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1 Beam Damage Detection Using Synchronisation of Peaks in 2 Instantaneous Frequency and Amplitude of vibration Data

3 Mohsen Mousavi^a, Damien Holloway^{*a}, J.C. Olivier^a, Amir H. Gandomi ^{*b}

4 ^a*School of Engineering, University of Tasmania, Hobart 7005, Tasmania, Australia*

5 ^b*Faculty of Engineering and IT, University of Technology Sydney, Ultimo, NSW 2007, Australia*

6 **Abstract**

7 This paper explores the advantages of Variational Mode Decomposition (VMD)
8 in detecting local damage on beam type structures (bridge) subjected to a sprung
9 mass (vehicle). VMD is used to decompose the acceleration time history of the
10 bridge at its midspan into its constitutive intrinsic mode functions (IMFs). The in-
11 stantaneous frequency (IF) and instantaneous amplitude (IA) of the first IMF show
12 irregularities at the damage position. We demonstrate through computer simula-
13 tion that VMD is superior for detecting damage when compared to the well-known
14 Empirical Mode Decomposition (EMD) method. A new damage sensitive feature
15 (DSF) is also introduced that considers synchronisation of peaks between the IA
16 and IF signals. The results show that the new DSF can enhance the peak at the
17 damage positions while suppressing peaks at other locations.

18 *Keywords:* Vehicle Bridge Interaction, Variational Mode Decomposition,
19 Moving Mass, Vibration, Damage Detection, SHM of Bridge, Empirical
20 Mode Decomposition

Email addresses: Corresponding authors: damien.holloway@utas.edu.au (Damien Holloway*), gandomi@uts.edu.au (Amir H. Gandomi *)

21 1. Introduction

22 There are two types of damage that are addressed in the context of the structural
23 health monitoring of bridge structures (simply supported beam model). These are
24 (1) local stiffness reduction, such as open or breathing cracks [1, 2, 3] or bolt looseness
25 [4] which are, more often, modeled as a massless rotational spring with a stiffness
26 equal to the stiffness of the reduced section; and (2) Stiffness reduction of a more
27 extensive area of the beam due to fatigue damage [5]. The latter type of damage is
28 mostly modeled by multiplying the stiffness matrix of the defective element [6] by
29 a scalar constant $1 - \lambda$, where λ is a damage index varying between 0 for a healthy
30 structure and 1 for a totally defective element. Equivalently, in other research, the
31 damage is simply modelled as a reduced cross section [7, 8, 9].

32 Having categorised the types of damage, there are generally two classes of tech-
33 niques to locate them on bridges. The first category, which is response-based and
34 therefore baseline-free, has roots in signal processing [10]. These methods often
35 seek a peak at the position of the local damage. To characterise the damage, the
36 signal needs to be decomposed into constructive narrow-banded components using
37 some signal decomposition algorithms such as wavelet transform (WT) [11], em-
38 pirical mode decomposition (EMD) [12, 2, 13], or variational mode decomposition
39 (VMD) [14]. Other signal-based techniques include those based on machine learning
40 algorithms such as deep-learning [15]. The second category is baseline based damage
41 detection techniques [16], in which one compares the response of the defective struc-
42 ture against the response of the healthy structure to derive information about the
43 damage. This often relies on the finite element (FE) model updating of the intact
44 structure. Both the above classes of techniques have been used to locate either local
45 crack or fatigue damage on beam structures in the literature.

46 A recent review of bridge structure damage identification methods [17] classifies
47 bridges as beam, truss, arch, cable-stayed or suspension, and within the beam bridge
48 category several techniques are described including acceleration-based time-domain
49 damage index waveform analysis. This paper deals with the first type of damage
50 (crack damage) and introduces an acceleration response-based damage detection
51 technique for local crack damage detection on beam bridge structures. Hence no

52 baseline or FE model of the healthy structure is required for detection purposes.

53 A commonly used excitation for response-based techniques on bridges is the
54 moving load or mass. It has been shown that the deflection response of the beam
55 when using a small and uniform velocity of the moving load is analogous to the
56 Influence Line (IL) of the beam [18]. As such, a quasi-static moving load can be
57 traversed across the bridge to derive the beam IL. According to the Maxwell-Betti
58 principle of reciprocal deflection, the response at some point A on the beam is equal
59 to the deflection of the beam at each load point when a static force is applied to A .
60 This property has recently been used by Sun et al. [6] to obtain the curvature of the
61 beam. Yang et al. [19] had earlier shown that the curvature of the beam is sensitive
62 to damage and, therefore, can be considered a good damage indicator.

63 The dynamic component of the response has also been shown to contain useful
64 information about damage. For example, wavelet transformation has been used to
65 separate the dynamic response of the beam for damage detection. He et al. argue
66 that the moving load frequency component of the response of the beam is preferred
67 for damage localisation. Therefore, a multi-scale discrete wavelet transform is used
68 in their paper to separate the moving frequency component from beam frequency
69 component for damage localisation [8].

70 In terms of innovative signal processing used in response-based techniques, the
71 most significant and widely used recent contribution to the field of structural health
72 monitoring (SHM) is Empirical Mode Decomposition (EMD), first introduced by
73 Huang et al. [20]. This is a technique that interpolates splines between the average
74 of the peaks and troughs and recursively subtracts these curves (known as Intrinsic
75 Mode functions, or IMFs) from the original signal.

76 A key reason for the effectiveness of EMD is that it preserves the nonlinear re-
77 sponse of the bridge to the moving mass at the position of the local damage. These
78 nonlinear effects are known to be of higher frequency and therefore the higher fre-
79 quency bands of the signal (the first intrinsic mode functions, or IMFs) are more
80 sensitive to the damage [12]. Meredith et al. proposed a technique based on the
81 EMD to detect multiple damage on a simply supported beam subjected to a moving
82 load. However, the effect of the road roughness on damage detection is not con-
83 sidered in their work. O'Brien et al. [21] used EMD for drive-by bridge damage

84 detection. Although the effect of the road roughness profile is considered in their
85 paper, a difference in the acceleration signals on healthy and the corresponding dam-
86 aged structures is used, which must be obtained prior to applying EMD. Therefore,
87 the technique requires a baseline to be available from an experiment conducted on
88 the intact structure. However, He et al. argue that a baseline is not generally useful
89 due to the fact that a small fluctuation of the velocity of the moving mass can bring
90 about a discrepancy of the velocity profile for the moving mass (in the experiments
91 conducted on the intact and damaged beams) [7]. Therefore in this paper we pro-
92 pose a baseline-free method which can localise damage on a simply supported beam
93 subjected to a moving mass considering the road profile effects.

94 Using different instrumentation such as a switch from the bridge to a passing
95 vehicle to collect indirect measurements for the bridge responses has been demon-
96 strated as a useful technique for bridge damage detection in recent years [1, 22].
97 Unmanned Aerial Vehicles (UAVs) has also been used as a new technique for bridge
98 inspection recently [23, 24]. More advanced strategy using this new technique has
99 been proposed in [25, 26].

100 Several authors have used the first (highest frequency) IMF for damage detection.
101 For instance, Roveri et al. [2] exploited the EMD algorithm to detect an open crack
102 on beams using the instantaneous frequency (IF) of the first IMF of the dynamic
103 deflection response of the bridge. In a study conducted by Quek et al., the au-
104 thors investigated the feasibility of application of the Hilbert-Huang transformation
105 (HHT) in locating any types of anomaly in structures using detected propagating
106 wave signals [27]. Pines et al. applied HHT to study damage in some 1D structures
107 by decomposing recorded time series to extract the phase, and damping informa-
108 tion [28]. These extracted data are then used to determine the underlying incident
109 energy propagating through the structure.

110 Cheraghi et al. introduced novel damage indices using EMD and Fast Fourier
111 Transform (FFT) integration for detecting any change in stiffness of a vibrating
112 pipe. The authors studied the first IMF for extracting information about damage.
113 A finite element simulation is carried out for both the healthy and damaged pipe.
114 The results show that the proposed damage indices are sensitive to the size and
115 location of the damage [29].

116 In one of the first studies to apply EMD to VBI data with introduced noise in
117 the form of road surface roughness, OBrien et al. apply EMD to decompose the
118 acceleration signal of the beam subjected to a moving load into its component [21].
119 Then the first IMF is obtained from the decomposed acceleration signal to derive
120 information about damage. OBrien et al. define a damage indicator based on the
121 difference between the signals obtained from the intact and damage structure. It is
122 shown that this subtraction can remove the effects of road profile excitation as well.

123 However, in most of these EMD-based studies, either no noise is present, or
124 a baseline undamaged structure response is required. Many new advanced signal
125 processing techniques have been developed in recent years which can be exploited
126 to capture the nonlinear part of a time series [30, 31]. The following section briefly
127 describes and compares the HHT and EMD signal processing techniques, outlines
128 their shortcomings, and proposes variational mode decomposition (VMD) as an
129 alternative to EMD. VMD was first introduced in the 2014 paper of Dragomiretskiy
130 and Zosso [30], and has been widely used for fault detection in mechanical and
131 electrical applications (see for example [32, 33]).

