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1 **Title Page**

2 **Title:** Unravelling the impacts of western-style diets on brain, gut microbiota and cognition

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8 **Running Title:** Diet, brain, gut and cognition

9

10 **Abstract**

11 The steady rise in the prevalence of obesity has been fostered by modern environments that reduce  
12 energy expenditure and encourage consumption of ‘western’-style diets high in fat and sugar. Obesity  
13 has been consistently associated with impairments in executive function and episodic memory, while  
14 emerging evidence indicates that high-fat, high-sugar diets can impair aspects of cognition within  
15 days, even when provided intermittently. Here we review the detrimental effects of diet and obesity  
16 on cognition and the role of inflammatory and circulating factors, compromised blood-brain barrier  
17 integrity and gut microbiome changes. We next evaluate evidence for changing risk profiles across life  
18 stages (adolescence and ageing) and other populations at risk (e.g. through maternal obesity). Finally,  
19 interventions to ameliorate diet-induced cognitive deficits are discussed, including dietary shifts,  
20 exercise, and the emerging field of microbiome-targeted therapies. With evidence that poor diet and  
21 obesity impair cognition via multiple mechanisms across the human lifespan, the challenge for future  
22 research is to identify effective interventions, in addition to diet and exercise, to prevent and  
23 ameliorate adverse effects.

24

25 **Keywords:** 3-12

26 Western-style diet, cognition, obesity, microbiome, inflammation, hippocampus, intermittent  
27 access, intergenerational transmission, interventions, ageing, adolescence, exercise.

28

29 **Highlights**

- 30 • Cognition can be impaired by obesity and diets high in fat and sugar across species
- 31 • Intermittent consumption of poor diets may be sufficient to impair cognition
- 32 • Dietary and exercise interventions improve diet-induced cognitive deficits
- 33 • Microbiome modifications improve diet-induced cognitive deficits in rodents
- 34 • Adolescence, ageing and maternal obesity may represent windows of additional risk

## 35 1. INTRODUCTION – THE IMPACT OF PALATABILITY ON FOOD INTAKE AND OBESITY

36 The prevalence of overweight and obesity has rapidly increased in recent decades, affecting children,  
37 adolescents and adults, constituting one of the most serious health issues facing the developed world  
38 (WHO, 2000). Obesity increases the risk of several diseases, including type 2 diabetes, colorectal, liver,  
39 and several other cancers, neuropsychiatric conditions and cardiovascular disease, leading to  
40 premature disability and death (Blüher, 2019). Between 1990 and 2015, high body mass index  
41 accounted for 4 million deaths globally (Afshin et al., 2017).

42 While obesity is a multifaceted condition arising from both genetic and environmental risk factors, its  
43 rise in prevalence over the last 50 years is thought to result predominantly from reduced daily physical  
44 activity and increased access to a western-style diet (Leigh et al., 2018). Lifestyle changes and easy  
45 access to a variety of energy-dense, nutrient-poor, and highly palatable foods have dramatically  
46 changed the way people eat. For example, in Australia, over a third of energy intake comes from  
47 discretionary (snack) foods that tend to be high in fat, sugar and salt (Hadjikakou, 2017) and less than  
48 10% of the population meet the recommended daily intake of vegetables (Australian Institute of  
49 Health and Welfare, 2018). Understanding the effects of reduced diet quality on weight gain and  
50 disease risk has thus become a major focus of obesity research.

51 This review focuses on the effects of western-style diets on both cognition and the gut microbiome,  
52 and potential interventions to rescue diet-induced cognitive impairments. Western-style diets are  
53 defined as those high in simple carbohydrate (sugars), saturated fat and salt, and low in fibre, complex  
54 carbohydrate and micronutrients. Here we review the effects of western-style diets in work in humans  
55 and rodents and discuss results from models providing continuous as well as intermittent access. We  
56 review underlying mechanisms and outline vulnerable populations based on lifespan and  
57 intergenerational factors, such as maternal obesity. While most studies included in this review utilise  
58 diets high in both fat and sugar, other work relevant for understanding dietary effects on cognition  
59 and the microbiome is discussed as appropriate.

### 60 1.1 The cafeteria diet model

61 To model the variety and palatability of the modern food environment, we and others utilise a  
62 cafeteria diet, which involves exposing rodents to a wide range of store-bought foods that are high in  
63 fat and sugar, alongside their usual healthy diet (Leigh et al., 2019). In our hands, the cafeteria diet  
64 drives hyperphagia, more than doubling energy intake relative to the control diet, leading to increased  
65 adiposity, metabolic dysfunction and altered hypothalamic feeding circuitry (Hansen et al., 2004,  
66 Shiraev et al., 2009). Early work comparing cafeteria diet to purified high-fat or high-carbohydrate

67 diets found that rats exposed to cafeteria diet exhibit accelerated weight and adipose gain (Sclafani  
68 and Springer, 1976, Rolls et al., 1980). More recent work has shown that relative to purified high-fat  
69 diets, cafeteria diets induce greater peripheral inflammation and insulin resistance in rats (Sampey et  
70 al., 2011) and increased food intake and accelerated hyperglycaemia and insulin resistance in mice  
71 (Higa et al., 2014, Zeeni et al., 2015). Interestingly, one study comparing a purified western-style diet  
72 (high in both fat and sugar) with cafeteria diet found that the former produced more pronounced  
73 metabolic and inflammatory effects (Bortolin et al., 2018), highlighting the importance of further  
74 studies comparing cafeteria diets with purified alternatives.

75 Another important factor modelled by the cafeteria diet is low micronutrient availability, which is a  
76 key feature of western-style diets eaten by humans. A high proportion of adults with obesity exhibit  
77 micronutrient deficiencies (Krzizek et al., 2018) which may compound the effects of obesity on a  
78 number of health domains. Since most purified high-fat rodent diets are nutritionally balanced despite  
79 altered macronutrient content, this aspect of modern eating is not usually incorporated into models  
80 of diet-induced obesity and metabolic dysfunction.

