

1 **Prediction of flyrock distance in surface mining using a novel hybrid model of**  
2 **Harris Hawks Optimization with multi-strategies-based support vector**  
3 **regression (MSHHO-SVR)**

4

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17 **Abstract:**

18 To effectively weaken and control the harm of flyrock in open-pit mines, this study aims to develop  
19 a novel Harris Hawks optimization with multi-strategies-based support vector regression  
20 (MSHHO-SVR) model for predicting the flyrock distance (FD). Several parameters such as hole  
21 diameter (H), hole depth (HD), burden to spacing ratio (BTS), stemming (ST), maximum charge  
22 per delay (MC), and powder factor (PF) were recorded from 262 blasting operations to establish  
23 the FD database. The MSHHO-SVR model was compared the predictive performance with several  
24 other models, including Harris Hawks optimization-based support vector regression (HHO-SVR),  
25 back-propagation neural network (BPNN), extreme learning machine (ELM), kernel extreme  
26 learning machine (KELM), and empirical methods. The root mean square error (RMSE), the mean  
27 absolute error (MAE), the determination coefficient ( $R^2$ ), and the variance accounted for (VAF)  
28 were employed to evaluate the model performance. The results indicated that the MSHHO-SVR  
29 model not only performed better in the training phase but also obtained the most satisfactory  
30 performance indices in the testing phase, with RMSE values of 12.2822 and 9.6685,  $R^2$  values of  
31 0.9662 and 0.9691, MAE values of 8.5034 and 7.4618, and VAF values of 96.6161% and  
32 96.9178%, respectively. Furthermore, the calculation results of the SHAP values revealed that the  
33 H is the most critical parameter for predicting the FD. Based on these findings, the MSHHO-SVR  
34 model can be considered as a novel hybrid model that effectively addresses flyrock-like problems  
35 caused by blasting.

36

37 **Keywords:**

38 Flyrock distance; Multi-strategies; Harris Hawks optimization; Support vector regression; SHAP  
39 values.

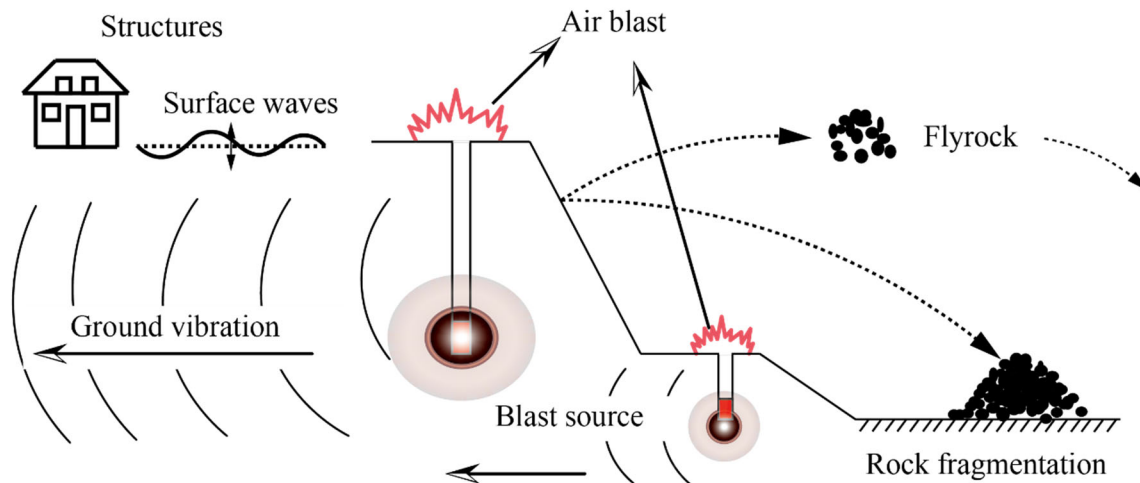
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- 41 ● We are committed to improving the prediction performance of the FD in open-pit mines.
- 42 ● The optimization ability of HHO algorithm is significantly improved by the multi-strategies  
43 method
- 44 ● The proposed MSHHO-SVR model has higher accuracy than published articles in predicting  
45 the FD.

46 **1. Introduction**

47 Blasting has been a widely used rock-breaking technique in various fields, particularly in open pit  
48 and underground mining (Monjezi et al. 2013; Wang et al. 2018a, 2018b; Li et al. 2022a; Hosseini  
49 et al. 2023). However, studies revealed that a significant portion of the energy (over 70%) produced  
50 by blasting is wasted, while the remaining energy is utilized to break and displace hard rocks  
51 (Khandelwal and Singh 2005; Singh and Singh 2005; Hosseini et al. 2022a, 2022b). Moreover,  
52 blasting also raised environmental concerns, particularly in surface mining, as depicted in Fig. 1.  
53 Among the various environmental issues, flyrock stands out as the most hazardous and destructive  
54 (Faradonbeh et al. 2016; Bakhtavar et al. 2017; Hasanipanah et al. 2017; Mahdiyar et al. 2017;  
55 Koopialipour et al. 2019; Nguyen et al. 2019; Murlidhar et al. 2021). Bajpayee et al. (2004)  
56 reported that flyrock was the direct cause of at least 40% of fatal accidents and 20% of serious  
57 accidents in blasting accidents. Accordingly, it is extremely meaningful to calculate the flyrock  
58 distance (FD) to prevent deaths, damage to equipment, and other serious accidents.

59



60

61

**Fig. 1** Negative impacts of blasting in open-pit mines

62

63 Reviewing the previous studies (Lundborg et al. 1975; Roth 1979; Gupta 1980; Olofsson 1990;  
64 Richards and Moore 2004; McKenzie 2009), a variety of empirical formulas were proposed to  
65 predict and control the FD. Bagchi and Gupta (1990) established an empirical formula between  
66 stemming (ST), burden (B) and FD. Little (2007) developed an empirical formula based on the  
67 drill hole angle, B, ST and explosive charge per meter (CPM) to predict the FD. Trivedi et al.

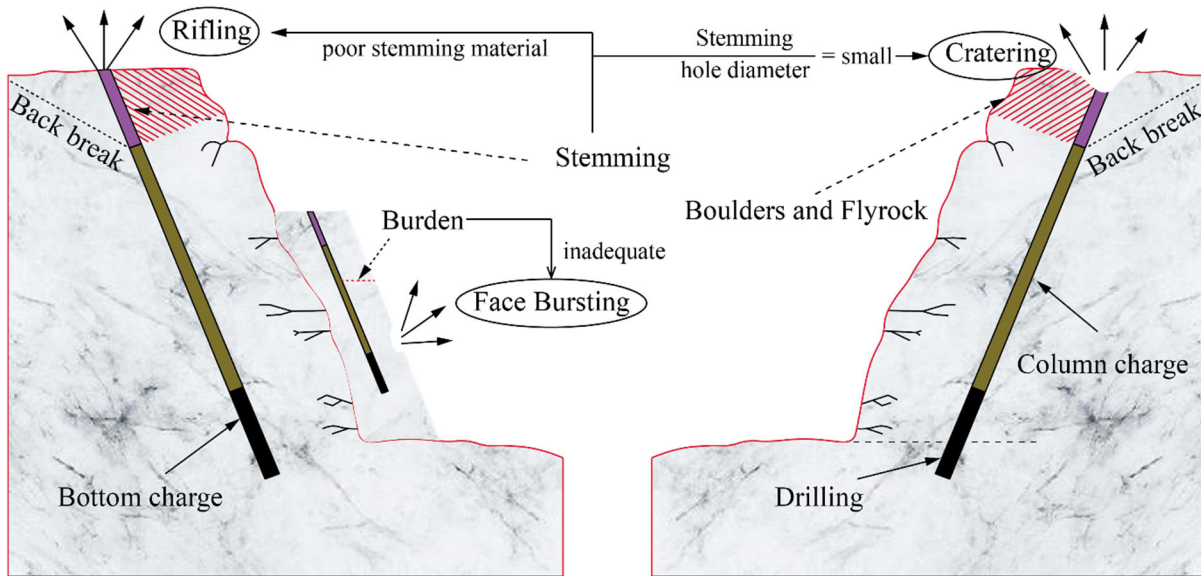
68 (2014) also used the ratio of ST to B to establish an empirical equation for estimating the FD.  
69 Nevertheless, the prediction performance of the empirical formula is not ideal. The most obvious  
70 reason is the absence of valid parameters and the simple consideration of the linear and nonlinear  
71 relationship between the parameters and the predicted target (Zhou et al. 2020a, 2020c). In addition  
72 to empirical formulas, various researchers have attempted to estimate the FD using statistical  
73 analyses, such as Monte Carlo simulation methods, and simple and multiple regression equations  
74 (Rezaei et al. 2011; Ghasemi et al. 2012; Raina et al. 2014; Armaghani et al. 2016; Faradonbeh et  
75 al. 2016; Ye et al. 2021). However, the regression and simulation models have obvious  
76 shortcomings, respectively: a) newly data other than the original data can reduce the reliability of  
77 the regression model (Marto et al. 2014); b) historical database cannot be used to control/determine  
78 input distribution of the simulation model (Little and Blair 2010). Generally, there are two types  
79 of parameters that contribute to estimating the FD: controllable and uncontrollable. The  
80 controllable parameters, commonly referred to as blast design parameters, including hole diameter  
81 (H), B, ST, CPM, powder factor (PF), spacing (S), total charge, hole depth (HD), and delay timing  
82 (Rezaei et al. 2011; Trivedi et al. 2015; Rad et al. 2018; Han et al. 2020; Zhou et al. 2020a). These  
83 parameters can be manually adjusted and have a direct impact on the generation of flyrock. Fig. 2  
84 illustrates several potential conditions and the corresponding mechanisms that induce face bursting.  
85 Furthermore, if the ratio of ST to H is small and the stemming quality is poor, it may lead to  
86 cratering and rifling (Lundborg and Persson 1975; Ghasemi et al. 2012; Saghatforoush et al. 2016;  
87 Hasanipanah et al. 2018a). In contrast, uncontrollable parameters refer to characteristic indices  
88 related to the physical properties of the rock mass, such as rock density (RD), blastability index  
89 (BI), and block size (BS) (Monjezi et al. 2010, 2012; Hudaverdi and Akyildiz 2019), geological  
90 properties of the rock mass including the geological strength index (GSI), the rock mass rating  
91 (RMR), the rock quality designation (RQD), and the uniaxial compressive strength (UCS) (Trivedi  
92 et al. 2015; Asl et al. 2018), as well as environmental factors like the weathering index (WI)  
93 (Murlidhar et al. 2021).

94 Over the past few years, a broad spectrum of artificial intelligence (AI) algorithms represented by  
95 machine learning (ML) models has been developed and employed to forecast the FD based on both  
96 controllable and uncontrollable parameters, as summarized in Table 1. In general, a single ML  
97 method was usually employed to predict the FD, e.g., artificial neural network (ANN) (Monjezi et  
98 al., 2010, 2011; Hosseini et al. 2022; Wang et al. 2023), least squares-support vector machine (LS-

99 SVM) (Rad et al. 2018), extreme learning machine (ELM) (Lu et al. 2020), support vector  
100 regression (SVR) (Armaghani et al. 2020; Guo et al. 2021b), back-propagation neural network  
101 (BPNN) (Yari et al. 2016), adaptive neuro-fuzzy inference system (ANFIS) (Armaghani et al.  
102 2016), random forest (RF) (Han et al. 2020; Ye et al. 2021), and deep neural network (DNN) (Guo  
103 et al. 2021a). Nonetheless, most single ML models, particularly ANN, SVR, RF, and ANFIS, who  
104 have low learning rates and are easy to fall into local optimum (Wang et al. 2004; Moayedi and  
105 Armaghani 2018; Li et al. 2022a, 2022b). However, it is extremely time-consuming and difficult  
106 to select hyperparameter parameters of a single ML model by manual methods for solving complex  
107 problems (Li et al. 2022d). In other words, the hyperparameter selection problem can also be  
108 considered as an optimization problem. Recently, the use of metaheuristic algorithms is an  
109 effective method for solving optimization problems (Monjezi et al. 2012; Armaghani et al. 2014;  
110 Kumar et al. 2018). Besides, the metaheuristic algorithms have been noticed and used to improve  
111 the predictive ability of traditional ML models in solving engineering problems, including  
112 evolution-based (Majdi and Beiki 2010; Yagiz et al. 2018; Zhang et al. 2022), physics-based  
113 (Khatibinia and Khosravi 2014; Liu et al. 2020; Momeni et al. 2021), and swarm-based methods  
114 (Zhou et al. 2019, 2020a, 2020b, 2021b, 2021c; Li et al. 2022a, 2022b; Adnan et al. 2023a, 2023b;  
115 Ikram et al. 2023a). Swarm-based optimization methods, such as the Grey wolf optimization  
116 algorithm (GWO), Sparrow search algorithm (SSA), and Harris Hawks optimization (HHO), offer  
117 the advantage of requiring only a few parameters, namely population and iteration, to be adjusted  
118 in order to enhance the optimized performance (Kardani et al. 2021; Li et al. 2021d; Zhou et al.  
119 2021a). To improve the accuracy of single ML model for predicting the FD, researchers have  
120 applied various metaheuristic algorithms-based swarm to the hyperparameter optimization of ML  
121 models (Hasanipanah et al. 2016, 2018b; Murlidhar et al. 2020, 2021; Guo et al. 2021b; Kalaivaani  
122 et al. 2020; Nguyen et al. 2021; Fattahi and Hasanipanah 2022). However, the performance of  
123 metaheuristic algorithms-based swarm is limited by the lack of initial population diversity (Zhou  
124 et al. 2022). Meanwhile, the low precision convergence and convergence time of such  
125 metaheuristic algorithms in the optimization of multi-dimensional complex problems have already  
126 become traditional weaknesses (Li et al. 2021c).

