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Journal Pre-proof

Anticancer activity of NF κ B decoy oligonucleotide-loaded nanoparticles against human lung cancer

Vinod Kumar Kannaujiya, Gabriele De Rubis, Keshav Raj Paudel, Bikash Manandhar, Dinesh Kumar Chellappan, Sachin Kumar Singh, Ronan MacLoughlin, Gaurav Gupta, Dia Xenaki, Pradeep Kumar, Philip Michael Hansbro, Brian Gregory George Oliver, Peter Richard Wich, Kamal Dua



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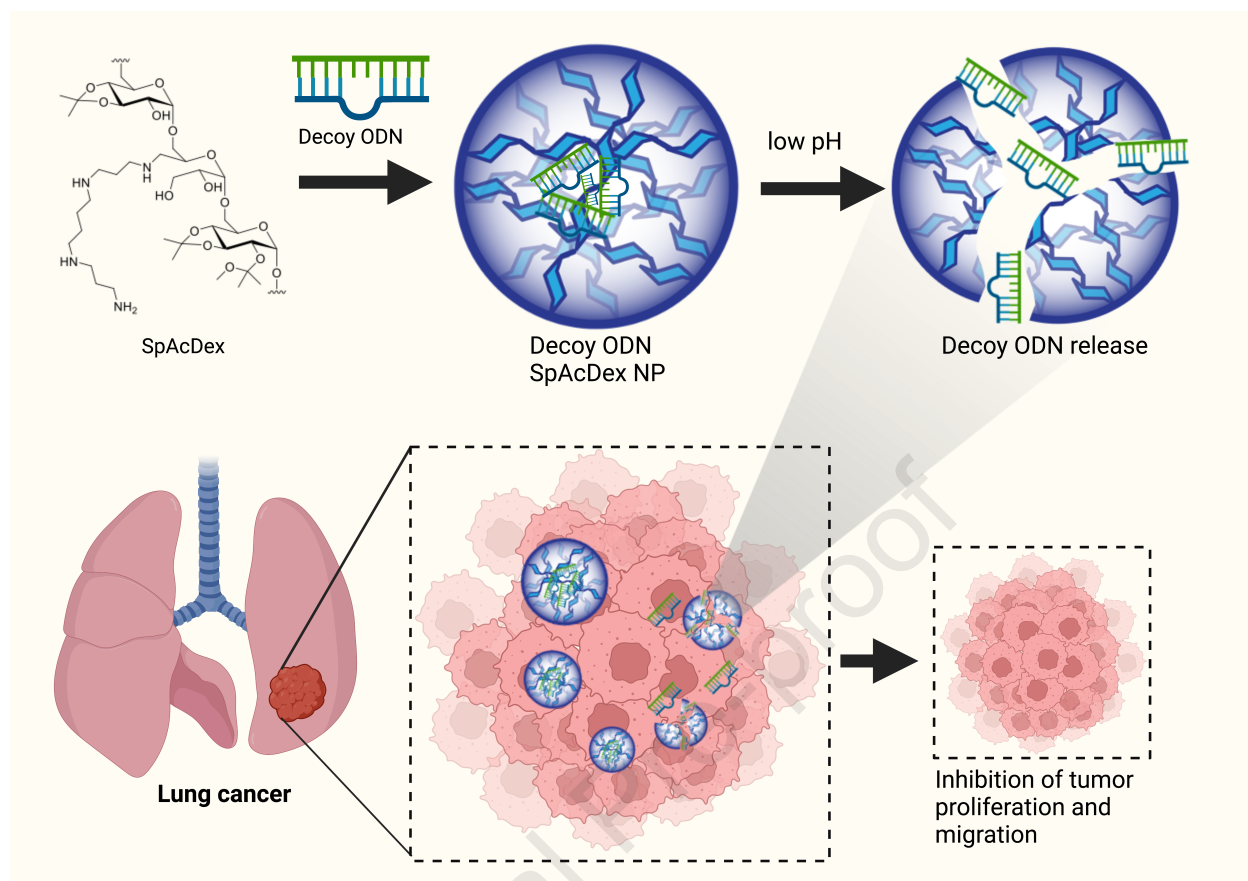
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28 ¹² Uttaranchal Institute of Pharmaceutical Sciences, Uttaranchal University, Dehradun 248007,
29 Uttarakhand, India

30 ¹³ Woolcock Institute of Medical Research, University of Sydney, Sydney, New South Wales,
31 Australia

32 ¹⁴ Wits Advanced Drug Delivery Platform Research Unit, Department of Pharmacy and
33 Pharmacology, School of Therapeutic Sciences, Faculty of Health Sciences,
34 University of the Witwatersrand, Johannesburg, South Africa

35 ¹⁵ School of Life Sciences, University of Technology Sydney, Ultimo, NSW 2007, Australia

36 # These two authors contributed equally to this work

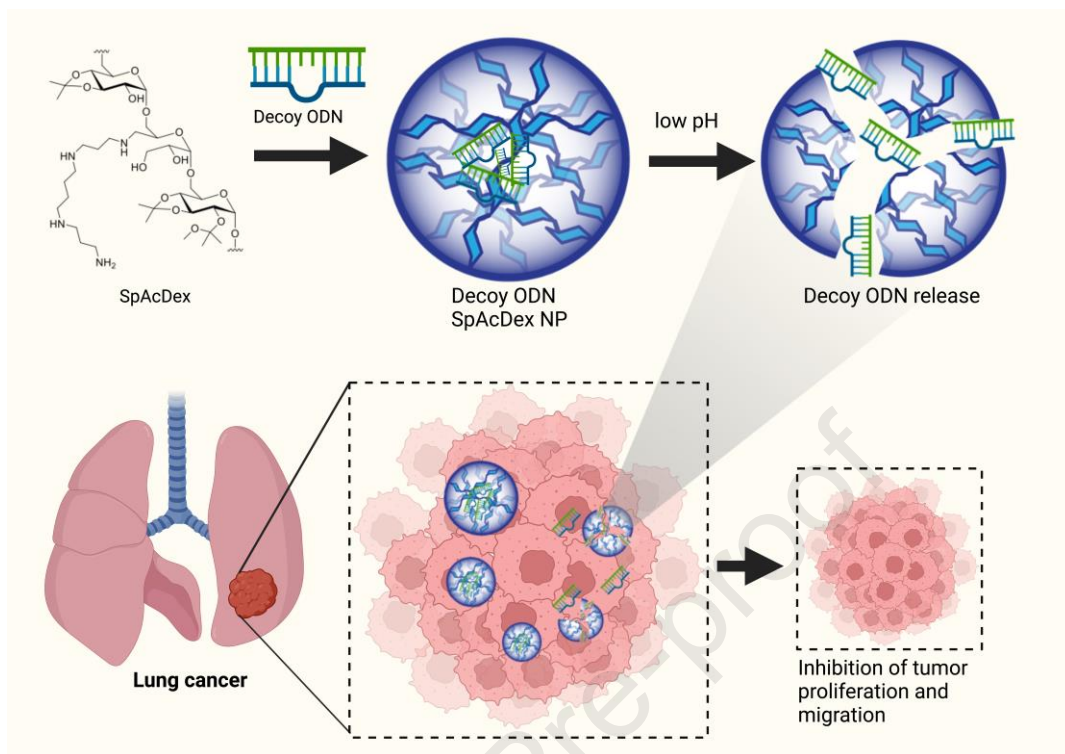
37 * Corresponding Authors: Kamal Dua (kamal.dua@uts.edu.au); Peter Richard Wich
38 (p.wich@unsw.edu.au); Brian Gregory George Oliver (brian.oliver@uts.edu.au).

39 **Abstract (200-300 words)**

40 Non-small cell lung cancer (NSCLC) is among the leading global causes of cancer-related
41 mortality. Current treatment options have limited efficacy and severe adverse effects,
42 underlining the necessity for innovative therapeutic strategies. Among emerging strategies,
43 NF κ B inhibition is particularly promising, as NF κ B is considered a master regulator of NSCLC
44 pathogenesis. NF κ B activity can be efficiently inhibited by double-stranded decoy
45 oligodeoxynucleotides (ODNs). However, therapeutic use of ODNs is strongly limited by
46 enzymatic degradation and poor transport across cell membranes. In this study, we report the
47 encapsulation of a small hydrophilic NF κ B decoy ODN into a biodegradable, biocompatible,
48 and acid-responsive dextran-based nanoparticle (NP) system. This formulation has shown
49 excellent encapsulation efficiency (up to 99.5%) with 185 nm average particle size and pH-
50 dependent ODN release at acidic pH. NF κ B decoy ODN NPs showed promising anticancer
51 activity, with significant anti-proliferative, anti-migratory, and anti-colony formation activity.
52 These were measured by MTT assay, Boyden chamber and scratch wound healing assays, and
53 crystal violet staining, respectively. Mechanistically, the anti-proliferative effect was exerted
54 through the activation of the expression of key genes regulating apoptosis and necroptosis such
55 as TNF- α , RIPK1, RIPK3, and MLKL. The findings of this study provide the foundations for
56 further investigation of the molecular mechanisms by which NF κ B inhibition results in
57 anticancer activity, simultaneously providing proof-of-concept of the therapeutic potential of
58 dextran-based nanoparticles carrying NF κ B decoy ODNs against NSCLC.

59 **Keywords:** AcDex nanoparticles, decoy oligodeoxynucleotides, lung cancer, NSCLC,
60 migration, proliferation, NF κ B, pulmonary delivery

61

62 **Graphical abstract**

63

64 *Image created with BioRender.com*

65

66 1. Introduction

67 Across all cancer types, lung cancer (LC) is one of the leading causes of death, with more than
68 1.7 million LC-related deaths recorded in the world in 2020 [1]. Non-small-cell lung cancer
69 (NSCLC) represents the majority (85%) of LC cases [2]. The five-year survival rate of LC is
70 only 17.8%, lower than that of other main cancers [3]. Besides smoking, other factors causing
71 LC include increasing urbanization and environmental pollution [4].

72 The current mainstay treatment modalities for LC include surgery [5], chemotherapy [6],
73 radiotherapy [7], targeted therapy [8], and immunotherapy [9, 10]. Despite the availability of
74 numerous treatment strategies, a large percentage of patients experience relapse and treatment
75 resistance [9]. This, together with the elevated chemo- and radiation toxicity associated to
76 chemotherapy and radiotherapy [11], demonstrates the urgency for the development of
77 innovative NSCLC treatment strategies with increased efficacy and reduced toxicity [12-14].

78 Nuclear factor κ B (NF κ B) represents a family of transcription factors that was discovered in
79 1987 as factors binding to the enhancer of κ immunoglobulins in activated B cells [15]. Since
80 then, NF κ B has been found to have a pivotal role in the transcriptional regulation of a plethora
81 of cellular responses, particularly related to the immune system and inflammation [16]. Besides
82 its role in the immune response, NF κ B is an essential contributor to many cancer hallmarks, as
83 its activation promotes phenomena such as tumour cell proliferation and survival [17], and it
84 is considered the key point of connection between persistent infections, chronic inflammation,
85 and increased cancer risk [18]. In NSCLC, NF κ B is considered a master regulator of cancer
86 pathogenesis and progression, influencing many aspects of this cancer such as proliferation
87 [19], cancer survival, [20], cancer cell migration, infiltration and metastasis [21], and epithelial-
88 to-mesenchymal transition (EMT) [22]. Recently, increased NF κ B expression in NSCLC was
89 associated with reduced overall survival and 5-year survival rate, worsening tumour stage, and
90 lymph node metastasis [23]. This supports the potential of targeting and inhibiting NF κ B as an
91 effective approach for LC therapy [24, 25], and numerous molecules inhibiting the NF κ B
92 pathway are currently being studied [26]. Despite this, no NF κ B targeting treatment has
93 reached clinical approval for application as cancer therapy.