## 81 **2. EFFECTS OF DIET AND OBESITY ON COGNITION**

82 Obesity and overweight is consistently associated with cognitive impairment in large human cohorts  
83 (Farruggia and Small, 2019, Attuquayefio and Stevenson, 2015), as well as increased risk of  
84 neurodegenerative disease (O'Brien et al., 2017, Dye et al., 2017). Obesity and metabolic dysfunction  
85 exacerbate brain injury (Bruce-Keller et al., 2009) and accelerate aging processes in neurons and glia  
86 (Mattson and Arumugam, 2018).

87 Systematic reviews have shown that both executive function (Fitzpatrick et al., 2013, Favieri et al.,  
88 2019) and episodic memory (Loprinzi and Frith, 2018) are negatively associated with BMI. A recent  
89 review by Farruggia and Small (2019) found that increased adiposity across the human lifespan was  
90 associated with impaired cognition independent of metabolic dysfunction, particularly in the domains  
91 of memory, intelligence, processing speed, attention, cognitive flexibility and executive function.

92 Critically, obesity-associated cognitive impairment may interfere with weight loss attempts and  
93 exacerbate weight gain. In people undergoing a medically-supervised weight loss program, poor  
94 cognitive flexibility and higher impulsivity at baseline were associated with less weight loss over an 8-  
95 week period (Galioto et al., 2016). Furthermore, a recent meta-analysis concluded that weight loss  
96 programs incorporating cognitive training programs (aimed at improving inhibition) significantly  
97 improved weight loss and eating control in obese people (Yang et al., 2019).

98 There is some evidence from population studies that poor diet may worsen cognition independently  
99 of obesity, although this is difficult to parse out in human studies. High caloric intake was shown to  
100 double the odds of mild cognitive impairment in non-demented elderly adults in a large cross-sectional  
101 study (Geda et al., 2013) and a systematic review concluded that reductions in energy intake are  
102 associated with better long-term memory and attention, particularly for people with overweight or  
103 obesity (Attuquayefio and Stevenson, 2015).

## 104 **2.1 Experimental work in humans**

105 Long-term experimental studies of diet and cognition are difficult to implement in people for ethical  
106 and logistical reasons. However, several short-term studies have shown effects of diets high in fat and  
107 sugar. Holloway and colleagues have shown that 5 (Holloway et al., 2011) and 7 (Edwards et al., 2011)  
108 days of a 75% high-fat diet significantly impaired attention and reaction times in healthy young men.  
109 A more recent study showed that 6 weeks exposure to a calorie-controlled high-fat diet worsened  
110 memory consolidation in young people (Schüler et al., 2018), indicating that overconsumption is not  
111 necessary for dietary fat to adversely affect cognition. Furthermore, young healthy adults exposed to  
112 a high-energy western-style diet (high in both fat and sugar) for only 4 days exhibited impairments in  
113 hippocampal-dependent verbal memory (Attuquayefio et al., 2017) and university students who  
114 report high levels of high-fat and high-sugar food intake exhibited worse performance in a verbal  
115 paired-associate task (Attuquayefio et al., 2016). Overall, while limited experimental work has been  
116 undertaken in people, the evidence to date indicates that even short-term exposures to diets high in  
117 fat and/or sugar can impact several cognitive domains.

## 118 **2.2 Diet, obesity and cognition in preclinical rodent models**

119 Work in rodents supports human findings, showing that diets high in fat or high in both fat and sugar  
120 impair hippocampal-dependent forms of cognition in both mice and rats within days of access  
121 (McLean et al., 2018, Beilharz et al., 2016b, Beilharz et al., 2014, Kanoski and Davidson, 2010).  
122 Although these rapid impairments emerge prior to overt body weight changes, several studies have  
123 shown that adiposity is increased within 1-2 weeks of access to energy-dense diets (St-Amand et al.,  
124 2020; Vaughn et al., 2017). Conversely, diet-induced deficits in place and episodic memory are  
125 restored well within a week of removing access to the diet (Tran and Westbrook, 2017, McLean et al.,  
126 2018), demonstrating that impairments are sensitive to acute shifts in diet and not simply changes in  
127 body weight. However, the short diet durations of these studies (1-2 weeks) may influence the efficacy  
128 of diet reversal. Kendig et al. (2018) found that the memory impairment produced by 4 weeks' ad-  
129 libitum access to 10% sucrose solution was moderately improved by sucrose removal for 4 weeks,  
130 while Wang et al. (2015) observed lasting cognitive impairment in mice over a year after a 15-week

131 exposure to high-fat diet had ended. Thus, the duration of prior exposure appears to moderate the  
132 potential for diet reversal interventions to ameliorate associated cognitive impairment.

133 A recent meta-analysis concluded that rodent studies using diets high in both fat and sugar produced  
134 larger effect sizes on measures of spatial learning and memory than those using fat or sugar alone  
135 (Abbott et al., 2019), although less work has been undertaken with high-sugar diets, and most have  
136 supplemented a healthy diet with access to liquid sugar solutions. One study from our laboratory  
137 showed that a diet high in solid sugar also impairs cognition in rats (Beilharz et al., 2016a), but further  
138 work assessing the differences between liquid and solid sugar is required.

### 139 2.3 Potential mechanisms

140 Considerable research interest has explored the mechanisms underlying the relationships between  
141 diet, adiposity and cognition, with a range of key processes in the central nervous system and  
142 periphery identified. Emerging evidence implicates the gut-brain axis, a term encompassing the  
143 complex biochemical signalling between the gastrointestinal tract and the central nervous system, as  
144 an important modifier of brain health and function. Hormones produced by the gut have been shown  
145 to regulate learning and memory (Mandal et al., 2018) and the gut plays an important role in  
146 regulating circulating nutrients and metabolites, as well as systemic inflammation, through the gut  
147 microbiome. Before discussing the microbiome in detail, below we briefly summarise other potential  
148 mechanisms involved in diet-induced cognitive impairment, including changes in circulating  
149 hormones, cytokines and nutrients, blood-brain barrier integrity, and neurogenesis. The breadth of  
150 these potential mechanisms underscores the challenge of targeting the underlying causes of diet- and  
151 obesity-associated cognitive impairment.