127 Therefore, the objective of this study is to develop a novel and comprehensive optimization model,  
128 which combines multi-strategies (MS) and HHO algorithm to optimize SVR model for predicting  
129 the FD. The proposed model is named the MSHHO-SVR model. A database was created based on

130 the monitoring of 262 blasting operations from various open-pit mines, where a series of influence  
 131 parameters related to the FD were collected. Three other ML models and an empirical equation  
 132 were also developed to predict the FD and were compared with the HHO-SVR model and  
 133 MSHHO-SVR model. The prediction performance of all models was evaluated using root mean  
 134 square error (RMSE), mean absolute error (MAE), determination coefficient ( $R^2$ ), and variance  
 135 accounted for (VAF) in both training and testing phases. Additionally, the Shapley additive  
 136 explanations (SHAP) method, an emerging additive explanatory method, was employed to  
 137 calculate the influence of the input parameters on FD in the sensitivity analysis.  
 138



139  
 140 **Fig. 2** Three important Flyrock generation mechanisms  
 141

142 **Table 1** Reviewed ML models for predicting the FD

| ML models | Parameter                     |                | No. data | Reference             |
|-----------|-------------------------------|----------------|----------|-----------------------|
|           | Controllable                  | Uncontrollable |          |                       |
| ANN       | PF, HD, BTS, MC, SD, N, ST    | RD             | 250      | Monjezi et al. (2010) |
| ANN       | PF, H, B, ST, BTS, MC, SD, HD | BI             | 192      | Monjezi et al. (2011) |
| ANN       | H, HD, B, S, Q, CPM           | UCS, RQD       | 125      | Trivedi et al. (2015) |

|           |                               |              |     |                                   |  |
|-----------|-------------------------------|--------------|-----|-----------------------------------|--|
| ANFIS     |                               |              |     |                                   |  |
| ANN       | PF, S, B, H, ST, MC,          | -            | 240 | Guo et al. (2021a)                |  |
| DNN       |                               |              |     |                                   |  |
| BPNN      | PF, B, S, CPM                 | UCS, RQD     | 120 | Trivedi et al. (2016)             |  |
| SVR       | BH/B, BTS, SD, PF,            | RD           | 90  | Rad et al. (2018)                 |  |
| LS-SVM    | MC                            |              |     |                                   |  |
| ELM       | S, B, PF, ST                  | RD           | 82  | Lu et al. (2020)                  |  |
| RF        | PF, H, BS, MC, HD,<br>ST      | -            | 262 | Ye et al. (2021)                  |  |
| GA-ANN    | PF, B, S, SD, MC,<br>HD, ST   | RMR          | 195 | Monjezi et al. (2012)             |  |
| PSO-ANN   | B, S, MC, SD, H, ST,<br>N, PF | RD           | 44  | Armaghani et al. (2014)           |  |
| PSO-RFNN  | B, MC, S, ST                  | -            | 72  | Kalaivaani et al. (2020)          |  |
| PSO-ANN   | HD, ST, PF, MC, B, S          | -            | 65  | Zhou et al. (2020c)               |  |
| FA-ANN    | BTS, ST, HD, MC,<br>PF        | RD, Rn       | 113 | Li et al. (2021f)                 |  |
| WOA-DNN   | PF, MC, S, B, ST, HD          | -            | 240 | Guo et al. (2021a)                |  |
| HHO-MLP   | PF, H, ST/B, HD,<br>CPM       | GSI, RQD, WI | 152 | Murlidhar et al. (2021)           |  |
| WOA-SVM   | PF, B, W, S, ST               | -            | 210 | Nguyen et al. (2021)              |  |
| GOA-ANFIS | PF, S, B, ST                  | RD           | 80  | Fattahi and<br>Hasanipanah (2022) |  |

143 Note: No. data: the number of considered samples in dataset; BTS= Burden to Spacing ratio; MC= Maximum charge  
144 per delay (kg); SD= Specific drilling (m/m<sup>3</sup>); BH= Bench height (m); Q= Charge per blast hole (kg); N= Number of  
145 rows; Rn= Schmidt hammer rebound number; ST/B= Stemming to burden ratio; W= Per blast; GOA-Grasshopper  
146 optimization algorithm; GA-Genetic algorithm; FA-Firefly algorithm; RFNN-recurrent fuzzy neural network; WOA-  
147 Whale optimization algorithm; HHO-Harris Hawks optimization; PSO-Particle swarm optimization.

148

## 149 2. Methodologies

### 150 2.1 Support vector regression

151 SVR is a specialized algorithm within the support vector machines (SVM) family that was  
 152 developed by Vapnik (1995) for resolving regression problems. For the SVR algorithm, the  
 153 structural risk minimization (SRM) is the core of the optimizer algorithm used to obtain the  
 154 minimum training error (Li et al. 2021b). In other words, the nonlinear regression prediction is  
 155 also a function fitting problem by using SVR model, which can be described as follows:

$$156 \quad f(z) = w\Psi(z) + b \quad (1)$$

157 where  $w$  represents a weight vector.  $\Psi(z)$  describes a nonlinear mapping between input space and  
 158 high-dimensional space.  $b$  represents a model error also called threshold value. Then, the  
 159 minimization of  $w$  and  $b$  can be calculated according to the SRM as in Eq. (2).

$$160 \quad \begin{aligned} & \text{Minimize: } C(\nu\mathcal{G} + \frac{1}{M} \sum_{i=1}^M (\zeta_i, \zeta_i^*)) + \|W\|^2 / 2 \\ & \text{Subject to } \begin{cases} (w\Psi(z_i) + b_i) - s_i \leq \mathcal{G} + \zeta_i, i = 1, 2, \dots, M \\ s_i - (w\Psi(z_i) + b_i) \leq \mathcal{G} + \zeta_i, i = 1, 2, \dots, M \\ \zeta_i^* \geq 0, \mathcal{G} \geq 0, i = 1, 2, \dots, M \end{cases} \end{aligned} \quad (2)$$

161 Finally, the Eq. (1) is rewritten as follows:

$$162 \quad f(z) = \sum_{j=1}^M (\delta_j - \delta_j^*) \kappa(z, z_j) + b \quad (3)$$

163 where  $C$  represents penalty factor for balancing the model smoothness.  $\zeta_i$  and  $\zeta_i^*$  represent the  
 164 slack parameters.  $M$  denotes the number of pattern records.  $\|W\|^2 / 2$  represents the smoothness,  
 165 and the  $\mathcal{G}$  is set to the default value of 0.1.  $\kappa(z_i, z_j) = \Psi(z_i)\Psi(z_j)$  indicates the kernel function.  
 166 In this study, the radial basis function (RBF) is employed as a widely used kernel function to solve  
 167 the prediction problem. Therefore,  $C$  and the kernel parameter ( $\gamma$ ) are the main hyperparameters  
 168 of SVR model in this study.

169

## 170 **2.2 Harris Hawks optimization**

171 The HHO algorithm, developed by Heidari et al. (2019), is an emerging metaheuristic optimization  
 172 algorithm, which is inspired by the unique cooperative hunting activities of Harris's hawk in nature  
 173 called "surprise pounce". For solving the optimization problems, each Harris's hawk can be  
 174 considered as a candidate solution, and the best solution is faulty when considered as the prey. As



175 shown in Fig. 3a, the standard HHO is split into two parts named the exploration and the  
 176 exploitation, as well as different perching and attacking strategies.

177 Exploration is the beginning of a successful foraging campaign. Harris's hawks use their dominant  
 178 eyes to search for and track prey. Especially when prey is highly alert, they wait, observe, and  
 179 monitor for about 2 hours. There are two different perching strategies that can be executed with  
 180 the same probability or chance, which are expressed mathematically as:

$$181 \quad X(n+1) = \begin{cases} X_{rand}(n) - r_1 |X_{rand}(n) - 2r_2 X(n)| & q \geq 0.5 \\ (X_{prey}(n) - X_m(n)) - r_3(L_B + r_4(U_B - L_B)) & q < 0.5 \end{cases} \quad (4)$$

182 where  $X(n)$  and  $X(n+1)$  denote the positions of hawks in the  $n$ -th iteration and the  $n+1$ -th  
 183 iteration, respectively.  $X_{rand}(n)$  and  $X_{prey}(n)$  illustrate the positions of the randomly selected  
 184 hawk and prey in  $n$ -th iteration, respectively. The parameters  $q$ ,  $r_1$ ,  $r_2$ ,  $r_3$ , and  $r_4$  represent random  
 185 numbers varying from 0 to 1 in each iteration.  $L_B$  and  $U_B$  delegate the lower and upper boundaries  
 186 of the internal parameters, respectively. Notably, the mean position of the hawks ( $X_m(n)$ ) is  
 187 expressed in Eq. (5).

$$188 \quad X_m(n) = \frac{1}{I} \sum_{i=1}^I X_i(n) \quad (5)$$

189 where  $I$  is the number of Harris's hawks, and  $X_i(n)$  illustrates the position of the  $i$ -th individual  
 190 hawk in the  $n$ -th iteration.

191 After identifying the prey and its location, the hawks can select from a range of attacking strategies  
 192 based on the available energy. The energy consumption during the attack is mathematically  
 193 expressed as follows:

$$194 \quad E = 2E_0 \left(1 - \frac{n}{T}\right) \quad (6)$$

195 where  $E$  and  $E_0$  represent the escaping energy and initial energy of the prey, respectively.  $n$   
 196 indicates the current iteration, and the maximum number of iterations is illustrated by  $T$  in the  
 197 HHO algorithm. When  $E$  is less than 1, hawks continue to stay in exploration phase to obtain a  
 198 better prey. On the contrary, hawks start to execute different attack strategies to hunt prey in  
 199 exploitation phase.

200 In exploitation phase, hawks can choose the appropriate attacking strategy according to the  
 201 different escape behaviors and energy surplus of prey. Assuming the prey has an escape chance of

202 prey is  $E_c$ , then the chances of successful escape and capture are expressed as  $E_c \geq 0.5$  or  $E_c < 0.5$ .  
 203 Combining the escaping energy of prey, there are four possible attacking strategies selected by  
 204 hawks to hunt prey, as written in Eqs. (7)- (10).

205 **No. 1.** Soft besiege: This attack strategy is triggered once the prey (e.g., rabbit) has enough escape  
 206 energy ( $|E| \geq 0.5$ ) but still did not escape out of hawk's territory ( $E_c \geq 0.5$ ).

$$207 \quad \begin{aligned} X(n+1) &= \Delta X(n) - E |JX_{prey}(n) - X(n)| \\ \Delta X(n) &= X_{prey}(n) - X(n) \end{aligned} \quad (7)$$

208 **No. 2.** Hard besiege: Once the escape energy of prey is exhausted ( $|E| < 0.5$ ) but it still does not  
 209 escape the hawk's territory ( $E_c \geq 0.5$ ), hawks initiate the hard besiege strategy to capture the prey.

$$210 \quad X(n+1) = X_{prey}(n) - E |\Delta X(n)| \quad (8)$$

211 **No. 3.** Soft besiege with progressive rapid dives (see Fig. 3b): When the prey has enough escape  
 212 energy ( $|E| \geq 0.5$ ) and can use different deceptive behaviors to escape the hawk's territory  
 213 ( $E_c < 0.5$ ).

$$214 \quad \begin{aligned} Y &= X_{prey}(n) - E |JX_{prey}(t) - X(n)| \\ Z &= Y + S \times LF(D) \\ X(n+1) &= \begin{cases} Y & \text{if } Fitness(Y) < Fitness(X(n)) \\ Z & \text{if } Fitness(Z) < Fitness(X(n)) \end{cases} \end{aligned} \quad (9)$$

215 **No. 4.** Hard besiege with progressive rapid dives (see Fig. 3c): If the prey has less escape energy  
 216 ( $|E| < 0.5$ ) while can take different deceptive behaviors to escape the hawk's territory ( $E_c < 0.5$ ),  
 217 hawks try to save more moving distance for hunting the prey. This trigger condition of No. 4  
 218 strategy is similar to No. 3.

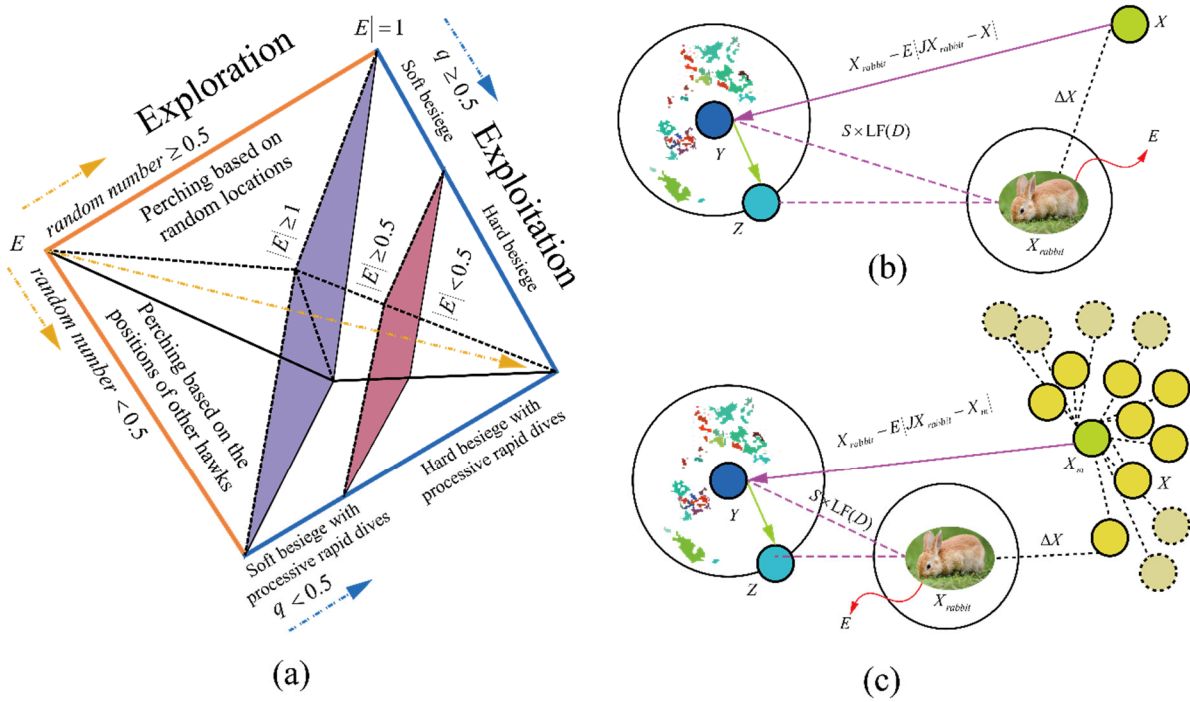
$$219 \quad \begin{aligned} Y^* &= X_{prey}(n) - E |JX_{prey}(t) - X_m(n)| \\ Z^* &= Y^* + S \times LF(D) \\ X(n+1) &= \begin{cases} Y^* & \text{if } Fitness(Y^*) < Fitness(X(n)) \\ Z^* & \text{if } Fitness(Z^*) < Fitness(X(n)) \end{cases} \end{aligned} \quad (10)$$

220 where  $\Delta X(n)$  represents the difference of position between prey and hawk in the  $n$ -th iteration.  $J$   
 221 represents the intensity of escape movement, which is changed randomly between 0 and 2.  $D$  and

222  $S$  express the dimension of searching space and a random vector, respectively.  $Fitness()$  represents  
 223 the fitness evaluation function in iteration.  $LF$  describes the levy flight function, which can be  
 224 written as:

$$225 \quad LF(x) = 0.01 \times \frac{\mu \times \sigma}{|v|^{\frac{1}{\beta}}}, \sigma = \left( \frac{\Gamma(1+\beta) \times \sin\left(\frac{\pi\beta}{2}\right)}{\Gamma\left(\frac{1+\beta}{2}\right) \times \beta \times 2^{\left(\frac{\beta-1}{2}\right)}} \right)^{\frac{1}{\beta}} \quad (11)$$

226 where  $\mu$  and  $v$  represent random values changed in the range of  $[0, 1]$ .  $\beta$  represents a constant,  
 227 which is set to 0.5 by default in the HHO algorithm.  
 228



229  
 230 **Fig. 3** A standard HHO algorithm: (a) All phases; (b) Soft besiege with progressive rapid dives;  
 231 (c) Hard besiege with progressive rapid dives  
 232

### 233 2.3 Harris Hawks optimization with Multi-strategies (MSHHO)

234 Despite the extensive use of the HHO algorithm in solving various engineering problems by many  
 235 researchers (Moayedi et al. 2020; Murlidhar et al. 2021; Zhang et al. 2021; Zhou et al. 2021d;  
 236 Kaveh et al. 2022), it still faces the challenge of low convergence accuracy and premature  
 237 convergence while dealing with high-dimensional and complex optimization problems. To address

238 these issues, several methods have been proposed to enhance the performance of the HHO  
 239 algorithm, including chaotic local search (Elgamal et al. 2020), self-adaptive technique (Wang et  
 240 al. 2021; Zou and Wang 2022), hybridizing supplementary algorithms (Fan et al. 2020; Hussain et  
 241 al. 2021). In any case, the goal of improving HHO is to optimize the initial HHO algorithm's  
 242 exploration and exploitation. In this study, three strategies named chaotic mapping, Cauchy  
 243 mutation, and adaptive weight are used to enhance the performance of the initial HHO algorithm.