94 Among the many possible modalities of NF κ B inhibition for therapeutic purposes, the use of
95 decoy oligodeoxynucleotides (ODNs) represents a viable strategy [27, 28]. Decoy ODNs are
96 therapeutic molecules consisting in double-stranded synthetic oligonucleotides with a sequence
97 that mimics the DNA target sequence of the intended transcription factor. As a consequence,

98 the transcription factor binds specifically to the decoy ODN, and fewer copies of the
99 transcription factor are available to bind the target DNA, resulting in the net inhibition of the
100 transcription factor's activity [29]. For this reason, decoy ODNs represent promising tools to
101 finely regulate the activity of specific transcription factors in many diseases [30, 31]. In a recent
102 study, for example, the transfection with a NF κ B decoy ODN resulted in strong suppression of
103 the proliferation of the androgen-independent prostate cancer cell line PC-3M, together with
104 induction of apoptosis [32]. Despite the versatility of decoy ODNs, these therapeutic tools have
105 many limitations, including lack of tissue specificity in the case of systemic administration [33]
106 and, importantly, an unfavourable pharmacokinetic profile [27] characterised by two major
107 issues: (1) low cellular permeability due to the large size and presence of negative charges; and
108 (2) instability of the ODN under *in vivo* conditions due to nuclease activity [34]. This results
109 in reduced therapeutic efficacy [27, 35, 36]. Despite structural ODN modifications such as
110 peptide nucleic acids (PNAs) [37], locked nucleic acids (LNAs) [38] and phosphorothioate-
111 substituted ODNs [33] resulted in increased resistance to degradation, concerns about toxicity,
112 side effects, poor binding efficacy and specificity of these nucleotide derivatives remain [27].
113 For this reason, there is a need to develop suitable delivery systems for NF κ B decoy delivery
114 to the target site of action [39].

115 One potential strategy to efficiently deliver ODN cargo to target cells is represented by the use
116 of advanced nanocarriers allowing pulmonary delivery through nebulization [40]. *De Rosa et*
117 *al* have reported a biodegradable polymer, poly(DL-lactic *co*-glycolic acid) (PLGA)-based
118 micro spherical particle, for the delivery of NF κ B decoy ODN in RAW 264.7 macrophages,
119 obtaining sustained release of ODN together with inhibition of NF κ B at low concentrations
120 [41]. Similarly, NF κ B ODN-loaded PLGA microspheres were reported to achieve site-specific
121 delivery of ODN in a rat-carrageenin sponge implant model, inhibiting NF κ B activation in
122 chronic inflammation [42].

123 Polysaccharide-based drug delivery systems have gained a considerable interest due to
124 properties such as biocompatibility, biodegradability, and easy chemical modification [43, 44].
125 Recently, NF κ B/p65 antisense oligonucleotide-loaded chitosan-based nanoparticles (NPs)
126 were reported to achieve an excellent loading efficiency exploiting ionic interactions between
127 cationic chitosan and anionic nucleotides [45]. Similarly, *Cohen et al* have reported an acid-
128 responsive acetalated dextran-based nanocarrier for the delivery of siRNA to HeLa-luc cells
129 [46]. Upon cellular uptake, the acetal groups undergo hydrolysis under slightly acidic
130 environments such as those found in lysosomes/late endosomes and, importantly, in the tumour

131 microenvironment [47]. This generates water-soluble dextran material, acetone, and methanol
132 as side product [48]. The hydrolysis of the acetal groups initiates the disassembly of NPs and
133 the controlled release of the payload [49]. Additionally, the dextran side chain was modified
134 with spermine to introduce cationic moieties in the polymer, thus facilitating encapsulation of
135 highly polyanionic siRNA molecules through electrostatic interactions. Furthermore, the
136 cationic nature of spermine-modified acetalated dextran (SpAcDex) NPs has shown enhanced
137 cellular uptake due to the electrostatic interactions occurring with the negatively charged cell
138 membrane [50].

139 In this study, we encapsulated NF κ B double-stranded decoy ODNs into SpAcDex NPs and
140 evaluated the anticancer activity of this formulation in the human A549 NSCLC cell line. The
141 present study is the first in which an NF κ B decoy ODN is encapsulated within a bio-
142 compatible, acid-responsive delivery system, which allows selective release of the payload in
143 the acidic tumor microenvironment. This has the potential to minimize adverse effects caused
144 by the off-target, aspecific inhibition of NF κ B in healthy cells. The formulation revealed a
145 strong anticancer activity, with significant inhibition of cancer hallmarks including cancer cell
146 proliferation, migration, and ability to form clonal colonies. Mechanistically, the anti-
147 proliferative effect of this formulation was achieved by enhancing the expression of genes
148 mediating apoptosis and necroptosis such as tumor necrosis factor- α (TNF- α), Receptor-
149 interacting serine/threonine-protein kinases 1 and 3 (RIPK1, RIPK3), and Mixed lineage kinase
150 domain-like (MLKL). This study provides proof-of-concept of the suitability of SpAcDex NP-
151 based ODN formulations for the therapeutic inhibition of NF κ B in NSCLC.

152

153

154 **2. Materials and Methods**

155 **2.1 Materials**

156 Dextran (Mw 9-11 kDa, from *Leuconostoc mesenteroides*) was purchased from (Sigma
157 Aldrich). Sodium periodate, 2-Methoxy propene, spermine, Sodium borohydride were
158 purchased from Merck. Water used during particle preparation was adjusted to pH 8 with
159 triethylamine (Sigma Aldrich). All buffers and water used for the preparation of SpAcDex-
160 based NPs were in nuclease free and filtrated through syringe filters (Millex, sterile PES
161 membrane, pore size 0.22 μm , Merck). Brandson Digital Sonifier 450 (Power: 400 watts; Line
162 Voltage: 200-245 @ 50/60 Hz, Consonic Tip Micro Tapered 1/8). Dynamic light scattering
163 (DLS) (Malvern Zetasizer Nano ZS). NF κ B decoy ODN and Scramble decoy ODN were
164 purchased from Merck, Bayswater, VIC, Australia MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-
165 diphenyl tetrazolium bromide), crystal violet, DMSO, H/E staining solutions Dulbecco's
166 Modified Eagle's Medium (DMEM), fetal bovine serum (FBS), and penicillin and
167 streptomycin were purchased from Sigma-Aldrich, St. Louis, MO, USA. All remaining agents
168 and solvents used in experiments involving cell culture were purchased from Sigma-Aldrich
169 unless stated otherwise.

170

171 **2.2 Synthesis of Spermine-Functionalized Acetalated Dextran**

172 The spermine-functionalized acetalated dextran was synthesized over three steps according to
173 literature [46].

174 **Partial Oxidation of Dextran (OxDex):** For the synthesis of partially oxidized dextran,
175 dextran (2.0 g, 12.3 mmol) was dissolved in 8.0 mL dd-H₂O. The solution was stirred for 5 h
176 at room temperature after sodium periodate (480 mg, 2.25 mmol) addition. Further, the reaction
177 mixture was dialyzed against dd-H₂O for 3 days using a snakeskin regenerated cellulose
178 membrane with MWCO of 3,500 g/mol. After lyophilization, a colourless powder (1.3 g) was
179 obtained, with an aldehyde content of 8.9 ± 0.02 mol aldehyde per 100 mol anhydrous glucose
180 units (AGU) confirmed by BCA assay.

181 **Acetalation of Partially Oxidized Dextran (OxAcDex):** The solubility switch from
182 hydrophilic to hydrophobic dextran was obtained as described by *Bachelder et al.* The oxidized
183 dextran (1 g, 6.17 mmol) was dissolved in DMSO (12.0 mL). Further, 2-methoxypropene (2.6

184 g, 36 mmol) was added slowly after addition of pyridinium *p*-toluenesulfonate (22 mg, 0.088
185 mmol). The reaction mixture was stirred for 10 min at room temperature followed by reaction
186 quenching with the help of triethylamine (1 mL) [48]. The resulting reaction mixture was
187 precipitated in dd-H₂O pH 8 (100 mL) and isolated by centrifugation (12,000 g, 20 min, 4 °C).
188 The product was further washed 5 times with dd-H₂O pH 8. After lyophilization, the OxAcDex
189 (1.2 g) was obtained as a colorless powder. The obtained product contains 79.1% acetals, where
190 30.2% are cyclic and 48.9% are acyclic acetals.

191 **Spermine Modification of OxAcDex (SpAcDex):** To further modify oxidized acetalated
192 dextran with spermine, the Ox-AcDex (1.0 g, (AGU) 202 g/mol, 5.05 mmol) was dissolved in
193 DMSO (4.5 mL). After addition of spermine (1 g, 5.05 mmol), the reaction mixture was
194 incubated with continuous stirring for 24 h at 40 °C. Afterwards, sodium borohydride (560 mg,
195 15.2 mmol) was added, and reaction was stirred for additional 24 h at 50 °C. After purification
196 using similar method as mentioned above, the product was lyophilized to obtain a white
197 powder. The degree of functionalization was determined by elementary analysis (1.36% N,
198 53.46% C and 7.83% H) and resulted in 4.8 mol spermine per 100 mol AGU.

199

200 **2.3 Transcription Factor Decoy ODN**

201 Single stranded decoy ODN to double stranded NFκB inhibitor was obtained by annealing. The
202 sequence of sense and antisense oligodeoxynucleotides was annealed in 1×annealing buffer
203 (20 mM Tris-HCl, 20 mM MgCl₂ and 50 mM NaCl, pH 7.5). The mixture was heated at 80 °C
204 for 5 min and allowed to cool slowly at room temperature overnight.

205 The sequence of the ODN decoy to NFκB used was:

206 (1) NFκB decoy ODN sequence

207 5'-CCTTGAAGGGATTTCCCTCC-3'

208 3'-GGAACTTCCCTAAAGGGAGG-5'

209 (2) scrambled decoy ODN sequence

210 5'-TTGCCGTACCTGACTTAGCC-3'

211 3'-AACGGCATGGACTGAATCGG-5'

212

213 **2.4 Nanoparticle Preparation**

214 ODN-loaded and empty nanoparticles were prepared by a double emulsion method using a
215 probe sonicator. 10 mg spermine-modified acetalated dextran was dissolved in 800 μL
216 dichloromethane (DCM) and 130 μL phosphate-buffered saline (PBS) with and without 121.5
217 μg annealed ODN was added for loaded and empty nanoparticle formulations, respectively.
218 The first sonication was performed for 10 second followed by addition of 4 mL polyvinyl
219 alcohol (PVA) solution (3% w/w in PBS, 13–27 kDa, 87–89% partially hydrolyzed) on top of
220 primary emulsion. Further, a second sonication was performed for 30 s to achieve a secondary
221 water-in-oil-in-water emulsion. The resulting emulsion was stirred overnight to allow DCM
222 evaporation followed purification by ultracentrifugation (45,000 x g, 20 min, 20 °C) and was
223 washed three times with 4.0 mL dd-H₂O (pH 8). Before lyophilization, 50 μL PVA solution
224 (0.3% w/w in dd-H₂O pH 8) was added as cryoprotectant. The particle yield was about 60%,
225 based on the initial spermine-modified dextran material.