#### 152 Circulating factors and neuroinflammation

153 One of the key routes by which changes in peripheral organs are communicated to the brain are  
154 circulating factors, including hormones, adipokines and cytokines. These factors are at least partially  
155 responsible for obesity-associated cognitive impairment, since working memory can be improved in  
156 obese mice by infusing plasma from mice fed a low-fat diet (Johnson et al., 2015).

157 Several circulating factors show some involvement in diet- and obesity-associated cognitive  
158 impairment. Leptin, a hormone produced by white adipose tissue and a key regulator of feeding  
159 behaviour, has been shown to affect hippocampal-dependent cognition in rodents (Harvey, 2007).  
160 However, the human literature is less clear; while leptin replacement in leptin-deficient individuals  
161 improves cognition (Paz-Filho et al., 2008), both low and high leptin levels are associated with  
162 cognitive impairment in people with functional leptin (Annweiler et al., 2019). Leptin is also involved



163 in regulating the innate immune system and may exacerbate low-grade peripheral and central  
164 inflammatory signalling, which is also known to impair cognition.

165 Obesity is characterised by the induction of low-grade systemic inflammation, and some of these  
166 changes are observable prior to the onset of obesity (Minihane et al., 2015). Increased circulating  
167 inflammatory cytokines, namely interleukin-6 (Bradburn et al., 2018) and C-reactive protein (Chen et  
168 al., 2014, Vintimilla et al., 2019), are associated with poorer cognitive outcomes in people and are  
169 elevated in obese rodents and people.

170 Peripheral inflammatory changes are thought to precede the increase in pro-inflammatory signalling  
171 in human and rodent hypothalamus (Thaler et al., 2012) and other brain regions in obesity. While  
172 limited work has examined the role of central inflammation in diet-induced cognitive impairment in  
173 humans, evidence from rodent studies indicates that diet-induced cognitive impairment is associated  
174 with IL-1b protein (Almeida-Suhett et al., 2017) or gene (Beilharz et al., 2016a) expression in the  
175 hippocampus. Furthermore, a number of studies have shown associations between increased  
176 microglial activation and diet-induced cognitive impairment in rodents (Almeida-Suhett et al., 2017,  
177 Cope et al., 2018).

178 Finally, excess circulating nutrients may also affect cognition: both hyperlipidaemia and  
179 hyperglycaemia are associated with cognitive impairment in people with obesity. The effects of  
180 hyperglycaemia are closely related to the development of metabolic syndrome and type 2 diabetes,  
181 which affect cognition independently of poor diet and obesity and have been reviewed elsewhere  
182 (Strachan et al., 2011, Farruggia and Small, 2019). Circulating triglycerides, which increase with  
183 exposure to diets high in fat, are able to cross the blood-brain barrier in both humans and rodents,  
184 and at high levels induce central leptin and insulin resistance in rodents (Banks et al., 2018). Lowering  
185 triglycerides in obese (Farr et al., 2008) and healthy (Banks et al., 2018) mice improves cognition, and  
186 a small study of aged people without dementia found that plasma triglyceride levels were negatively  
187 associated with executive function (Parthasarathy et al., 2017).

188 In summary, several circulating factors have been associated with cognitive impairment in poor diet  
189 and obesity. While triglycerides, cytokines and leptin have all been shown to modify cognitive  
190 performance when increased experimentally in rodents, it is likely that these signals interact with  
191 chronic poor diet and obesity to impair cognition.

## 192 Blood-brain barrier

193 The brain is encased in a highly specialised blood-brain barrier that regulates the blood-to-brain  
194 passage of circulating factors. Obesity is associated with increased blood-brain barrier permeability

195 in people and rodents (Rhea et al., 2017), and this is observable in rats following at least 10 weeks'  
196 exposure to a high-fat high-sugar diet (Rutkowsky et al., 2018, Kanoski et al., 2010). It should be noted  
197 that several studies have reported impairments in cognition following shorter diet exposures in the  
198 absence of blood-brain barrier changes (Rijnsburger et al., 2017, Hargrave et al., 2016a). While these  
199 results suggest that blood brain barrier dysfunction is unlikely to underlie acute diet-induced cognitive  
200 impairment in rodents, work in mice has shown reductions in GLUT1 protein expression in vascular  
201 endothelial cells of the blood-brain barrier within 3-7 days of exposure to a high-fat diet (Jais et al.,  
202 2016). Thus further work is required to clarify the time course of diet-induced changes to tight junction  
203 proteins and glucose uptake regulators within the BBB, and evaluate effects on cognition. Finally, given  
204 that obesity is also associated with cerebral hypoperfusion in multiple brain regions, including the  
205 hippocampus (Amen et al., 2020), it will be important to interrogate whether these changes underlie  
206 obesity-associated cognitive impairments.

### 207 Hippocampal BDNF

208 Altered levels of brain-derived neurotrophic factor (BDNF) and reduced neurogenesis have been  
209 observed in the hippocampus in rodent models of diet-induced obesity (Hargrave et al., 2016b).  
210 Further, circulating BDNF was found to mediate the relationship between visceral adiposity and  
211 executive function in a small middle-aged human cohort (Kaur et al., 2016). BDNF is a key modulator  
212 of long-term potentiation and synaptic plasticity (Lu et al., 2014), two mechanisms critical for learning  
213 and memory. Reductions in hippocampal BDNF have been reported following exposures to high-fat  
214 and high-sugar diets of at least 2 months (Molteni et al., 2002, Molteni et al., 2004), although these  
215 changes may be mediated by increased pro-inflammatory cytokine expression (Barrientos et al.,  
216 2004). Of note, altered BDNF expression has not been observed at shorter diet exposures (Beilharz et  
217 al., 2014). Therefore, it is likely that changes in BDNF, as for blood-brain barrier integrity, do not play  
218 a central role in early diet-induced cognitive impairment.