132 The paper presents two key contributions as follows: (1) it demonstrates that
133 VMD can be deployed for damage detection on a simply supported beam subjected to
134 a moving mass, including the presence of the road roughness profile, and is superior
135 to EMD because the decomposition can be better controlled to exclude unwanted
136 features; and (2) the instantaneous frequency and amplitude data obtained from
137 the first IMF of the acceleration signal using VMD may be fused in order to better
138 localise damage, as well as to remove any peaks not related to damage.

139 **2. Signal decomposition techniques**

140 *2.1. Hilbert transform*

141 The Hilbert transform was first introduced by David Hilbert (1862–1943) [34].
142 In modern signal processing approaches, the Hilbert transform is widely used to
143 interpret signals. The basic condition for using the Hilbert transform is *causality*
144 which means that the signal at any time is not dependent on any future events or
145 conditions. It follows that the signal for negative time is zero. The general form of

146 the Hilbert transform of a causal signal $g(t)$ is defined as

$$\hat{g}(t) = \lim_{\epsilon \rightarrow 0} \frac{1}{\pi} \int_{|\tau-t|>\epsilon} \frac{g(\tau)}{t-\tau} d\tau, \quad (1)$$

147 where, $\hat{g}(t)$ represents the Hilbert Transform of the signal $g(t)$, and the limit satisfies
 148 Cauchy principle value for the integral [35]. It can be shown that the integral of
 149 Equation 1 converges and consequently the Hilbert transform is well-defined.

150 Physically, it is often valuable to define a quantity known as the ‘instantaneous
 151 frequency’ (IF) when dealing with a non-stationary signal. Accordingly, an analytic
 152 signal $g_a(t)$ is first defined (see Gabor [36]) in which the real and imaginary parts of
 153 Gabor’s complex signal are the original signal and its Hilbert transform, respectively.
 154 Therefore one may write

$$g_a(t) = g(t) + j\hat{g}(t). \quad (2)$$

155 The resulting analytical signal $g_a(t)$ can be written using Euler’s formula in terms
 156 of time-variant ‘instantaneous amplitude’ (IA) $g_m(t)$ and ‘instantaneous phase’ $\phi(t)$
 157 as

$$g_a(t) = g_m(t)e^{j\phi(t)}, \quad (3)$$

158 and $g_m(t)$ and $\phi(t)$ are in turn

$$g_m(t) = \sqrt{g^2(t) + \hat{g}^2(t)}, \quad (4)$$

$$\phi(t) = \tan^{-1} \left(\frac{\hat{g}(t)}{g(t)} \right). \quad (5)$$

159 Finally, the instantaneous frequency (IF) can be evaluated by differentiating the
 160 instantaneous phase with respect to time,

$$\omega(t) = \frac{d\phi(t)}{dt}. \quad (6)$$

161 According to this definition, instantaneous frequency is well-defined when ap-
 162 plied to a mono-component or monotonic signal, which means that at each time the
 163 signal is not a combination of a number of different signals. Otherwise, the instan-
 164 taneous frequency for a multi-component signal is meaningless. However, Huang
 165 et al. introduced the Hilbert-Huang Transform (HHT) using an Empirical Mode
 166 Decomposition (EMD) method to first separate a multi-component signal into its
 167 constructive modes, each of which is mono-component (narrow-banded) [20], before

168 applying the Hilbert Transform. The modes thus obtained are termed Intrinsic Mode
169 Functions (IMFs), and the original signal can be fully reconstructed by combining
170 them. The process through which these modes are obtained is a recursive sifting
171 process, described below.

172 It is shown that the HHT can be applied to a non-stationary non-linear sig-
173 nal, whereas the FFT by definition assumes an energy signal not suitable to non-
174 stationary signals, while the wavelet transform can be applied to non-stationary
175 linear signals.

176 *2.2. Empirical mode decomposition (EMD)*

177 As mentioned in the previous section, the EMD is an empirical decomposition
178 algorithm first introduced by Huang et al. in order to decompose a non-stationary
179 signal into its oscillation modes or IMFs [20]. Despite the traditional linear modal
180 analysis, IMFs extracted from EMD can be non-stationary, i.e. they can be mod-
181 ulated in both amplitude and frequency. However, in common with linear modal
182 analysis, each IMF is narrow band and approximately involves only one mode of
183 oscillation. Hence, the IMF's characteristics can be summarized as follows:

- 184 1. as each IMF is narrow band, it involves only one mode of oscillation;
- 185 2. each IMF is modulated in both amplitude and frequency;
- 186 3. an IMF can be non-stationary.

187 Figure 1 shows the flowchart of the basic EMD algorithm applied to an arbitrary
188 signal $X(t)$.

189 *2.3. Shortcomings of EMD and alternative approaches*

190 The EMD algorithm has been shown to be very effective in decomposing non-
191 stationary and nonlinear signals into its components and, therefore, has been em-
192 ployed as an effective method for damage detection and other SHM contexts by
193 many researchers [28, 29, 38, 39, 40].

194 However, although the HHT is considered a good method for studying non-
195 stationary signals, current implementations exhibit some shortcomings rooted in
196 the use of EMD to decompose the signal [41].

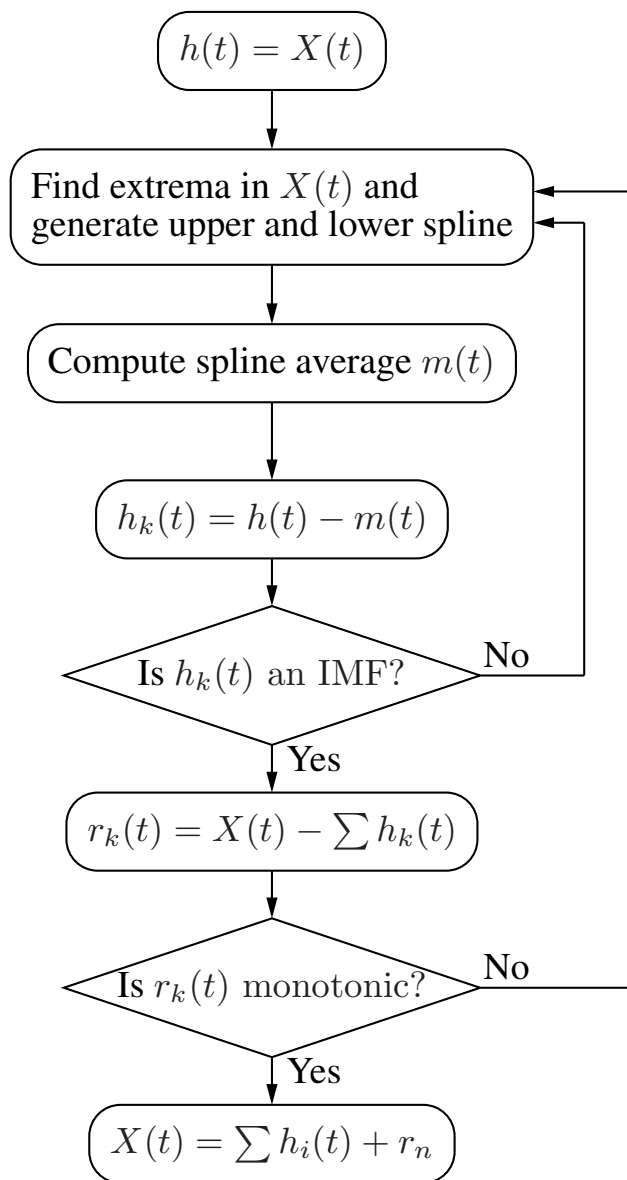


Figure 1: Flowchart for the EMD algorithm [37].

197 EMD, being an empirical method, sometimes fails to decompose a signal into
 198 perfectly narrow-banded components. As a results, the change in instantaneous
 199 frequency cannot be detected when the extracted IMF covers a wide-band frequency
 200 range. Hence, several modifications have been introduced by researchers to improve
 201 the performance of HHT in decomposing a signal into mono-component parts [42,
 202 43].

203 It has also been argued that EMD shows limitations in terms of sensitivity
 204 to noise and sampling [44] and several researchers have introduced new schemes
 205 that overcome these limitations. Recently Dragomiretskiy et al. introduced a new
 206 method, called variational mode decomposition (VMD), for adaptive decomposition
 207 of a signal into its components [30]. The method is a generalization of the classic
 208 Wiener filter. Consequently, in contrast to EMD, VMD is entirely non-recursive.

209 2.4. Variational mode decomposition (VMD)

210 New signal decomposition methods have been proposed to deal with the short-
 211 comings of EMD. As such, VMD is a newly proposed signal decomposition technique
 212 that seeks to decompose a real valued signal $X(t)$ into its components. Since the
 213 criteria for a mode to be considered as an IMF slightly changed [45, 46], VMD de-
 214 fines an IMF as an Amplitude-Modulated-Frequency-Modulated (AM-FM) sinusoid
 215 with the following additional characteristics:

- 216 1. the phase corresponding to an IMF is a non-decreasing function;
- 217 2. the envelope of the IMF is non-negative;
- 218 3. both the envelope and the instantaneous frequency corresponding to an IMF
 219 vary much more slowly than the phase;

220 As such, the IMF can be written as

$$u_k(t) = A_k(t) \cos(\phi_k(t)) \quad (7)$$

221 where $A_k(t)$ is the instantaneous amplitude and $\phi_k(t)$ represents represents the
 222 phase. Note that, from Equation 6, $\omega_k(t) = \phi'_k(t)$.