244

#### 245 (1) Chaotic mapping

246 Several studies have shown that chaotic mapping can be used to create a more diverse population  
 247 by using chaotic sequences (Kohli and Arora 2018). Among chaotic mapping functions, logistic  
 248 mapping is widely used to rich the diversity of population for improving the performance of  
 249 metaheuristic algorithms (Hussien and Amin 2022). Therefore, the initial population of the HHO  
 250 was generated by using a logistic mapping as written as Eq. (12). Then, the novel candidate  
 251 solution generated can be obtained as:

$$252 \quad \text{Log}^{s+1} = \kappa \text{Log}^s (1 - \text{Log}^s) \quad 0 \leq \kappa \leq 4 \quad (12)$$

$$253 \quad C_s = TP \times (1 - \varepsilon) + \varepsilon C'_i, \quad i = 1, 2, \dots, s \quad (13)$$

254 where  $\text{Log}^{s+1}$  and  $\text{Log}^s$  represent the  $s+1$  and  $s$  order chaotic sequence, respectively.  $\kappa$   
 255 represents a constant between 0 and 4.  $C_s$  delegates the candidate solution.  $TP$  illustrates the target  
 256 position.  $C'$  represents the maps. And  $\varepsilon$  represents a factor related to the iteration, which is  
 257 calculated as follows:

$$258 \quad \varepsilon = \frac{\text{Max}_{iteration} - \text{Cur}_{iteration} + 1}{\text{Max}_{iteration}} \quad (14)$$

259 where  $\text{Max}_{iteration}$  represents the maximum number of iterations, and  $\text{Cur}_{iteration}$  indicates the  
 260 current iteration.

261

#### 262 (2) Cauchy mutation

263 The Cauchy distribution function is a simple yet effective method to address the problem of  
 264 metaheuristic algorithms being susceptible to local optima (Yang et al., 2018). The Cauchy  
 265 variation can augment the diversity of the population in the search space of hawks, thereby

266 improving the global search capability of the original HHO algorithm. The mathematical  
 267 representation of Cauchy mutation is written as:

$$268 \quad f(x) = \frac{1}{\pi} \left( \frac{1}{x^2 + 1} \right) \quad (15)$$

269 After applying the Cauchy mutation, the search algorithm can explore more global optima:

$$270 \quad X_{best}^* = X_{best} + X_{best} \times Cauchy(0,1) \quad (16)$$

271  
 272 (3) Adaptive weight

273 In this study, an adaptive weight method was employed to update the position of prey during the  
 274 exploitation phase in the HHO algorithm. The adaptive weight factor ( $w_f$ ) has different functions  
 275 in improving the performance of local optimization, such as a smaller  $w_f$  can increase the  
 276 exploitation time and result in a better solution. This process is represented by Eq. (17) and Eq.  
 277 (18).

$$278 \quad w_f = \sin \left( \frac{\pi \times Cur_{iteration}}{2Max_{iteration}} + \pi \right) + 1 \quad (17)$$

$$279 \quad X_{prey}^*(t) = w_f \times X_{prey}(t) \quad (18)$$

280 The framework of using Harris Hawks optimization with Multi-strategies (MSHHO)- based SVR  
 281 model to predict the FD is shown in Fig. 4. Besides, four comparison models were established to  
 282 compare the predictive performance with the HHO and MSHHO- based SVR models, including  
 283 ELM, KELM, BPNN, and empirical models. The principles of these models above were described  
 284 in detail as follows literature (Roth 1979; Huang et al. 2006; McKenzie 2009; Chen et al. 2016;  
 285 Yari et al. 2016; Zhang and Goh 2016; Wang et al. 2017; Elkatatny et al. 2018; Luo et al. 2019;  
 286 Shariati et al. 2020; Jamei et al. 2021). To accurately learn relationship between the input  
 287 parameters and the FD, the database was divided into two subsets, i.e., training set and test set (30%  
 288 of the total data). Noted that all data should be normalized into the range of 0 to 1 or -1 to 1. The  
 289 latter is considered in this study. Furthermore, the fitness function built by Root mean square error  
 290 (RMSE) is set as the only criterion for evaluating the performance of each hybrid model. The better  
 291 model with the suitable hyperparameters has lower value of fitness than other models. Finally, all  
 292 developed models should be evaluated using performance indices or other evaluation approaches  
 293 (e.g., regression analysis, Taylor diagrams).

294

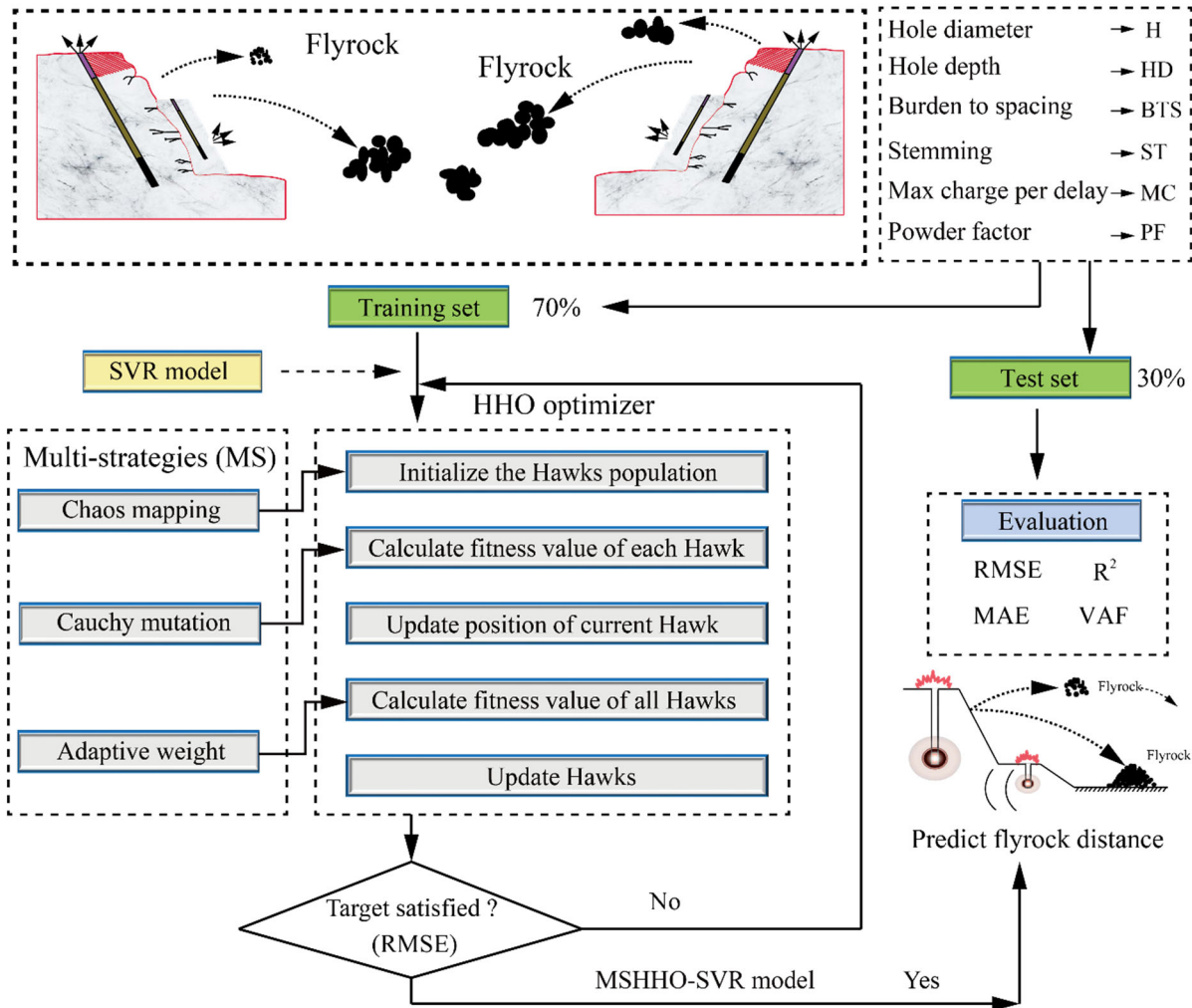
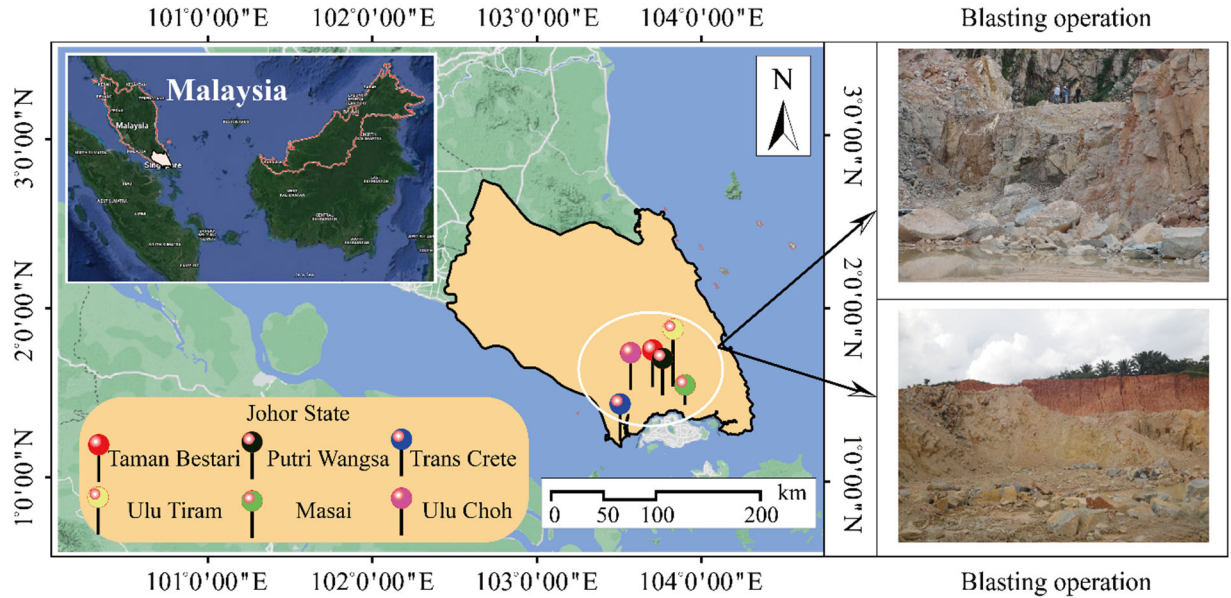


Fig. 4 The framework of FD prediction

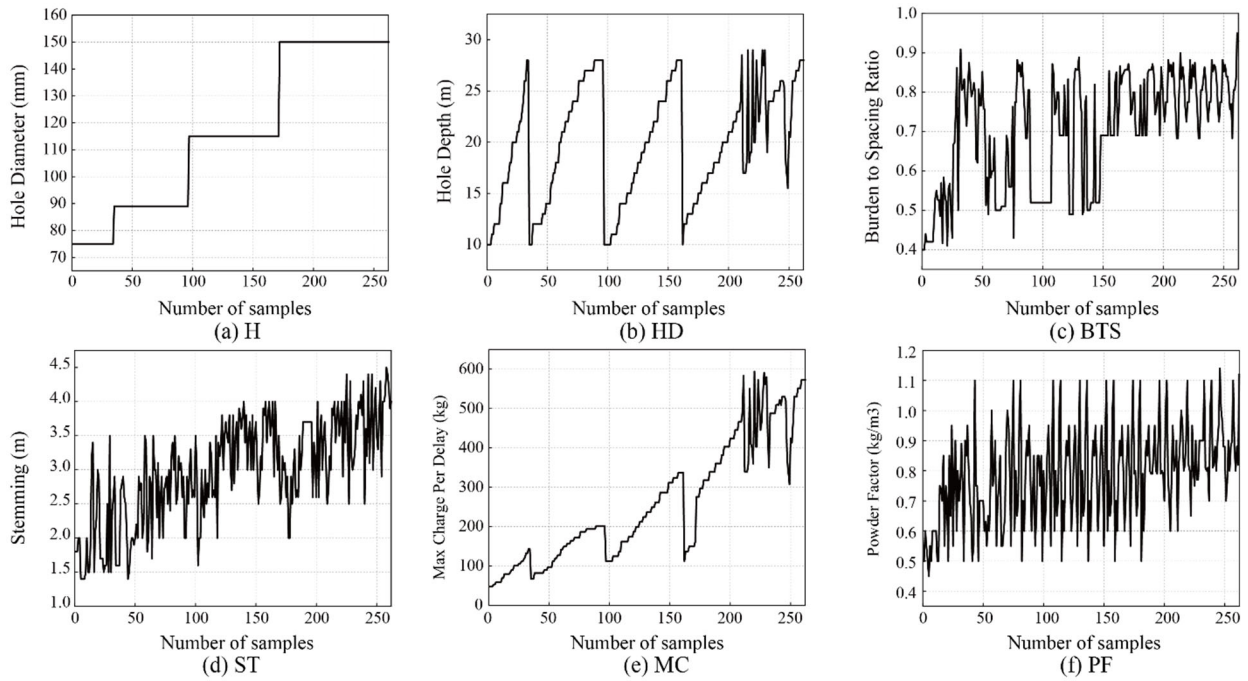
### 3. Study site and Dataset

In order to forecast the flyrock phenomenon, six open pit mines (i.e., Taman Bestari, Putri Wangsa, Trans Crete, Ulu Tiram, Masai, and Ulu Choh) were investigated in Malaysia. Their locations are shown in Fig. 5. A big data survey showed that the total amount of blasting in these mines reached 240,000 tonnes a year, with an average of 15 large-scale blasting operations carried out every month (Han et al. 2020). The blasting operation with high charge and high frequency is bound to cause a serious flyrock phenomenon (see Fig. 5). According to Table 1, different controllable and uncontrollable parameters were used as predictors in previous flyrock studies. In this study, we monitored 262 blasts and recorded six individual influence parameters, namely H, HD, BTS, ST, MC, and PF, as input parameters to predict the FD. Although uncontrollable parameters of RQD