### 219 **3. ROLE OF THE GUT MICROBIOME IN DIET-INDUCED COGNITIVE IMPAIRMENT**

220 The gut microbiome, through its effects on enteric and vagal signalling, immune function, and  
221 neuroactive metabolite production, has been implicated in diet- and obesity-associated cognitive  
222 impairment. Gut microbiome composition is largely determined by diet, and carbohydrate quality and  
223 quantity are key in shaping the bacterial community and their metabolic activity. Microbiome  
224 composition shifts within days of changing dietary intake in humans (David et al., 2014, Wu et al.,  
225 2011) and mice (Carmody et al., 2015). Thus, acute shifts in microbiome composition may be relevant  
226 to diet-induced cognitive impairment, which is observed within days of starting a poor diet (Beilharz  
227 et al., 2014, Tran and Westbrook, 2017, McLean et al., 2018). Since diet is such an important regulator

228 of the gut microbiome, it is important to use models that capture modern eating habits. In this regard  
229 rodent studies using purified diets, which involve a single food type with fixed macronutrient  
230 composition (typically 40-60% calories from fat) may hold less relevance than cafeteria-style (Leigh et  
231 al., 2019) or junk-food (Bayol et al., 2007) diets, which provide access to a variety of palatable foods  
232 commonly eaten by humans that are high in fat and sugar.

### 233 3.1 Gut microbiome and obesity

234 In obesity, the microbiome is characterised by reduced microbial species richness (Le Chatelier et al.,  
235 2013) – the estimated number of species within the host – alongside some reports of altered  
236 Firmicutes:Bacteroidetes ratios in mice (Ley et al., 2005, Turnbaugh et al., 2008) and humans (Ley et  
237 al., 2006). Data from studies applying faecal microbiome transfer (FMT) have suggested that  
238 microbiome compositional changes may directly induce obesity and metabolic dysfunction. For  
239 example, in human twin pairs discordant for obesity, FMT from the obese twin into germ free mice  
240 increased fat mass, with no effect of FMT from their lean counterpart (Ridaura et al., 2013). Transfer  
241 of the microbiome of diet-induced obese mice was shown to exacerbate weight gain in previously  
242 obese and lean naïve mice (Thaiss et al., 2016). While these results suggest that direct manipulation  
243 of the microbiome may hold promise to improve metabolic disease, two double-blind placebo-  
244 controlled RCTs have found no evidence that oral FMT from lean human donors improves body weight  
245 loss or metabolic outcomes in people with obesity (Allegretti et al., 2019, Yu et al., 2020). Further,  
246 caution is warranted when extrapolating causality from studies transferring the microbiota from  
247 humans into rats (Walter et al. 2020). In summary, both short-term diet and long-term obesity impact  
248 the gut microbiota: dietary changes rapidly affect microbiome composition while established obesity  
249 is associated with reduced microbial species diversity. Further investigation into the efficacy of FMT  
250 in people for weight loss and other markers of metabolic health is needed.

### 251 3.2 Gut microbiome and cognition

252 A number of studies have shown that manipulating the microbiome affects behaviour, with evidence  
253 that anxiety-like behaviours and central BDNF are altered by antibiotic (Bercik et al., 2010) or probiotic  
254 (Bravo et al., 2011) treatments, and differ between germ-free and conventionally-raised mice (Neufeld  
255 et al., 2011). Further, there is increasing evidence for a role of the microbiome in mood (Valles-  
256 Colomer et al., 2019) and neurodevelopmental (Hsiao et al., 2013) disorders. There is intriguing  
257 evidence for sex differences in the relationship between gut microbiome composition and the brain,  
258 as summarised in recent reviews (Jašarević, Morrison & Bale, 2016). A recent mouse study found that  
259 14-week access to a high-fat, high-sugar diet reduced hypothalamic astrocyte density in females (but

260 not males), and increased hypothalamic microgliosis and gut microbiome alpha diversity in males (but  
261 not females) (Daly et al., 2020).

262 The relationship between the gut microbiome and cognition is less well-established, although there is  
263 growing observational data showing associations between microbiome composition and human  
264 conditions involving cognitive dysfunction, including Alzheimer's disease (Mahmoudian Dehkordi et  
265 al., 2019) and hepatic encephalopathy (Collins et al., 2012), as well as normal age-related cognitive  
266 decline (Manderino et al., 2017). Recent studies have begun to elucidate how changes in microbiome  
267 composition may influence cognition in people with overweight or obesity. One small study  
268 conducted in obese but otherwise healthy individuals reported that the relative abundance of  
269 *Burkholderiaceae*, *Coriobacteriaceae* and *Corynebacteriaceae* families were positively associated with  
270 cognitive performance (Palomo-Buitrago et al., 2019). Another study reported that obesity-associated  
271 impairments in short-term memory (digit span task) and working memory (California Verbal Learning  
272 Test) were positive associated with bacteria in the Firmicutes phylum, negatively associated with  
273 bacteria in Bacteroides and Proteobacteria phyla, and negatively associated with functions involved  
274 in one-carbon metabolism (Arnoriaga-Rodriguez et al., 2020).

275 There is stronger evidence for associations between diet-induced microbiome changes and cognition  
276 from rodent studies. Mice showed significantly improved working and reference memory when fed a  
277 healthy diet supplemented 1:1 with lean ground beef, and their behavioural performance was  
278 associated with microbiome changes (Li et al., 2009). Another study reported that impaired cognitive  
279 flexibility was negatively and positively associated with Clostridiales and Bacteroidales relative  
280 abundances, respectively, in mice fed a high-sucrose diet (Magnusson et al., 2015). We subsequently  
281 showed that novel place recognition memory was significantly associated with abundance of several  
282 bacterial species in rats fed purified energy-matched diets high in sugar, saturated fat and  
283 polyunsaturated fat (Beilharz et al., 2016a). Preliminary evidence thus suggests that gut microbiome  
284 composition is a key determinant of cognitive performance in rodent models of obesogenic diet  
285 exposure.

#### 286 **4. INTERMITTENT CONSUMPTION OF UNHEALTHY DIETS**

287 Population level analyses show that diet composition changes dramatically from weekdays to  
288 weekends, with a spike in energy intake and poorer diet quality (An, 2016, de Castro, 1991). The  
289 prevalence of disordered eating behaviours, such as binge-eating and extreme dieting, increased  
290 dramatically in Australia from 1998-2008 (Mitchison et al., 2012), while NHANES data show a steady  
291 rise in chronic dieting and weight cycling in both obese and non-obese populations (Montani et al.,

292 2015). Thus, intermittent consumption of poor diets may reflect the dietary habits of a substantial  
293 proportion of the population, whether in clinical conditions or as part of day-to-day variation in diet.