226

227 **2.5 Measurement of Particle Size and Zeta Potential**

228 The size of the different dextran-based NP was determined by nanoparticle dynamic light
229 scattering (DLS), using a Malvern Zetasizer Nano ZS. All NP samples were measured in dd-
230 H₂O (pH 8.0) after sonication (Unisonics FXP) for 60 s at 25 °C in triplets. The size calculation
231 was performed with Malvern software. Zeta potential (particle charge) was measured using a
232 clear disposable zeta cell. Three measurements with 20 individual runs each were performed
233 at 25 °C. Particle samples were prepared at concentrations of 0.1 mg/mL in HEPES buffer
234 (25 mm, pH 7.4). The calculation was performed with the Malvern Zetasizer software 6.20.
235 Data shown represent the average zeta potential (standard deviation of distributions of three
236 sequential measurements).

237

238 **2.6 Scanning Electron Microscopy (SEM)**

239 Particle shape and morphology were analyzed by scanning electron microscopy (SEM). The
240 freeze-dried Dex(ODN-loaded) NPs dispersed in distilled (1 mg⁻¹·mL) were dried and coated
241 with Pt layer under argon atmosphere. Images of the samples were taken on an FEI Nova Nano
242 SEM 230 FE-SEM at an accelerating voltage of 5.0 kV.

243 **2.7 Determination of ODN Loading by RiboGreen Assay**

244 An indirect method of quantification was performed to determine the encapsulated double-
 245 stranded decoy ODN in double emulsion particles using the Quant-iT™ RiboGreen® assay
 246 [51]. Here, particle solution was centrifuged after particle formation and solvent evaporation.
 247 The amount of free decoy ODN present in the supernatant was then quantified and compared
 248 with the initial concentration of decoy ODN used in particle formulation [52]. The non-
 249 encapsulated decoy ODN present in the supernatant was able to react with the RiboGreen®
 250 reagent resulting in a fluorescent compound with an emission maximum at 535 nm ($\lambda_{ex} = 485$
 251 nm). To determine the decoy ODN content, 10 μL of the supernatant was combined with 90
 252 μL of PBS in a black, flat-bottom 96-well microplate. Meanwhile, the pure double-stranded
 253 decoy ODN was diluted in PBS to a concentration that ensured 100% encapsulation. The
 254 RiboGreen® reagent was diluted 1:200 with PBS, and 100 μL was added to each well, resulting
 255 in a total volume of 200 μL per well. The reaction mixture was then carefully incubated for 5
 256 minutes in the dark before the fluorescence of the reacted dye was recorded using a Tecan
 257 microplate reader. To further verify any presence of free decoy ODN in the nanoparticle pellets,
 258 the particles were washed twice with nuclease free pH 8.0 water and ODN content were
 259 measured in supernatant. The results were compared with the fluorescence of the theoretical
 260 amount of encapsulated decoy ODN using Microsoft Excel to determine total ODN loading.
 261 Loading content and encapsulation efficiency was calculated according to formula as LC and
 262 EE.

263

$$\text{LC (wt \%)} = \frac{\text{weight of ODN in particle}}{\text{weight of ODN-loaded particle}} \cdot 100\% \quad \text{eq. 1}$$

$$\text{EE (wt \%)} = \frac{\text{weight of ODN in particle}}{\text{weight of total ODN used in particle formulation}} \cdot 100\% \quad \text{eq. 2}$$

264

265 **2.8 pH-Dependent Degradation of SpAcDex Particles**

266 Empty particles were suspended in triplicate at a concentration of 0.25 mg/mL in either a 0.3
 267 M acetate buffer (pH 5.5) or PBS (pH 7.4) buffer and incubated at 37 °C under gentle agitation

268 using a MultiTherm shaker (Eppendorf). At various time points, the size distribution of the
269 samples was measured using DLS. For visual observation, the particles were incubated at a
270 concentration of 2.5 mg/mL and were photographed at various time points.

271

272 **2.9 pH-Dependent Release of Decoy ODN from SpAcDex Particles**

273 ODN-loaded particles were incubated at a concentration of 5 mg/mL in either a 0.3 M acetate
274 buffer (pH 5.5) or PBS (pH 7.4) buffer at 37 °C temperature under gentle agitation using a
275 thermo incubator (Eppendorf). At different time interval the aliquots were collected and
276 centrifuged at 10 000g for 10 min to pellet out insoluble materials, and the supernatant was
277 stored at -20 °C. The release ODN in the supernatant sample was quantified by Quant-iT™
278 RiboGreen® assay. The amount of ODN in each sample was calculated by fitting the emission
279 to a calibration curve using the Quant-iT™ RiboGreen® assay. For this experiment, all
280 solutions included heparin at 25 mg/mL to disrupt electrostatic interactions between polymer
281 amines and decoy ODN to enable quantification.

282

283 **2.10 ODN Molecular Generation and Visualization**

284 The double stranded molecular structures of NFκB and scrambled ODNs were generated using
285 the default DNA/RNA builder tool in Avogadro 2.0.8.0 Molecule Editor & Visualizer System
286 [53]. The generated ODN structure was then visualized and scanned using UCSF Chimera 1.14
287 Molecular Modelling System employing conventional Nucleic Acid Database (NDB) colors
288 and formats [54].

289

290 **2.11 Cell Culture**

291 A549 (human lung epithelial carcinoma) and BEAS-2B (human non-cancerous bronchial
292 epithelial) cell lines (ATCC, USA) were a kind gift from Prof. Alaina Ammit, Woolcock
293 Institute of Medical Research, Sydney, Australia. Cells were cultured in DMEM supplemented
294 with 10% FBS, 1% penicillin and streptomycin, in a humidified 37°C incubator supplied with
295 5% CO₂.

296

297 **2.12 Cell Viability Assessment - MTT Assay**

298 The MTT assay was performed to assess A549 and BEAS-2B cell proliferation and viability
299 as previously described [55, 56]. Briefly, 5000 A549 cells/well were seeded in a 96-well plate
300 and, 24 hours post attachment, cells were treated with Dex(NFκB-ODN) NPs or
301 Dex(scrambled-ODN) NPs or empty nanoparticles at different concentrations (corresponding
302 to 0.5, 1, 2.5, 5, 10 nM ODN). Twenty-four hours after treatment, MTT solution (20 μL of a 5
303 mg/mL stock solution MTT in PBS, for a final concentration of 0.5 mg/mL MTT) was added
304 to the wells and the mixture was incubated at 37 °C for 4 hours. Successively, the supernatant
305 was removed and 100 μL dimethyl sulphoxide (DMSO) were added to each well to dissolve
306 the formazan crystals. The absorbance of the wells was then read using an UV/VIS
307 spectrophotometer at a wavelength of 540 nm. The percent viability of the cells treated with
308 either Dex(NFκB-ODN) NPs or Dex(scrambled-ODN) NPs or empty NPs was reported as
309 percentage compared to the control (untreated) group.

310

311 **2.13 Wound Healing Assay**

312 The wound healing assay was performed as reported previously [57-59] to assess the anti-
313 migratory activity of the Dex(NFκB-ODN) NPs on A549 cells. Briefly, 3×10^5 A549 cells/well
314 were seeded into 6-well plates and cultured until confluency. The cell monolayer was scratched
315 using the tip of a sterile 200 μL pipette tip, followed by multiple washing steps with PBS.
316 Images at 0 hr time point were taken after PBS washing, then A549 cells were treated with 10
317 nM Dex(NFκB-ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for 24 hours.
318 The distance between the edges of the scratch before and 24 h after treatment was measured
319 using the IS capture software after imaging with a light microscope at 10X magnification, and
320 the percentage wound closure was reported compared to the control (untreated) group.

321

322 **2.14 Boyden's Chamber Assay**

323 To determine A549 cells migration, a modified Boyden's chamber assay was performed as
324 previously described [58, 60], using transwell permeable supports (6.5-mm insert 8-μM pore
325 size polycarbonate membrane). First, the lower surface of the membranes was coated with
326 2.5% gelatin in 1M acetic acid for 1 hour. Subsequently, cells were seeded in the upper chamber
327 at a density of 10^4 cells/mL in a volume of 200 μL DMEM culture media. The chamber was

328 then placed in a well containing 600 μ L DMEM. After attachment, the cells were treated with
329 10 nM Dex(NF κ B-ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for 24h,
330 and cells were allowed to migrate for 24 hours more after the end of the treatment. Following
331 this, the non-migrated cells remaining in the upper surface of the membrane were removed
332 using cotton swabs, while the cells that successfully migrated reaching the lower surface were
333 fixed in 10% formalin and stained with hematoxylin and eosin. Finally, the cells that had clearly
334 migrated through the pores of the membranes were counted in 5 random fields with a light
335 microscope, with a 20x magnification. Average cells per field of view were then calculated and
336 reported.

337

338 **2.15 Colony Formation Assay**

339 The colony formation assay was performed as reported previously [58, 61] to test the anti-
340 colony formation activity of Dex(NF κ B-ODN) NPs in A549 cells. First, cells were seeded at a
341 density of 500 cells/well into six-well plates. Following adhesion, cells were treated with 10
342 nM Dex(NF κ B-ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs. After colony
343 development (about 2 weeks), the cells were washed with PBS and fixed with 3.7%
344 formaldehyde for 20 minutes. Successively, cells were washed again with PBS and stained
345 with 0.4% crystal violet, then washed four to five times with PBS. The colonies were finally
346 counted using the ImageJ software.

347

348 **2.16 Real-time qPCR**

349 The effects of Dex(NF κ B-ODN) NPs on the mRNA expression levels of proliferation-related
350 genes were assessed through quantitative real-time PCR (qPCR) as described in a previous
351 study [61]. First, 1.5×10^5 A549 cells/well were seeded into 6-well plates and left to attach
352 overnight. The following day, cells were treated with 10 nM Dex(NF κ B-ODN) NPs or
353 concentration-matched Dex(scrambled-ODN) NPs for 24 h. After the treatment, the cells were
354 lysed with 500 μ L TRI reagent (Sigma-Aldrich, Australia). The samples were vortexed for 45
355 seconds to ensure complete cell rupture. Successively, 125 μ L chloroform (Sigma-Aldrich,
356 Australia) were added and the samples were centrifuged at 12,000 g, 3°C, for 15 minutes. The
357 aqueous layer was transferred into fresh tubes and the RNA was precipitated by adding 250 μ L
358 ice-cold isopropyl alcohol (Sigma-Aldrich, Australia). The tubes were then centrifuged at

359 12,000 g, 3°C, for 10 min. After centrifugation, the supernatant was removed, and the
 360 precipitated RNA pellets were washed twice with 1 mL 75% ethanol (Sigma-Aldrich,
 361 Australia), centrifuging the tubes at 8,000 g, 4 °C, for 5 min each time. After the second
 362 centrifugation, the ethanol was removed, and dried RNA pellets were dissolved in 20 µL
 363 nuclease-free water (Sigma-Aldrich, Australia). Nanodrop (Thermo Fisher Scientific,
 364 Waltham, MA, USA) was used to determine the concentration and purity of the RNA samples.

365 The RNA samples were subjected to DNase I (Sigma-Aldrich, Australia) treatment.
 366 Successively, 800 ng total RNA was reverse-transcribed to cDNA using the reaction mixture
 367 of M-MLV buffer (Thermo Fisher Scientific), random primers (0.5 µg/µL, Thermo Fisher
 368 Scientific), dNTPs (10 mM, Thermo Fisher Scientific) and DTT (100 mM, Thermo Fisher
 369 Scientific). For the reverse transcription reaction, a thermal cycler (Eppendorf, Hamburg,
 370 Germany) was used with the following steps: denaturation (65 °C, 10 min), annealing (25 °C,
 371 10 min), reverse transcription (37 °C, 50 min), and enzyme inactivation (70 °C, 15 min). An
 372 amount of 16 ng of cDNA from each sample was then subjected to real-time qPCR using the
 373 iTaq Universal SYBR green (BioRad, Hercules, CA, USA) mix and gene-specific primers
 374 (forward and reverse, 0.5 µM each, Sigma-Aldrich, Australia). The thermal cycler used was a
 375 CFX96 PCR system (BioRad). The real-time qPCR protocol included the following cycles: 95
 376 °C for 30 s (1 cycle), 95 °C for 15 s (50 cycles) and 60 °C for 30 s (1 cycle). The human gene
 377 for Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) has been used as a control for
 378 normalization.