308 and Rn were also measured, only the range values were recorded and could not be adopted in this  
 309 study. Fig. 6 shows the distribution of the input parameters.  
 310

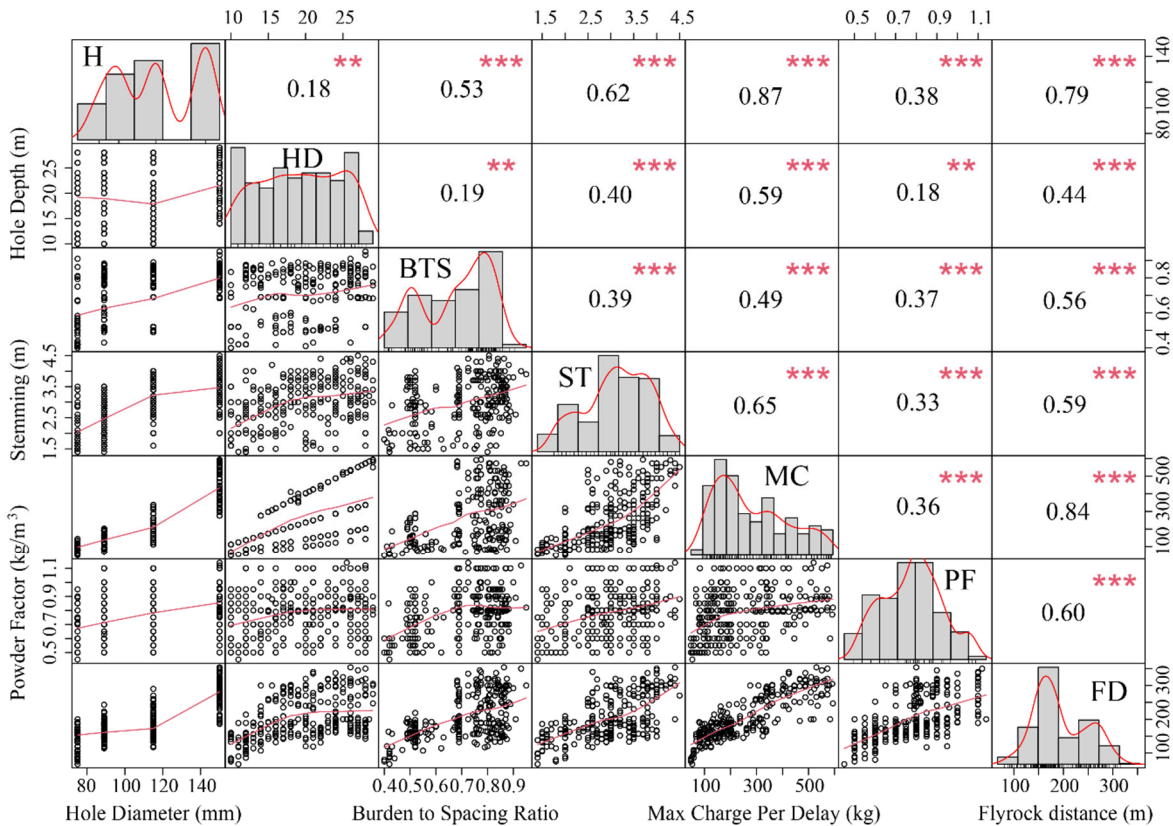


311  
 312 **Fig. 5** Locations of six open pit mines in Malaysia used for predicting the FD  
 313



314  
 315 **Fig. 6** Distribution pattern of input parameters  
 316

317 Fig. 7 displays the correlation coefficients and data distributions of the input parameters and output  
 318 parameters. The purpose of correlation analysis is to select the appropriate parameters to build the  
 319 prediction model. If two parameters that are highly correlated with each other are a burden to build  
 320 the model because their contributions to the target prediction are approximate. On the other hand,  
 321 the direct correlation coefficient (R) between an input parameter and the predicted target is large,  
 322 it indicates that the input parameter has a key influence on whether the target can be accurately  
 323 predicted. As shown in this picture, the values of R between input parameters are low, and each  
 324 input parameter has a good linear relationship with the FD. Therefore, the six parameters selected  
 325 can be used to build the prediction model.  
 326



327  
 328 **Fig. 7** Correlations between input and output parameters  
 329

#### 330 4. Model evaluation

331 To evaluate the reliability and accuracy of the proposed model, as well as three other ML models  
 332 and an empirical formula for predicting the FD, it is necessary to apply statistical indices to  
 333 quantify their predictive performance. RMSE,  $R^2$ , mean absolute error (MAE), and variance



334 accounted for (VAF) are widely utilized as performance indices in model evaluation, as reported  
 335 in several published studies (Hasanipanah et al. 2015; Armaghani et al. 2021; Li et al. 2022c;  
 336 Murlidhar et al. 2021; Jamei et al. 2021; Ikram et al. 2022a, 2022b, 2023b; Du et al. 2022; Dai et  
 337 al. 2022; Mikaeil et al. 2022). These aforementioned indices are defined in equations (19) to (22).

$$338 \quad \text{RMSE} = \sqrt{\frac{1}{U} \sum_{u=1}^U (FD_{o,u} - FD_{p,u})^2} \quad (19)$$

$$339 \quad R^2 = 1 - \frac{\left[ \sum_{u=1}^U (FD_{o,u} - FD_{p,u}) \right]^2}{\left[ \sum_{u=1}^U (FD_{o,u} - \overline{FD_o}) \right]^2} \quad (20)$$

$$340 \quad \text{MAE} = \frac{1}{U} \sum_{u=1}^U |FD_{o,u} - FD_{p,u}| \quad (21)$$

$$341 \quad \text{VAF} = \left[ 1 - \frac{\text{var}(FD_{o,u} - FD_{p,u})}{\text{var}(FD_{o,u})} \right] \times 100\% \quad (22)$$

342 where  $U$  represents the number of used samples in the training or testing phase.  $FD_{o,u}$  and  $\overline{FD_o}$   
 343 indicate observed FD value of the  $u$ -th sample and mean of observed FD values, respectively.  
 344  $FD_{p,u}$  indicates the predicted FD value of the  $u$ -th sample.

345

## 346 **5. Developing the models for predicting FD**

347 In this study, an enhanced HHO algorithm with multi-strategies was employed to select the  
 348 hyperparameters of SVR model for predicting the FD. The other five different models, i.e., HHO-  
 349 SVR, ELM, KELM, BPNN, and empirical formula, have also been considered and compare the  
 350 predictive performance with the proposed MSHHO-SVR model. The procedures for model  
 351 development and assessment are described in the following sections.

### 352 **5.1 Evaluation performance of MSHHO model**

353 As previously mentioned in Section 2.3, the logistic mapping of chaotic sequences is used to  
 354 initialize the population of HHO for increasing swarm diversity, the Cauchy mutation is utilized  
 355 to expand the search space and improve the global search capability (i.e., exploration) of HHO,  
 356 and the local optimization capability (i.e., exploitation) is improved by assigning the adaptive  
 357 weight strategy. Three MSHHO algorithms are generated by using the aforementioned strategies,

358 namely, HHO-Logistic mapping (HHO-Log), HHO-Cauchy mutation and adaptive weight  
 359 (MHHO), and MHHO-Log. To compare the performance of MSHHO algorithms with the initial  
 360 HHO, six benchmark functions consisting of three unimodal functions and three multimodal  
 361 functions are used to obtain the objective function values as shown in Table 2. The performance  
 362 of different algorithms can be demonstrated by the average (Aver) and standard deviation (St. D)  
 363 values of their objective functions. To balance out the interference of other conditions, the  
 364 dimension and iteration time are set as 30 and 200 in each algorithm. Besides, the initial population  
 365 is given three values (25, 50 and 75) to increase the complexity and reliability of the verification.  
 366 The results of performance evaluation for all algorithms are shown in Table 3. As can be seen in  
 367 this table, all enhanced HHO algorithms obtained better performance than the unchanged HHO  
 368 algorithm by resulting in lower values of Aver and St. D of objective functions, especially for the  
 369 MHHO-Log algorithm. It can be noted that each algorithm has the best performance with a  
 370 population of 50 in different functions. Fig. 8 and Fig. 9 reflect the dynamic convergence  
 371 performance of all algorithms based on the unimodal and multimodal benchmark functions during  
 372 200 iterations, respectively. It is obvious that the MHHO-Log has the lowest values of objective  
 373 function in F<sub>6</sub> when the population is 50. Furthermore, the performance of all MSHHO algorithms  
 374 has been improved to be superior to HHO by adjusting the population, the capability of global  
 375 search and local optimization.

376

377 **Table 2** Benchmark functions adopted in this study

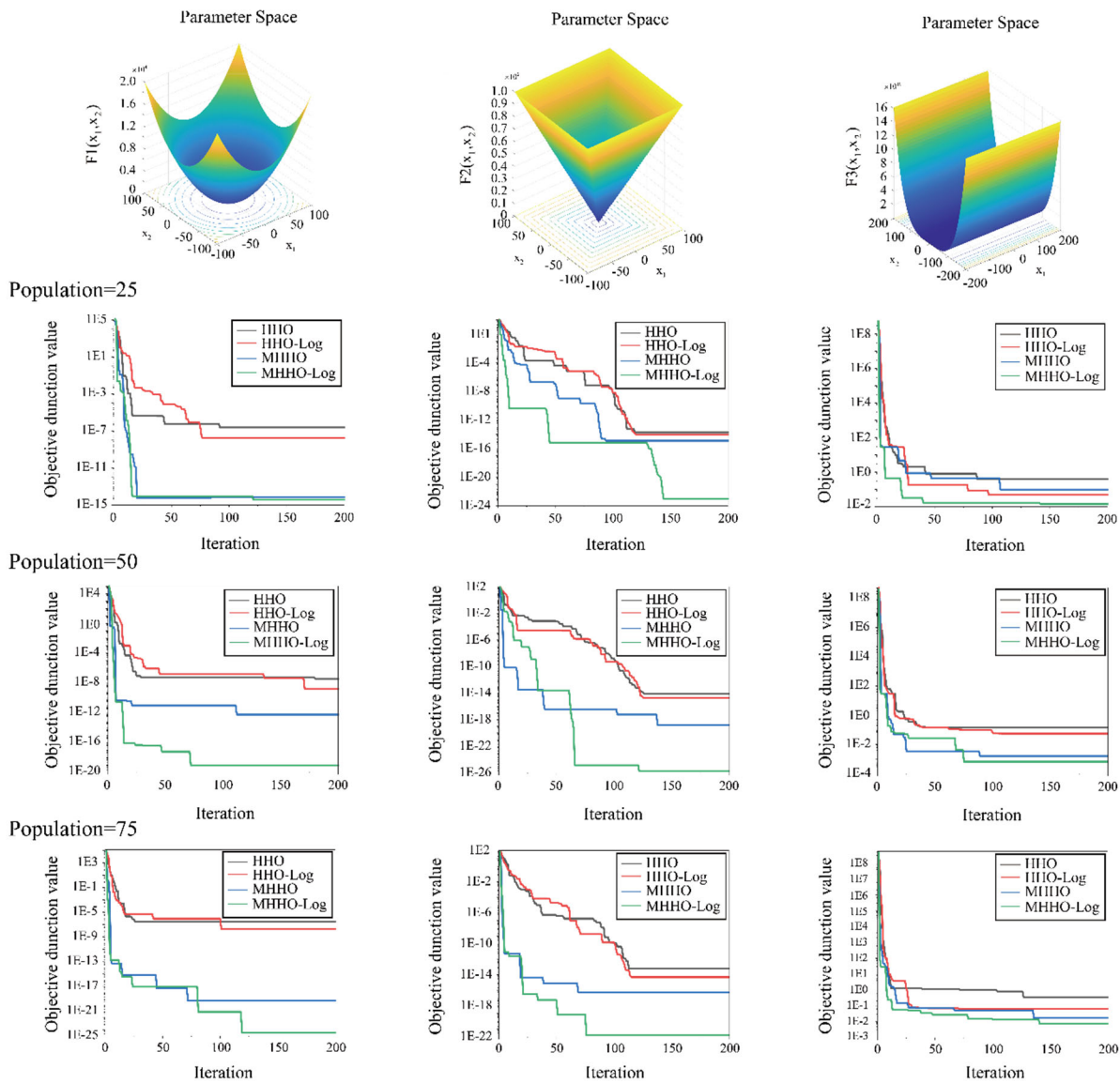
| Type       | Function Name   | Function description  | Initial range |
|------------|-----------------|---|---------------|
| Unimodal   | Sphere          | $F_1 = \sum_{i=1}^d x_i^2$  | [-100, 100]   |
| Unimodal   | Noise           | $F_2 = \sum_{i=1}^d ix_i^4 + random[0,1)$   | [-1.28, 1.28] |
| Unimodal   | Rosenbrock      | $F_3 = \sum_{i=1}^{d-1} [100(x_{i+1} - x_i^2)^2 + (x_i^2 - 1)^2]$                                   | [-30, 30]     |
| Multimodal | Schwefel's 2.26 | $F_4 = \sum_{i=1}^d -x_i \sin(\sqrt{ x_i })$  | [-500, 500]   |
| Multimodal | Rastrigin       | $F_5 = \sum_{i=1}^d [x_i^2 - 10 \cos(2\pi x_i) + 10]$   | [-5.12, 5.12] |
| Multimodal | Griewank        | $F_6 = \frac{1}{4000} \sum_{i=1}^d x_i^2 - \prod_{i=1}^d \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$ | [-600, 600]   |

