

1 **Association between body mass index variation and early mortality among**
2 **834 Ethiopian adults living with HIV on ART: A joint modelling approach**

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26 **Abstract**

27 **Introduction:** Body mass index (BMI) is a simple and cost-effective tool for monitoring the
28 clinical responses of patients living with human immunodeficiency virus (HIV) after
29 antiretroviral therapy (ART) initiation, especially in resource-limited settings where access to
30 laboratory tests are limited. Current evidence on the association between longitudinal BMI
31 variation and clinical outcomes among adults living with HIV receiving ART is essential to
32 inform clinical guidelines. Therefore, this study examines the association between BMI
33 variation and premature mortality in adults living with HIV on ART.

34 **Methods:** An institution-based retrospective cohort study was conducted among 834 adults
35 living with HIV receiving ART from June 2014 to June 2020 at Debre Markos Comprehensive
36 Specialized Hospital in Northwest Ethiopia. We first identified predictors of mortality and BMI
37 variation using proportional hazards regression and linear mixed models, respectively. Then,
38 the two models were combined to form an advanced joint model to examine the effect of
39 longitudinal BMI variation on mortality.

40 **Results:** Of the 834 participants, 49 (5.9%) died, with a mortality rate of 4.1 (95% CI: 3.1, 5.4)
41 per 100 person-years. A unit increase in BMI after ART initiation corresponded to an 18%
42 reduction in mortality risk. Patients taking tuberculosis preventive therapy (TPT), mild clinical
43 disease stage, and changing ART regimens were at lower risk of death. However, patients with
44 ambulatory/bedridden functional status were at higher risk of death. Regarding BMI variation
45 over time, patients presenting with opportunistic infections (OIs), underweight patients,
46 patients who started a Dolutegravir (DGT)-based ART regimen and those with severe
47 immunodeficiency had a higher BMI increase over time. However, patients from rural areas
48 and overweight/obese patients experienced a lower BMI increase over time.

49 **Conclusion:** BMI improvement after ART initiation was strongly associated with a lower
50 mortality risk, regardless of BMI category. This finding implies that BMI may be used as a
51 better predictor tool for death risk in adults living with HIV in Ethiopia. Additionally, patients
52 who took a DGT-based ART regimen had a higher BMI increase rate over time, which aligns
53 with possible positive effects, such as weight gain, of the DGT-based ART regimen in
54 developing countries.

55 **Keywords:** Adults living with HIV, ART, body mass index, Ethiopia, mortality

56 **Key summary points**

57 **Why carry out this study?**

- 58 • Current studies on the association between BMI variation and clinical outcomes in adults
59 living with HIV receiving ART is essential to inform clinical guidelines.
- 60 • Therefore, this study examines the association between BMI variation and premature
61 mortality in adults living with HIV on ART.

62 **What was learnt from this study?**

- 63 • This study found that an increase in BMI after ART initiation was strongly associated with
64 a lower risk of mortality in adults living with HIV.
- 65 • Patients who took a DGT-based ART regimen had a higher BMI increase rate over time,
66 which aligns with possible positive effects, such as weight gain, of the DGT-based ART
67 regimen in developing countries.

68 **Introduction**

69 Undernutrition (body mass index (BMI) $< 18.5\text{kg/m}^2$) is a common problem among people
70 living with human immunodeficiency virus (PLHIV) in sub-Saharan Africa (SSA) [1]. The
71 problem is more prominent in Ethiopia as a result of food insecurity and inadequate knowledge
72 about healthy nutrition [2]. Approximately 26% of adults living with HIV in Ethiopia are

73 undernourished [3]; as HIV increases nutritional requirements and reduces food intake due to
74 mouth and throat sores, loss of appetite, medication side effects, or household food insecurity.
75 Furthermore, it decreases nutrient absorption due to HIV infection of intestinal cells, diarrhoea,
76 and vomiting [4].

77 Although antiretroviral therapy (ART) significantly improves PLHIV survival, early mortality
78 from acquired immunodeficiency syndrome (AIDS)-related illness remains high, notably in
79 SSA [5, 6]. Common factors associated with high premature death in PLHIV are low CD4 cell
80 counts, male gender, advanced clinical disease stage, anaemia, tuberculosis (TB), and low BMI
81 [7-10]. Studies frequently cited that low BMI at ART initiation is an independent predictor of
82 mortality in adults living with HIV [1, 7, 11, 12], while normal BMI is significantly associated
83 with adequate CD4 cell count response to ART and lower risk of loss to follow-up [13].