223 Comparing the new definition of IMF with the original one introduced by Huang
 224 et al., it is clear that the new definition is slightly more restrictive. As a result, this

225 forces a mode to have a smaller frequency domain support, complying with the
226 concept of a mono-component signal.

227 The main algorithm of the VMD method can be found in Dragomiretskiy and
228 Zosso's original paper [30], and the MATLAB code for implementing the algorithm
229 can be found online [47]. Figure 2 shows the general scheme of VMD. In implement-
230 ing the algorithm, although two further terms are added to the goal function of the
231 optimisation problem, which are (1) a quadratic penalty at finite weight, and (2)
232 a Lagrangian multiplier to strictly enforce the constraint (the reader is referred to
233 the original paper for further details [30]). The former will further guarantee the
234 achievement of convergence in the presence of noise in the signal. As such, three
235 main parameters have to be set in the MATLAB program as follows:

- 236 1. a quadratic penalty term (α), higher values of which decrease noise in the de-
237 composed IMFs. However, increasing α also decreases the bandwidth, which
238 decreases the accuracy with which the center frequency of each mode is cap-
239 tured. It is noted that the noise thus eliminated does not appear in the IMFs,
240 hence a version of the original signal with reduced noise is recovered when all
241 the extracted IMFs are summed.
- 242 2. the number of modes into which the signal is chosen to be decomposed (k). In
243 contrast to EMD, VMD can decompose the signal into an arbitrary number
244 of IMFs. However, a good decision on the optimum number of IMFs depends
245 on a knowledge of the physics of the studied system. In EMD, the signal is
246 recursively decomposed, therefore the user cannot *a priori* control the number
247 of decomposition steps. This may over-decompose the signal into too many
248 IMFs, especially in the presence of noise, hence individual IMFs may have
249 missing information.
- 250 3. the convergence tolerance level (ϵ), which controls the relative error in the
251 reconstructed modes. For small values of ϵ the decomposition is essentially
252 independent of the value chosen.

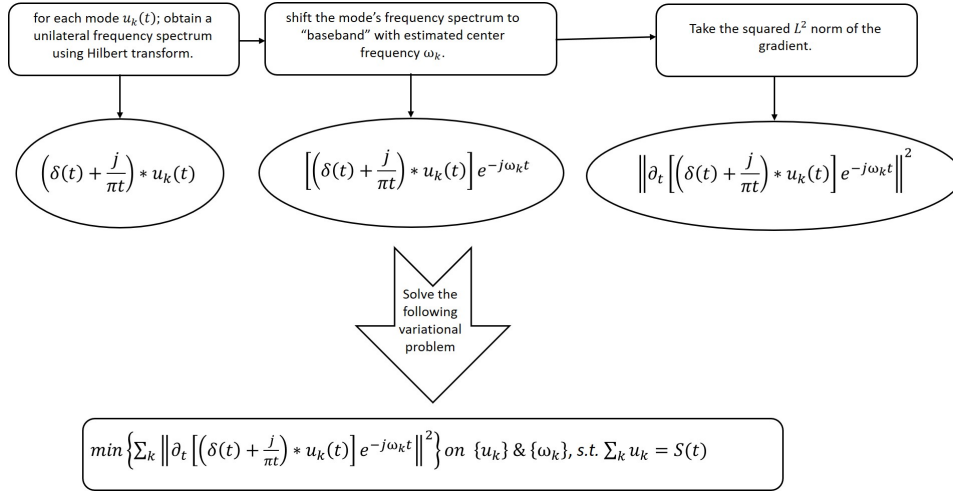


Figure 2: Scheme of the VMD.

253 3. Vehicle Bridge Interaction (VBI) simulation considering road rough- 254 ness

255 In this section, a finite element model of the vehicle bridge interaction is devel-
256 oped, taking into account the road roughness (Figure 3). The example studied in
257 this paper is identical to the one used in [1]. Accordingly, a sprung mass m_v with
258 the stiffness k_v and damping ratio ζ_v is considered to traverse the bridge (simply
259 supported beam) at constant velocity, and the interaction between the mass and the
260 bridge is taken into account. Hermite cubic shape function for beam elements are
261 used as follows for finite element modeling,

$$\begin{aligned}
 N_1 &= 1 - 3\zeta^2 + 2\zeta^3 \\
 N_2 &= L_e (\zeta - 2\zeta^2 + \zeta^3) \\
 N_3 &= 3\zeta^2 - 2\zeta^3 \\
 N_4 &= L_e (-\zeta^2 + \zeta^3).
 \end{aligned} \tag{8}$$

262 As such, the cubic Hermitian interpolation vector $[N]_c$ evaluated at the contact point
263 is constructed and used in the finite element model of the bridge-vehicle interaction

264 as follows [1],

$$\begin{aligned}
& \begin{bmatrix} m_v & 0 \\ 0 & [m_b] \end{bmatrix} \begin{Bmatrix} \ddot{y}_v \\ \{\ddot{q}_b\} \end{Bmatrix} + \begin{bmatrix} c_v & -c_v\{N\}_c^\tau \\ -c_v\{N\}_c & [c_b] + c_v\{N\}_c\{N\}_c^\tau \end{bmatrix} \begin{Bmatrix} \dot{y}_v \\ \{\dot{q}_b\} \end{Bmatrix} \\
& + \begin{bmatrix} k_v & -c_v V\{N'\}_c^\tau - k_v\{N\}_c^\tau \\ -k_v\{N\}_c & [k_b] + c_v V\{N\}_c\{N'\}_c^\tau + k_v\{N\}_c\{N\}_c^\tau \end{bmatrix} \begin{Bmatrix} y_v \\ \{q_b\} \end{Bmatrix} \\
& = \begin{Bmatrix} c_v V r'_c + k_v r_c \\ -c_v V r'_c\{N\}_c - k_v r_c\{N\}_c - m_v g\{N\}_c \end{Bmatrix} \quad (9)
\end{aligned}$$

265 where $[m_b]$, $[c_b]$, and $[k_b]$ represent respectively the mass, damping and stiffness
266 matrices of the finite element model of the beam and, as mentioned above, m_v , k_v ,
267 and c_v represent respectively the moving mass and its suspension system. Note that
268 in the above equation τ and $'$ represent respectively the transpose of a matrix and
269 derivative with respect to the position, and y_v and $\{q_b\}$ represent respectively, the
270 vertical displacements of the moving mass and the nodal degrees of freedom (vertical
271 translations and rotations) of the VBI elements.

272 The damping in this equation is modelled as Rayleigh damping, i.e. of the form
273 $[c] = \alpha[m] + \beta[k]$. The Rayleigh constants α and β were set to achieve the target
274 damping ratios specified in Table 1 at the first two natural frequencies, namely 5%
275 and 10% for the beam and suspension respectively.

276 Finally, r_c denotes an artificial road roughness generated by the following equa-
277 tion from [48], which in turn is based on ISO 8608,

$$r_c(x) = \sum_{i=0}^N 2^k \times 10^{-3} \times \sqrt{\Delta n} \left(\frac{n_0}{i \Delta n} \right) \cos(2\pi i \Delta n x + \phi_i), \quad (10)$$

278 where the constant $(2^k \times 10^{-3})$ has units $\text{m}^{3/2}$ and Δn has units m^{-1} , hence r_c has
279 units m. The constant scalar k depends on the ISO road profile classification and
280 takes an integer from 3 to 9, corresponding to the profiles from class A to class H (in
281 this paper $k = 3$), and $n_0 = 0.1 \text{ m}^{-1}$. Also in Equation 10, x denotes the variable
282 abscissa on the road with respect to the reference point, ϕ_i is a random phase angle
283 within the range of 0 to 2π with a uniform probabilistic distribution, and $N = L/B$
284 and $\Delta n = 1/L$, where L is the length of the road profile and B is the wavelength of
285 the shortest spatial component of the roughness profile.

286 The finite element model of Equation 9 can be solved using Newmark constant
 287 average acceleration method in MATLAB with $\beta = 0.25$ and $\gamma = 0.5$. In order to
 288 achieve a reasonable initial condition, it is assumed that the mass has been moving
 289 over the rough road with a length equal to the length of the bridge L before it arrives
 290 at the left hand side of the bridge, and continues moving over the bridge until it
 291 reaches the right hand side. Therefore, a road profile for a length of $2L$ is generated
 and used in simulations.

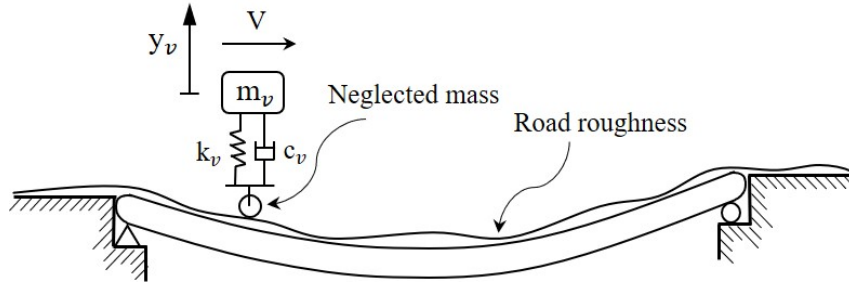


Figure 3: Moving load with suspension system over a bridge with rough surface.