**Table 3** Results of six testing bench functions with different HHO algorithms

| Algorithms | Pop | F <sub>1</sub> |         | F <sub>2</sub> |             | F <sub>3</sub> |       |
|------------|-----|----------------|---------|----------------|-------------|----------------|-------|
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 25  | 958.30         | 9819.90 | 3147268.49     | 37724594.73 | 1.25           | 8.95  |
| HHO-Log    | 25  | 884.88         | 9062.11 | 2664563.15     | 37682586.34 | 1.25           | 8.91  |
| MHHO       | 25  | 553.68         | 7830.15 | 1660512.01     | 21134739.95 | 0.70           | 6.34  |
| MHHO-Log   | 25  | 543.57         | 5503.58 | 1082928.33     | 14059789.16 | 0.50           | 6.89  |
| Algorithms | Pop | F <sub>4</sub> |         | F <sub>5</sub> |             | F <sub>6</sub> |       |
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 25  | -11868.84      | 1873.87 | 10.12          | 62.45       | 7.86           | 77.15 |
| HHO-Log    | 25  | -12035.37      | 1813.46 | 6.65           | 47.89       | 5.26           | 68.42 |
| MHHO       | 25  | -12082.34      | 1801.08 | 4.63           | 46.13       | 4.03           | 43.36 |
| MHHO-Log   | 25  | -12297.91      | 1319.68 | 4.42           | 36.54       | 3.35           | 38.24 |
| Algorithms | Pop | F <sub>1</sub> |         | F <sub>2</sub> |             | F <sub>3</sub> |       |
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 50  | 685.80         | 7988.11 | 2613611.52     | 34632259.44 | 0.99           | 7.70  |
| HHO-Log    | 50  | 553.68         | 7830.15 | 1983466.58     | 28050439.55 | 0.76           | 7.67  |
| MHHO       | 50  | 390.24         | 4523.87 | 1444017.08     | 19812892.09 | 0.48           | 6.75  |
| MHHO-Log   | 50  | 319.37         | 4516.29 | 1074024.53     | 15186980.00 | 0.40           | 5.68  |
| Algorithms | Pop | F <sub>4</sub> |         | F <sub>5</sub> |             | F <sub>6</sub> |       |
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 50  | -11942.22      | 1928.83 | 9.68           | 57.17       | 2.95           | 41.64 |
| HHO-Log    | 50  | -12315.82      | 1179.94 | 4.62           | 43.61       | 0.29           | 2.03  |
| MHHO       | 50  | -12305.10      | 1184.75 | 4.49           | 35.62       | 0.42           | 2.34  |
| MHHO-Log   | 50  | -12362.58      | 1174.31 | 3.20           | 33.85       | 0.11           | 1.49  |
| Algorithms | Pop | F <sub>1</sub> |         | F <sub>2</sub> |             | F <sub>3</sub> |       |
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 75  | 740.03         | 8283.89 | 2856687.80     | 35933361.47 | 1.16           | 8.42  |
| HHO-Log    | 75  | 633.58         | 7566.86 | 2289411.71     | 32377154.01 | 0.96           | 8.26  |
| MHHO       | 75  | 452.39         | 4858.62 | 1898283.72     | 21744332.20 | 0.65           | 5.69  |

| MHHO-Log   | 75  | 353.31         | 4996.37 | 1244797.51     | 15878824.11 | 0.44           | 6.09  |
|------------|-----|----------------|---------|----------------|-------------|----------------|-------|
| Algorithms | Pop | F <sub>4</sub> |         | F <sub>5</sub> |             | F <sub>6</sub> |       |
|            |     | Aver           | St. D   | Aver           | St. D       | Aver           | St. D |
| HHO        | 75  | -11910.08      | 1801.17 | 14.33          | 49.16       | 6.19           | 70.05 |
| HHO-Log    | 75  | -12046.67      | 1314.03 | 8.45           | 48.63       | 4.06           | 47.07 |
| MHHO       | 75  | -11986.09      | 1650.95 | 6.70           | 47.96       | 4.74           | 67.08 |
| MHHO-Log   | 75  | -12431.06      | 1107.52 | 6.63           | 38.11       | 2.87           | 34.54 |

379

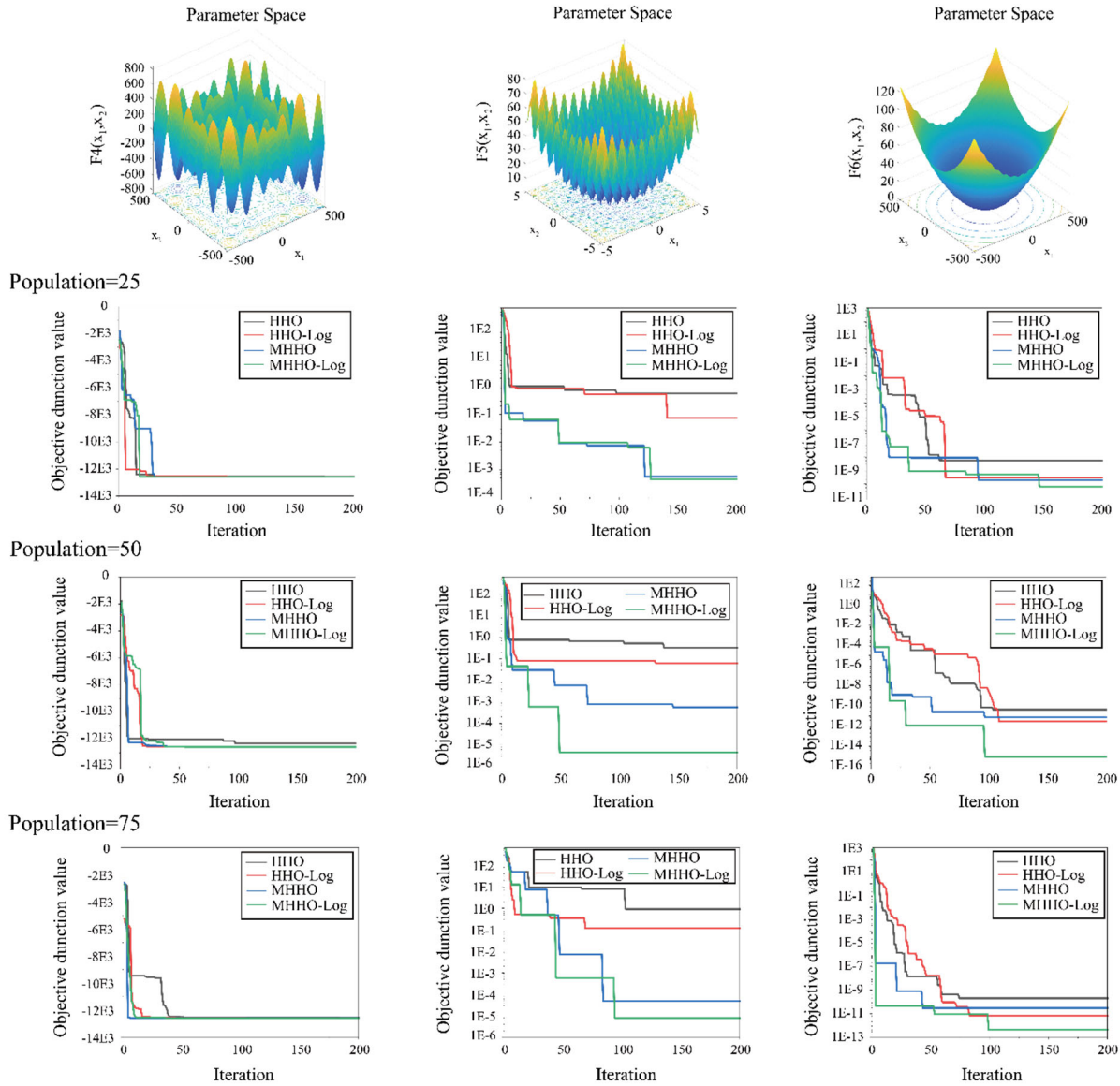


380

381

**Fig. 8** Comparisons between HHO and MSHHO by using the unimodal benchmark functions

382



383

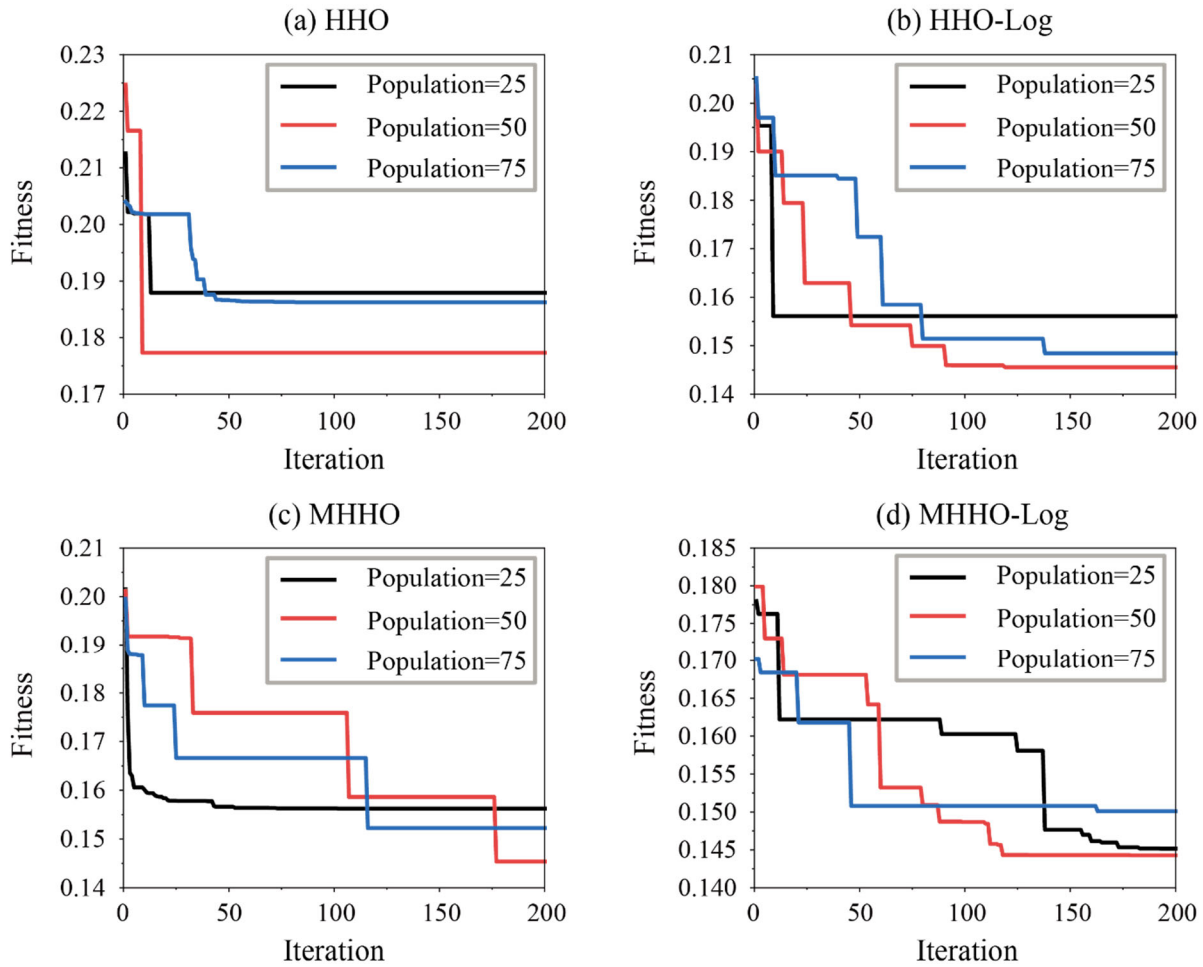
384 **Fig. 9** Comparisons between HHO and MSHHO by using the multimodal benchmark functions

385

386 **5.2 Development of MSHHO- SVR model**

387 After verifying the performance of all MSHHO algorithms, a series of hybrid models combing  
 388 MSHHO algorithms and SVR can be developed to search the optimized hyperparameters for  
 389 predicting the FD. To confirm the optimization performance of MSHHO, the populations are also  
 390 set equal to 25, 50 and 75 in 200 iterations, respectively. Fig. 10 displays the iteration curves of  
 391 all hybrid models with the different populations. The lowest fitness value of each hybrid SVR  
 392 model is obtained in the population of 50, the same as the aforementioned results in Section 5.1.

393 In particular, the MHHO-Log-SVR model with 50 populations has the best performance by means  
 394 of the lowest value of fitness among all models. The rest of the results of the minimum values of  
 395 fitness are written in Table 4. Therefore, the MHHO-Log-SVR model is considered as the optimal  
 396 MSHHO model for forecasting the FD, namely the MSHHO-SVR model.  
 397



398

399

400

401

**Fig. 10** Development results of HHO-SVR and MSHHO-SVR models

**Table 4** Statistical analysis of fitness of all hybrid models with different populations

| Models  | Minimum fitness |               |               |
|---------|-----------------|---------------|---------------|
|         | Population=25   | Population=50 | Population=75 |
| HHO     | 0.1879          | 0.1773        | 0.1862        |
| HHO-Log | 0.1561          | 0.1456        | 0.1484        |

|                 |               |               |               |
|-----------------|---------------|---------------|---------------|
| MHHO            | 0.1562        | 0.1454        | 0.1522        |
| <b>MHHO-Log</b> | <b>0.1452</b> | <b>0.1443</b> | <b>0.1501</b> |

402

### 403 5.3 Development of ELM model

404 The ELM model's development solely depends on the number of neurons present in a single hidden  
405 layer (Li et al. 2022a, 2022b). In order to obtain the most accurate ELM model for estimating the  
406 FD, seven models were constructed using varying number of neurons ranging from 20 to 200.  $R^2$   
407 was utilized to evaluate the predictive ability of these models. The results of the seven models  
408 during both the training and testing phases have been reported in Table 5. The results indicated  
409 that increasing the number of neurons in the training phase results in an increased value of  $R^2$ .  
410 However, the 3<sup>rd</sup> ELM model achieved the highest  $R^2$  value (0.8173) using the test data with 80  
411 neurons in a hidden layer. Accordingly, the final ELM model with 80 neurons in a hidden layer  
412 can be employed to predict the FD in this study.