84 The association between BMI and mortality in adults living with HIV is well documented, but
85 most of these studies used baseline BMI only which is limiting [14-16]. A single measurement
86 does not adequately capture body weight variances over time, which limits association with
87 mortality. Furthermore, the association between a single BMI measurement and mortality may
88 be confounded by underlying diseases and health conditions that may cause weight loss [17].
89 Despite joint modelling being highly recommended to examine the association between time-
90 varying covariates (i.e., BMI) and mortality, previous studies have used standard statistical
91 models (i.e., Cox regression) to assess the association between BMI and mortality [13, 18, 19].

92 Viral load and CD4 cell count measurements for monitoring patient response after ART
93 initiation are often expensive or unavailable in developing countries, including Ethiopia.
94 Therefore, understanding and developing easy and cost-effective alternative measurements,
95 such as BMI, is critical. Although current Ethiopian ART guidelines include BMI as a clinical
96 indicator for patients living with HIV [20], these guidelines are not evidence-informed due to

97 lack of longitudinal studies examining the association between BMI variation and early
98 mortality among adults living with HIV.

99 This study aimed to assess the impact of BMI variation on early mortality among adults living
100 with HIV receiving ART in Northwest Ethiopia. The findings may assist healthcare
101 professionals and policymakers design evidence-based interventions to improve BMI,
102 eventually reducing nutrition-related mortality. Our findings can inform future Ethiopian ART
103 guidelines.

104 **Methods**

105 *Study design, period, and area*

106 This institution-based retrospective cohort study used de-identified data extracted from the
107 medical records of adults living with HIV who received ART between June 2014 and June
108 2020 at Debre Markos Comprehensive Specialized Hospital (DMCSH) in Northwest Ethiopia.
109 The DMCSH is located 300 km from Addis Ababa, the capital of Ethiopia, and 265 km from
110 Bahir-Dar, the main city of the Amhara Region. It is the only referral hospital in the East
111 Gojjam Zone and serves more than 3.5 million people in its catchment area. The hospital has
112 been providing HIV care and antiretroviral treatment to people living with HIV since 2005. Of
113 the 1,209 people living with HIV who received ART at DMCSH between June 2014 and June
114 2020, 1,177 (97.4%) were 15 years of age or older (defined as adults).

115 *Study participants*

116 Study participants include all adults living with HIV who received ART between June 2014
117 and June 2020 at DMCSH for at least one month and who had at least two BMI measurements.
118 Patients, who transferred to DMCSH without baseline information, pregnant, or did not have
119 the date of the event (death) recorded, were excluded.

120

121 ***Sample size and sampling***

122 The minimum sample size required for this study was estimated based on the formula for an
123 independent cohort study, using the Open Epi Version 3.01 [21]. The following assumptions
124 were made: α of 5%; power of 80%; $Z_{\alpha/2}$ of 1.96; P_0 of 19%; P_1 of 27%; and r of 1:1. The value
125 of each parameter was obtained from a previous study conducted in Ethiopia [22], resulting in
126 a required sample size of 802. Assuming 10% chart incompleteness, the final required sample
127 was estimated to be 892. There were 1,117 adults living with HIV on ART at DMCSH between
128 June 2014 and June 2020. The medical records of 892 study participants were selected using a
129 simple random sampling technique. We obtained the medical registration numbers (MRNs) for
130 all adults living with HIV on ART at DMCSH between June 2014 and June 2020.

131 ***Data collection procedures***

132 To maintain data quality, a standardized data extraction checklist was used, adapted from the
133 national ART entry and follow-up forms currently employed by Ethiopian hospitals [20]. The
134 data extraction checklist included sociodemographic, clinical, and treatment-related variables.
135 Sociodemographic variables were age, sex, level of education, residence, marital status,
136 occupation, family size, and HIV-status disclosure. Clinical variables included baseline
137 opportunistic infections (OIs), CD4 cell counts, World Health Organization (WHO) clinical
138 disease staging, haemoglobin (Hgb) levels, nutritional status, functional status, and ART
139 eligibility criteria. Treatment-related variables consisted of ART adherence, change in ART
140 regimen, taking co-trimoxazole preventive therapy (CPT), taking tuberculosis preventive
141 therapy (TPT), HIV treatment failure based on viral load, and length of time on ART.
142 Laboratory results and measurements recorded during ART initiation were taken as baseline
143 values. All necessary data were extracted manually from patient charts. Two epidemiologists
144 currently working at the study hospital, both with postgraduate qualifications who are
145 specialized in HIV care, were employed as data collectors. Additionally, a biostatistician with

146 extensive experience in secondary data collection closely supervised the entire data collection
147 process.