292

293 In this paper, the damage is introduced as a zero-length spring located between
 294 two standard beam elements, with rotational and translational stiffnesses of k_r and
 295 k_t , respectively [49, 50]. As such, the stiffness matrix of the crack element is

$$k_d = \begin{bmatrix} k_t & 0 & -k_t & 0 \\ 0 & k_r & 0 & -k_r \\ -k_t & 0 & k_t & 0 \\ 0 & -k_r & 0 & k_r \end{bmatrix}. \quad (11)$$

296 In the case that both k_r and k_t are chosen to be sufficiently large, the two section
 297 of the beam are fully connected and damage does not exist. Since usually only the
 298 loss of the rotational stiffness is considered (representing an open crack) k_t is taken
 299 to be a large value of 10^{20} N/m in this paper. In order to calculate k_r , the following
 300 formula is used [51],

$$k_r = \left[\frac{2h}{EI} \left(\frac{\alpha}{1-\alpha} \right)^2 (5.93 - 19.69\alpha + 37.14\alpha^2 - 35.84\alpha^3 + 13.12\alpha^4) \right]^{-1} \quad (12)$$

301 where the damage parameter $\alpha = 1$ represents no damage ($k_r = \infty$) and $\alpha = 0$
 302 indicates a completely defective section ($k_r = 0$).

303 The beam is divided into 35 two-dimensional beam elements with rotational and
 304 translational degrees of freedom at each node, as shown in Figure 4. Note that the
 305 crack elements are not shown in this figure, and that the relevant nodes need to be
 duplicated.

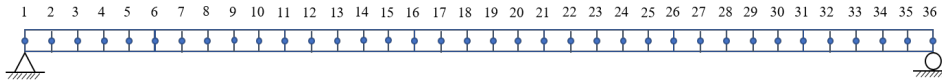


Figure 4: The beam is divided into 35 beam elements bounded by the nodes shown. In addition, to simulate damage, crack elements with stiffness given in Equations 11 and 12 are inserted at nodes 10 only, or 10 and 27, according to the scenarios in Table 2.

306

307 4. Numerical Results and Discussions

308 In this section, the finite element model outlined in the previous section is used
 309 to simulate the traverse of a moving mass across a beam with the properties given
 310 in Table 1, which give an undamped first natural frequency for the beam alone of
 311 2.67 Hz and an undamped natural frequency suspended mass (in single degree of
 312 freedom vibration) of 3.05 Hz. Note that the beam width w does not affect these
 313 natural frequencies, it essentially only changes the relativity of the moving mass to
 314 the beam mass, so affects the interaction, in particular the overall magnitude of the
 315 forcing and response.

316 Figure 5 shows the road roughness profile used in the simulations generated using
 317 Equation 10. Note that, as mentioned above, in order to have realistic and consistent
 318 initial conditions when the moving mass enters the bridge, the mass travels one full
 319 bridge length before its arrival on the bridge, thus the actual bridge span is from
 320 35 m to 70 m in Figure 5.

321 Both single and multiple damage scenarios are studied—Table 2 shows the two
 322 scenarios considered. While the both methods are computationally efficient in terms
 323 of the time required for decomposing the acceleration signal into its IMFs, the aim is
 324 to compare the effectiveness of EMD and VMD in detecting these damage scenarios.

325 4.1. Using EMD for damage detection

326 It is noted that although OBrien et al. [21] detected damage in a cracked beam by
 327 applying EMD to the VBI acceleration data, they needed to subtract the acceleration

Table 1: VBI simulation model constants.

Quantity	nomenculture	Value
Beam modulus of elasticity	E	32.5 GPa
Beam density	ρ	2500 kg/m ³
Beam damping ratio	ζ_b	5%
Beam length	L	35 m
Beam cross-section height	h	2 m
Beam cross-section width	w	1 m
Moving mass magnitude	m_v	1500 kg
Moving mass velocity	V	5 m/s
Suspension stiffness	k_v	550 kN
Suspension damping	ζ_v	10%
Sampling frequency	S_f	1000 Hz

Table 2: Simulated damage scenarios: node positions are shown in Figure 4 (i.e. Nodes 1 and 36 are the ends of the beam) and severity is the quantity $(1 - \alpha)$ in Equation (12).

Damage scenario	Damage position	Damage severity
Single (D1)	Node 10	50%
Multiple (D2)	Nodes 10 & 27	50% & 50%

328 recorded on the undamaged beam from that of its damaged counterpart to eliminate
 329 the road roughness effects. However, more often, the acceleration data from the
 330 undamaged beam is not available, and in any case would require the moving mass
 331 path and velocity to be absolutely identical in order to experience the same response
 332 to road roughness.

333 In this section therefore, we explore whether an EMD based HHT can detect
 334 damage using VBI data from only the damaged beam through studying the instan-
 335 taneous frequency (IF) or instantaneous amplitude (IA) as defined in Section 2.1.
 336 To that end the following steps are followed:

- 337 1. EMD is used to decompose the acceleration signal measured at the midspan
 338 of the beam into its IMFs.

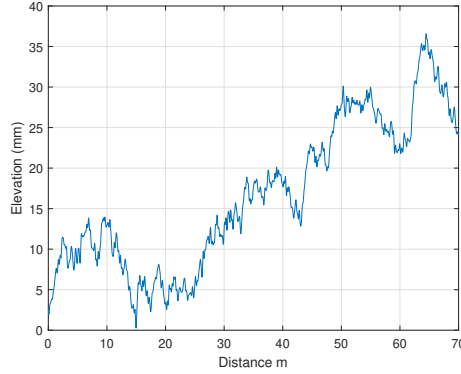


Figure 5: Road roughness profile used in simulations (see Equation 10). The beam spans from 35 m to 70 m.

339 2. the instantaneous frequency (IF) and instantaneous amplitude (IA) of the first
 340 (i.e. highest frequency) IMF are calculated as outlined in Section 2.1. In order
 341 to apply Equations 6 in MATLAB, the function `hilbert` is first used to obtain
 342 Gabor’s complex signal as defined in Equation 2. Then, the command shown
 343 in Equation 13 is used to obtain the instantaneous frequency of the constructed
 344 analytical signal from the first IMF of the acceleration signal [2, 21],

$$\text{IF} = \text{fs}/(2*\text{pi})*\text{diff}(\text{unwrap}(\text{angle}(\text{h}))); \quad (13)$$

345 Figure 6, shows the noise-free simulated acceleration signal at node 19 (the nearest
 346 node just to the right of the mid-span) for both damage scenarios. Note that the
 347 damage locations correspond to the moving mass positions at normalised times¹
 348 of $9/35 = 0.257$ and $26/35 = 0.743$. As is evident in this figure, the acceleration
 349 amplitude in the multiple damage case (Scenario D2) is smaller than in the single
 350 damage case (Scenario D1). This is due to the greater relative stiffness reduction of
 351 the beam in Scenario D2 compared to Scenario D1.

352 In the present work, EMD decomposes the acceleration signal into 7 and 8 IMFs
 353 for damage scenarios D1 and D2 respectively. Figure 7 shows the first (highest
 354 frequency) of these IMFs for each scenario, and their corresponding IF and IA². As
 355 can be seen from this figure, there are no obvious features that can be associated

¹‘Normalised time’ indicates the relative position of the load on the beam, where 0 and 1 corresponds respectively to the load entering and exiting the beam span.

²In order to exclude end effects at both ends of the signal, the first and last 10 samples of IA

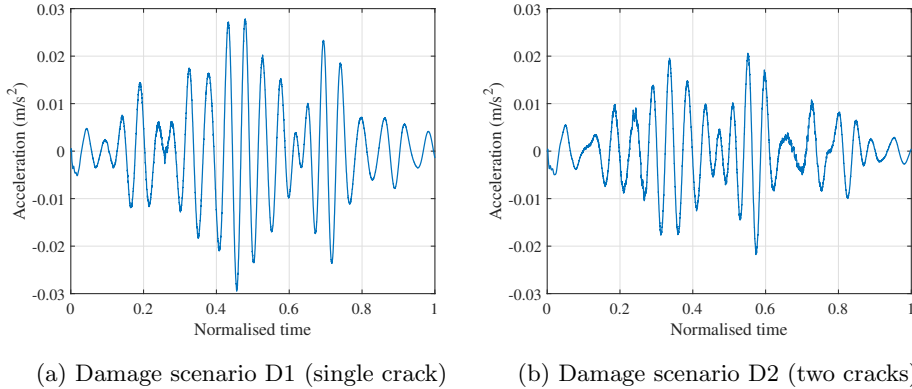


Figure 6: VBI acceleration data at node 19 for both damage scenario, simulated for a rough road surface but with no added signal noise.

356 with damage in either the IMF or its IF or IA. The remaining (lower frequency)
 357 IMFs were also investigated and similarly found to present no features that could
 358 be associated with the cracks.