294 Studies in rodents have begun to characterise the long-term effects of intermittent consumption of  
295 unhealthy diets. Mice alternating between low- and high-fat diets in 4-week cycles gained fat mass  
296 but exhibited comparable lifespan to mice continuously fed low-fat diet, and outlived mice  
297 continuously fed high-fat diet (List et al., 2013). Thaïss et al. (2016) demonstrated that the obesity  
298 and metabolic derangement produced by a single 4-week cycle of HFD intake led to lasting gut  
299 microbiome changes that enhanced weight regain during subsequent HFD cycles. Another mouse  
300 study found that repeated 2-week cycles between low- and high-fat diets increased fat mass and  
301 impaired glucose tolerance to a similar extent to continuous high-fat diet access (Simonds et al., 2018).

302 Several studies from our laboratory have explored the metabolic and cognitive effects of diet cycling  
303 on a shorter, weekly interval. Martire et al. (2015) compared rats fed continuous chow or cafeteria-  
304 style diets to a group cycled between 3 days of CAF diet and 4 days of chow per week. This cycled  
305 group over-ate cafeteria diet relative to continuous cafeteria diet-fed rats, under-ate chow relative to  
306 continuous chow rats, and gained an intermediate amount of body weight. Intriguingly, cycling and  
307 continuous cafeteria diet exposure produced near-identical changes to the gut microbiota, with strong  
308 negative associations between diet exposure and flavonoid metabolism pathways (Kaakoush et al.,  
309 2017).

310 While extensive work has characterised diet-induced cognitive impairment in rodents continuously  
311 exposed to various diets (discussed above), limited research has investigated the effect of diet cycling  
312 on cognition. Two recent studies from our group examined spatial and reference memory changes in  
313 rats cycled between a healthy chow and unhealthy cafeteria diet. The first showed that male rats given  
314 cycling access to cafeteria diet for 5 or 7, but not 3 consecutive days per week, exhibited place  
315 recognition memory impairments after 23-25 days of total access (Kendig et al., 2019). Notably,  
316 greater adiposity was associated with significantly poorer place memory. The metabolic profile of the  
317 3- and 5-day cycling groups resembled continuous chow and continuous cafeteria groups,  
318 respectively. The second study found that cycles of 3 consecutive cafeteria diet days per week did not  
319 produce cognitive impairment in adult female rats, despite intermediate changes to their gut  
320 microbiome and metabolic phenotype (Leigh et al., 2020a). Place recognition memory impairments  
321 were predicted by changes in linear growth and by *a* bacterial operational taxonomic putatively  
322 identified as a strain of *Muribaculum intestinale*.

323 Further work is warranted to identify the factors that promote the protection from cognitive  
324 impairment seen following cycling access to cafeteria diet. For example, repeated bouts of healthy

325 eating in an otherwise unhealthy diet might serve to arrest the onset of low-grade inflammation, slow  
326 changes to the composition of the microbiota, or stimulate production of neurotrophic factors that  
327 preserve cognition.

## 328 5. POPULATIONS AT RISK OF DIET RELATED COGNITIVE IMPACTS

329 Dietary habits and gut microbiota composition are shaped by dynamic interactions between  
330 environmental, geographic and cultural factors. For example, people of different ages and from  
331 different geographic locations exhibit unique microbiome profiles in terms of bacterial diversity and  
332 dominant phyla (Greenhalgh et al., 2016). In turn, the risks to metabolic and cognitive function posed  
333 by unhealthy diets and/or dysregulated microbiome are not static. Evidence points to several key  
334 developmental windows that confer particular risk for adverse health outcomes.

### 335 5.1 Maternal obesity and childhood

336 Infant brain development is integrally determined by the health of the mother. The neonatal  
337 microbiome is colonised by bacteria transmitted through the placenta, vagina, amniotic fluid and  
338 breastfeeding, and is heavily influenced by maternal diet. Children of mothers who report eating a  
339 high-fat diet during gestation and lactation exhibit distinct microbiome profiles to those from mothers  
340 consuming a low-fat diet, characterised by a reduction in *Bacteroides* abundance (Chu et al., 2016).  
341 Evidence for a relationship between maternal micronutrient intake and child cognitive outcomes is  
342 mixed, with a predominance of observational studies (Veena et al., 2016).

343 By contrast, it is well known that maternal obesity, rather than diet per se, confers increased risk of  
344 obesity and metabolic impairment in the child (Godfrey et al., 2017). Evidence from systematic reviews  
345 of animal models (Menting et al., 2019) and observational studies in humans (Adane et al., 2016,  
346 Veena et al., 2016, Van Lieshout et al., 2011) indicates that cognitive development and psychiatric  
347 outcomes are adversely affected by maternal obesity. However, studies often do not measure  
348 cognition and psychiatric risk in parents (Van Lieshout, 2013) and effect sizes in humans are estimated  
349 to be small (Álvarez-Bueno et al., 2017).

350 Given the child's microbiome is determined by that of the mother in early life, it will be relevant to  
351 assess whether the behavioural effects influenced by maternal obesity are mediated by the microbiota  
352 (see Hasebe, Kendig, & Morris, 2021, for review). In our rat model of maternal obesity we  
353 demonstrated that maternal microbiome changes induced by obesogenic diet exposure were  
354 reflected in their offspring at weaning, and that microbial composition correlated with adiposity  
355 measures (Bhagavata Srinivasan et al., 2018). Emerging evidence suggests that dysregulation of

356 offspring microbiome by maternal diet, alongside other factors, is closely related to cognition: a recent  
357 study showed that mice born to obese dams exhibited accelerated body weight gain and cognitive  
358 impairment (measured by Y-maze), which was predicted by the abundance of bacteria within  
359 Firmicutes, Parabacteroides and Actinobacteria phyla (Sanguinetti et al., 2019).