379 The sequences of human primers used were as follows:

Gene name	FW sequence	RV sequence
TNF- α	AGGCAGTCAGATCATCTTC	TTATCTCTCAGCTCCACG
RIPK1	TGATAATACCACTAGTCTGACG	ACAGTTTTTCCAGTGCTTTC
RIPK3	AACTTTCAGAAACCAGATGC	GTTGTATATGTTAACGAGCGG
MLKL	GTGAAGAATGTGAAGACTGG	AAGATTTTCATCCACAGAGGG
GAPDH	TCGGAGTCAACGGATTTG	CAACAATATCCACTTTACCAGAG

380

381

382

383 2.17 Statistical Analysis

384 The data are represented as mean \pm SEM. Statistical analysis was performed by ordinary one-
385 way ANOVA, followed by Tukey multiple comparison test. The software used was GraphPad
386 Prism (v.9.4, GraphPad Software, San Diego, CA, USA). In pairwise comparisons, a two-tailed
387 p-value <0.05 was considered statistically significant. In Figure 4d, a Mann-Whitney U test
388 was performed between the 5nM and 10 nM Dex(NF κ B)

389

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390 **3. Results**391 **3.1 Nanoparticle Size and Zeta Potential**

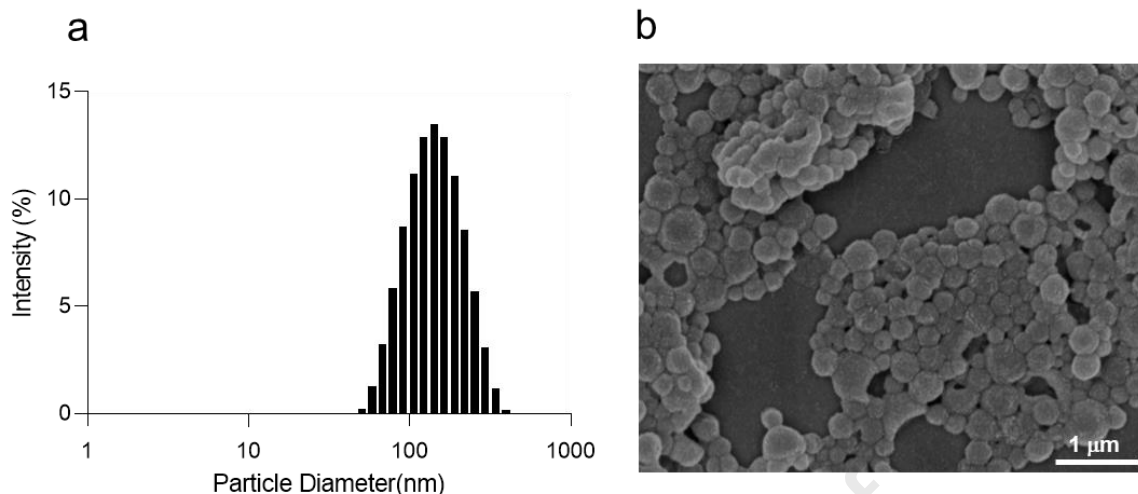
392 The obtained NPs were visualized and characterized by dynamic light scattering (DLS, **Figure**
 393 **1a**) and scanning electron microscopy (SEM, **Figure 1b**). The spherical morphology of the
 394 NPs was confirmed by SEM data. Both empty and double stranded decoy ODN loaded particles
 395 have shown similar particle diameter distribution, in the range of 150 to 200 nm. A slight
 396 increase in the particle size distribution was observed in loaded particles compared to empty
 397 particles. The surface charge of the particles was determined by zeta-potential measurements
 398 (**Table 1**). A positive surface zeta-potential of 12.43 mV was observed for empty nanoparticles
 399 due to the presence of protonated amines on the particle surface. The encapsulation of the
 400 charged decoy ODN slightly reduced the surface zeta potential (11.83-12.37 mV) which is
 401 most likely due to the non-covalent adsorption of a small number of negatively charged decoy
 402 ODN on the surface of particles.

403 Molecular modelling was used to predict the three-dimensional structure of the ODNs, which
 404 demonstrated self-assembly into well-defined tubular structures with acidic phosphate groups
 405 forming the periphery of the tubular structure and amine groups aligning in the centre (**Figure**
 406 **S1**). This is important for efficient encapsulation and loading of the ODNs into spermine-
 407 AcDex nanosystems, wherein the amines of the cationic polymer interact with the negatively
 408 charged peripheral phosphate groups of the ODNs [62].

409 **Table 1:** *Physical Characterization of empty, NFκB ODN and scramble ODN loaded Dex NP*

Particle Type	Diameter (nm)	PDI	Zeta-Potential / mV
Dex(empty) NP	178 ± 1.0	0.20 ± 0.01	12.4 ± 0.5
Dex(NFκB-ODN) NP	187 ± 1.5	0.23 ± 0.01	11.8 ± 0.3
Dex(scramble-ODN) NP	182 ± 6.0	0.24 ± 0.03	12.4 ± 0.5

410 (The data obtained from three replicate DLS measurements are represented as the mean ±
 411 standard deviation)



412

413 **Figure 1. Characterisation of decoy ODN-Encapsulated dextran NPs.** (a) Size distribution
 414 obtained by DLS; (b) SEM analysis.

415

416 3.2 Quantification of Decoy ODN Loading

417 An indirect method of quantification was performed to validate the successful encapsulation
 418 and quantification of decoy ODN loading [52] using the Quant-iT™ RiboGreen assay. The
 419 unloaded decoy ODN present in the supernatant was quantified after centrifugation followed
 420 by particle formulation and solvent evaporation. The amount of decoy ODN present in the
 421 supernatant represent the total amount of free decoy ODN, which has not been encapsulated in
 422 the particles. As the decoy ODN is highly soluble in water, any unencapsulated decoy ODN
 423 would stay in the supernatant. Furthermore, the particle pellets were washed twice with pH 8.0
 424 nuclease-free water and a negligible amount of free decoy ODN was observed in the
 425 supernatant, providing confirmation of the successful encapsulation of the decoy ODN. Both
 426 NFκB and scrambled decoy ODN have shown a similar encapsulation efficiency of up to
 427 99.5%. Overall, up to 11.89 µg decoy ODNs were encapsulated per mg NPs, as shown in **Table**
 428 **2.**

429

430

431

432

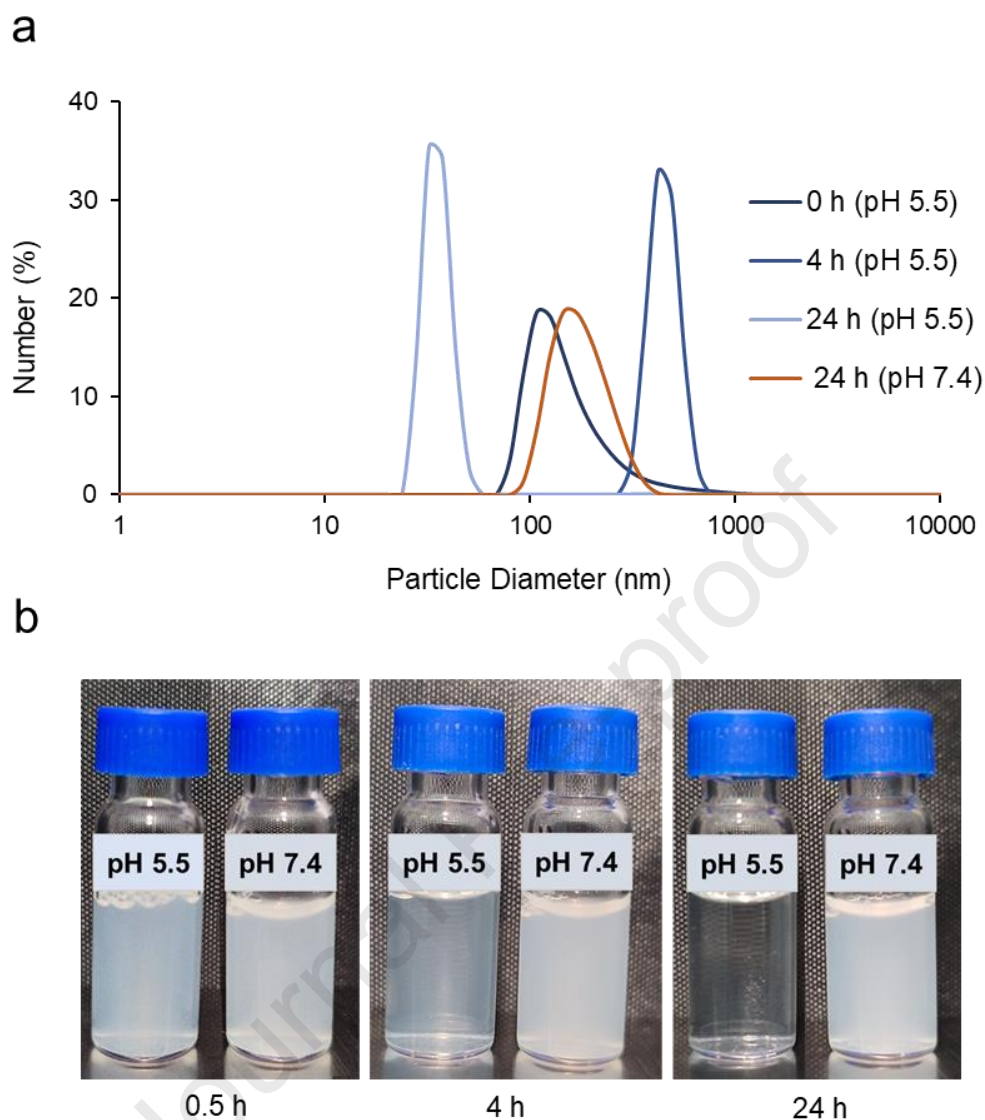
433 **Table 2. Quantification of Decoy ODN Encapsulation**

Particle type	Decoy ODN in $\mu\text{g}\cdot\text{mg}^{-1}$ NP	Encapsulation efficiency (in %)
Dex(NF κ B-ODN) NP	11.89	99.5
Dex(scrambled-ODN) NP	11.88	99.4

434

435 **3.3 pH-dependent Particle Degradation**

436 The decoy ODN was encapsulated into an acid-responsive NPs to prevent the premature release
437 of payload under physiological conditions. Under acidic conditions, the acetal groups present
438 on the SpAcDex backbone undergo rapid hydrolysis, forming a water-soluble dextran, acetone
439 and methanol that leads to particle degradation. To determine the degradation behaviour, the
440 NPs were incubated at 37 °C in PBS buffer at pH 7.4 to simulate the physiological conditions
441 of the blood stream and in acetate buffer at pH 5.5 to mimic the acidic tumour
442 microenvironment. The successful pH-dependent particle degradation at acidic pH was
443 confirmed by DLS measurements (**Figure 2a**). The initial increase in particle size (calculated
444 according to number distribution) can be explained by the uncontrolled aggregation of
445 degradation materials and has been observed previously in similar polysaccharide-based
446 nanosystems [63, 64]. The degradation can also be detected by visually observing the particle
447 solutions (**Figure 2b**). While the typical nanoparticulate opaqueness clears under acidic
448 conditions, there was no significant change observed in PBS buffer pH 7.4, indicating the
449 stability of the formulation under physiological conditions.