359 As such, EMD fails to detect damage on a rough cracked beam subjected to a
 360 moving load using data measured only on the damaged beam. In contrast, we show
 361 in the next section that VMD is able to detect damage without the baseline state,
 362 even with additional noise introduced to the simulated acceleration signals.

363 4.2. Using VMD for damage detection: noiseless case

364 A similar procedure to the one discussed in Section 4.1 is followed for damage
 365 detection, but using VMD as the decomposition method. However, as discussed in
 366 Section 2.4, unlike EMD, the user must make some choices when using VMD. For
 367 example, one can specify the number of IMFs into which the signal is decomposed.
 368 This is a very important feature of VMD, which helps to manage information in
 369 IMFs according to some knowledge about the physics of the system. In the present
 370 work, we expect the main responses to be due to the road surface roughness and to
 371 the resonant responses of the VBI system, so we choose $k = 3$. Larger values of k
 372 lead to duplication of qualitatively similar IMFs, which is not physically meaningful.

and IF are deleted throughout this paper (as recommended in [52, 53]), representing approximately 0.00143 units of normalised time from each end.

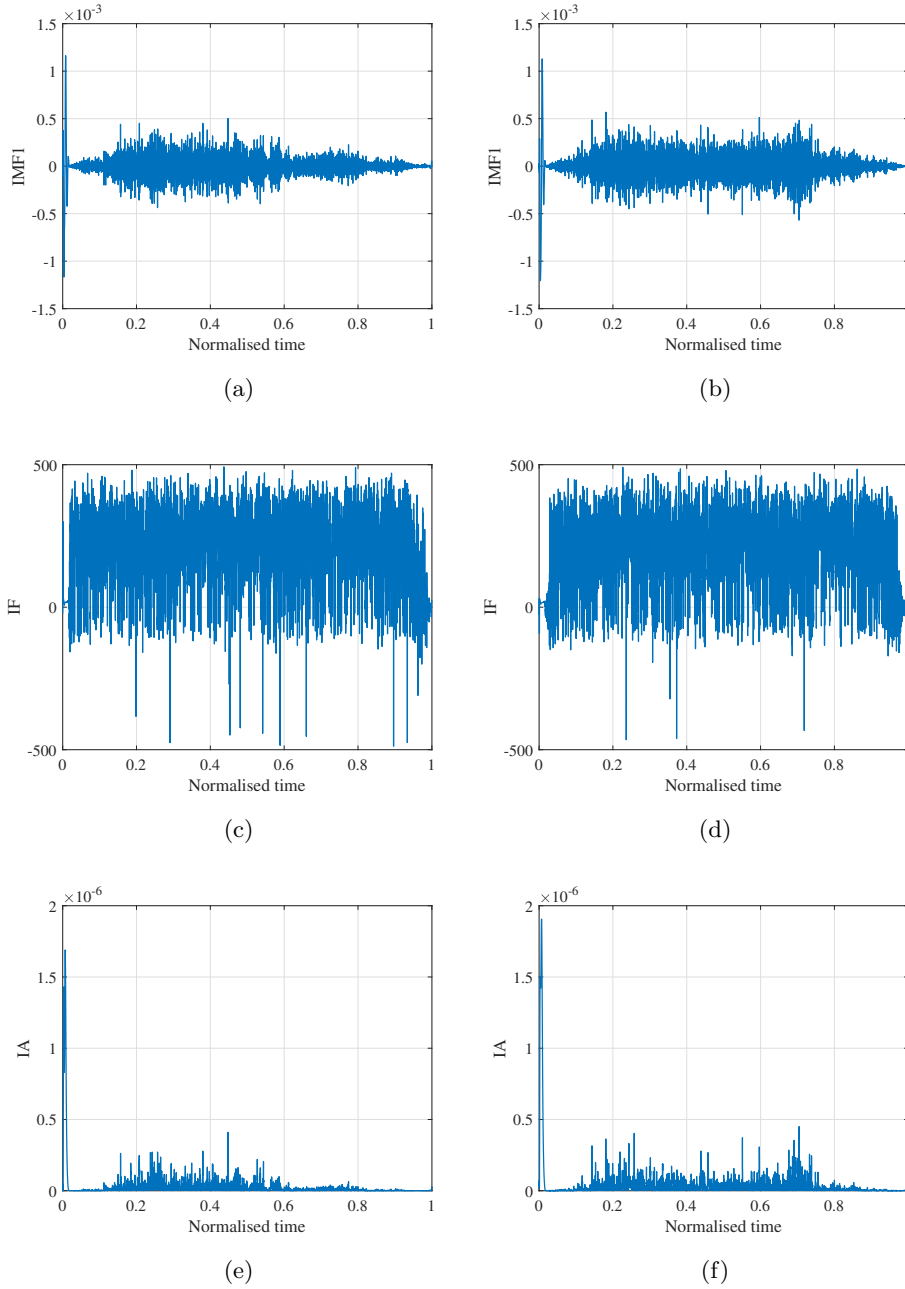


Figure 7: First (highest frequency) IMFs of VBI mid-span acceleration data, decomposed using EMD, and their corresponding IF and IA for damage scenarios D1 (a, c, and e) and D2 (b, d, and f).

373 In this section the same acceleration data are used for the damage detection as
374 were used in Section 4.1, i.e. VBI mid-span acceleration for a rough road surface, with
375 no added signal noise. The case of added noise will be investigated in Section 4.2,
376 but for the no added noise case the number of IMFs is set to three ($k = 3$ in the
377 VMD).

378 Figure 8 shows results using VMD for both damage scenarios. Note that in this
379 case, since there is no noise present in the signal the quadratic penalty term α may
380 be selected as a relative small number of 10000, thereby increasing the accuracy of
381 the center frequency of the modes, while the convergence tolerance level is set to
382 $\epsilon = 10^{-7}$. We explore the use of both the IA and IF of the first (highest frequency)³
383 IMFs for damage detection.

384 Figure 9 shows the IA of the first (highest frequency) IMF for each damage
385 scenario. It is seen that the peaks in the IA plots give a good indication of the
386 location of the damage (at normalised times of 0.26 for D1, and 0.26 and 0.74 for
387 D2). Note that the IA also shows a peak at normalised time of zero—this is not
388 actually damage, but reflects the fact that the beam is simply supported and so may
389 rotate relative to the approaching road, which is the same behaviour as would be
390 produced by a crack.

391 Next, in Figure 10, we show that the IF may also be used to detect damage. In
392 this case a higher value of α is selected to minimise the high frequency noise-like
393 disturbances caused by the road roughness since the IF requires differentiation of
394 the instantaneous phase angle for its evaluation (Equation 6); we use $\alpha = 90000$
395 for scenario D1 and 18000 for scenario D2. As such, the IMFs obtained are not the
396 same as those in Figure 8, for which $\alpha = 10000$. As is evident in Figure 10, the
397 large value of α suppresses noise significantly, hence a much lower center frequency
398 is obtained for the first IMF to the extent that this IMF looks much like the second
399 or third IMF of Figure 8.

³Note that the numbering sequence of IMFs for EMD is from highest frequency to lowest since they are extracted recursively in that order. However, for VMD they are extracted simultaneously so the numbering is arbitrary. Dragomiretskiy and Zosso number the highest frequency IMF last [30], but to minimise confusion we have kept the same ordering as for EMD.

