothesis (the average abundance of a given order in the mixed liquor is
393 equal to that in the biofilm) was rejected for a given order. When the W value of an order is high, it is
394 more likely that the order is differentially abundant across sample groups. The 70th percentile of the W
395 distribution is used as the empirical cut-off value. Orders with W values higher than this cut-off is
396 labelled with red circles, and orders with high W values but less than the cut-off is labelled with blue
397 circles. The centered log ratio (clr) is the transformed mean difference in abundance of a given order

398 between the mixed liquor and biofilm groups. A positive clr means an order is abundant in mixed
399 liquor and a negative clr value means a species is abundant in biofilm.

400 Figure 5 shows only a few orders of differential abundance between mixed liquor and biofilm
401 samples. The W value for an order means that the ratio between that order and W other orders was
402 different across the compared sample groups. Higher W value means higher likelihood that the
403 difference is true [60]. Compared to mixed liquor samples, *Victivallales*, *Coxiellales* and unassigned
404 *Microgenomatia* were enriched in biofilm samples, while *Blastocatellia* order 11-24 was depleted in
405 biofilm samples. Despite their preferential growth in the biofilm, together *Victivallales*, *Coxiellales*
406 and unassigned *Microgenomatia* only account for a small fraction (<1%) of the biofilm microbial
407 community and can be defined as rare taxa. Rare taxa (<1%) have been identified as biomarker
408 shaping the difference between MBR bulk sludge and biofilm communities [17], and it was also
409 suggested that these rare taxa play important roles in fouling development [20]. Results in Figure 5
410 corroborate with observation from microbial identity analysis (section 3.2.1) to confirm the difference
411 in microbial community structure between the mixed liquor and the biofilm on the membrane surface.

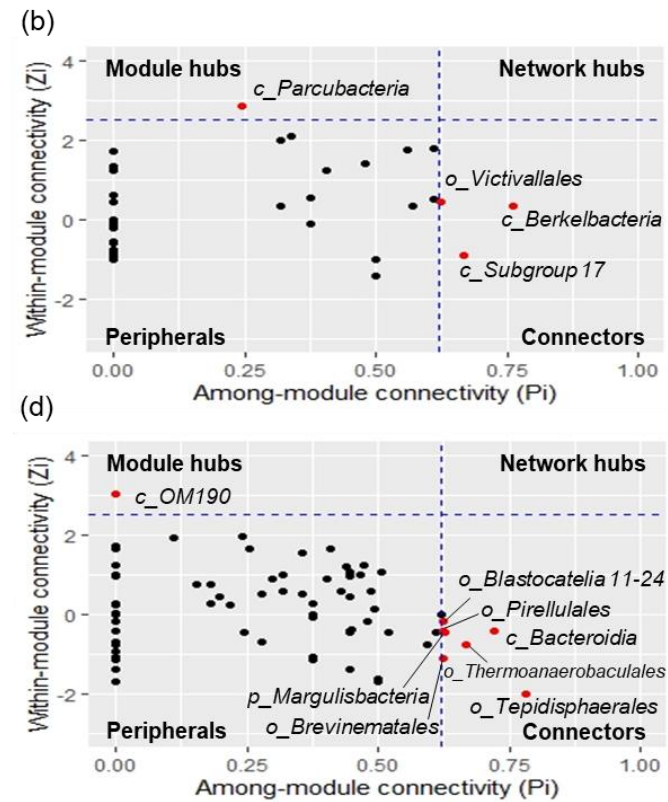
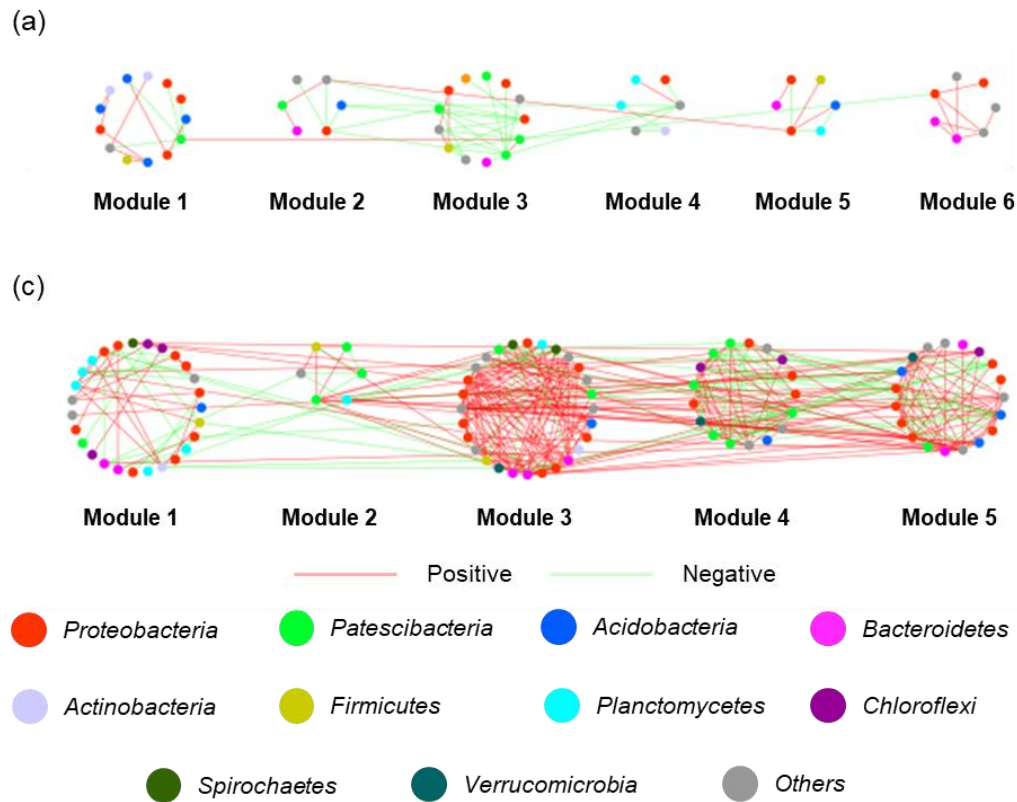
412 **3.2.4. Key players in mixed liquor compared to biofilm community**