413

414 **Table 5** Performance evaluation of ELM models with different number of neurons

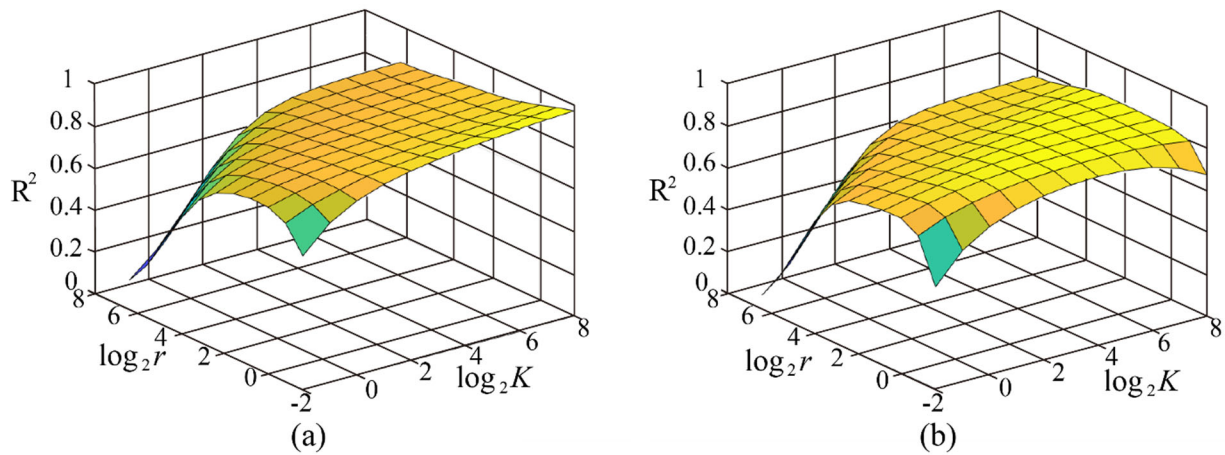
| Models No. | Neurons   | $R^2$          |               |
|------------|-----------|----------------|---------------|
|            |           | Training phase | Testing phase |
| 1          | 20        | 0.4188         | 0.2988        |
| 2          | 50        | 0.7861         | 0.6946        |
| <b>3</b>   | <b>80</b> | <b>0.8197</b>  | <b>0.8173</b> |
| 4          | 110       | 0.8812         | 0.6578        |
| 5          | 140       | 0.8810         | 0.5002        |
| 6          | 170       | 0.9051         | 0.4468        |
| 7          | 200       | 0.9162         | 0.5541        |

415

### 416 5.4 Development of KELM model

417 KELM model eliminates the need for selecting and determining the number of neurons in the  
418 hidden layer, instead relying on kernel function (such as the RBF) parameters to optimize the  
419 performance of the ELM model (Huang et al. 2011). Similar to the SVR model, the range of  
420 regularization coefficient ( $K$ ) and  $\gamma$  of KELM model must be manually defined. Zhu et al. (2018)  
421 used a range of  $2^{-20}$  to  $2^{20}$  for  $K$  and  $\gamma$ . Baliarsingh et al. (2019) considered the  $K$  and  $\gamma$  in the

422 range of  $2^{-8}$  to  $2^8$  to solve their problem. Therefore, the variation range of hyperparameters of  
 423 KELM model is considered as  $2^{-2}$ ,  $2^{-1}$ , ...,  $2^7$ ,  $2^8$  to predict the FD. The development results of  
 424 KELM models in the training and testing phases are shown in Fig. 11. As can be shown in Fig.  
 425 11a, the  $R^2$  has a positive relationship with  $K$  in any values of  $\gamma$ . However, if  $K$  is smaller than  $2^1$ ,  
 426 the  $R^2$  increases first and then decreases as  $\gamma$  increases, and the turning point is when  $\gamma=2^1$ .  
 427 However, the highest value of  $R^2$  is obtained in the testing phase when  $K$  is  $2^4$  and  $\gamma$  is  $2^{-1}$ . As can  
 428 be realized, the best hyperparameters of KELM model are  $2^4$  ( $K$ ) and  $2^{-1}$  ( $\gamma$ ) for predicting the FD.  
 429



430

431

**Fig. 11** Development of KELM model: (a) training phase; (b) testing phase

432

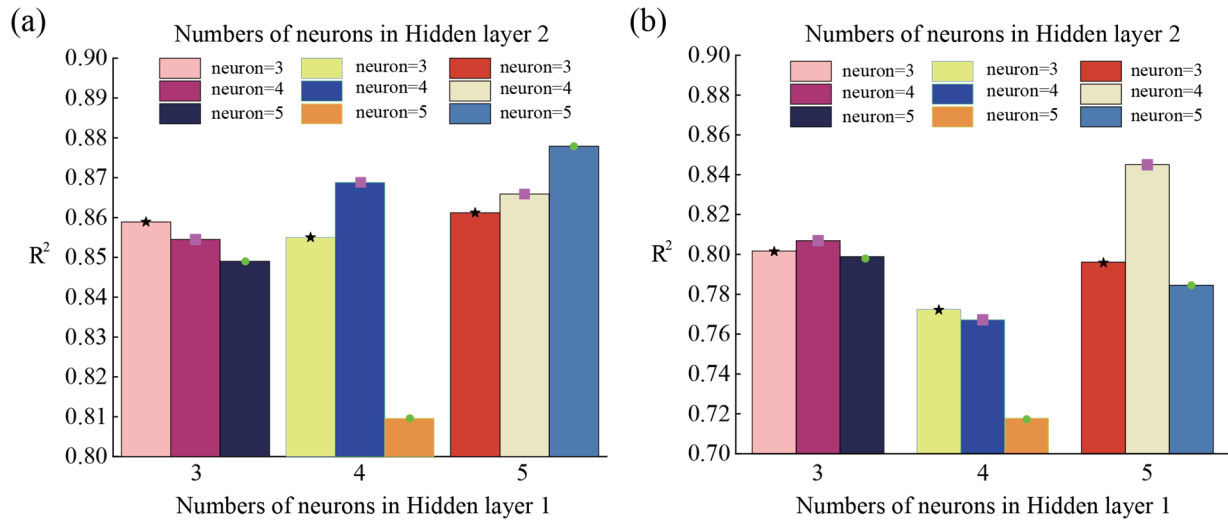
### 433 5.5 Development of BPNN model

434 BPNN model was devised with the purpose of minimizing predictive errors through the application  
 435 of back-propagation to regulate the weights and biases of the neural network. This technique has  
 436 gained widespread usage in addressing a range of engineering problems (Li et al. 2021a). The  
 437 BPNN is also a typical multilayer neural network with input, hidden, and output layers. To develop  
 438 a BPNN model, the numbers of hidden and neurons are the major concerns. Although a better  
 439 performing BPNN model has more hidden layers and neurons, it may result in overfitting and  
 440 increase unnecessary computation time (Yari et al. 2016). Several formulas can be used to calculate  
 441 the neurons of hidden layers (Han et al. 2018). The values of  $R^2$  are used to describe the BPNN  
 442 performance in the training and testing phases, as shown in Fig. 12a and 12b, respectively.  
 443 Ultimately, the neural network model with a configuration of 6-5-4-1 (i.e., 6 neurons in the input  
 444 layer, 5 neurons in the first hidden layer, 4 neurons in the second hidden layer, and 1 neuron in the



445 output layer) achieved the highest  $R^2$  value in the testing phase. This model was determined to be  
 446 the most optimal BPNN model for predicting the FD in this study.

447



448

449 **Fig. 12** Performance of the BPNN model: (a) training phase; (b) testing phase

449

450

## 451 5.6 Development of Empirical equation

452 There are many empirical formulas for predicting the FD by using blast design parameters  
 453 (Lundborg et al. 1975; Roth 1979; Gupta 1980; Olofsson 1990). Nevertheless, the accuracy of  
 454 empirical models is extremely dependent on input parameters (Richards and Moore 2004; Little  
 455 2007; Ghasemi et al. 2012; Trivedi et al. 2014). Therefore, a multiple linear regression formula  
 456 was established as shown in Eq. (22), which describes the relationship between the considered six  
 457 controllable parameters and FD.

$$458 \quad D_{flyrock} = 0.39 \times H + 0.44 \times HD + 46.4 \times BTS - 0.27 \times ST + 0.21 \times MC + 121.65 \times PF - 31.6 \quad (22)$$

459 where  $D_{flyrock}$  represents the FD.

460

## 461 6. Results and Discussion

462 After obtaining the ideal hyperparameters of all models, each model was run based on the same  
 463 database and their prediction performances were evaluated by RMSE,  $R^2$ , MAE and VAF. Table  
 464 6 presents the performance comparison results of the proposed model and other five models in the  
 465 training phase. It can be seen intuitively that the performance indices of SVR models optimized  
 466 by HHO and MSHHO are obviously superior to other models. The best and worst models are the

467 MSHHO-SVR model and the ELM model, with RMSE of 12.2822 and 28.3539,  $R^2$  of 0.9662 and  
 468 0.8197, MAE of 8.5034 and 21.6415, and VAF of 96.6161 % and 81.965 %, respectively.  
 469 Following the MSHHO-SVR model, other models, including the HHO-SVR model, KELM model,  
 470 BPNN model, and empirical equation, exhibited favorable performance based on the  
 471 aforementioned evaluation metrics for predicting the FD.

472

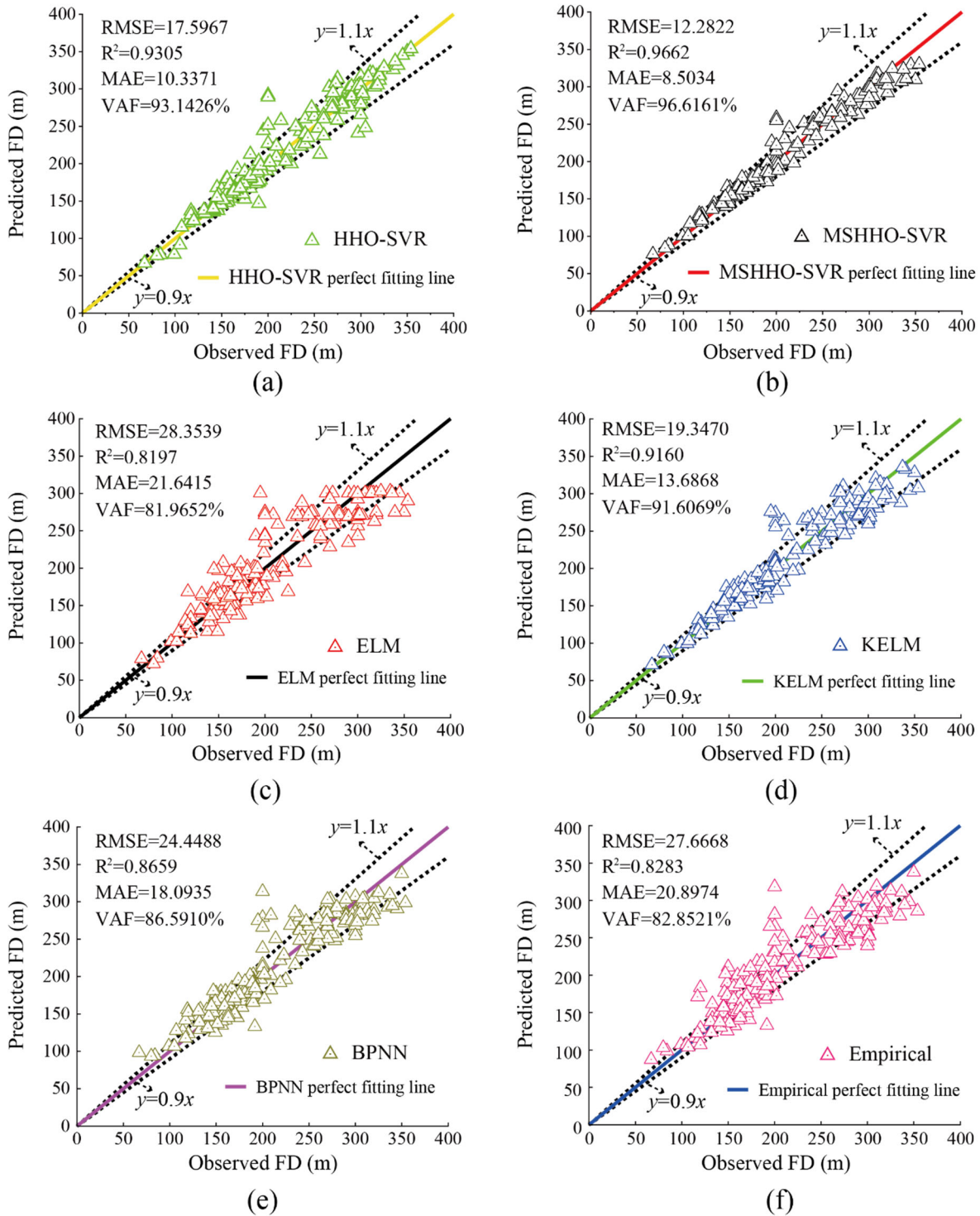
473 **Table 6** Comparison of the performance of models (in the training phase)

| Models           | Performance    |               |               |                |
|------------------|----------------|---------------|---------------|----------------|
|                  | RMSE           | $R^2$         | MAE           | VAF (%)        |
| HHO-SVR          | 17.5967        | 0.9305        | 10.3371       | 93.1426        |
| <b>MSHHO-SVR</b> | <b>12.2822</b> | <b>0.9662</b> | <b>8.5034</b> | <b>96.6161</b> |
| ELM              | 28.3539        | 0.8197        | 21.6415       | 81.9652        |
| KELM             | 19.3470        | 0.9160        | 13.6868       | 91.6069        |
| BPNN             | 24.4488        | 0.8659        | 18.0935       | 86.5910        |
| Empirical        | 27.6668        | 0.8283        | 20.8974       | 82.8521        |

474

475 The regression diagrams were used to evaluate the performance of the six models in the training  
 476 phase as shown in Fig. 13. The horizontal axis represents the observed FD values, while the  
 477 predicted values are listed on the vertical axis. Each diagram includes a line at  $45^\circ$ , which is colored  
 478 differently for each model (black, red, green, yellow, purple, and blue). The points on these lines  
 479 indicate that the error between the predicted and the observed values is zero. A greater number of  
 480 points on or close to the line of  $45^\circ$  indicates that the model has better predictive accuracy.  
 481 Meanwhile, the dotted lines with the equation of  $y=1.1x$  and  $y=0.9x$  were set as the prediction  
 482 boundaries, and those points outside these boundaries have the lowest performance. As can be  
 483 seen in this picture, the predicted values by MSHHO-SVR model are more concentrated on the  
 484 color line of 45, followed by HHO-SVR model, KELM model, BPNN model, empirical and ELM  
 485 model. Meanwhile, it can be seen that the MSHHO-SVR model has better performance indices  
 486 than other models.