## 360 5.2 Adolescence

361 Adolescence is a critical developmental window during which the frontal cortex and gut microbiome  
362 are still maturing. Several systematic reviews have concluded that obesity in childhood and  
363 adolescence is associated with impairments in executive function, attention, working memory and  
364 reward-related behaviour (Reinert et al., 2013, Pearce et al., 2018, Liang et al., 2014). Obesity-  
365 associated deficits in sub-domains of executive function, such as inhibitory control, may pose  
366 particular risk by promoting excess food intake and unhealthy food choices.

367 Evidence also indicates that dietary habits during adolescence influence cognition. A systematic  
368 review of 21 studies found that executive function in children and adolescents was closely tied to  
369 dietary quality, associating positively with fruit, vegetable, whole grain and fish intake, and negatively  
370 with red meat and sugary beverages (Cohen et al., 2016). Similar results were found in a prospective  
371 study of Australian adolescents, where a western-style dietary pattern at age 14 (identified by food  
372 frequency questionnaire) was associated with poorer performance on the Groton Maze Learning Test  
373 at age 17 (Nyaradi et al., 2014).

374 While testing long-term effects of poor diets during adolescence is challenging in humans, animal  
375 studies allow for cognition to be tested repeatedly over development while restricting unhealthy diet  
376 exposure to adolescence. Several studies have shown lasting impairments in hippocampal-dependent  
377 forms of cognition following adolescent exposure to diets high in fat and sugar, and that deficits are  
378 more pronounced than in adult animals given equivalent exposure (Noble and Kanoski, 2016). A recent  
379 study in mice found that adolescent exposure to HFD or CAF altered the abundance of several families  
380 of bacteria in the caecal microbiome (*Ruminococcaceae*, *Lachnospiraceae*, *Coriobacteriaceae*,  
381 *Alcaligenaceae* and *Erysipelotrichaceae*) and upregulated expression of genes regulating short-chain  
382 fatty acid signalling, tight junction proteins, and inflammation in the amygdala (Fülling et al., 2020).  
383 However, no changes in social recognition, anxiety, fear conditioning and short-term memory tests in  
384 adulthood were observed.

## 385 5.3 Ageing

386 Dietary composition is associated with mild cognitive impairment in older populations. A meta-  
387 analysis of 12 studies found that greater adherence to a Mediterranean-style diet was associated with

388 lower rates of normal age-related cognitive decline and a reduced risk of neurodegenerative disease  
389 (Lourida et al., 2013). In a 20-year study of Singaporean Chinese adults aged 61-96 at follow up, higher  
390 scores on four diet quality measures were associated with a lower risk of developing mild cognitive  
391 impairment (Wu et al., 2019). Metabolic markers such as high-density lipoprotein have been linked to  
392 cognitive impairment in older populations (Feinkohl et al., 2019). The composition of the gut  
393 microbiome and production of bacterial metabolites shifts substantially as we age, perhaps related to  
394 shifts in dietary habits and nutrient deficiencies (Salazar et al., 2017), but whether these directly  
395 contribute to cognitive function remains to be determined.

396 The relationship between obesity and dementia has been partially confounded by the weight loss and  
397 physical wasting observed prior to onset of clinical dementia symptoms (Knopman et al., 2007).  
398 However, a recent analysis of individual data from 39 prospective cohort studies from Europe, USA  
399 and Asia found that obesity in midlife increased risk of incident dementia, but reduced this risk in late  
400 life (Kivimäki et al., 2018). These findings are concordant with a recent meta-analysis which also found  
401 that obesity prior to 65 years increased risk of incident dementia, but was protective in individuals  
402 older than 65 years (Peditzi et al., 2016). Thus, obesity in mid, but not late life is associated with  
403 poor cognitive performance, and increased risk of age-related cognitive decline and dementia.

## 404 6. OPPORTUNITIES FOR INTERVENTION

405 Interventions to prevent and rescue the adverse effects of diet-induced obesity include dietary  
406 manipulations (intermittent fasting and caloric restriction), exercise regimens, oral pre- and probiotic  
407 exposure, and faecal microbiome transfer.

### 408 Dietary interventions

409 Modern environments promote overeating by providing easy access to energy-dense food alongside  
410 technology designed to reduce energy expenditure. This combination is unprecedented in our  
411 evolution from times when substantial physical and cognitive effort was needed to procure food in  
412 scarce and dangerous environments. This evolutionary view holds that while food scarcity drove the  
413 development of cognitive abilities to facilitate food procurement, prolonged excess food intake  
414 reduces the adaptive value of heightened cognitive abilities and may subsequently reduce cognitive  
415 performance (Mattson, 2019).

416 How then should people eat for optimal health? While many popular diets target specific  
417 macronutrients, a recent meta-analysis of 14 weight-loss diets (covering 121 trials and almost 22,000  
418 patients) found similar weight loss effects of diets low in fat, low in carbohydrate, or with moderate  
419 intake of all macronutrients (Ge et al., 2020). There is ongoing research into the temporal distribution



420 of food intake across the day, with mixed evidence from observational studies. For example, Gill &  
421 Panda (2015) used an app-based food intake monitoring program to show that half of participants ate  
422 for a 15-hr window each day, and that limiting food intake to a 10-11h window facilitated weight loss.  
423 By contrast, a meta-analysis of meal frequency studies found that more frequent eating (5+  
424 meals/day) was associated with larger reductions in body fat mass (Schoenfeld et al., 2015). However,  
425 this association was driven by a small number of studies with extreme effects.