413 Modularized RMT-based ecological networks of mixed liquor and biofilm microbial communities
414 were constructed to reveal the microbial interactions within each community (Figure 6 & Table 1).
415 Cooperative and competing interactions can exist between microbial taxa in a community. Examples
416 of cooperative interactions are cross-feeding, where a taxa feeds on the microbial product of another
417 taxa [61], or mutualistic symbiosis where both taxa benefit from the relationship [62]. Microbial taxa
418 can also compete with each other for carbon sources and other nutrients (e.g. oxygen, nitrogen) due to
419 limited space and nutritional resources. The average clustering coefficient, path distance, and
420 modularity of the two empirical networks were significantly higher than that of their corresponding
421 random networks under identical nodes and links, indicating its small-world behaviour and modularity
422 structure (Table 1). The nodes in the network mainly affiliated to the phylum *Proteobacteria*,
423 *Patescibacteria*, *Acidobacteria*, and *Bacteroidetes* (Figure 6), which have been identified as dominant
424 wastewater phyla.

425 **Table 1.** Major topological properties of empirical and random molecular ecological networks (MENs) of bacterial community in mixed liquor and biofilm.

Sample type	Empirical network					100 random networks			
	Total nodes	Total edges	Average degree	Average clustering coefficient	Average path distance	Modularity	Average clustering coefficient	Average path distance	Modularity
Mixed liquor	66	90	2.727	0.186	4.279	0.622	0.04 ± 0.02	3.65 ± 0.16	0.55 ± 0.02
Biofilm	99	354	7.152	0.363	3.423	0.499	0.12 ± 0.01	2.6 ± 0.03	0.29 ± 0.01

426



427

428 **Figure 6.** Modularized co-occurrence network analysis revealing the interactions among microbial orders in (a) the mixed liquor and (c) the biofilm
 429 community with Z-P plot of species topological roles (b&d). The formed modules with the number nodes more than 5 were selected to construct final
 430 modularized co-occurrence network. Each node represents a microbial order. The nodes' colors represent different major phyla (account for >75% of network
 431 members). Red and green lines represent positive and negative interactions, respectively.

432 A higher level of interaction between microbial orders was observed in the biofilm compared to the
433 mixed liquor, indicated by the higher number of nodes, edges, average degree (connectivity) and
434 clustering coefficient (Table 1), suggesting the existence of a more complex microbial structure in the
435 biofilm [15]. The higher connectivity also reflects higher stability of the microbial community in the
436 biofilm compared to the mixed liquor, since the removal of a small number of edges will not be able
437 weaken the network. In addition, more positive connections were observed in the biofilm (74.6%)
438 compared to the mixed liquor (42.2%) (Figure 6), suggesting the predominance of syntrophic and
439 mutual relationships in the biofilm. This agrees with assembly mechanisms of mixed liquor and
440 biofilm. Microbial taxa in the mixed liquor are more dispersed [63], while those in the biofilm are
441 placed in close proximity, allowing for intense communication and high synergy, and resulting in
442 their stable co-existence [50].