148 ***Study variables and measurements***

149 This study had two outcome variables. The primary outcome was survival, determined as the
150 length of time (in months) after ART initiation until a patient died, lost to follow-up, transfer
151 out to another health facility, or end of follow-up. Death was ascertained by reviewing the
152 patient medical record written by a managing physician. Study participants were classified as
153 event (death) or censoring (other than event). Early mortality was considered when patients
154 died from any cause within the first 24 months of starting ART. The secondary outcome was
155 the BMI variation in the first two years after ART initiation. Body weight was measured in
156 kilogram (kg) at baseline (ART initiation) and then every three months for two years (24
157 months) with the corresponding BMI for each visit calculated by dividing weight in kilograms
158 by the height in meters squared (kg/m^2).

159 Explanatory (independent) variables included sociodemographic, clinical, and treatment-
160 related variables (as described in the data collection section). Detailed information, including
161 classification and operational definitions of the explanatory variables were available as
162 supplementary material (Supplementary Material).

163 ***Data management and statistical analyses***

164 **Missing data**

165 The values for some variables were not available due to incomplete medical records. For
166 example, 202 (24%) CD4 counts and 48 (5.7%) haemoglobin levels were not recorded in
167 medical records. Missing values for CD4 counts and haemoglobin levels were accounted for
168 using a multiple imputation method. Little's missing completely at random test was applied to
169 verify whether the values were missing at random or not before performing the actual multiple

170 imputation [23]. A multivariate normal imputation model was employed for the final
171 imputation. Covariates included in the imputation model were sex, residence, WHO clinical
172 disease staging, ART adherence, nutritional status, baseline OIs, CPT, and TPT.

173 **Longitudinal model to assess variations in BMI over time**

174 Individual profile plots were used to assess variation in BMI within and between subjects, and
175 a smoothed mean profile plot was used to visualize the average evolution over time. A locally
176 weighted scatterplot smoothing (LOWESS) mean was used because BMI contained
177 unbalanced data. The mean and standard deviation of BMI every three months were calculated.
178 The normality assumption was assessed using a Q-Q plot, and model comparison was done
179 using a likelihood ratio (LR) test. A linear mixed model (LMM) with random intercept and
180 slope was used as the final model. Variables with $p \leq 0.25$ in the bivariate analysis were
181 included in the multivariable analysis. The model goodness of fit was assessed using a model
182 diagnostic plot.

183 **Survival model**

184 The survival time of study participants was examined using the Kaplan-Meier survival curve.
185 Both bivariable and multivariable proportional hazards regression models were fitted to
186 identify predictors of mortality. Only variables with $p \leq 0.25$ in the bivariable analysis were
187 included in the multivariable models. The proportionality assumption of the Cox-proportional
188 hazards regression model was assessed using the Schoenfeld residual test. Adjusted hazard
189 ratios (AHRs) with 95% confidence intervals (CIs) and p-values were used to assess significant
190 predictors of mortality.

191 **Survival and longitudinal joint modelling**

192 Association between BMI variation and early mortality was assessed using joint modelling.
193 We compared various specifications of the baseline risk function for the survival sub-model
194 using the Akaike information and Bayesian information criteria. Lastly, a linear mixed-effects

195 model and a relative risk model with a piecewise-constant baseline risk function (piecewise
196 PH-GH) were used. For all models, statistical significance was set at $p < 0.05$. All statistical
197 analyses were performed using Stata 16 and R version 4.1.2 statistical software.

198 **Compliance with Ethics Guidelines**

199 Ethical approvals and permissions were granted from the DMCSH Medical Director's Office,
200 the University of Technology Sydney Health and Medical Research Ethics Committee
201 (ETH20-5044), and the Amhara Regional Public Health Research Ethics Review Committee
202 (Ref. no: 816). As the study was based on existing medical records of PLHIV, obtaining
203 participants' verbal or written informed consent was not feasible, and a waiver of consent was
204 granted. Data were completely de-identifiable to the authors, as the data abstraction tool did
205 not include participants' unique ART numbers and names.