426 There is a large literature testing whether intermittent fasting and caloric restriction (CR) regimens  
427 can prevent and ameliorate the adverse effects of diet-induced obesity. Caloric restriction (CR)  
428 involves a modest reduction in energy intake without malnutrition, and has been shown to benefit  
429 lifespan, metabolic and brain health in multiple species since first studied in rats in 1935 (McDonald  
430 and Ramsey, 2010). Genes regulating the immune response, circadian rhythms, growth hormone  
431 signalling and fat metabolism are among those most profoundly altered by CR (Plank et al., 2012). In  
432 mice, exposure to a 20% CR diet for 15 months increased hippocampal neurogenesis and produced  
433 sex-specific differences in SIRT1 and mTOR pathways (Wahl et al., 2018). Specifically, CR increased  
434 SIRT1 expression and decreased MTOR activation in female but not male mice, and increased PGC1 $\alpha$   
435 expression only in males. SIRT1 is highly expressed in hippocampus and hypothalamus, brain regions  
436 vulnerable to dietary insult, and regulates cognitive function by altering neural progenitor cell  
437 development and axonal and dendritic growth (Ng, Wijaya & Tang, 2015). mTOR signalling is  
438 implicated in cognitive function via its effects on synaptic plasticity (Lipton & Sahin, 2014).

439 While CR regimens involve continuous restriction of daily energy intake, intermittent fasting (IF)  
440 interventions restrict food intake on a temporal basis to induce the beneficial effects of fasting. A  
441 recent systematic review of 40 studies concluded that IF and CR produce comparable benefits to fat  
442 mass, glucose homeostasis and body weight (Seimon et al., 2015). Popular variations of IF include  
443 alternate day fasting, 5:2 fasting (two fast days per week) and time-restricted feeding, in which food  
444 is eaten within a single window (typically 8-hrs) each day. Time-restricted feeding (TRF) and alternate  
445 day fasting are effective in promoting weight loss and improving metabolic health (Jones et al., 2020),  
446 with some evidence for benefits to cognitive ability (Anton et al., 2018). Preclinical models of IF mirror  
447 several of the benefits observed in people, though effects on systemic inflammatory markers tend to  
448 be more varied in humans (Lee et al., 2020).

449 Importantly, there is evidence that beneficial effects of TRF on metabolic measures are due to  
450 restricting the temporal window per se, rather than to secondary consequences of eating less energy  
451 overall. Hatori et al. (2012) showed that mice given 8-hr/day access to a purified HFD were protected  
452 from the metabolic derangement and dysregulation of circadian rhythms seen in mice fed the diet

453 continuously, despite comparable energy intake. Delahaye et al. (2018) found that a 6-hr/day TRF  
454 schedule protected against HFD-induced weight gain in mice, but that insulin resistance was  
455 compromised when the 6-hr window was delayed further into the dark cycle, demonstrating that TRF  
456 effects interact with innate feeding rhythms.

#### 457 *Effects on cognition*

458 Meta-analytic evidence indicates that weight loss is associated with improved performance in multiple  
459 cognitive domains, including memory and attention (Veronese et al., 2017). Overweight people  
460 undergoing a 3-month CR intervention demonstrated improvements in memory that correlated with  
461 reductions in plasma insulin and C-reactive protein (Witte et al., 2009). Intriguingly, the benefits to  
462 cognition may be contingent upon being in an acute negative energy balance. Prehn et al. (2017)  
463 showed that obese women exhibited an increase in hippocampal gray matter volume and  
464 corresponding improvements in recognition memory during CR, but that benefits normalised after  
465 four weeks of maintaining a lower weight. Comparing the effects of CR and IF on cognitive measures  
466 appears an important future research direction.

467 A recent study showed that a 28-day IF protocol ameliorated a deficit in spatial learning (assessed in  
468 the Morris water maze) and increased mitochondrial biogenesis in the hippocampus of db/db mice  
469 (Liu et al., 2020). The beneficial effects of IF appeared to be mediated by the gut microbiota, as IF-  
470 induced cognitive improvements were not observed in antibiotic-treated mice, but could be  
471 reproduced by administration of a range of microbial metabolites, including 5-HT, TUDCA, IPA and  
472 short-chain fatty acids (Liu et al., 2020).

#### 473 Exercise

474 Regular exercise benefits cognition via a range of neurotrophic effects. A recent systematic review and  
475 meta-analysis (333 effect sizes, 36 studies) found that moderate intensity exercise was associated with  
476 improved cognition in community-dwelling adults over 50 years of age (Northey et al., 2018). Benefits  
477 were evident across multiple cognitive domains (attention, executive function and memory) and in  
478 individuals both with and without mild cognitive impairment. In aged mice, a one-month running  
479 wheel intervention arrested the age-related decline in hippocampal neurogenesis with corresponding  
480 improvements in learning and memory (van Praag et al., 2005). Similarly, a one-year exercise  
481 intervention bilaterally increased hippocampal volume and spatial memory in older people (Erickson  
482 et al., 2011). In older adults with obesity, aerobic fitness significantly predicts hippocampal volume  
483 and cognition (Bugg et al., 2012, Boidin et al., 2020).

484 The benefits of exercise on cognition may also be mediated by changes to the gut microbiome.  
485 Exercise profoundly modulates the composition of the microbiome in a manner that is significantly  
486 influenced by weight, but largely independent of the effects of diet (Mailing et al., 2019). A recent  
487 longitudinal study demonstrated that a 6-week exercise intervention had divergent effects on  
488 microbiome beta diversity in lean versus obese women, and selectively increased short-chain fatty  
489 acid levels only in lean women (Allen et al., 2018). Of note, most exercise-induced changes reverted  
490 to baseline after a 6-week washout. A mouse study found that HFD exposure and exercise exerted  
491 distinct effects on the gut microbiome and behaviour: HFD but not exercise increased anxiety-like  
492 behaviour, while exercise but not HFD modestly improved contextual fear conditioning recall (Kang et  
493 al., 2014). More work is needed to determine whether shifts in gut microbiota underlie exercise-  
494 induced improvements in cognition.

#### 495 Microbiome modification

496 Several preclinical studies have investigated the effects of modifying microbiome composition, either  
497 through FMT, or administration of antibiotics, prebiotics, probiotics or bacterial products, on  
498 cognition.

