

DEPTH-DEPENDENT SOIL FLUIDIZATION UNDER CYCLIC LOADING- AN EXPERIMENTAL INVESTIGATION

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1 **Abstract**

2 Past studies have shown that shallow subgrade soil can transform to a slurry (i.e., fluidization)
3 under unfavourable cyclic loading. However, the depth-dependent behaviour of soil parameters
4 during this process has not been properly understood. The current study utilised a large-scale
5 cylindrical test rig, where instrumentation was installed to observe the soil behaviour along the
6 depth of the test specimens under cyclic loading, to examine and quantify the onset of soil
7 fluidization. The results show that excess pore water pressure (EPWP) tends to rise more at the
8 upper layers causing zero-effective stress, while void ratio expands rapidly within the
9 deteriorated soil fabric making the water content approach the liquid limit of soil when internal
10 moisture migration occurs from the bottom to the top of specimen. The larger the cyclic load,
11 the deeper the fluidized zone and the faster the fluidization. The study also suggests that the
12 zero-effective stress condition alone cannot interpret the inception of soil fluidization, hence
13 the change in void ratio and the liquidity index during the application of cyclic loading should
14 also be considered in tandem.

15

16 **Keywords:** Consolidation, Clays, Pore Pressures; Repeated Loading, Fabric/structure of soils

17 **1. Introduction**

18 Subjected to unfavourable cyclic loading induced by heavy haul trains, surface soil layer tends
19 to degrade considerably with increasing excess pore water pressure (EPWP) to initiate
20 fluidization (Duong et al. 2014; Ebrahimi et al. 2014; Huang et al. 2019; Indraratna et al. 2020a;
21 Wheeler et al. 2017). While this phenomenon has been reported worldwide (Nguyen et al.
22 2019), the actual mechanism that causes soil to rapidly fluidize has still been debatable due to
23 the lack of rigorous experimental assessments and field monitoring. Rising EPWP degrading
24 the soil fabric accompanied with dilation and substantial decrease in soil stiffness is probably
25 the major factor causing subgrade fluidization beneath rail tracks. It is noteworthy that this
26 study uses the term “fluidization” to distinguish it with seismic liquefaction, where the
27 combined roles of fine particle migration within the soil fabric and development of internal
28 hydraulic gradients (depth-dependent) are distinctly different mechanisms. The vertical and
29 horizontal accelerations play a crucial role in undrained instability, hence liquefaction is often
30 deep-seated. This situation can be contrasted to fluidization that usually occurs in shallow
31 layers at low confining pressure (Indraratna et al. 2020b). Experimental simulations (Indraratna
32 et al. 2020b) demonstrated that the upward migration of moisture during cyclic loading, causing
33 the moisture content of the upper soil layers approaches its liquid limit (LL), contributed to this
34 phenomenon. However, none of these studies could capture the continuous (time-dependent)
35 increase in void ratio (i.e., dilation) occurring in tandem with the rising EPWP. Furthermore,
36 the development of soil fluidization across the soil depth (i.e., localized behaviour) has not
37 been quantified in past studies.

38 In view of the above, an innovative model test is implemented to describe the inception of
39 fluidization, incorporating the measured data over the depth of the test specimen. The
40 laboratory measurements are used to interpret the triggering condition of fluidization based on

41 the variations of void ratio, EPWP distribution and the effective stress.

42 **2. Experimental investigation**

43 Estuarine clayey soil samples were collected at a depth of 1 to 2m from the coastal town of
44 Ballina (NSW). This soil was classified as a high plasticity clay (CH), and had a natural water
45 content of 59% and a bulk density of 1,660 kg/m³. Its liquid and plastic limits (LL and PL)
46 were 82 and 35%. Clay, silt and sand contents of the soil were 18, 77 and 4%, respectively. A
47 cell was used to contain the specimen (Fig. 1), where two transducers (T1 and T2) were
48 installed to measure the total pressure at different depths (50 mm and 200 mm) and four
49 miniature pore pressure transducers (i.e., P1, P2, P3 and P4) were used to measure EPWP. To
50 observe the response of different soil layers, an observation window (stiff transparent perspex)
51 was fabricated on one side of the cell. A high-resolution camera was set up to capture visually
52 the soil response over time.

53 The test procedure consisted of two stages as described below.

54 (i) Pre-consolidation stage: The collected soil was mixed with water to a moisture content
55 of 98%. Subsequently, the soil slurry was transferred to the consolidation cell, and 4 layers of
56 sand (i.e., only at the front of the observation window to minimize their influence on the
57 specimen behaviour) were added as shown in Fig. 1. Grease was applied to the cell interior to
58 minimize boundary friction. A vertical pressure of 15 kPa with two-way drainage was then
59 applied to the soil until the volume change upon consolidation became insignificant. The
60 saturation of the soil specimen was verified by amplitude domain reflectometry probes.

61 (ii) Cyclic loading stage: A cyclic (uniform sinusoidal) load was then applied to the top of
62 the specimen in undrained condition. Two loading amplitudes, i.e., 50 and 60 kPa typically
63 representing the propagated vertical stress on the soil subgrade in the field were considered,
64 while a frequency f of 2.0 Hz was adopted to represent the attenuated frequency on the subgrade

65 caused by an average train speed of 80 km/h (Nguyen and Indraratna 2022). Each test was
66 terminated when the number of cycles (N) reached 40,000 cycles.