206 **Results**

207 *Sociodemographic characteristics*

208 Of the 892 sampled patient charts, 58 records were excluded for the following reasons:
209 transferred to DMCSH without baseline information (n=21), pregnant women (n=20), weight
210 measured only once (n=3), the treatment outcome date was not recorded (n=10), and height
211 recorded only once (n=4). In total, 834 adult records were included in the final analysis. About
212 one-fifth (21.8%, n=182) were from rural areas and 41.6% (n=347) were male. The median age
213 of participants at ART initiation was 32 years (interquartilerange (IQR): 14 years). One quarter
214 (25.7%; n=214) of participants were divorced and almost one-third had no formal education
215 (30.3%; n=253). More than two-thirds (67.2%; n=560) of participants disclosed their HIV
216 status and more than half (55.2%; n=460) came from families with less than three family
217 members. See Table 1 for detailed participant sociodemographic characteristics.

218

219 ***Clinical and treatment-related characteristics***

220 Three hundred and thirty-six (40.3%) patients presented with OIs at ART initiation with 83.1%
221 (n=693) classified as working functional status. One-third (33.0%; n=275) were severely
222 immunocompromised, and 28.3% (n=236) were classified as having advanced disease. More
223 than half (55.2%; n=460) initiated ART through test and treat strategy. One-fifth (20.5%, n=
224 171) of participants were anaemic, with mean haemoglobin level and the median CD4 count at
225 ART initiation being 13.8 g/dl (SD \pm 2.3 g/dl) and 318.9 cell/m³ (IQR: 344 cell/m³),
226 respectively. Most participants (87.2%; n=727) started on the Efavirenz-based ART regimen
227 and three-quarters (74.9%; n=625) demonstrated good adherence to ART. About one-third
228 (31.8%; n=265) changed from their initial ART regimen during the study, with the availability
229 of new drugs being the most common reason for regimen change (n=228, 84.1%). Most patients
230 underwent TPT and CPT with 62.8% (n=524) and 73.6% (n=614), respectively. ART treatment
231 failure occurred in 23 individuals (2.7%) (See Table 2).

232 ***Exploratory data analysis of body mass index variation over time***

233 At ART initiation, 223 (26.7%) participants had BMI < 18.5 kg/m² (underweight), with
234 minimum and maximum BMI recorded during the 24 months of follow-up being 12.9 kg/m²
235 and 33.6 kg/m², respectively. The minimum and maximum BMI recorded during the 24 months
236 of follow-up were 12.9 kg/m² and 33.6 kg/m², respectively. The participants' mean BMI at
237 baseline was 20.5 kg/m² (SD \pm 3.1 kg/m²), and at termination was 22.6 kg/m² (SD \pm 3.3 kg/m²).
238 On average, participants' mean BMI increased by 0.14 kg/m² per month in the first 12 months
239 and increased by 0.03 kg/m² in the second year (see Table 3). Individual profile plots of 50
240 randomly selected patients showed that BMI varied significantly between individuals at ART
241 initiation and during follow-up. However, less variability was observed within individuals
242 (Supplementary Material). The overall smoothed mean profile plot showed a linear increase in
243 average BMI (see Figure 1).

244 ***Incidence of early mortality during ART follow-up***

245 Participants were followed for a minimum of three months and a maximum of 24 months,
246 contributing to 14,277 person-months. Two year follow-up showed 49 (5.9%) participants died
247 resulting in a mortality rate of 4.1 (95% CI: 3.1, 5.4) per 100 person-years. Of these deaths,
248 49% (n=24), 75.5% (n=37), and 79.6% (n=39) happened within the first six, 12 months, and
249 18 months of ART follow-up, respectively. The cumulative survival probability at the end of
250 24 months was 0.92 (95% CI: 0.89, 0.94). The mean survival time for the entire cohort was 23
251 months (95% CI: 22.7, 23.3 months) (see Figure 2).