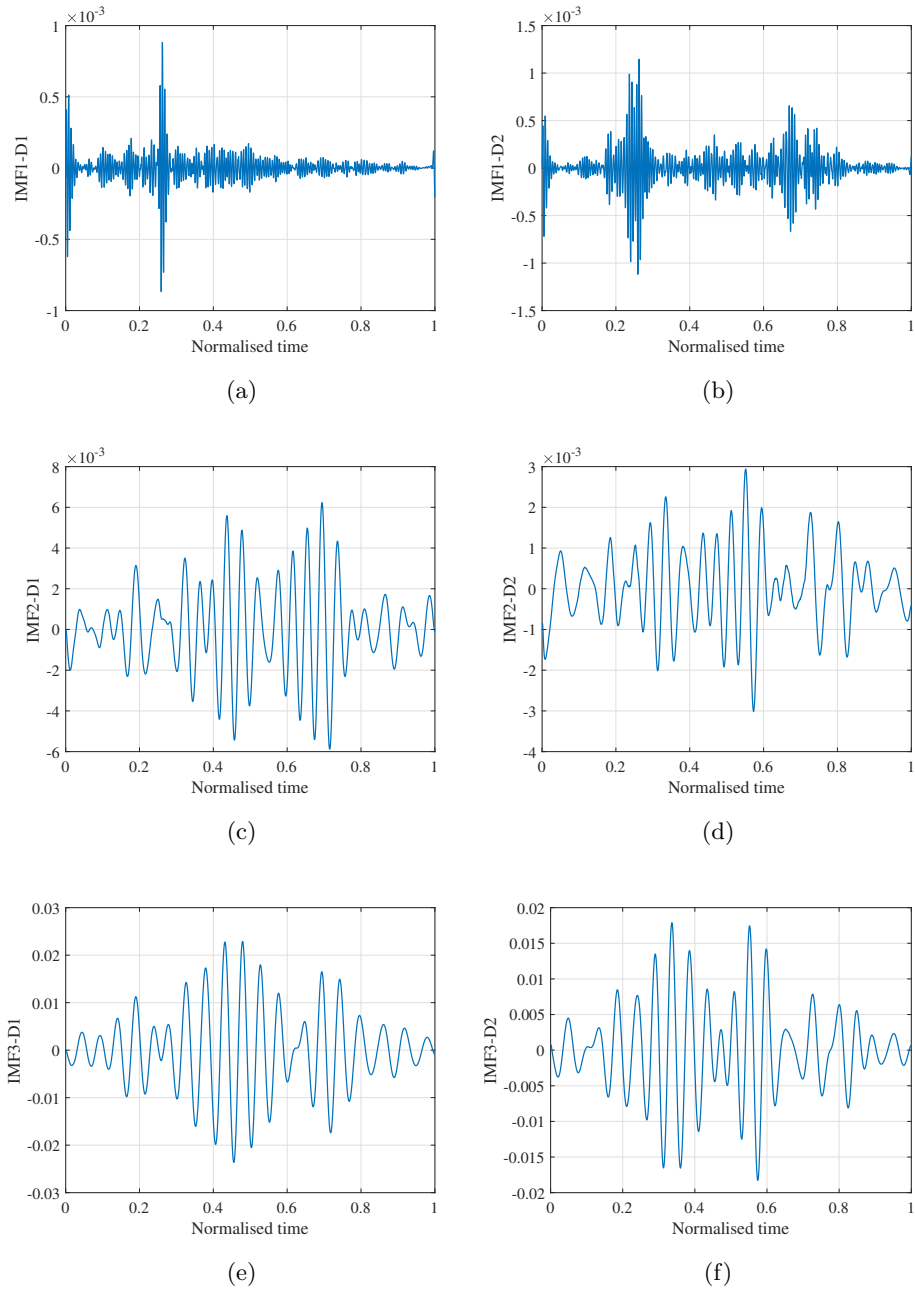


Figure 8: IMFs of VBI mid-span acceleration of Figure 6, decomposed using VMD with $k = 3$, $\alpha = 10000$ and $\epsilon = 10^{-7}$.

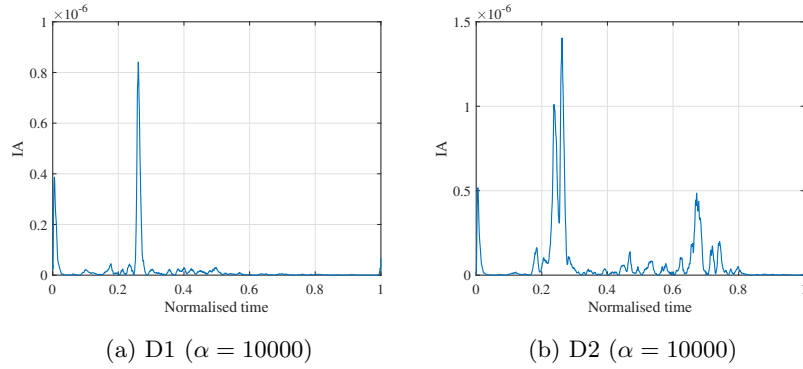


Figure 9: IA of the first IMFs from VMD of the mid-span acceleration with $k = 3$, $\alpha = 10000$ and $\epsilon = 10^{-7}$. The corresponding IMFs are shown in Figures 8a and 8b respectively. The acceleration signal was simulated for a rough road surface but with no added signal noise.

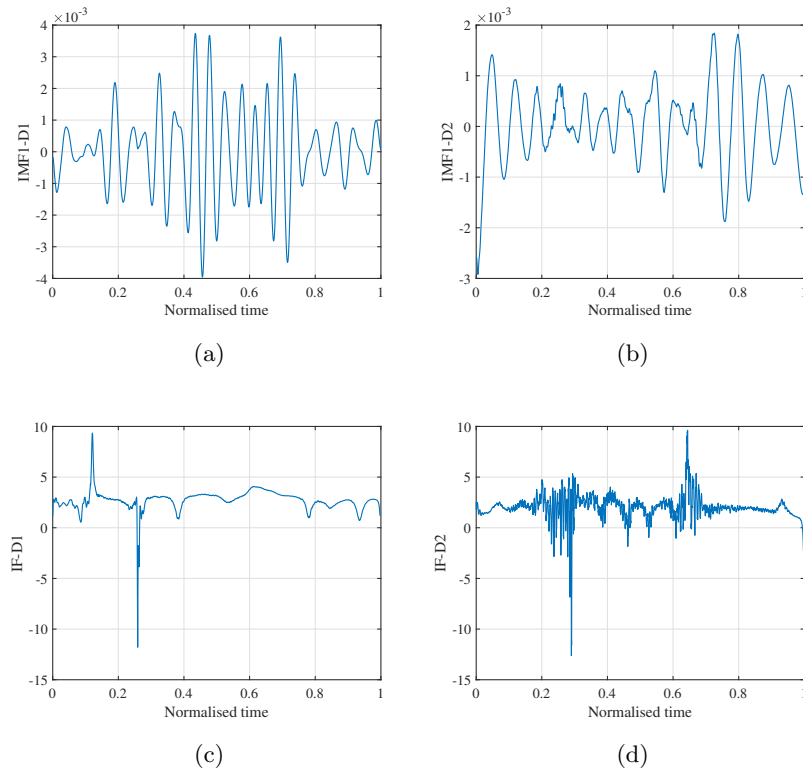


Figure 10: First (highest average frequency) IMFs and their IFs from VMD of the mid-span VBI acceleration with $k = 3$ and $\epsilon = 10^{-7}$, $\alpha = 90000$ for damage scenario D1 (a and c) and $\alpha = 18000$ for scenario D2 (b and d).

400 *4.3. Using VMD for damage detection: Noisy scenario*

401 In this section, the simulated acceleration data are assumed to be contaminated
402 by noise. To that end, a formula introduced in [18] is used as follows,

$$\hat{\delta} = \delta + \frac{\kappa}{100} n_{\text{noise}} \sigma(\delta), \quad (14)$$

403 where $\hat{\delta}$ represents the vector of noisy measured translational DOF data, δ is the
404 corresponding noise-free vector with standard deviation $\sigma(\delta)$, κ is the noise level in
405 percent (= 10 in the present work) and n_{noise} is a vector with the same length as δ
406 of random independent variables following a standard normal distribution.

407 In this section, the number of IMFs into which the signal is decomposed is
408 maintained at $k = 3$, as in the previous section. Accordingly, one may expect that
409 the noise must therefore be distributed amongst those three IMFs. However, as
410 discussed above, one needs to minimise the effect of the noise in the resulted IMFs
411 when using IF as a damage locator. This is mainly due to the fact that calculation
412 of the IF requires numerical differentiation of the phase angle, which will amplify
413 any noise.

414 As mentioned, using a larger value of α will decrease the effect of the noise on each
415 extracted IMF as the effect of Lagrange multiplier in the optimisation goal function
416 is reduced, which can subsequently lead to some of the IMFs being qualitatively
417 similar. In Figure 11, relatively large values of α have been used for both single and
418 double damage scenarios. In the case of single damage, since the distortion in the
419 signal is less severe, a very large value of $\alpha = 90000$ may be used to increase the
420 detectability of the damage, while for the case of a more distorted signal from the
421 double damage scenario a value of 29000 for α is sufficient for detecting damage.
422 Again, the damage locations (at normalised times of 0.26 for D1, and 0.26 and 0.74
423 for D2) are clearly evident in Figure 11.

424 In contrast to using IF for damage detection, IA does not need such a large value
425 of α to be set due to the fact that there is no differentiation in the formula of IA
426 (Equation 4) and therefore, the effect of noise is not amplified. Figure 12, shows
427 the result obtained from VMD and IA by choosing a considerably smaller value of
428 9000 for both damage scenarios, and again the single and multiple damage sites are
429 clearly detected.