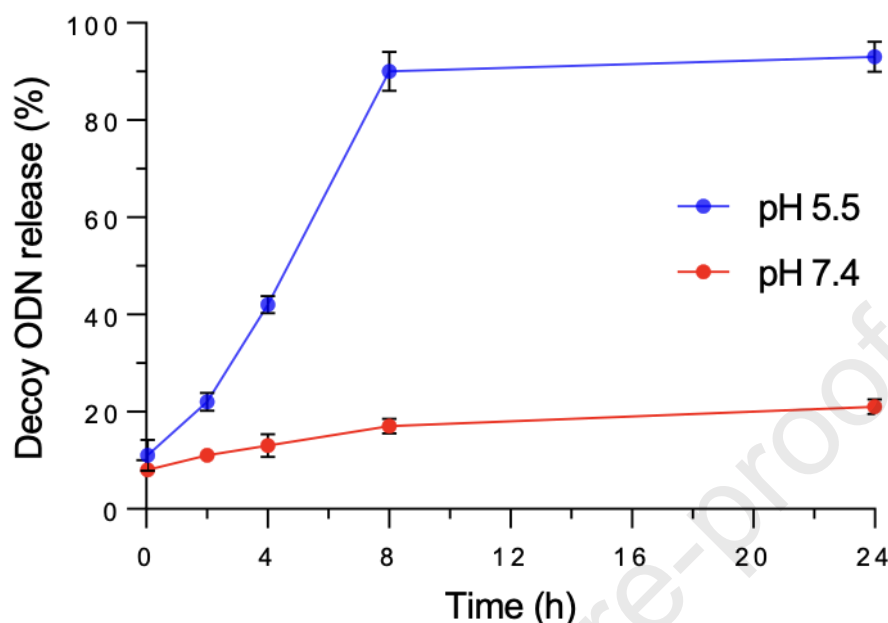
450
451 **Figure 2. Particle degradation under pH 5.5 and pH 7.4 (a) DLS data; (b) visual observation.**

452

453 3.4 pH-dependent Decoy ODN Release

454 The decoy ODN-loaded particles were incubated at different pH values similar to the above
 455 experiment. The amount of ODNs released from dextran NPs were quantified by using a Quant-
 456 iT™ RiboGreen® assay. Heparin was used to prevent the electrostatic interactions between
 457 water soluble spermine modified dextran and negatively charged ODN. As expected, a fast
 458 release of decoy ODN up to 90% was observed within the first 8 h under acidic conditions [46],
 459 while physiological pH 7.4 has shown only a slight release of ODN even after 24 h (**Figure 3**).
 460 In the first 5 min after incubation, almost 10% ODN release was observed under both

461 conditions, indicating the adsorption of free ODN on the surface of particles due to electrostatic
 462 interaction between particle surface amine and ODN during formulation.



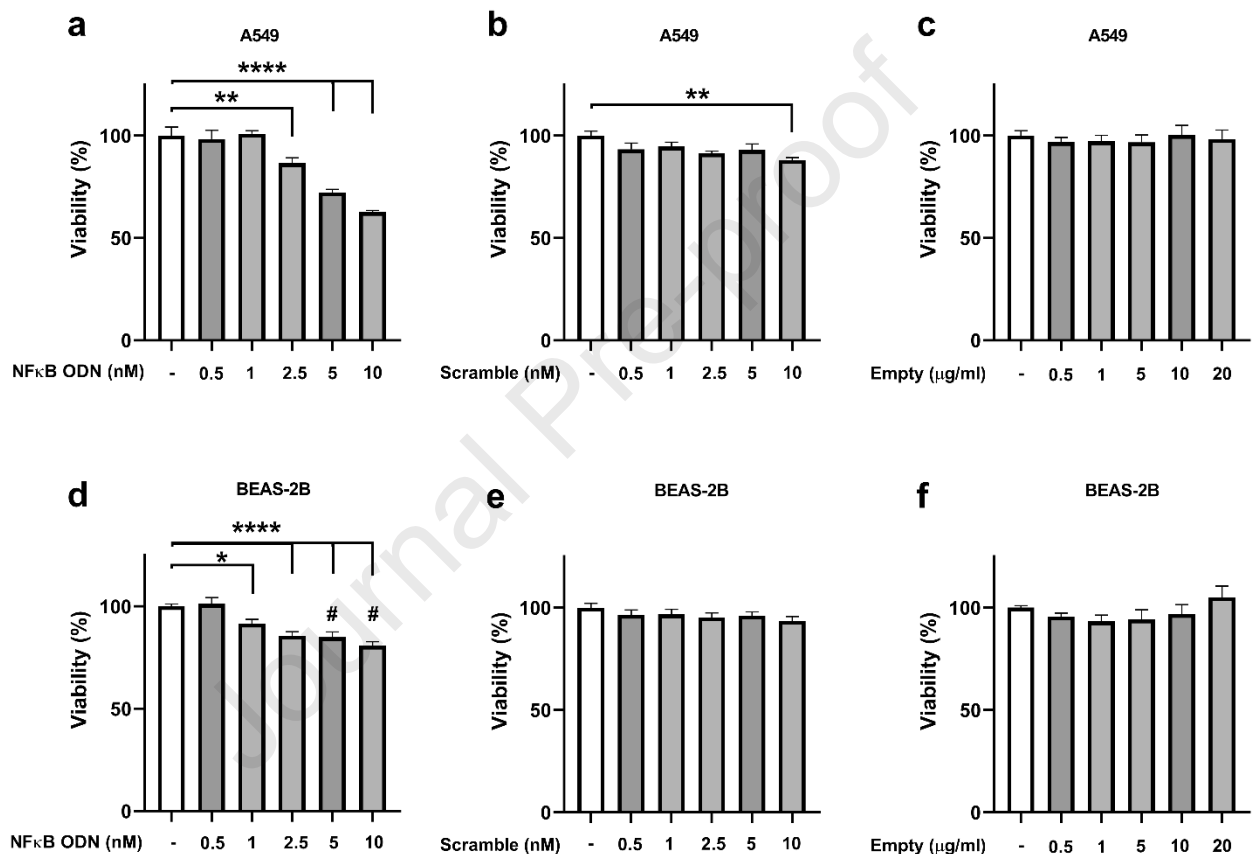
463
 464 **Figure 3. Decoy ODN release under pH 5.5 and pH 7.4** (Data are presented as means \pm SD
 465 ($n = 3$))

466

467 3.5 Anti-proliferative Activity of NF κ B-ODN-NPs in A549 and BEAS-2B Cells

468 The effect of Dex(NF κ B-ODN) NPs, Dex(scrambled-ODN) NPs, and empty NPs on the
 469 proliferation and viability of A549 and BEAS-2B cells is shown in **Figure 4**. Dex(NF κ B-ODN)
 470 NPs at concentrations of 2.5, 5 and 10 nM significantly reduced the proliferation rate of A549
 471 cells by 13.4%, 27.8% and 37.2%, respectively, compared to control (untreated cells) in the
 472 MTT assay (**Figure 4a**). Considering that the highest anti-proliferative effect was observed
 473 with 10 nM Dex(NF κ B-ODN) NPs, this concentration has been used for the subsequent
 474 experiments. In comparison, treatment with the Dex(scrambled-ODN) NPs at 10 nM
 475 concentration resulted only in a relatively small reduction of cell viability of 12% (**Figure 4b**).
 476 To assess the effect of Dex(NF κ B-ODN) NPs on the viability of non-cancerous cells, the
 477 nanoparticles were tested on BEAS-2B human bronchial epithelial cells. Dex(NF κ B-ODN)
 478 NPs at concentrations of 1, 2.5, 5 and 10 nM significantly reduced the viability of BEAS-2B
 479 cells by 8.5%, 14.3%, 15.1%, and 19.2%, respectively, compared to control (untreated cells)

480 in the MTT assay (**Figure 4d**). The effect of 5nM and 10 nM Dex(NFκB-ODN) NPs on BEAS-
 481 2B cell viability was significantly lower than the effect obtained by the same concentration of
 482 NPs on A549 cells proliferation (**Figure 4d**), indicating the relative safety of these NPs for
 483 healthy cells compared to cancer cells. Treatment with Dex(scrambled-ODN) NPs did not
 484 result in a significant reduction of BEAS-2B cell viability (**Figure 4e**). Finally, treatment with
 485 empty Dex NPs resulted in no significant effect on A549 and BEAS-2B cell viability at
 486 concentrations ranging between 0.5 and 10 μg/mL (**Figures 4c and 4f**, respectively).



487

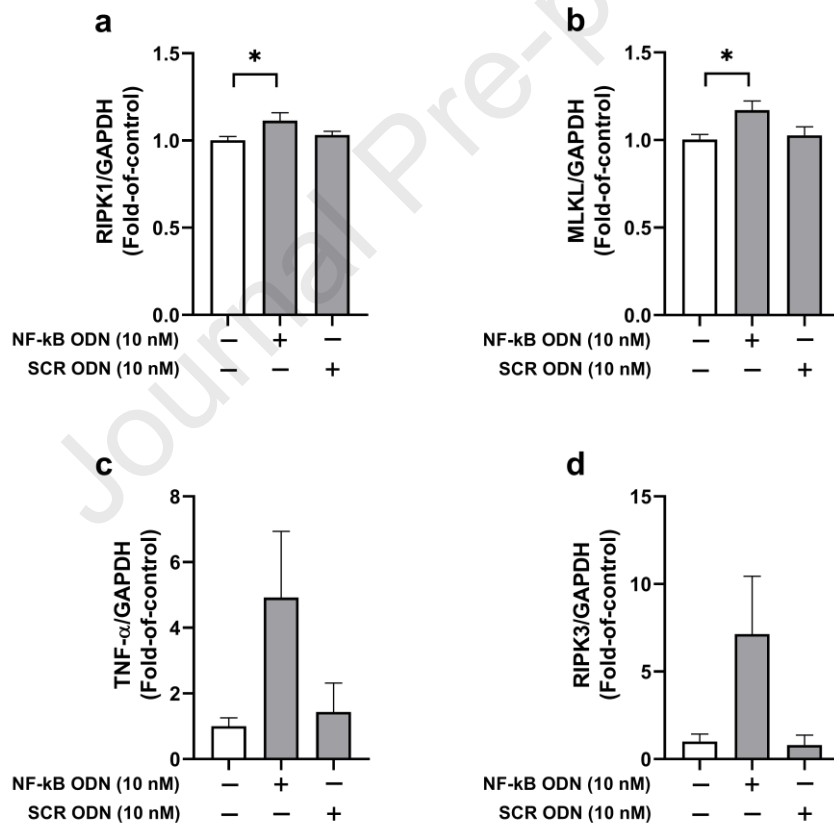
488 **Figure 4. Anti-proliferative activity by MTT assay:** The A549 cells (a-c) and BEAS-2B cells
 489 (d-f) were treated with various doses of Dex(NFκB-ODN) NPs (a, d) or Dex(scrambled-ODN)
 490 NPs (b, e) or empty NPs (c, f) for 24 hours. The values are expressed as average ± SEM of n
 491 = 3 independent experiments. Statistical analysis was performed by ordinary one-way ANOVA
 492 test. * = P < 0.05; ** = P < 0.01; **** = P < 0.0001 vs control (untreated). In (d), # = P < 0.05
 493 vs concentration-matched group on A549 cells as assessed by Mann-Whitney U test.