252 ***Longitudinal sub-model***

253 Results from the longitudinal sub-model revealed no significant difference in mean BMI
254 between urban and rural residents at baseline; however, patients from rural areas had a lower
255 BMI increase over time than urban patients ($\beta=-0.08$; 95%CI: (-0.1, -0.02). As the ART
256 treatment duration increased by one month, mean BMI increased by 0.2kg/m² ($\beta=0.2$; 95%CI:
257 0.1, 0.3). Female participants had lower mean BMI at ART initiation ($\beta=-0.3$; 95% CI: (-0.6, -
258 0.1), but this difference was not statistically significant over time. Anaemic participants
259 presented with lower mean BMI at ART initiation ($\beta=-0.4$; 95%CI: -0.7, -0.1), but BMI
260 evolution over time was not significantly different, resulting in the interaction between anaemia
261 and time was excluded from the final model. Mean BMI of ambulatory/bedridden functional
262 status participants was 0.9 kg/m² lower than working functional status participants ($\beta=-0.5$;
263 95% CI: -0.8, -0.1) at ART initiation, although the BMI variation over time was not
264 significantly different between groups so this interaction was excluded from the final model.

265 Participants, who had OIs at ART initiation, presented with lower mean BMI ($\beta=-0.3$; 95% CI:
266 -0.6, -0.1) but had a higher rate of BMI increase ($\beta=0.1$, 95% CI: 0.03, 0.13) over time
267 compared to non-OIs affected participants. The mean BMI difference between participants who
268 had severe or mild immunodeficiency at baseline was not statistically significant, but increase

269 over time was higher in participants with severe immunodeficiency than their mild affected
270 counterparts ($\beta=0.1$; 95%CI: 0.07, 0.2). Those who started Dolutegravir (DGT)-based ART
271 regimen had lower mean BMI at ART initiation ($\beta=-1.1$; 95% CI: (-1.9, -0.5), but had a higher
272 rate of mean BMI increase over time ($\beta=0.2$, 95% CI: 0.01, 0.4) as compared to participants
273 started other ART regimens. Patients receiving TPT had higher mean BMI than those not taking
274 TPT at ART initiation ($\beta =0.5$; 95% CI: 0.2, 0.8), but this difference was not statistically
275 significant during follow-up. Underweight patients presented with lower mean BMI at ART
276 initiation ($\beta=-3.7$; 95% CI: 4.0, -3.5) but experienced higher BMI increases over time than
277 normal-weight patients ($\beta=0.1$; 95% CI: 0.07, 0.2). On the contrary, overweight/obese patients
278 presented with higher mean BMI at baseline ($\beta= 5.3$; 95% CI: 4.9, 5.7) but had lower BMI
279 increase over time than normal-weight patients ($\beta=-0.1$; 95% CI: -0.2, -0.03) (see Table 4).

280 ***Survival sub-model***

281 Significant predictors of mortality from the survival sub-model were WHO clinical disease
282 stage, ART regimen change, taking TPT, and functional status. Participants with a mild disease
283 stage had a 60% lower risk of death than severe disease stage individuals (AHR: 0.4; 95% CI:
284 0.2, 0.9). Participants who changed their initial regimen had an 80% lower risk of death than
285 participants who did not (AHR: 0.2; 95% CI: 0.1, 0.5). Participants who took TPT had a 77%
286 lower risk of death compared to participants who did not take TPT (AHR: 0.23; 95% CI: 0.1,
287 0.5). Risk of death was 2.7 times higher in patients presenting with ambulatory/bedridden
288 functional status as compared to those presenting with working functional status (AHR: 2.7;
289 95% CI: 1.3, 5.4) (see Table 5).

290 ***Joint models***

291 The joint model showed a strong association between longitudinal BMI variation and early
292 mortality with one unit increase in BMI corresponding to an 18% reduction in mortality risk
293 (AHR: 0.82; 95% CI: 0.75, 0.9) (see Table 5).

294 **Discussion**

295 This institution-based retrospective cohort study used separate models to identify mortality and
296 BMI variation predictors in Ethiopian adults living with HIV on ART. A joint model approach
297 examined the association between longitudinal BMI variation and early mortality. Our survival
298 analyses identified that patients who changed their initial ART regimen, took TPT, and had
299 mild clinical disease stage were at lower risk of death. However, patients with
300 ambulatory/bedridden functional status were at higher risk of death. Our longitudinal sub-
301 model also showed that patients presenting with OIs, underweight patients, patients who started
302 a DGT-based ART regimen and those with severe immunodeficiency had a higher BMI
303 increase over time. However, patients from rural areas and overweight/obese patients
304 experienced a lower BMI increase over time.