#### 499 *Faecal transfer*

500 Studies of FMT between conspecifics have illuminated the integral role of the microbiome in host  
501 metabolism and results using preclinical models suggest that behavioural phenotypes are  
502 transmissible through FMT. Hoban et al. (2018) demonstrated that the reduction in cued fear  
503 conditioning observed in germ-free mice was partially restored by FMT from conventionally raised  
504 mice. Similarly, mice given FMT from people with major depressive disorder displayed increased  
505 depression-like behaviour in the Forced Swim Test (immobility time) relative to those given FMT from  
506 controls (Zheng et al., 2016). Only three studies, to our knowledge, have assessed the role of an obese  
507 microbiome in cognitive impairment using FMT. Bruce-Keller et al. (2015) showed that lean mice were  
508 impaired on a Pavlovian cue-shock memory task following FMT from obese mice. Notably, the  
509 hippocampal-dependent component of the task and body weight were unaffected by FMT.  
510 Conversely, Yang et al. (2019) found that FMT from adult mice fed a high-fat diet impaired  
511 hippocampal-dependent learning and memory (Barnes maze and contextual fear-conditioning tests)  
512 in recipient mice fed a chow diet (Yang et al., 2019). Cognitive impairments were observed in the  
513 absence of gross motor changes or baseline differences in anxiety-like behaviour. In a recent study by  
514 Arnoriaga-Rodriguez et al. (2020), FMT from human donors into lean recipient mice significantly  
515 improved novel object recognition and decreased conditioned freezing; however, the improvement  
516 in object recognition was specific to mice given FMT from non-obese donors (FMT from donors with

517 obesity had no effect) (Arnoriaga-Rodriguez et al., 2020). These results highlight the need to clarify  
518 whether microbiome transplantation exerts region-specific effects on the brain.

#### 519 *Antibiotics*

520 Oral antibiotic administration has also been shown to influence cognition in preclinical studies. Mice  
521 chronically exposed to a broad-spectrum antibiotic cocktail designed to minimise gastrointestinal  
522 absorption exhibited impaired object recognition, but not spatial memory (Frohlich et al., 2016) while  
523 rats similarly exposed to a broad-spectrum antibiotic cocktail exhibited impaired long-term memory  
524 retention in a Morris water maze (Hoban et al., 2016).

525 Subsequently we have shown that chronic oral administration of minocycline, a bacteriostatic  
526 antibiotic and immunomodulator, both prevented and reversed the place recognition deficit produced  
527 by cafeteria diet exposure (Leigh et al., 2020b). Using multiple regression models, we identified that  
528 cognitive performance in cafeteria-fed rats was accurately predicted by fat mass and the relative  
529 abundance of *Desulfovibrio Piger*. Of note, modest impairments in place recognition were seen in  
530 chow rats exposed to minocycline.

#### 531 *Probiotics, prebiotics and bacterial products*

532 Studies have tested whether the effects of diet-induced obesity can be restored by oral  
533 supplementation of live species presumed to be depleted (probiotics) or by indigestible fibre  
534 compounds that support gut microbiota (prebiotics) (Slavin, 2013). Chunchai et al. (2018) found that  
535 the spatial learning and memory impairments produced by 12 weeks of exposure to a high-fat diet  
536 were reversed following 12 weeks of daily oral administration of a prebiotic, probiotic, or a  
537 combination pre/probiotic treatment. All treatments ameliorated the diet-induced changes to the  
538 Firmicutes:Bacteroidetes ratio, hippocampal plasticity and apoptosis markers, microglial morphology,  
539 mitochondrial function, and glucose tolerance. Notably, probiotic exposure did not alter body weight  
540 or fat mass gain, suggesting that improvements to brain and behaviour were independent of weight  
541 in this group. We (Beilharz et al., 2018) found that oral administration of the probiotic VSL3 prevented  
542 hippocampal-dependent place recognition memory deficits produced by a western-style cafeteria diet  
543 in rats. Intriguingly, probiotic exposure impaired perirhinal-dependent object recognition. The  
544 divergent effects on the two cognitive tasks correlated with separate principal components in  
545 distance-based linear modelling.

546 Intriguing recent evidence suggests that the cognitive effects of probiotics may not require the  
547 bacteria to be living and could instead be due to altered bacterial product availability. A recent study  
548 showed that heat-killed *Lactobacillus brevis* SBC8803 improved contextual fear memory when fed to

549 mice chronically (Ishikawa et al., 2019), while ICV infusions of the short-chain fatty acid propionate  
550 impaired learning in the Morris water maze (Mephram et al., 2019). Further work investigating  
551 whether specific bacterial products play a role in diet- and obesity-induced cognitive impairments is  
552 required.

## 553 7. SUMMARY AND FUTURE DIRECTIONS

554 Dynamic interactions between dietary habits and metabolic health shape cognitive function across  
555 the lifespan. Accumulating evidence from studies in rodents and humans indicates that acute and  
556 chronic access to western-style diets high in fat and sugar can impair aspects of cognition.  
557 Observations of cognitive impairment after acute diet exposures are noteworthy because the effects  
558 of eating poorly accrue over far longer periods than can be studied ethically. The widespread  
559 consumption of western-style diets suggests that the associated cognitive effects may substantially  
560 contribute to global disease burden, underscoring the need for further research into mechanisms and  
561 potential treatments. It is now clear that changes to the gut microbiome are key mediators of dietary  
562 effects on cognition, with emerging evidence suggesting that intervening at the level of the microbiota  
563 may hold promise to prevent or reverse deleterious effects of diets high in fat and/or sugar. Clarifying  
564 the precise neural circuitries affected by microbiome-based interventions will be a key next step.

565 One critical challenge for the field is to better understand how adverse effects of these western-style  
566 diets vary according to age and the patterns of their consumption. Two important goals for the field  
567 will be to better define the conditions under which the impacts of diet on the brain are reversible (and  
568 whether this is moderated by age), and to clarify the effects of intermittent access to western-style  
569 diets, which arguably represents the dietary habits of most of the population. Each of these aims can  
570 be thoroughly addressed using controlled animal experiments.

571 The high prevalence of obesity in adults calls for continued study of the mechanisms underlying  
572 intergenerational transmission of disease risk. We believe that a combination of behavioural and  
573 environmental interventions will be needed to improve dietary habits in the developed world and  
574 arrest the increase in metabolic disease and associated cognitive impairment, which is integral to  
575 maintaining quality of life into older age.

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