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**Fig. 13** Regression diagrams of all models using the training set: (a) HHO-SVR; (b) MSHHO-SVR; (c) ELM; (d) KELM (e) BPNN; (f) Empirical

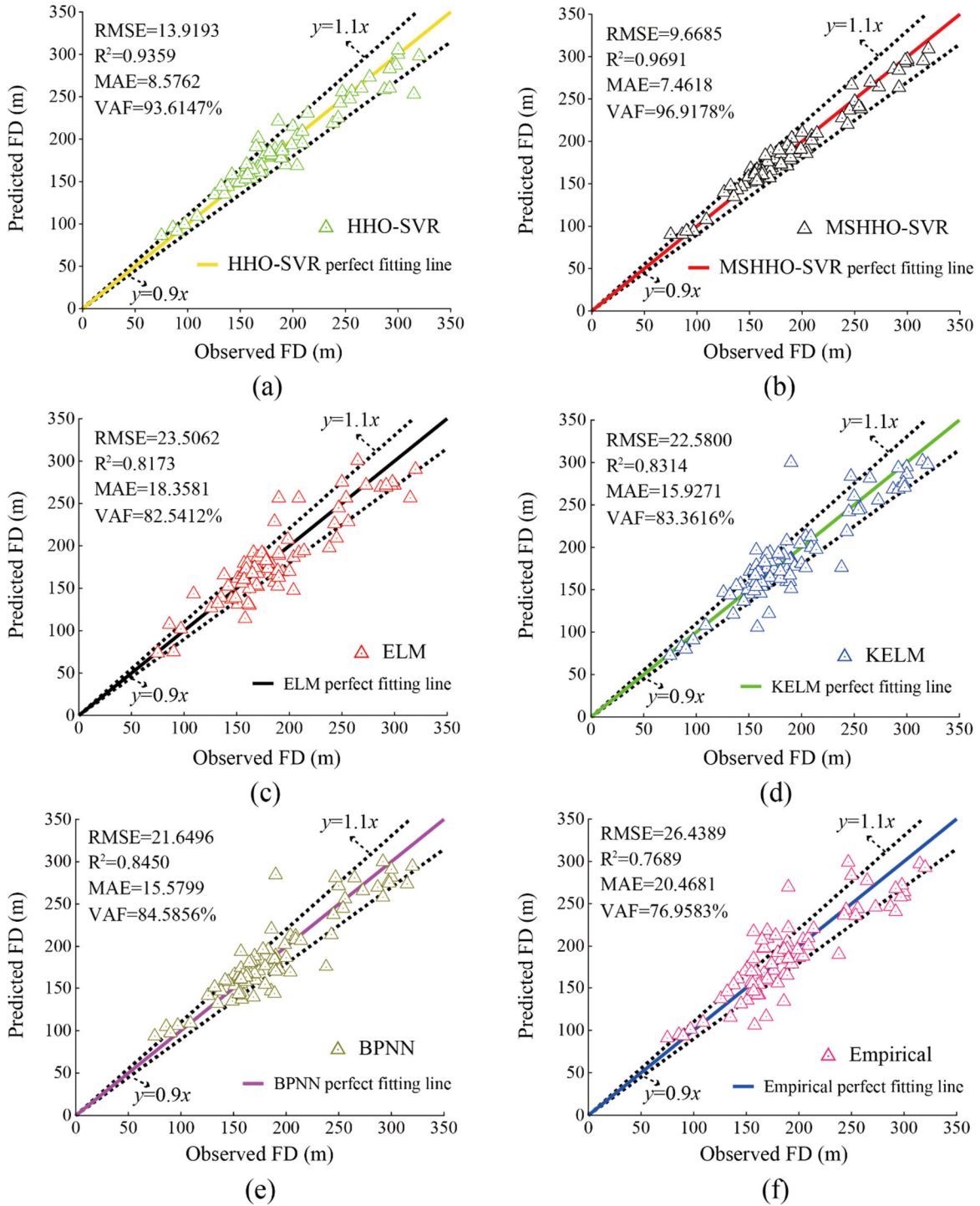
492 It is worth noting that a model that performs well in the training phase cannot be directly applied  
 493 to predict the FD. In order to verify their efficacy, the proposed model, along with five others,  
 494 should undergo validation using the test set. It is important to note that the models may not  
 495 necessarily reproduce the same luminous results in the testing phase. Table 7 displays the results  
 496 of the four performance indices generated by all the models. The MSHHO-SVR model emerges  
 497 as the most effective among them, yielding the highest values of  $R^2$  value (0.9691) and VAF  
 498 (96.9178%), as well as the lowest values of RMSE (9.6685) and MAE (7.4618). Conversely, the  
 499 empirical model displays poor prediction accuracy with an RMSE value of 26.4389,  $R^2$  value of  
 500 0.7689, MAE value of 20.4681, and VAF value of 76.9583%. Furthermore, the empirical equation  
 501 also generates predictive values that deviate significantly from the 45° color line. Conversely, the  
 502 MSHHO-SVR model's prediction performance is the most superior, as demonstrated in Fig. 14,  
 503 where all the predicted values fall within the prediction boundary and are positioned closer to the  
 504 45° color line. The HHO-SVR model, followed by the BPNN model and the KELM model,  
 505 perform less effectively than the MSHHO-SVR model in the FD prediction.

506

507 **Table 7** Comparison of the performance of models (in the testing phase)

| Models           | Performance   |               |               |                |
|------------------|---------------|---------------|---------------|----------------|
|                  | RMSE          | $R^2$         | MAE           | VAF (%)        |
| HHO-SVR          | 13.9193       | 0.9359        | 8.5762        | 93.6147        |
| <b>MSHHO-SVR</b> | <b>9.6685</b> | <b>0.9691</b> | <b>7.4618</b> | <b>96.9178</b> |
| ELM              | 23.5062       | 0.8173        | 18.3581       | 82.5412        |
| KELM             | 22.5800       | 0.8314        | 15.9271       | 83.3616        |
| BPNN             | 21.6496       | 0.8450        | 15.5799       | 84.5856        |
| Empirical        | 26.4389       | 0.7689        | 20.4681       | 76.9583        |

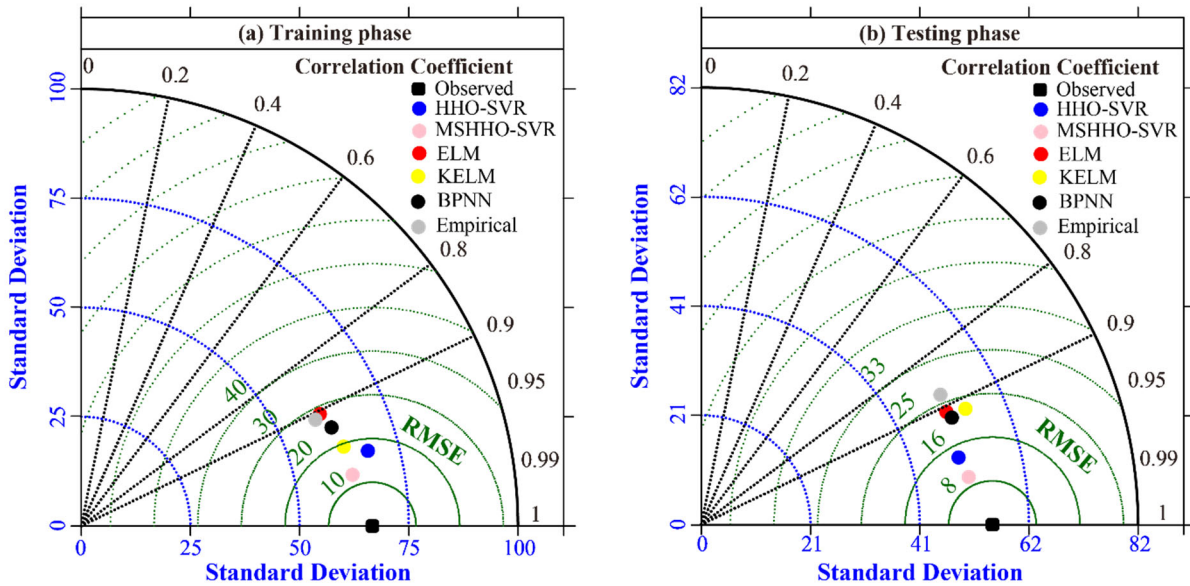
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**Fig. 14** Regression diagrams of all models using the test set: (a) HHO-SVR; (b) MSHHO-SVR; (c) ELM; (d) KELM (e) BPNN; (f) Empirical

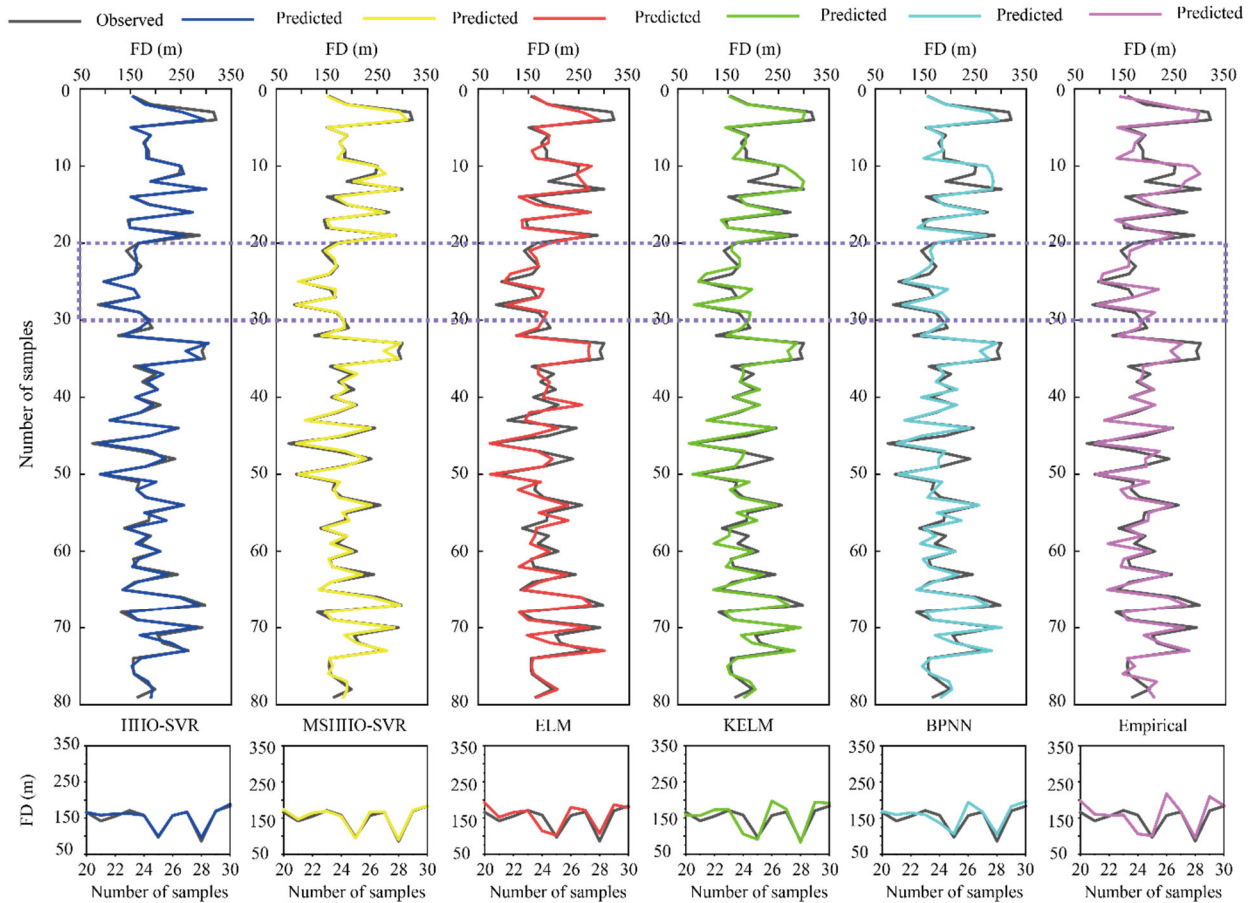
513 Fig. 15 presents the graphical Taylor diagrams that comprehensively compare the predictive  
 514 performance of all models in both the training and testing phases. The horizontal and vertical axes  
 515 represent St. D of predicted values based various models, which are draw by blue circular lines.  
 516 The green circles in these diagrams represent the RMSE of different models, and the black line  
 517 from the origin (0, 0) to the outermost circle shows the R in the range of 0 to 1. In the Taylor  
 518 diagrams, the RMSE and R of observed value are set by default to 0 and 1, respectively. The St.  
 519 D values can be calculated from the raw data of the training and test sets. Then, the positions of  
 520 all models can be determined according to the values of St. D, RMSE, and R from the respective  
 521 prediction results. Accordingly, the best model has a less movement to the observed value than  
 522 any other model. As can be seen in these diagrams, the MSHHO-SVR model is certainly closer to  
 523 the observed value in both the training and testing phases, which indicates the best model is the  
 524 MSHHO-SVR model for predicting the FD.  
 525



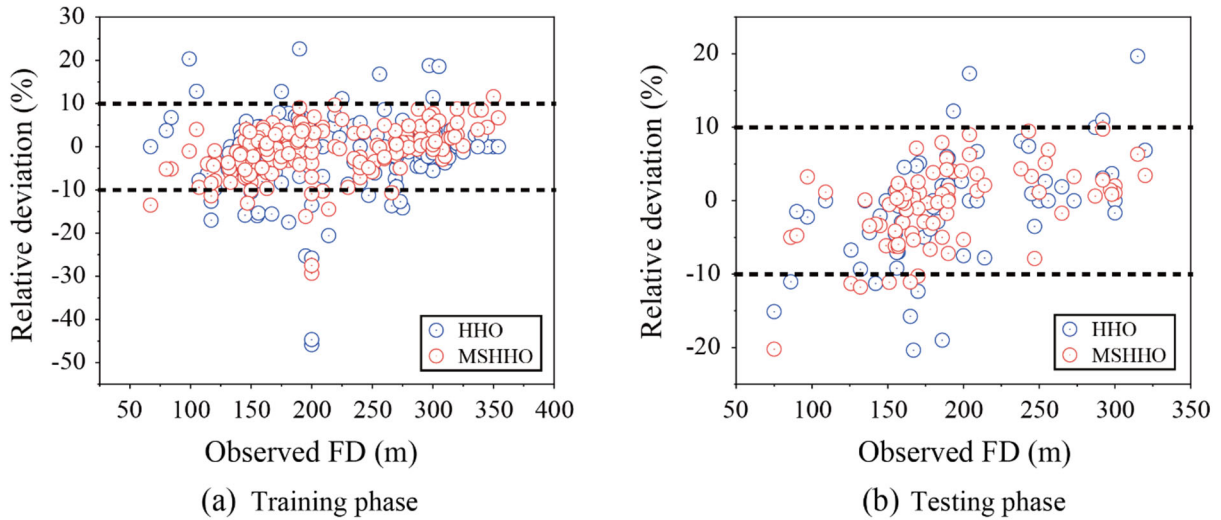
526  
 527 **Fig. 15** Graphical Taylor diagrams for comparison of all models  
 528

529 Fig. 16 illustrates the curves of both observed and predicted FD using the test set, enabling a  
 530 detailed assessment of the predictive performance of the six models. On the whole, there is little  
 531 difference between the predicted and observed curves of all models. However, local observation  
 532 shows that the predicted values by empirical models have a large error from the observed values  
 533 of No.33-35 samples, the errors obtained by ELM, KELM, and BPNN models are almost the same

534 but obviously larger than that obtained by HHO-SVR model. Compared to the HHO-SVR model,  
 535 there is little error between the predicted and observed values of No. 20 to No. 30 samples based  
 536 on the MSHHO-SVR model, which means that the MSHHO-SVR model is more suitable for  
 537 predicting the FD than other models by means of higher prediction accuracy.  
 538



539  
 540 **Fig. 16** The curves of predicting FD in the testing phase by all models  
 541  
 542 In order to further compare prediction performance between the HHO-SVR model and the  
 543 MSHHO-SVR model, the relative deviation is defined to measure the difference in prediction  
 544 performance of the proposed models in the training and testing phases, respectively. If the relative  
 545 deviation is greater than 10% or less than -10%, the prediction is considered wrong. According to  
 546 the obtained results as shown in Fig. 17, the relative deviation of the MSHHO-SVR model is more  
 547 concentrated in [-10%, 10%] than the HHO-SVR model in both of the training and testing phases.  
 548 This is strong evidence that MSHHO can help SVR do a much better job of predicting the FD.