443 Microbial orders in each module were densely connected among one another (especially in the
444 biofilm network) and each module could be regarded as a functional ecological unit. The topological
445 role of a taxa could be defined by its position compared with others in its own module and how well it
446 connects to taxa in other modules. As shown in the Z-P plot (Figure 6B&D), the majority of nodes
447 were detected as peripherals with most of their links inside their own modules (93.9 and 91.9% in the
448 mixed liquor and biofilm network, respectively). Only 3 nodes (4.6%) in the mixed liquor network
449 and 6 nodes (7.1%) in the biofilm network were identified as connectors that are highly connected to
450 several modules and are likely to be key players in the community.

451 A common characteristic between the biofilm and mixed liquor networks is all identified connectors
452 was orders with low relative abundances. For example, the two connectors *Victivallales* and
453 uncultured *Berkelbacteria* only accounted for <0.3% in the mixed liquor community. Similarly, many
454 connectors have negligible to low relative abundance in the biofilm, e.g. *Pirellulales*,
455 *Tepidisphaerales* and unassigned *Bacteroidia* <0.2%. Xu et al. [15] and Zhang et al. [20] also
456 observed that keystone fouling-causing taxa in biofilm networks were present at very low abundances
457 (0.01%–0.93%). By contrast, dominant orders such as *Betaproteobacteriales* and *Chitinophagales* did
458 not appear to play important roles in both communities. These two dominant orders accounted for
459 $55.4 \pm 6.1\%$ of the mixed liquor community and $41.8 \pm 10.0\%$ of the biofilm community,
460 respectively).

461 The relationships between microbial taxa with environmental traits were established by the Pearson
462 correlation (Supplementary Information). The majority of network connectors strongly correlated
463 (correlation coefficient > 0.6) with EPS and SMP, further confirming their contributions to fouling. A
464 higher number of correlation was observed between microbial taxa in the biofilm and fouling
465 indicators than that of the mixed liquor. These results suggest that the biofilm microbial community is
466 more fouling-associated. In addition, since keystone fouling-causing taxa only occur in the fouling
467 layer at a very low abundance ($<1\%$), it may be possible to independently regulate them to control
468 fouling without affecting biological performance of the mixed liquor. For example, bacteriophage – a
469 virus that infects and destroy specific host bacterium through cell lysis/disruption actions – can be
470 used to eliminate these fouling-associated taxa in the community [64, 65], with minimal unintentional
471 ecological impacts on other taxa [66]. Goldman et al. [67] demonstrated that phages targeting
472 *Pseudomonas aeruginosa*, *Acinetobacter johnsonii* and *Bacillus subtilis* can reduce membrane
473 biofouling by 40% to $>60\%$ in ultrafiltration system. Ma et al. [68] also reported effective fouling
474 mitigation with different bacteriophage assisted anti-biofouling strategies in ultrafiltration including
475 phage immobilization on the membrane surface in dead-end filtration system, phage addition into the
476 feed of cross-flow, and phage-assisted cleaning of a biofouled membrane.

477 **4. Conclusion**

478 This study highlights the importance of bioinformatics analysis and index selection for microbial
479 community characterization. Using a combination of complementary bioinformatics analyses (i.e.
480 UPGMA clustering analysis, differential abundance analysis and network analysis), this study
481 provides a more complete picture of the difference in microbial community between the fouling layer
482 (biofilm) and mixed liquor and helps to reconcile the discrepancy in the current literature. There is a
483 subtle but critical difference in microbial community structure between the fouling layer and mixed
484 liquor. Although broadly similar in the composition of abundant microbial taxa, the fouling layer
485 (biofilm) shows a higher level of inter-species interaction. Key drivers of the critical difference
486 between the fouling layer and mixed liquor were identified to be low-abundance taxa ($<1\%$) which
487 formed multiple syntrophic interactions with more abundant taxa in the community. These keystone
488 fouling-causing taxa in the fouling layer appear to play critical role in communication and forming
489 syntrophic and mutual interaction with other more abundant taxa within the network. Results from
490 this study are useful for the development of biological techniques that target these specific low-
491 abundance fouling-associated taxa to control fouling in MBR applications.

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