305 Nutritional status was not significantly associated with mortality at ART initiation. However,
306 a unit increase in BMI corresponding to an 18% reduction in mortality risk after ART initiation.
307 This demonstrates the time-dependent nature of BMI, which is consistent with our hypothesis.
308 The association between BMI change and mortality was expected and consistent with previous
309 studies [24-26]. This strong association could result from the recovery in adaptive and innate
310 immunity elements after ART initiation [27]. Evidence furthermore suggests that a higher BMI
311 is associated with higher CD4 cell counts at baseline and after six months [28]. The association
312 between BMI improvement and early mortality could also reflect a negative association
313 between BMI on OIs since OIs are the leading cause of mortality and morbidity among PLHIV
314 [29].

315 Our study also found that patients who took a DGT-based ART regimen had lower mean BMI
316 at ART initiation but a higher BMI increase over time than those receiving other ART
317 regimens. This finding is in line with a previous clinical trial conducted in developing countries

318 [30, 31]. Although the mechanism of DGT-associated weight gain is not fully understood, it
319 could have resulted from its higher tolerability compared to other regimens. Furthermore,
320 patients treated with DGT were found to achieve significant viral suppression and increased
321 CD4 counts [32]. Another possible explanation is that integrase strand transfer inhibitors
322 (INSTIs) may affect the gut microbiota of patients living with HIV [33]. Evidence suggested
323 that a marker of gut integrity, such as fatty acid-binding protein level, is an independent
324 predictor of weight gain and visceral fat gain in patients living with HIV [34].

325 In this study, patients who experienced OIs had a lower mean BMI at ART initiation but higher
326 BMI increase over time, which aligns with previous studies [34, 35]. Higher BMI increase over
327 time in patients with OIs could be the restoration of healthy pre-infection weight, known as the
328 “return-to-health” phenomenon [30], reflecting effects of ART, as it significantly reduces the
329 occurrence and recurrence of OIs and improves gastrointestinal function, appetite, and nutrient
330 absorption [30]. Differentiating healthy from unhealthy weight gain is not easy; however, our
331 results suggest that patients with OIs, severe immunodeficiency, and underweight had a higher
332 BMI increase after ART initiation. This indicates that the weight gain seen in this study is more
333 likely due to “returning to health”.

334 A higher rate of BMI increase was observed during follow-up in participants with severe
335 immunodeficiency, aligning with previous research [24, 36]. Patients with severe
336 immunodeficiency (CD4 cell counts <200 cell/mm³) are at higher risk of developing life-
337 threatening OIs such as oesophageal candidiasis (which compromises oral intake) [37]. As a
338 result, the rapid weight gain in severely immunocompromised patients in our study may
339 directly result from the beneficial effects of ART. Another reason could be that recovering
340 from OIs can reduce metabolic demands and contribute to weight gain after starting ART. Of

341 note, this study did not consider the time-dependent nature of CD4 cell measurements as
342 routine CD4 cell count measurements to initiate ART were no longer required after 2016

343 Patients from rural areas had a lower BMI increase over time than urban patients. A general
344 population study also found that overweight and obesity are more prevalent in urban areas than
345 rural areas [38], which could be due to dietary changes from a traditional diet to high-energy
346 processed foods, fats, animal-derived foods, sugar, and sweet beverages [39]. This pattern of
347 dietary change is more evident in urban residents than rural residents due to higher incomes
348 and greater availability of processed foods [38].

349 This study also found a higher BMI increase over time in underweight patients compared to
350 normal-weight patients. However, overweight/obese had a lower rate of BMI increase over
351 time compared to normal-weight patients, which is in line with previous studies conducted in
352 Zambia and the United States [40, 41]. Underweight patients may have gained more weight due
353 to increased food intake, reduced metabolic demand, and improved nutritional absorption after
354 ART initiation [42]. A higher weight gain in underweight patients could also result from their
355 desire not to look too thin, leading others to suspect their HIV status [43]. Lastly, continuous
356 nutritional education given by health professionals as recommended by the Ethiopian ART
357 guidelines or dietary supplements may promote healthier diets [20].

358 The mechanism of weight gain or loss is too complex, and observational studies like ours may
359 not address such research questions because it needs molecular studies. However, as our study
360 suggests that patients who failed to gain weight had a higher risk of death, discussing the
361 possible reasons for failure to gain weight in this population is essential to make
362 recommendations. We understand possible explanations for the weight gain in our study might
363 be speculative but very important.