494

495

496 **3.6 Effect of Dex(NFκB-ODN) NPs on the expression of apoptosis/necroptosis genes in**
 497 **A549 Cells**

498 To provide a mechanistic explanation of the anti-proliferative effects of Dex(NFκB-ODN) NPs
 499 on A549 cells, the effect of the nanoparticle formulation on the expression of transcripts
 500 encoding for TNF-α, RIPK1, RIPK3, and MLKL was assessed via real-time qPCR (**Figure 5**).
 501 Dex(NFκB-ODN) NPs at 10 nM concentration induced a significant increase in the expression
 502 of RIPK1 (11.3%, **Figure 5a**) and MLKL (10.8%, **Figure 5b**). A similar trend was observed
 503 with the expression of TNF-α and RIPK3, which was induced on average by 4.9-fold (TNF-α,
 504 **Figure 5c**) and 7.1-fold (RIPK3, **Figure 5d**) upon treatment with 10 nM Dex(NFκB-ODN)
 505 NPs, without reaching statistical significance. Treatment with Dex(scramble-ODN) NPs did
 506 not result in an increase of the expression of any of the four genes analysed (**Figure 5a-d**).



507

508 **Figure 5. Effect of Dex(NFκB-ODN) NPs on the expression of apoptosis/necroptosis genes**
 509 **in A549 cells.** A549 cells were treated with 10 nM Dex(NFκB-ODN) NPs or Dex(Scramble-
 510 ODN) NPs and the relative expression of the following genes was measured with real-time
 511 qPCR: RIPK1 (a), MLKL (b), TNF-α(c), and RIPK3 (d). Values are expressed as average ±

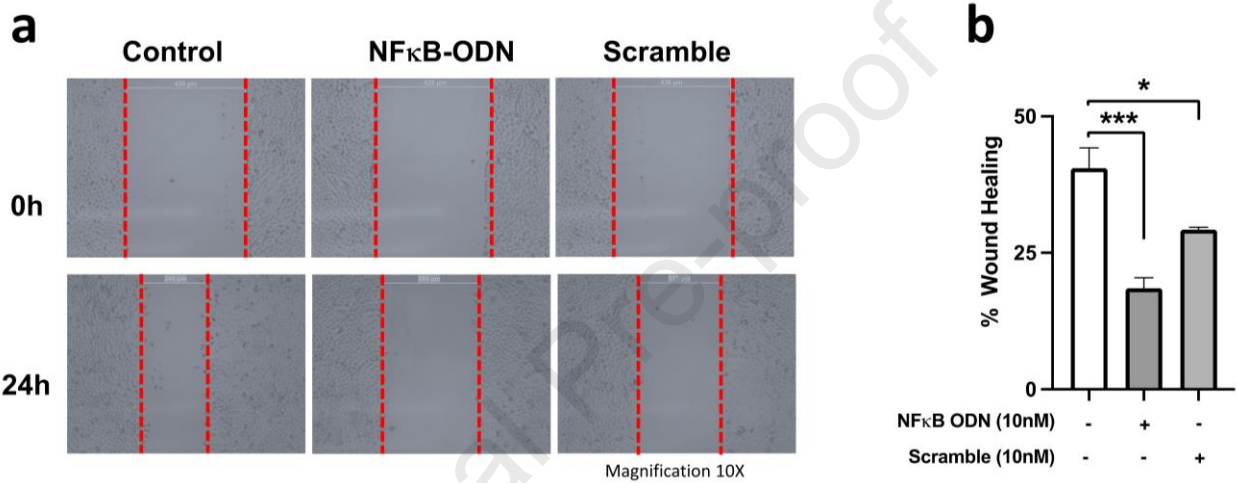
512 SEM of $n = 3$ independent experiments. Statistical analysis was performed by ordinary one-
 513 way ANOVA test $* = P < 0.05$ vs control (untreated).

514

515

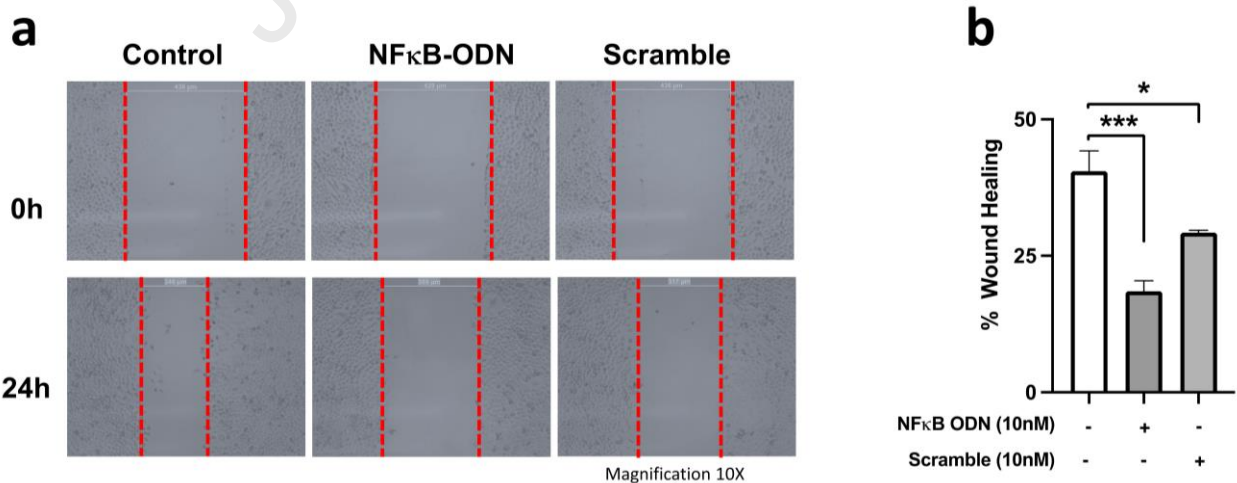
516 3.7 Anti-migratory Activity of NF κ B-ODN-NPs in A549 Cells

517 The effect of Dex(NF κ B-ODN) NPs on the migration of A549 cells was assessed by a wound
 518 healing assay (



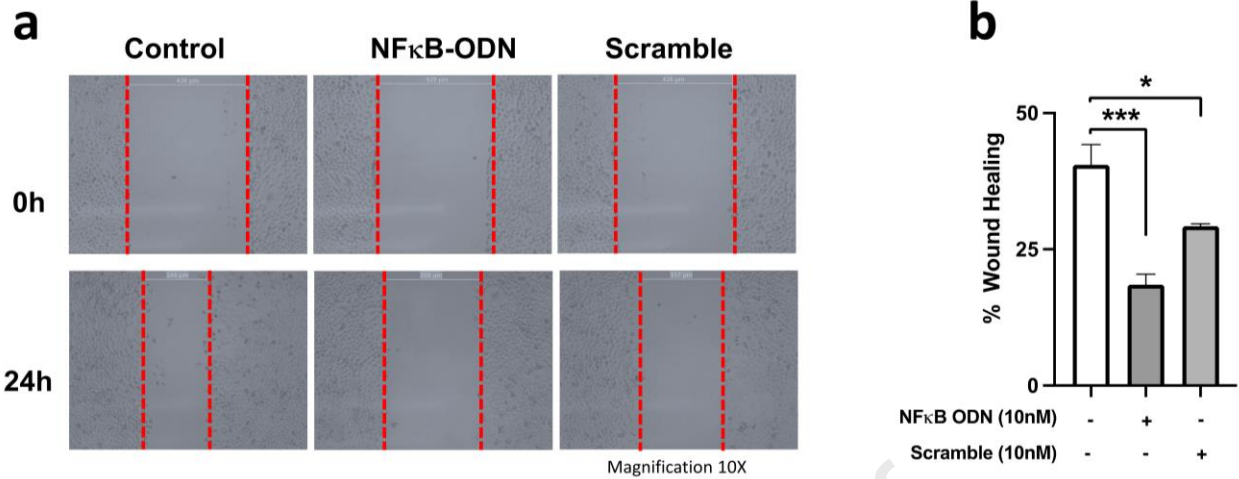
519

520 **Figure**) and by a Boyden chamber assay (**Figure**). Dex(NF κ B-ODN) NPs successfully
 521 suppressed A549 cell migration in the wound healing assay for 24 h. This treatment has
 522 shown 54.3% of migration inhibition compared to the untreated control (



523

524 **Figure** a) while the treatment with similar concentration of Dex(scrambled-ODN) NPs has
 525 also somewhat suppressed A549 cells migration, although to a lesser extent compared to the
 526 Dex(NF κ B-ODN) NPs (27.8% compared to untreated control,

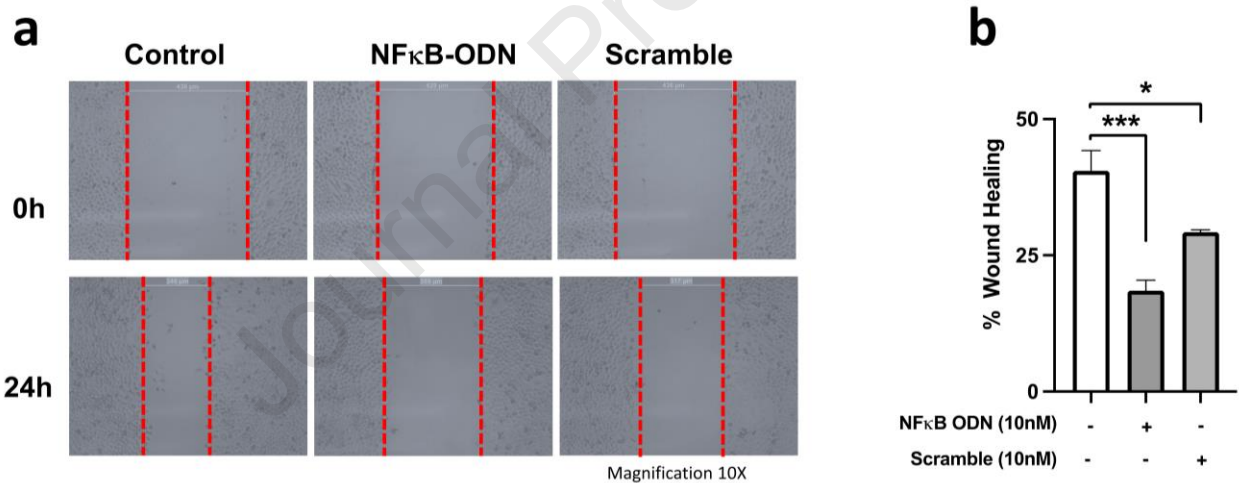


527

528 **Figure b).**

529

530



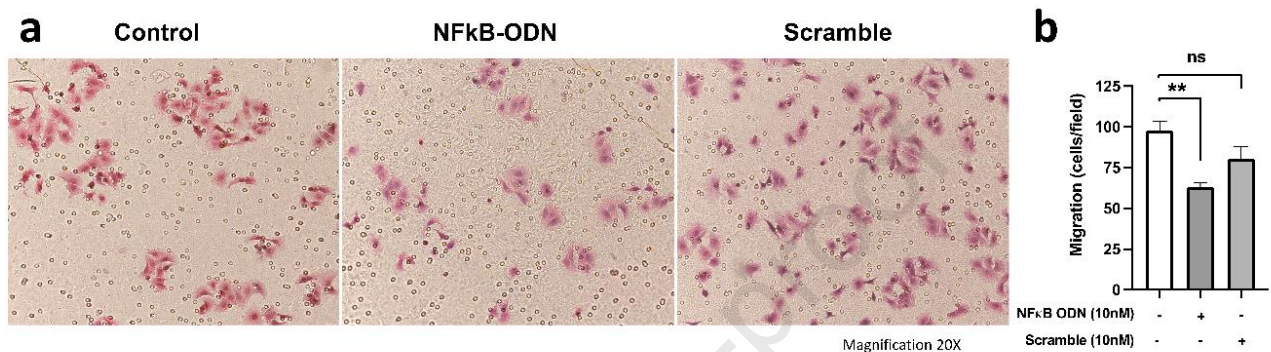
531

532 **Figure 6. Anti-migratory activity by wound healing migration assay.** The wound was created
 533 by scratching, with a sterile pipette tip, a confluent layer of A549 cells. Cells were then treated
 534 with 10 nM Dex(NF κ B-ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for
 535 24 h. Photographs were acquired on a light microscope under 10x magnification (a). The
 536 distance between the edges of the wounds was measured before treatment (0 h) and after 24 h
 537 to calculate the percent wound closure (b). Values are expressed as average \pm SEM of n = 3
 538 independent experiments. Statistical analysis was performed by ordinary one-way ANOVA test.
 539 * = P < 0.05; *** = P < 0.001 vs control (untreated).