67 **3. Experimental findings and discussion**

68 *Depth-dependent response of soft soil subjected to cyclic loading*

69 The variations of EPWP at different depths are shown in Fig. 2. The greater the soil depth, the
70 lower the build-up of EPWP. For the amplitude of applied cyclic vertical stress (q) = 50 kPa,
71 the EPWP at a depth of 50 mm rapidly increases and exceeds 11 kPa after about 2,500 cycles
72 that causes the zero-effective stress condition. EPWP then reaches the peak of 22.5 kPa at
73 around 10,000 cycles before stabilising towards the end (N = 40,000 cycles). EPWPs at greater
74 depths (i.e., 200 and 250 mm) also show the same response, but at a lower magnitude.
75 Furthermore, the results show that EPWP reaches the peak faster at higher loading. For
76 example, for q = 60 kPa, about 6,000 cycles is required to reach the peak EPWP. The
77 corresponding effective stress (p') that drops to zero at 2,500 and 1,500 cycles for q = 50 and
78 60 kPa, respectively. Corresponding to the generation of EPWP, there is a sharp increase in
79 axial strain (ε_a) within the first 2,500 cycles before attaining a gradual stabilization. The larger
80 the applied load, the greater the axial strain for the same number of cycles. For example, after
81 N = 2500, ε_a = 0.48% and 0.62% for q = 50 and 60 kPa, respectively. Although the soil sample
82 had lost its strength (i.e., the effective stress becoming zero), the axial strain tends to stabilize
83 after the peak due to the use of rigid cell (lateral confinement) and undrained condition.

84 Fig. 3 shows a typical example of how fluidization progressively develops around the
85 surface zone of the test specimen under q = 50 kPa. There is significant disturbance of the soil
86 close to the surface (i.e., an expanded dark region with a dispersed sand layer) accompanied by
87 an internal rearrangement of the soil along the height of the specimen. At 2,500 cycles, the grey
88 and dark region representing the fluidized soil begins to appear in the shallower soil (Layer 1).

89 Meanwhile, EPWP at this depth rises rapidly to exceed the critical level of 11 kPa (Fig. 2),
90 further disturbing the soil fabric. The fluidized region continues to spread towards the deeper
91 part of the soil specimen as N continues to rise to 20,000 cycles, now resulting in complete
92 fluidization of Layer 1 and partially of Layer 2. On the other hand, there is no sign of soil
93 fluidization in deeper Layers 3 and 4 despite N rising to 40,000 cycles; instead, these lower
94 layers become more compacted (decreased thickness) as captured through image processing.
95 This emphatically indicates the localized distinct response whereby the soil fluidization
96 initiates at the top soil layers, while cyclic densification occurs at the lower depths of the
97 specimen.

98 Figure 4 shows a non-uniform distribution of void ratio along the specimen height,
99 while the total void ratio of the specimen slightly decreases. In this analysis, the void ratio was
100 computed using the initial void ratio (after consolidation) and change in thickness of soil layers
101 captured by processing images (observed through the cell window). Specifically, for $q = 50$
102 kPa, the void ratio of Layer 1 increases from its initial value of 1.92 to 2.0 after 2,500 cycles,
103 and this corresponds to the slurry formation in this zone (Fig. 3). In contrast, the void ratio of
104 the lower Layers 3 and 4 gradually decreases, thus signifying cyclic densification. Interestingly,
105 the void ratio of Layer 2 decreases (compression) during the first 10,000 cycles before rising
106 to around 2.0 at the end of loading, which reflects the dilation of the fluidized soil over time
107 towards the deeper region. Similar depth-dependent behaviour was captured when q increased
108 to 60 kPa, however, the fluidized region was found to propagate deeper towards the Layer 3 at
109 the end of testing. The measurement after testing showed that the topmost layer had the largest
110 water content (82.5% and 87.9% for 50 and 60 kPa loading) that exceeded the LL of the soil
111 (82%), whereas the water content at the bottom layer decreased to 66.8 %, compared to its
112 initial value of 73.8%.

113 *Condition of soil fluidization*

114 The above results show that when the soil fluidizes, there are two vital changes occurring in
115 the soil parameters, namely, (i) the effective stress (i.e., EPWP) and (ii) the void ratio. The soil
116 liquidity index (LI), which represents the threshold of fluidization in relation to the current
117 water content and LL, was computed using the void ratio (Fig. 4), and the results were then
118 combined with the effective stress, as shown in Fig. 5. The LI at Layer 1 rapidly increases to
119 unity representing the slurry-like state, whereas the LI at the bottom steadily decreases when
120 undergoing cyclic densification. Meanwhile, p' in both top (Layer 1) and bottom (Layer 4) soil
121 regions decreases due to rising EPWP. Despite p' reaching the zero-effective stress condition,
122 the lowermost soil with a stable LI does not show any sign of fluidization (Fig. 3). This implies
123 that the conventional use of mean effective stress alone cannot distinguish the difference
124 between the stable and fluidized soil regions under cyclic loading. In this regard, the use of LI
125 or void ratio in tandem is more appropriate to better capture fabric degradation under cyclic
126 loading, and the associated inception of soil fluidization.

127 **4. Conclusions**

128 The following salient conclusions could be drawn throughout this study.

- 129 1. When the soft soil was subjected to cyclic loading, the EPWP increased more towards the
130 surface region of the soil leading to dilation, while the bottom part of the soil underwent
131 cyclic densification as moisture (pore fluid) migrated upwards. At the critical level of
132 EPWP (i.e., 11 kPa) representing the condition of zero-effective stress, the upper soil region
133 showed significant fabric disturbance. In tandem, void ratio increased rapidly from 1.92 to
134 2.13, causing the water content to rise towards the LL of 82%, thus fluidization.
- 135 2. A greater magnitude of cyclic load implied that the potential depth of fluidization could
136 increase at a lower number of loading cycles.

137 3. The study also verified that the zero-effective stress condition should be considered in
138 tandem with the liquidity index approaching unity when assessing the onset of soil
139 fluidization.

140 **Data Availability**

141 Data analyzed during this study are provided in full within the published article.

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