364 Our survival analysis found that patients with mild disease stage had a lower risk of death
365 compared to patients with advanced disease stage, which is consistent with previous studies
366 [44-46]. Patients with advanced disease stages are at higher risk of developing serious and life-
367 threatening OIs, such as TB, cryptococcal meningitis, and toxoplasmosis [47]. Patients co-
368 infected with TB are more likely to die in the early phase of ART due to the immune
369 reconstitution inflammatory syndrome [48].

370 Participants who took TB prophylaxis had a lower risk of death compared to participants who
371 did not take TB prophylaxis in our study, similar to previous studies [49, 50]. This study also
372 found that participants who changed their initial ART regimen had an 80% lower risk of death
373 than those who did not. Due to incomplete data, we could not conclude which specific regimens
374 are associated with lower mortality risk. The most (84%) common documented reason for
375 regimen change in our study was the availability of new drugs. Hence, improved survival may
376 be due to the availability of a more effective ART drug, such as DTG. However, we believe
377 that based on the data available in our study, this would be too speculative, and further studies
378 are needed. In response to the WHO's recommendation, 82 low-and middle-income countries
379 (LMICs), including Ethiopia, reported switching to a DTG-based HIV regimen in 2019 [51].

380 Similar to findings of previous LMICs-based studies [16, 52-56], we found the risk of death
381 among patients classified as ambulatory/bedridden functional status was much higher than
382 those classified as working functional status. At ART initiation, bedridden functional status
383 (i.e., remain in bed and physically inactive) patients are in an advanced disease stage and
384 severely immunocompromised at ART initiation.

385 ***Strengths and limitations***

386 The large sample size (i.e. increased statistical power) and advanced statistical analyses,
387 including missing value handling, are some of the strengths of this study. In addition, as we

388 used longitudinal measurements of BMI, it may reflect the actual relationship between BMI
389 (nutrition) and mortality. However, this study has some limitations that must be considered
390 when interpreting the results. The values for some important nutritional status and mortality
391 determinants, such as micronutrient deficiency, dietary diversity, and viral load, were
392 unavailable from the routinely collected patient records. Furthermore, cause-specific mortality
393 was not determined, as the specific causes of deaths in PLHIV were not recorded. The long-
394 term effects of weight gain on chronic disease were not reflected in this study because of the
395 short follow-up period (two years). Lastly, cases of patients who died at home may not be
396 reported to HIV clinics due to a passive reporting system, thereby potentially underestimating
397 the mortality rate.

398 **Conclusion**

399 This study found that BMI improvement after ART initiation was strongly associated with
400 lower mortality risk, regardless of BMI category. This implies that clinicians can predict
401 patients' prognosis (poor or good) by looking at their BMI evolution after ART initiation.
402 Therefore, patients whose BMI does not improve after ART initiation need special attention
403 and close follow-up because they are at higher risk of early mortality. The longitudinal finding
404 of this study also showed that patients who took a DGT-based ART regimen had a higher BMI
405 increase over time. This finding confirms the possible positive benefits of the DGT-based ART
406 regimen in developing countries, such as weight gain. The study also found that patient with
407 poor clinical conditions (i.e., presence of OIs, underweight and severe immunodeficiency) had
408 higher BMI increase over time. Moreover, the provision of TB prophylaxis should be
409 strengthened based on patients' eligibility. Further prospective follow-up studies are needed to
410 examine the effects of diet, income, nutritional knowledge, exercise, social and cultural
411 influences on BMI improvement and their association with treatment outcomes. Lastly, the

412 long-term effects of weight gain on chronic comorbidities such as cardiovascular diseases,
413 diabetes, and metabolic syndrome and their association with mortality need to be investigated.

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422 Animut Alebel: Conception of the research idea, design, analysis, interpretation, drafting and
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424 review, and edit the manuscript. Pammla Petrucka: interpretation of results, reviewing and
425 editing the manuscript. All authors have read and approved the final manuscript.

426 **Disclosures**

427 Animut Alebel, David Sibbritt, Pammla Petrucka, and Daniel Demant declare that they have
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429 **Compliance with Ethics Guidelines**

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437 **Data availability**

438 The data sets used and/or analysed for this study are available from the corresponding author
439 on reasonable request.

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637 **List of figures**

638 **Figure 1.** The smoothed mean profile plot of body mass index among adults living with HIV
639 receiving ART at DMCSH, Northwest Ethiopia.

640 **Figure 2.** The overall Kaplan-Meier survival showing the survival time of adults living with
641 HIV receiving ART at DMCSH, Northwest Ethiopia

642