540

541 A similar anti-migratory activity of the Dex(NFκB-ODN) NPs was observed with the transwell
 542 chamber assay. In this experiment, the Dex(NFκB-ODN) NPs significantly inhibited A549
 543 cells migration (**Figure a**) by 43.2% compared to the untreated control (**Figure b**), while upon
 544 treatment with Dex(Scrambled-ODN) NPs, no significant inhibition of cells migration was
 545 observed as shown in **Figure b**.

546



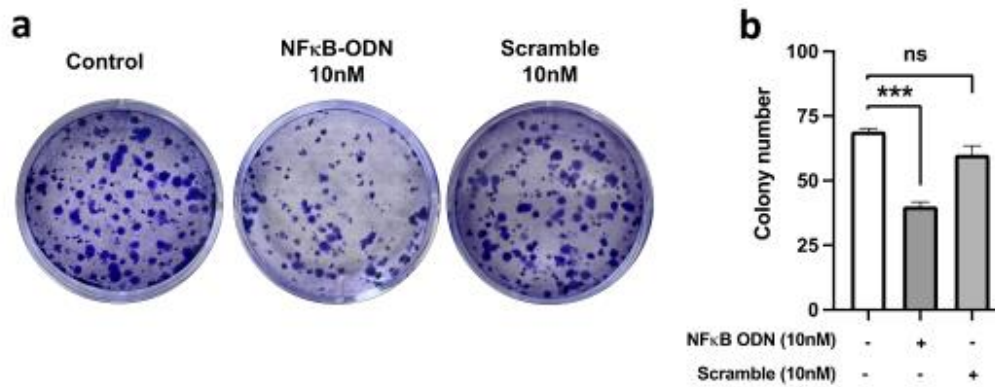
547

548 **Figure 7. Anti-migratory activity of Dex(NFκB-ODN) NPs in A549 cells:** Transwell chamber
 549 assay. A549 cells were seeded in a transwell chamber previously coated with gelatin and
 550 treated with 10 nM Dex(NFκB-ODN) NPs or concentration-matched Dex(scrambled-
 551 ODN)NPs for 24 h. Subsequently, cells were allowed to migrate through the membrane for
 552 additional 24 h. The cells that successfully migrated were then stained with hematoxylin-eosin
 553 and imaged under a light microscope (a). The migrated cells were counted in 5 random
 554 positions per well under a high-power field (b). Values are expressed as average \pm SEM of n
 555 = 3 independent experiments. Statistical analysis was performed by ordinary one-way ANOVA
 556 test. ns = $P \geq 0.05$; ** = $P < 0.01$ vs control (untreated).

557

558 3.8 Anti-Colony Formation Activity of NFκB-ODN-NPs in A549 Cells

559 The anti-colony formation activity of the Dex(NFκB-ODN) NPs in A549 cells was evaluated
 560 by colony formation assay after staining with crystal violet. The result of this assay is depicted
 561 in **Figure** , where the Dex(NFκB-ODN) NPs is shown to inhibit colony formation compared
 562 to the untreated and Dex(scrambled-ODN) NPs treated cells (**Figure a**). In particular, treatment
 563 with the Dex(NFκB-ODN) NPs resulted in a significantly high inhibition of colony formation
 564 up to 42% (**Figure b**).



565

566 **Figure 8. Anti-colony formation activity of Dex(NFκB-ODN) NPs in A549 cells.** A549 cells
 567 were seeded at low density in 6-well plates and, after adhesion, treated with 10 nM Dex(NFκB-
 568 ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for 24 h. After colony
 569 formation (\approx 2 weeks), cells were stained with crystal violet solution and each individual well
 570 was imaged using the light microscope (a). The resulting number of colonies in each well has
 571 been counted and is plotted in (b). Values are expressed as average \pm SEM of $n = 3$ independent
 572 experiments. Statistical analysis was performed by ordinary one-way ANOVA test. ns = $P \geq$
 573 0.05; ** = $P < 0.01$ vs control (untreated).

574

575 4. Discussion

576 In this study, we have shown the potent anticancer activity of a SpAcDex-based NF κ B decoy
577 ODN formulations against an established *in vitro* model of lung cancer, the A549 NSCLC cell
578 line. This anticancer activity was exerted primarily through the inhibition of proliferation,
579 migration, and colony formation.

580 Both scrambled and NF κ B decoy ODNs were encapsulated into an acid responsive SpAcDex
581 NPs. The spermine-modified acetalated dextran material was synthesized according to *Cohen*
582 *et al.* [46] The acetal modification of dextran introduces an acid-sensitive functional group to
583 switch the solubility of dextran from hydrophilic to hydrophobic, allowing particle formulation
584 using the emulsion method [49, 65]. These acetalated dextran-based nanocarriers have been
585 effectively used for the delivery of a range of different payloads, including plasmid DNA [66].
586 To further improve the encapsulation efficiency for small oligonucleotides like siRNA or
587 mRNA, the possibility of additional electrostatic interactions with the phosphate backbone of
588 the RNAs, which is predicted to be exposed on the external surface of dsRNA strands, was
589 provided via functionalization with cationic amines by introducing spermine molecules in the
590 dextran backbone. The spermine modification resulted in an excellent loading of
591 oligonucleotides. The presence of positive charges on the surface of SpAcDex NPs also
592 enhances cellular uptake by improving their interaction with negatively charged cell
593 membranes.

594 For the particle formulation and ODN encapsulation in spermine-modified acetalated dextran
595 NPs, a double emulsion method was applied [67, 68]. The hydrophilic double-stranded decoy
596 ODN dissolved in PBS buffer was added on top of the DCM layer containing spermine-
597 modified acetalated dextran before the first sonication step to encapsulate this hydrophilic
598 payload into the core of the NPs.

599 After secondary emulsion and solvent evaporation, narrow size distributed nanoparticles were
600 obtained. The free decoy ODN was quantified by analysing the supernatant after centrifugation
601 using a fluorescence-based indirect method with RiboGreen assay. This confirmed the
602 successful encapsulation of decoy ODN in the nanoparticles. A high encapsulation efficiency
603 was observed, which can be attributed to the electrostatic interaction between the cationic
604 component of spermine AcDex material and the negatively charged decoy ODN [46].

605 The degradation of particles after incubation in acidic conditions was observed as a result of
606 the hydrolysis of acetal groups. This process led to the conversion of the polysaccharide

607 component of the particles back to its water-soluble native form which ultimately leads to
608 particle degradation. The pH-dependence of particle degradation was confirmed using dynamic
609 light scattering (DLS) and visual observations over different time periods. Additionally, the
610 release rate of oligonucleotide (ODN) from loaded particles was determined to be dependent
611 on the pH, with a higher rate of release observed in acidic conditions. The observation of
612 limited decoy ODN released under neutral pH 7.4 over a 24 h period acts as a control and
613 highlights the stability of these particles in normal physiological conditions. This stability is
614 critical for ensuring that the particles remain intact to avoid any unwanted leakage and the
615 protection of loaded decoy ODN from nuclease activity in physiological environments such as
616 the bloodstream or typical extracellular spaces, where neutral pH levels are maintained.

617 Additionally, these particles have been reported to escape endosomes upon cellular uptake via
618 the proton sponge effect, due to the presence of amine content. Upon endocytosis, the
619 degradation of these particles is triggered by low pH in the endosomal compartment.
620 Furthermore, the buffering of the endosome by spermine content leads to accumulation of
621 counterions (such as Cl⁻), which raises the osmotic pressure and causes the endosome to burst
622 [69, 70]. This releases the decoy ODN into the cytoplasm, protecting it from degradation by
623 lysosomal enzymes and ensuring its successful delivery [71].

624 The overall stability of the particles under physiological conditions reduces the risk of
625 unintended side effects and toxicity, while the acid-triggered release pattern suggests that decoy
626 ODN will only be released in the acidic environment of tumor or endosome/lysosome, which
627 makes this system an attractive candidate for administration of decoy oligonucleotides. The
628 controlled release of decoy ODN from these particles may lead to improved therapeutic
629 outcomes for patients. Next, we tested the anticancer activity of the Dex(NFκB-ODN) NPs
630 formulation against A549 cells. We used A549 cells as an *in vitro* model of NSCLC as this cell
631 line has been extensively characterized and is commonly used in NSCLC studies aimed at
632 investigating mechanisms of action and efficacy of novel experimental anticancer drugs. In
633 particular, the A549 cells are hypotriploid human alveolar basal epithelial cells, with extensive
634 applications as *in vitro* model for lung adenocarcinoma and type II pulmonary epithelial cells
635 [72]. Being cell proliferation and migration/metastasis two hallmarks of cancer progression
636 [73, 74], we have investigated these processes in A549 cells by measuring the impact of
637 treatment with Dex(NFκB-ODN) NPs on the cell ability to proliferate, migrate and form
638 colonies.

639 As shown by the MTT assay, treatment with Dex(NF κ B-ODN) NPs significantly inhibited
640 (37.2%) the proliferation of A549 cells in a dose-dependent manner. Furthermore, we have
641 obtained a low (12%), but significant, anti-proliferative activity when treating A549 cells with
642 the 10 nM concentrated Dex(scrambled-ODN) NPs (here 10 nM concentration represents the
643 concentration of ODNs). Considering that this anti-proliferative activity was sensibly lower
644 compared to the value obtained with the concentration-matched Dex(NF κ B-ODN) NPs, we
645 performed the subsequent experiments using 10 nM concentrated ODNs. Furthermore, the fact
646 that the anti-proliferative effect with the 10 nM concentrated Dex(scrambled-ODN) NPs was
647 lower compared to the 10 nM Dex(NF κ B-ODN) NPs, confirming that the anti-proliferative
648 activity of the Dex(NF κ B-ODN) NPs derives specifically from their NF κ B-inhibiting action.

649 To assess the safety of the Dex(NF κ B-ODN) NPs, the formulations were tested on non-
650 cancerous BEAS-2B human bronchial epithelial cells. Although Dex(NF κ B-ODN) NPs
651 exerted a significant reduction of BEAS-2B cell viability, the relative safety of our formulation
652 is demonstrated by the fact that, at the highest concentrations tested, the impact on BEAS-2B
653 cell viability was significantly lower compared to the impact on A549 cell viability. This
654 suggests that Dex(NF κ B-ODN) NPs specifically inhibit the proliferation of cancerous A549
655 cells.

656 To assess whether the materials used in the synthesis of the NPs had any toxic effects on cells,
657 empty nanoparticle were tested on both A549 and BEAS-2B cells. Treatment with empty NPs
658 concentrations of up to 20 μ g/mL resulted in no significant reduction of cell viability on both
659 cell lines, in accordance with reports showing that the NPs materials have minimal toxicity
660 against most cells at moderate concentrations [46].