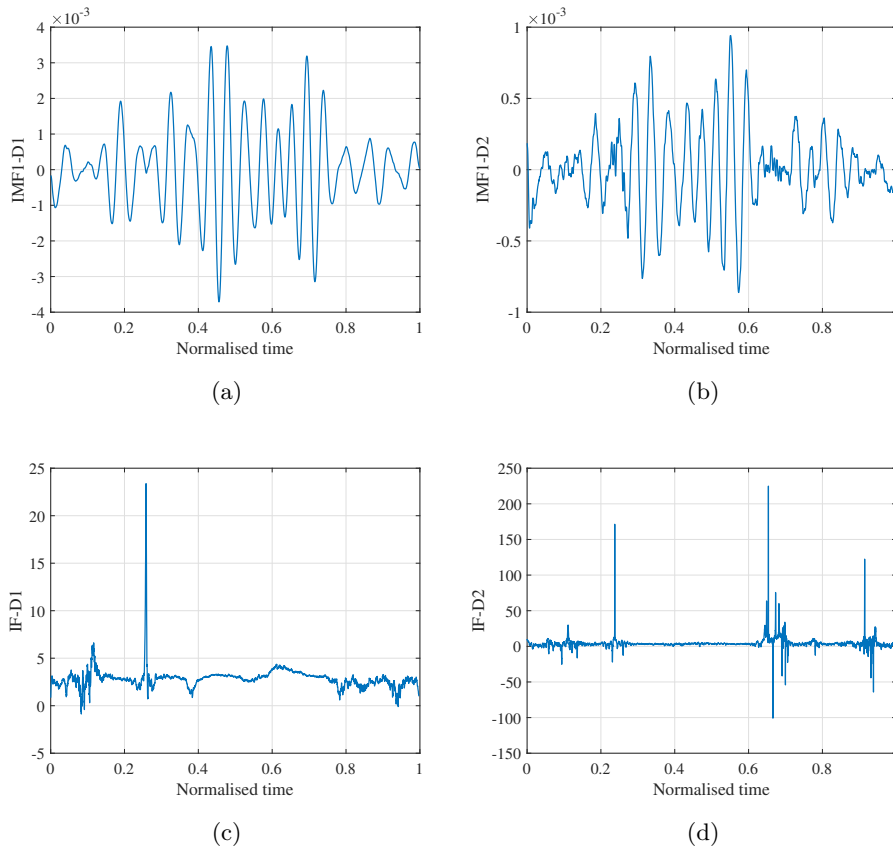


Figure 11: First (highest frequency) IMFs and their IFs from VMD of the mid-span VBI acceleration with $k = 3$ and $\epsilon = 10^{-5}$: damage scenarios D1 (a and c, $\alpha = 90000$) and D2 (b and d, $\alpha = 29,000$) with 10% added noise.

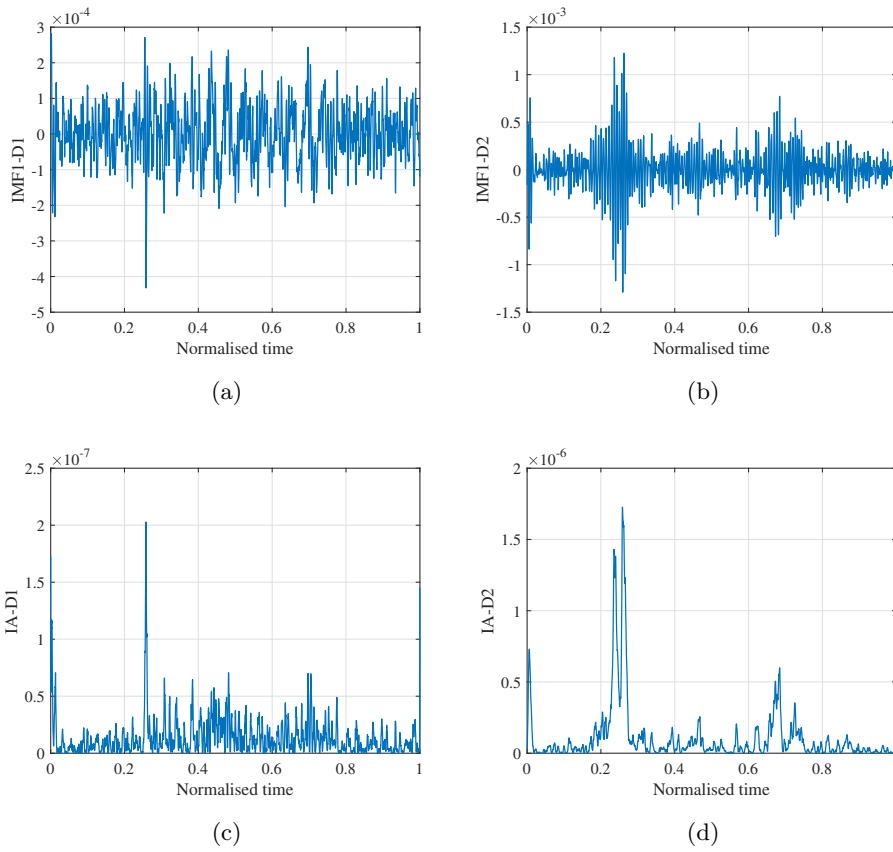


Figure 12: First (highest frequency) IMFs and their IAs from VMD of the mid-span VBI acceleration with $k = 3$ and $\epsilon = 10^{-5}$: damage scenarios D1 (a and b, $\alpha = 9000$) and D2 (c and d, $\alpha = 9000$) with 10% added noise.

430 4.4. A new damage sensitive feature

431 In this section a new damage sensitive feature (DSF) based on the synchroni-
432 sation of peaks in both IA and IF signals is introduced. To that end, we propose
433 multiplication of two signals IA and IF as a new DSF. The intuitive idea behind
434 the proposed DSF comes from the fact that both IF and IA must show a peak at
435 the position of the damage, however, while peaks not associated with damage may
436 occur at other locations in one of the signals it is less likely to be seen in the other
437 one at the same position. Therefore, by multiplying the two signals element-wise,
438 one obtains a new signal with an enhanced peak at the damage position and sup-
439 pressed peaks at other locations. For instance, it is evident from Figure 10c that
440 the obtained IF also shows a peak not associated with damage at a normalised time
441 (i.e. position) of around 0.11, and several smaller peaks. However, this is not the
442 case for the corresponding IA signal shown in Figure 9a.

443 As such, the proposed DSF is obtained by first normalising the absolute value of
444 both IA and IF with respect to their maximum values, then multiplying the results
445 as follows,

$$\text{DSF} = \frac{|IA| \odot |IF|}{\max(|IA|) \times \max(|IF|)}, \quad (15)$$

446 where in Equation 15, \odot represents the element-wise multiplication of the absolute
447 value of two signals IA and IF. The DSF obtained thus for the two damage scenarios
448 without signal noise is shown in Figure 13, while Figure 14 shows the DSF for both
449 damage scenarios when IA and IF are obtained from noisy acceleration signal as
450 discussed in Section 4.3. We see now that (excluding end effects) the peaks of the
451 new SDF are confined just to the damage locations.

452 4.5. Rationale behind the proposed techniques

453 In this section, the reasons why the obtained results are achieved are discussed.
454 At first, we provide some examples in the literature in which VMD outperforms
455 EMD and related methods, and then we discuss possible reasons why that
456 is the case in the present work.

457 The superiority of VMD over EMD has been reported in other area of research.
458 For instance, in speech recognition related work, it has been reported that VMD
459 outperforms EMD due to its self-optimisation algorithm and using the Weiner filter

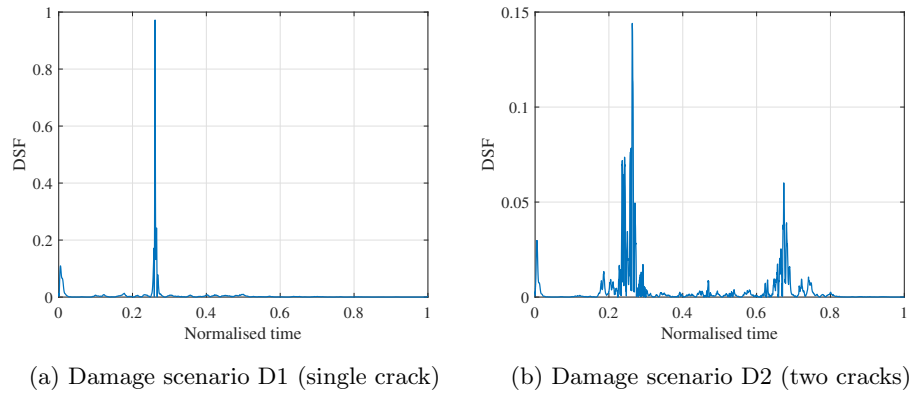


Figure 13: Obtained DSF for the noiseless first damage scenarios.

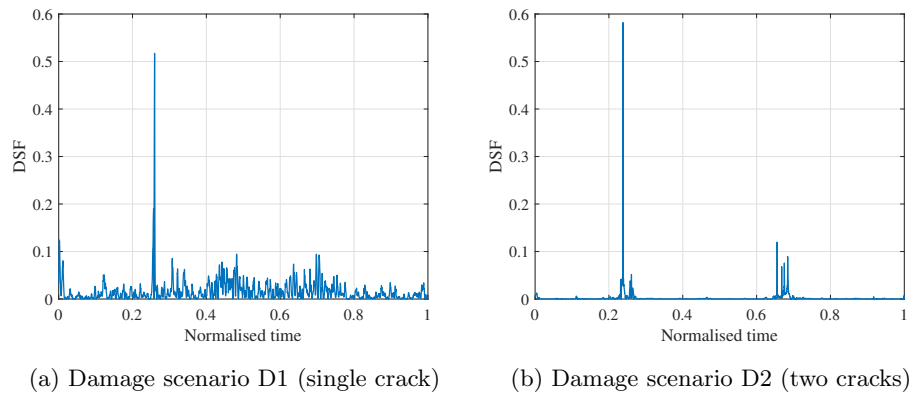


Figure 14: Obtained DSF for the noisy damage scenarios.