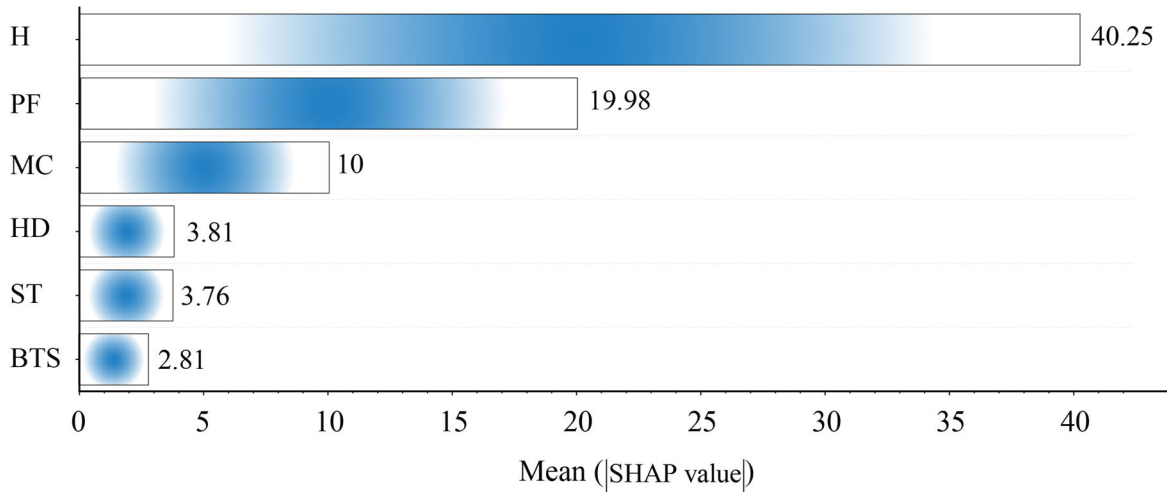
549

550 **Fig. 17** Variation of the relative deviation for evaluating the performance of HHO-SVR and  
 551 MSHHO-SVR mode

552

553 Although six controllable parameters related to the blasting design are considered as input  
 554 parameters in this study, the importance of them still needs to be checked using the MSHHO-SVR  
 555 model. The SHAP method inspired by cooperative game theories has been widely used to calculate  
 556 the parameter importance (Lundberg and Lee 2017). The result of the importance scores obtained  
 557 by mean SHAP values is shown in Fig. 18. As can be seen in this figure, the order of parameter  
 558 importance is H, PF, MC, HD, ST, and BTS with mean SHAP values of 40.25, 19.98, 10, 3.81,  
 559 3.76, and 2.81, respectively. The biggest advantage of the SHAP method is that the influence of  
 560 features can be reflected in each sample, which also shows the positive and negative influence.  
 561 Fig. 19 displays the influence of each parameter on FD prediction. In this picture, the overlap  
 562 points depict the SHAP value distribution for each parameter. The higher the positive or negative  
 563 SHAP values, the greater the impact on FD prediction. The influence results illustrate that the FD  
 564 significantly increases with H and PF. Meanwhile, all input parameters are positively correlated  
 565 with the FD.



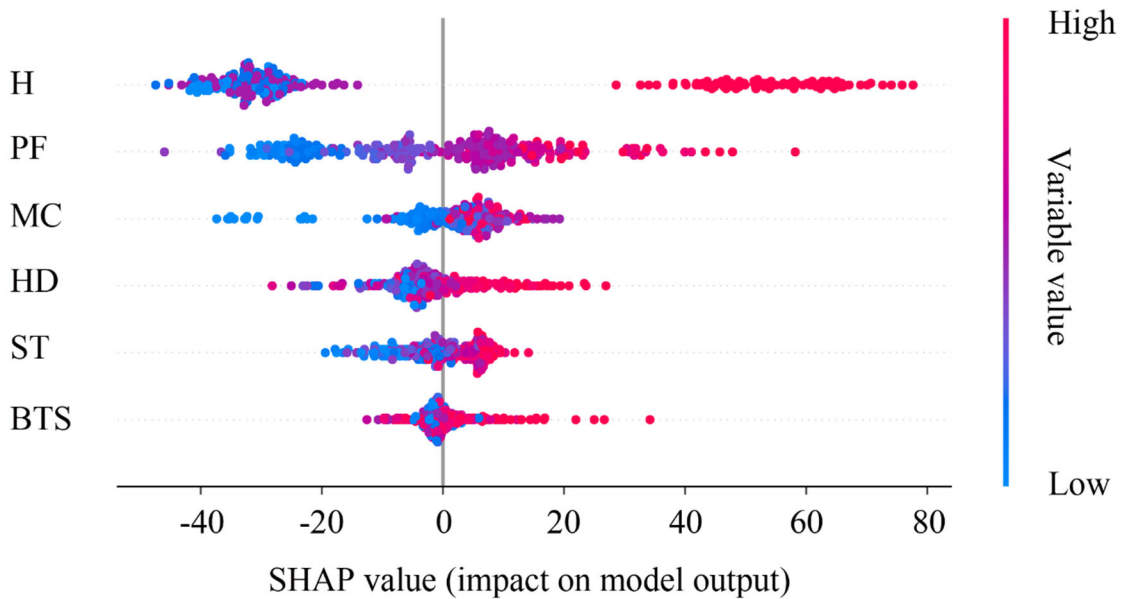


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567

**Fig. 18** Importance scores of input parameters

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570

**Fig. 19** Influence results of each parameter on FD prediction

571

572 In this study, the MSHHO-SVR model has confirmed as the effective model to predict the FD with  
 573 an excellent performance, which is similar with most of published hybrid models from 2012-2022  
 574 as shown in Table 8. It can be seen that the best model was HHO-MLP proposed by Murlidhar et  
 575 al. (2021) by means of the highest value of  $R^2$  (0.998). However, the difference in the used number  
 576 of samples in database and considered input parameters is the root cause of the difference in model

577 performance. Based on the same data set considered in this study, Ye et al. (2021) developed  
 578 genetic programming (GP) and RF models to predict the FD with good prediction accuracy of  $R^2$   
 579 are 0.908 and 0.9046, respectively; Armaghani et al. (2020) proposed a SVR model to estimate  
 580 the FD with high accuracy ( $R^2= 0.9373$ ); Murlidhar et al. (2020) used biogeography-based  
 581 optimization (BBO) to optimize the ELM model for predicting the FD, with  $R^2= 0.94$ . The current  
 582 study has yielded superior results for predicting the FD, as determined by the use of the most  
 583 effective model, the MSHHO-SVR, which yielded higher  $R^2$  values (0.9662 for the training set  
 584 and 0.9691 for the test set). Therefore, the authors are confident that the proposed MSHHO-SVR  
 585 model exhibits superior performance compared to the existing models on the same dataset.

586

587 **Table 8** Comparison of the proposed models with other hybrid models in FD prediction.

| References                  | Models   | Input                          | Data set no. | Performance            |
|-----------------------------|----------|--------------------------------|--------------|------------------------|
| Monjezi et al. (2012)       | GA-ANN   | B, S, HD, ST, SD, PF, MC, RMR  | 195          | $R^2=0.976$            |
| Armaghani et al. (2014)     | PSO-ANN  | B, PF, SD, MC, H, S, ST, N, RD | 44           | $R^2=0.930$            |
| Koopialipoor et al. (2019)  | ICA-ANN  | BTS, H, PF, MC,                | 262          | $R^2_{ICA-ANN}=0.958$  |
|                             | PSO-ANN  | HD, ST                         |              | $R^2_{ICA-ANN}=0.959$  |
|                             | GA-ANN   |                                |              | $R^2_{ICA-ANN}=0.932$  |
| Kalaivaani et al. (2020)    | PSO-RFNN | B, S, ST, MC                   | 72           | $R^2=0.933$            |
| Hasanipanah et al. (2020)   | HS-ANN   | S, B, ST, PF, r                | 82           | $R^2_{HS-ANN}=0.871$   |
|                             | PSO-ANN  |                                |              | $R^2_{PSO-ANN}=0.832$  |
|                             | ADHS-ANN |                                |              | $R^2_{ADHS-ANN}=0.929$ |
| Nikafshan Rad et al. (2020) | GA-RFNN  | S, B, ST, MC                   | 70           | $R^2=0.9667$           |
| Li et al. (2021f)           | GA-ANN   | BTS, HD, ST,                   | 113          | $R^2_{GA-ANN}=0.9466$  |
|                             | PSO-ANN  | MC, PF, RD, Rn                 |              | $R^2_{PSO-ANN}=0.9608$ |
|                             | ICA-ANN  |                                |              | $R^2_{ICA-ANN}=0.9598$ |
|                             | ABC-ANN  |                                |              | $R^2_{ABC-ANN}=0.9666$ |

|                                |           |                                    |     |  |                          |
|--------------------------------|-----------|------------------------------------|-----|--|--------------------------|
|                                |           | FA-ANN                             |     |  | $R^2_{FA-ANN}=0.9719$    |
| Murlidhar et al. (2020)        | PSO-ELM   | BTS, H, PF, ST, MC, HD             | 262 |  | $R^2_{PSO-ELM}=0.93$     |
| Murlidhar et al. (2021)        | BBO-ELM   | H, ST/B, HD, CPM, PF, GSI, RQD, WI | 152 |  | $R^2_{BBO-ELM}=0.94$     |
| Nguyen et al. (2021)           | HHO-MLP   | B, S, ST, PF, W                    | 210 |  | $R^2=0.977$              |
| Fattahi and Hasanipanah (2022) | WOA-SVM   | S, B, ST, PF, RD                   | 80  |  | $R^2_{GOA-ANFIS}=0.974$  |
| This study                     | GOA-ANFIS |                                    |     |  | $R^2_{CA-ANFIS}=0.953$   |
|                                | CA- ANFIS |                                    |     |  | $R^2_{HHO-SVR}=0.9359$   |
|                                | HHO-SVR   | BTS, H, PF, ST, MC, HD             | 262 |  | $R^2_{MSHHO-SVR}=0.9691$ |
|                                | MSHHO-SVR |                                    |     |  |                          |

588 Note:  $r$ = density of rock; RFNN-Recurrent fuzzy neural network; MLP-Multi-layer perceptron; BBO-Biogeography-  
589 based optimization; HS-Harmony search; CA-Cultural algorithm; ICA-Imperialist competitive algorithm; ACO-Ant  
590 colony optimization; ADHS-Adaptive dynamical harmony search; ABC-Artificial bee colony.

591

## 592 7. Conclusion

593 Flyrock has long been a significant safety concern in open-pit mines. This study examines a rich  
594 database from six open pit mines in Malaysia, comprising 262 blasting operations. A novel  
595 optimization model combining HHO and MS was developed to fine-tune the SVR model, named  
596 the MSHHO-SVR model. This model was compared the predictive performance with other models,  
597 including the HHO-SVR, ELM, KELM, BPNN, and empirical models for predicting the FD. Then,  
598 the main conclusions of this study are listed as follows:

599 (1) Evaluation results indicated that the MSHHO-SVR model has the highest predictive accuracy  
600 among all models, as reflected by its RMSE values of 12.2822 and 9.6685,  $R^2$  values of 0.9662  
601 and 0.9691, MAE values of 8.5034 and 7.4618, and VAF values of 96.6161% and 96.9178% in  
602 the training and testing phases, respectively.

603 (2) It is verified that multi-strategies can significantly improve the performance of the HHO  
604 algorithm for tuning the hyperparameters of the SVR model. Furthermore, the combination of  
605 MSHHO and SVR model has a superior prediction accuracy than precious developed models using  
606 the same FD database.

607 (3) The result of sensitivity analysis showed that the H is the most sensitive and the BTS is the  
608 least sensitive parameter to FD, respectively. The importance ranking of rest input parameters is

609 PF, MC, HD, and ST. Noted that all input parameters are positively correlated with the FD,  
610 especially the H and PF.

611 Although the proposed novel hybrid model is able to predict the FD with a satisfactory predictive  
612 accuracy, if the range of input parameter values extends beyond those employed in this study, the  
613 findings may be subject to bias. Therefore, it is necessary to obtain more data from field  
614 investigation and inspection to enrich the database and improve the model generalization.  
615 Furthermore, some physics rules between input parameters and the model output could be included  
616 in future flyrock studies. In this regard, predicted FD by using previous empirical formulas can be  
617 considered as model inputs. This idea might be more interesting for mining and civil engineers  
618 because they can learn more about how data is prepared and how input and output parameters are  
619 related.

620

#### 621 **Declaration of Competing Interests**

622 The authors declare that they have no known competing financial interests or personal  
623 relationships that could have appeared to influence the work reported in this paper.

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