661

662 Considering that, in PC-3M androgen-independent prostate cancer cells, the transfection with
663 an NF κ B decoy ODN has been reported to induce apoptosis, together with strong suppression
664 of cell proliferation [32], we cannot exclude that, in our experiment, an eventual induction of
665 apoptosis or necroptosis caused by NF κ B blockade has at least a partial influence on the
666 reduced metabolic activity reported by the MTT assay. To test this hypothesis, we have
667 assessed the effect of Dex(NF κ B-ODN) NPs on the expression of the genes RIPK1, MLKL,
668 TNF- α , and RIPK3, which are collectively considered key mediators of apoptosis and
669 necroptosis [75]. Treatment of A549 cells with Dex(NF κ B-ODN) NPs resulted in an overall

670 increased expression of these genes, which reached statistical significance for RIPK1 and
671 MLKL. The fact that Dex(NF κ B-ODN) NPs induced a trend of increase of the expression of
672 TNF- α and RIPK3 genes is caused by a relatively higher variability of the expression of these
673 genes. However, these results collectively confirm that at least part of the anti-proliferative
674 effect of Dex(NF κ B-ODN) NPs is caused by the activation of the TNF-
675 α /RIPK1/RIPK3/MLKL pathway which leads to necroptosis.

676 Furthermore, the inhibition of the NF κ B pathway through treatment with the decoy ODN NPs
677 exerted a significant anti-migratory activity, as demonstrated in the wound healing assay as
678 well as in the transwell chamber assay. In the wound healing assay, treatment with
679 Dex(scrambled-ODN) NPs exerted a slight anti-migratory activity, similar to what was
680 observed in the MTT assay. However, a significantly stronger anti-migratory activity was
681 obtained upon treatment with Dex(NF κ B-ODN) NPs, which suggests this effect is exerted
682 specifically through the inhibition of NF κ B.

683 The anticancer activity of the Dex(NF κ B-ODN) NPs was also supported by the colony
684 formation assay, where a significantly lower number of colonies was formed upon treatment
685 with Dex(NF κ B-ODN) NPs compared to Dex(scrambled-ODN) NPs.

686 Taken together, these results underscore the strong anticancer activity of Dex(NF κ B-ODN)
687 NPs, further highlighting the therapeutic potential of NF κ B blockage through NP-mediated
688 delivery of decoy ODNs for lung cancer [27, 76].

689 The robustness and relevance of such a treatment approach would enormously benefit from a
690 mechanistic explanation of the pathways through which the Dex(NF κ B-ODN) NPs exert their
691 anti-migratory activity. In the modified Boyden's chamber assay, the surface of the chamber
692 was coated with 2.5% gelatin. Considering that gelatin is degraded by matrix
693 metalloproteinases (MMPs) [77], it can be hypothesized that the reduction of the migratory
694 ability of A549 cells obtained upon NF κ B inhibition could be caused by the downregulation of
695 MMPs expression and/or activity. This would also be in agreement with the fact that MMPs,
696 including MMP2 and MMP9, are upregulated upon NF κ B activation [78]. Therefore, the
697 assessment of the levels of MMPs via Western blot [58], and/or of their activity through gel
698 zymography [79], could shed further light on the mechanism by which the inhibition of NF κ B
699 signalling results in impaired migrating ability. A limitation of our study resides in the fact that
700 only one cell line, A549, has been used to investigate the anticancer activity of Dex(NF κ B-
701 ODN) NPs. Although this cell line is a well-established model of LC [72], testing the anticancer

702 activity of Dex(NFκB-ODN) NPs on further human LC cell lines, as well as on animal models
703 of LC, would surely deepen our comprehension of the exact mechanism(s) by which treatment
704 with Dex(NFκB-ODN) NPs exerts its anticancer activity. This would also be useful in
705 expanding the applicability of this treatment strategy against different subtypes of LC, as well
706 as against other types of cancer, thus strongly enhancing its potential therapeutic range.

707 A point of strength of the present study lies in the great potential for clinical translation of the
708 Dex(NFκB-ODN) NPs. Acetalated dextran, in fact, is an easy-to-synthesize, bio-compatible
709 material derived by the FDA-approved dextran [80], and it is characterised by extreme
710 versatility and tunability of application as drug delivery system [44, 65]. The application of
711 Dex(NFκB-ODN) NPs in the treatment of lung diseases such as NSCLC is advantageous due
712 to the possibility of deliver the therapeutic agent via inhalational delivery, which represents a
713 privileged administration route for the direct delivery of drug to the lung tissue. Acetalated
714 dextran nanoparticles are suitable for this application, as they can be formulated as dry powder
715 to be administered via inhalation [46, 66, 80]. The *in vivo* study of the delivery and efficacy of
716 Dex(NFκB-ODN) NPs would enormously streamline the clinical translation of this
717 formulation.

718

719 5. Conclusions

720 In conclusion, this study strongly supports the feasibility of inhibiting the NFκB signalling
721 pathway as a therapeutic approach against NSCLC using a cationic dextran-based pH-sensitive
722 delivery system for decoy ODNs. An excellent loading and a controlled release of decoy ODN
723 demonstrated the significance of polysaccharide-based NPs as a novel therapeutic strategy.
724 This resulted in a strong, significant inhibition of three cancer hallmarks: cell proliferation,
725 migration and colony formation. The results of this study provide an innovative direction into
726 the clinical management of lung cancer. Furthermore, these findings represent a blueprint for
727 further medical research and application against lung infectious diseases and other chronic
728 respiratory diseases, providing solid theoretical bases to test similar nanoformulation
729 approaches to enhance the pulmonary delivery of compounds with poor pharmacokinetic
730 properties and bioavailability such as ODNs.

731

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733

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744

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979

980 **FIGURES CAPTIONS**

981 **Figure 1. Characterisation of decoy ODN-Encapsulated dextran NPs.** (a) Size distribution
 982 obtained by DLS; (b) SEM analysis.

983

984 **Figure 2. Particle degradation under pH 5.5 and pH 7.4** (a) DLS data; (b) visual observation

985

986 **Figure 3. Decoy ODN release under pH 5.5 and pH 7.4** (Data are presented as means \pm SD
 987 ($n = 3$))

988

989 **Figure 4. Anti-proliferative activity by MTT assay:** The A549 cells (a-c) and BEAS-2B cells
 990 (d-f) were treated with various doses of Dex(NF κ B-ODN) NPs (a, d) or Dex(scrambled-ODN)
 991 NPs (b, e) or empty NPs (c, f) for 24 hours. The values are expressed as average \pm SEM of n
 992 = 3 independent experiments. Statistical analysis was performed by ordinary one-way ANOVA
 993 test. * = $P < 0.05$; ** = $P < 0.01$; **** = $P < 0.0001$ vs control (untreated). In (d), # = $P < 0.05$
 994 vs concentration-matched group on A549 cells as assessed by Mann-Whitney U test.

995

996 **Figure 5. Effect of Dex(NF κ B-ODN) NPs on the expression of apoptosis/necroptosis genes**
 997 **in A549 cells.** A549 cells were treated with 10 nM Dex(NF κ B-ODN) NPs or Dex(Scramble-
 998 ODN) NPs and the relative expression of the following genes was measured with real-time
 999 qPCR: RIPK1 (a), MLKL (b), TNF- α (c), and RIPK3 (d). Values are expressed as average \pm
 1000 SEM of $n = 3$ independent experiments. Statistical analysis was performed by ordinary one-
 1001 way ANOVA test * = $P < 0.05$ vs control (untreated).

1002

1003 **Figure 6. Anti-migratory activity by wound healing migration assay.** The wound was created
 1004 by scratching, with a sterile pipette tip, a confluent layer of A549 cells. Cells were then treated
 1005 with 10 nM Dex(NF κ B-ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for
 1006 24 h. Photographs were acquired on a light microscope under 10x magnification (a). The
 1007 distance between the edges of the wounds was measured before treatment (0 h) and after 24 h
 1008 to calculate the percent wound closure (b). Values are expressed as average \pm SEM of $n = 3$

1009 *independent experiments. Statistical analysis was performed by ordinary one-way ANOVA test.*
1010 ** = $P < 0.05$; *** = $P < 0.001$ vs control (untreated).*

1011

1012 **Figure 7. Anti-migratory activity of Dex(NFκB-ODN) NPs in A549 cells: Transwell**
1013 **chamber assay.** *A549 cells were seeded in a transwell chamber previously coated with gelatin*
1014 *and treated with 10 nM Dex(NFκB-ODN) NPs or concentration-matched Dex(scrambled-*
1015 *ODN)NPs for 24 h. Subsequently, cells were allowed to migrate through the membrane for*
1016 *additional 24 h. The cells that successfully migrated were then stained with hematoxylin-eosin*
1017 *and imaged under a light microscope (a). The migrated cells were counted in 5 random*
1018 *positions per well under a high-power field (b). Values are expressed as average ± SEM of n*
1019 *= 3 independent experiments. Statistical analysis was performed by ordinary one-way ANOVA*
1020 *test. ns = $P \geq 0.05$; ** = $P < 0.01$ vs control (untreated).*

1021

1022

1023 **Figure 8. Anti-colony formation activity of Dex(NFκB-ODN) NPs in A549 cells.** *A549 cells*
1024 *were seeded at low density in 6-well plates and, after adhesion, treated with 10 nM Dex(NFκB-*
1025 *ODN) NPs or concentration-matched Dex(scrambled-ODN) NPs for 24 h. After colony*
1026 *formation (≈ 2 weeks), cells were stained with crystal violet solution and each individual well*
1027 *was imaged using the light microscope (a). The resulting number of colonies in each well has*
1028 *been counted and is plotted in (b). Values are expressed as average ± SEM of n = 3 independent*
1029 *experiments. Statistical analysis was performed by ordinary one-way ANOVA test. ns = $P \geq$*
1030 *0.05; ** = $P < 0.01$ vs control (untreated).*

1031



Dr. Kamal Dua

Discipline of Pharmacy,
Graduate School of Health,
University of Technology Sydney,
NSW, Australia
Center for Inflammation,
Centenary Institute, NSW, Australia



UNSW
SYDNEY

Dr. Peter R Wich

School of Chemical Engineering, University of
New South Wales, Sydney, NSW 2052,
Australia
Australian Centre for NanoMedicine,
University of New South Wales, Sydney, NSW
2052, Australia

20th November 2022

The Editor-in-Chief, Journal of Drug Delivery Science and Technology

The authors of the manuscript entitled "**Anticancer activity of NFκB decoy oligonucleotide-loaded nanoparticles against human lung adenocarcinoma**", submitted for consideration for publication in the *Journal of Drug Delivery Science and Technology*, confirm that there are no interests to declare.

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Dr. Kamal Dua
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Author	Role
Vinod Kumar Kannaujiya	Conceptualization Data curation Formal analysis Investigation Methodology Writing – review & editing
Gabriele De Rubis	Conceptualization Data Curation Formal Analysis Investigation Writing – original draft Writing – review & editing
Keshav Raj Paudel	Data curation Formal analysis Investigation Methodology Writing – review & editing
Bikash Manandhar	Data curation Formal analysis Investigation Methodology Writing – review & editing
Dinesh Kumar Chellappan	Methodology
Sachin Kumar Singh	Methodology
Ronan MacLoughlin	Resources Methodology
Gaurav Gupta	Methodology
Dia Xenaki	Methodology
Pradeep Kumar	Data curation Formal analysis Investigation Methodology
Philip Michael Hansbro	Supervision
Brian Gregory George Oliver	Conceptualization Supervision
Peter Richard Wich	Supervision Resources Conceptualization Funding acquisition Methodology Project Administration Writing – review & editing
Kamal Dua	Supervision Resources Conceptualization Funding acquisition Methodology Project Administration Writing – review & editing