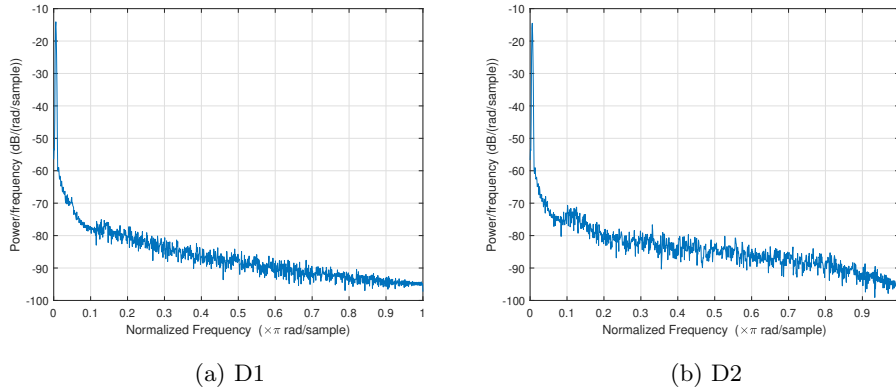


Figure 15: PSD corresponding to the noise-free acceleration signals measured at node 19 for different damage scenarios.

460 adaptively [54]. In other work related to the forecasting of carbon price using Spiking
 461 Neural Networks (SNNs), it has been shown that a VMD-SNN forecasting model
 462 outperforms EMD-SNN due to the fact that VMD decomposes the price signal more
 463 accurately [55]. The superiority of VMD over modified versions of EMD in damage
 464 diagnosis has also been mentioned by others. For instance, it has been reported that
 465 a VMD based notch filter approach outperforms the EEMD (Ensemble Empirical
 466 Mode Decomposition [56]) algorithm [57].

467 In terms of the present results, Figure 15 shows the power spectral density (PSD)
 468 of the noise-free acceleration signal of the VBI experiment measured at node 19. It
 469 is noted that most of the signal energy is concentrated in a low frequency range of
 470 2–4 Hz. EMD and VMD are further used to decompose the signal and the PSD
 471 corresponding to each mode is extracted.

472 Figure 16 shows the one-sided PSD corresponding to the IMFs extracted using
 473 EMD (16a and 16b) and VMD (16c and 16d) algorithms, applied to the acceleration
 474 signals of the VBI experiment measured at node 19. Note that VMD decomposition
 475 has been performed using $\alpha = 90000$ and $\alpha = 29000$ for D1 and D2, respectively,
 476 keeping it in line with the previous sections. Neglecting energy levels less than
 477 -80 dB, it is apparent from these figures that EMD suffers from the commonly
 478 reported mode mixing problem, especially in the few first IMFs (i.e. in the IMFs
 479 that show higher energy level for larger normalised frequencies). However, in the

480 case of VMD it can be seen that the energy of the different frequency bands is well
 481 separated. This advantage is achieved due to the control over the number of IMFs
 482 into which the signal is chosen to be decomposed.

483 The second phenomenon that is evident from the PSD corresponding to modes
 484 of EMD is that the noise is distributed among different modes, which itself interferes
 485 with proper separation of modes. In contrast, using VMD, one can deal with this
 486 problem by setting α to an appropriate value. As such, VMD can repress the effect
 487 of noise in the IMFs better than EMD.

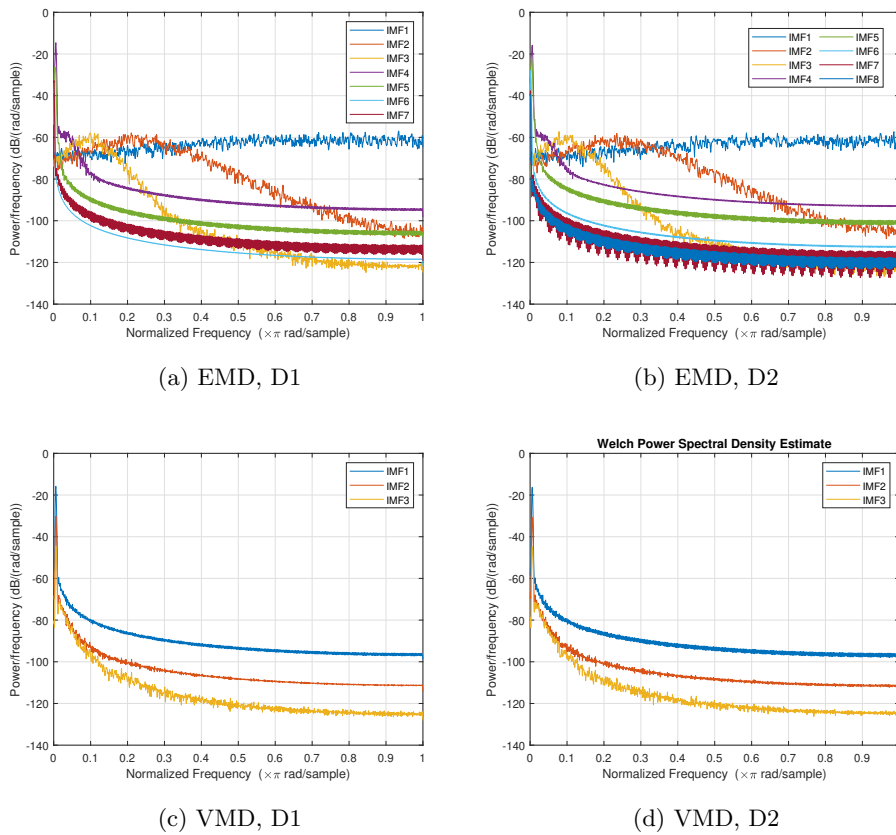


Figure 16: PSD of the IMFs obtained from VMD and EMD decomposition.

488 As for the proposed damage sensitive feature, we assume that α can be properly
 489 set so that the probability of obtaining a peak at the damage location for both IF
 490 and IA equals 1. On the other hand, this probability is significantly less than one
 491 in at least one of IF and IA for a peak to appear at a point not associated with
 492 damage. Since the IF and IA can be assumed to behave as two independent random

493 variables, due to the fact that two different procedures are followed to calculate IF
494 and IA mathematically, the probability of the the existence of a peak is equal to the
495 multiplication of two probabilities. The probability of detecting spurious peaks is
496 therefore low, corresponding to the probability of such a peak occurring simultaneous
497 in both signals, while the probability remains close to unity for a point genuinely
498 associated with damage.

499 **5. Conclusions**

500 In this paper we compared two main techniques for decomposing the acceleration
501 signal of a VBI model into its IMFs for the purposes of damage detection: EMD
502 and VDM. In accordance with previous studies [21, 12] the first (highest frequency)
503 IMF was then used for damage detection when crack damage was present on the
504 beam. To that end, two main damage detector, i.e. IA and IF, are applied to the
505 derived/extracted IMF to find the damage-induced local change to the signal that
506 was acquired when the vehicle passed over the defective section. The acceleration
507 signal of the VBI model is simulated through a MATLAB code as suggested by [1]
508 and two damage scenarios are considered.

509 The present study sought to overcome problems that arise when the beam surface
510 roughness is taken into account, which has been shown to interfere with damage
511 detection when using EMD as a decomposition technique. Accordingly, the following
512 novelties were introduced in this study:

- 513 1. We showed that, in both damage scenarios, EMD was not able to detect dam-
514 age using either IF or IA when no baseline from the undamaged beam exist.
515 Some researchers, however, have shown that by subtracting the acceleration
516 data from damaged and undamaged beams, it is possible to detect damage in
517 the presence of road roughness using EMD [21].
- 518 2. We showed that VMD can be used successfully to decompose the acceleration
519 signal obtained only on the damaged rough beam subjected to a sprung mass
520 for damage detection. Accordingly two different damage indicators, i.e. IA and
521 IF, can both be used for damage detection without reference to the baseline
522 (undamaged) case.

- 523 3. We showed that Both IA and IF are quite successful in detecting damage even
524 in the presence of additional random signal noise.
- 525 4. We showed that in the case of using IA for damage detection, one may use a
526 small value of α in the VMD algorithm and, therefore, allow more noise into
527 the decomposed IMF. This is due to the fact that IA will not amplify the effect
528 of noise.
- 529 5. We showed that in case of using IF, a relatively large value for α is preferable.
530 This is due to the fact that IF can increase the effect of the noise as its
531 extraction requires differentiation. The large value of α in VMD reduces the
532 effect of noise in the decomposed IMFs, which itself makes the detectability of
533 the damage possible.

534 Finally a new damage sensitive feature based on synchronisation of peaks be-
535 tween IA and IF derived from the acceleration signal using VMD is proposed. The
536 new DSF shows enhanced peaks at the location of damage while the peaks occur-
537 ing in other locations are suppressed. While the principle has been demonstrated,
538 further investigation on the application of state-of-the-art signal processing tech-
539 niques associated with signal synchronisation (for instance based on [58]) could be
540 the subject of future work.

541 In summary, we conclude that VMD can be used successfully along with IA or
542 IF for damage detection on bridge structures with a surface roughness subjected to
543 a moving vehicle. It is also recommended that both damage indicators IA and IF
544 be used together to increase the reliability and precision in the damage detection
545 using the proposed DSF. However, further study is suggested in order to conclu-
546 sively establish the applicability of the proposed damage detection strategy of using
547 synchronisation of the IF and IA peaks of the IMF with highest centre frequency
548 obtained using VMD. Furthermore, the applicability to bridges consisting of inter-
549 connected beams is also recommended as the subject of future work.

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551 **References**

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