

23 the ballast layer. Large-scale cubical triaxial tests were carried out with two different infill
24 materials (crushed basalt rockfill and recycled spent ballast) and they were subjected to varying
25 cyclic loading magnitudes and frequencies. A multi-stage cyclic loading was performed with
26 and without the inclusion of tyre cell reinforcement, whereby the cyclic loading was applied in
27 four different stages with 25,000 loading cycles in each stage. In the first two stages, the
28 frequency was increased from 10 Hz to 15 Hz for an equivalent axle load of 25 tonnes. For the
29 third stage, the axle loading was increased to 35 tonnes with a frequency of 10 Hz, which was
30 then increased to 15 Hz in the final stage. The results showed that the TCTF could reduce the
31 vertical stress transmitted to the subgrade layer as well as curtail the vertical and lateral
32 displacement of the ballast layer. The TCTF further stabilised the track without any significant
33 reduction of the resilient modulus of the overlying ballast as the loading and frequency
34 increased. Compared to a traditional track, the TCTF showed a reduction of 40.1% and 28.3%
35 in the breakage index for the crushed latite basalt and spent ballast (i.e. recycled from ballast
36 tips) infilling the tyre cells, respectively. Test results confirm that the TCTF can significantly
37 improve the overall track performance, and this could be mainly attributed to the increased
38 confining pressure provided by the tyre cell assembly, as well as due to the enhanced damping
39 properties of the rubber tyre inclusions. In addition, the concept of TCTF was tested using a
40 fully instrumented track (20m long) subjected to the passage of a 22-tonne locomotive with
41 two fully loaded carriages. The trial section was constructed within a maintenance yard for
42 heavy-haul rolling stock located in a western suburb of Sydney. Field measurements revealed
43 that, compared to the standard track, the TCTF significantly reduces stress transfer to the
44 subgrade soil. This ultimately mitigates excessive deformation and subgrade failure, making
45 TCTF a sustainable solution for soft and weak subgrade soils despite initial settlement.

46 **Keywords:** *Ballast, railway, track deformation, waste rubber tyres, field testing*

47

48

49 **Introduction**

50 Railways are a major means of transportation in many countries and as such are widely used
51 for carrying passengers and cargo. The increasing demand for efficient railway systems is
52 driven by the need for faster and more reliable transportation that also reduces operational costs
53 and the environmental impact. One key component of a rail track is the ballast layer which
54 supports the rail-sleeper assembly and helps to control the vertical stress distribution with depth
55 (Selig and Waters 1994, Indraratna et al. 2011, Powrie et al. 2019, Arulrajah et al. 2020, Luo
56 et al. 2023). Excessive degradation and deformation of the track continue to impose substantial
57 maintenance costs. Furthermore, over time ballast suffers from wear (attrition), breakage, and
58 fouling, all of which leads to poor performance and compromised track stability (Powrie et al.
59 2007, Tutumluer et al. 2013, Wong and Coop 2020).

60 One of the potential techniques to reduce ballast breakage and enhance track stability is the use
61 of artificial inclusions in the track substructure. Utilizing waste rubber tyres in track
62 substructures presents an environmentally friendly approach, mitigating landfill disposal
63 (Presti 2013), while repurposing them for a technologically advanced method of track
64 construction (Maciej 2017, Indraratna et al. 2024). It is estimated that more than 1.4 billion
65 tyres are sold each year leading to a significant increase in the amount of waste rubber
66 (Sienkiewicz et al. 2012). In Australia alone, 50 million equivalent passenger units (EPU) of
67 tyres entered the waste stream in 2009-2010 period (Brindley et al. 2012). The improper
68 disposal of waste tyres has serious consequences, including the potential spread of diseases
69 such as dengue and malaria when tyre stockpiles accumulate rainwater, and considerable air
70 pollution caused by tyre incineration (Mountjoy 2012, Riyajan et al. 2012).

71 The use of waste rubber in railway tracks has already been examined in a variety of forms
72 including: (i) Rubber crumbs - small particles of crushed rubber mixed with traditional ballast
73 material (Sol-Sánchez et al. 2015, Guo et al. 2019, Arachchige et al. 2022, Wang et al. 2022,

74 [Qi and Indraratna 2023](#), [Guo et al. 2019](#), [Koohmishi et al. 2021](#)), (ii) Under ballast mats - large
75 mats made from waste rubber that are placed beneath the ballast layer to provide additional
76 support and cushioning for the rails ([Navaratnarajah et al. 2018](#), [Qiang et al. 2023](#), [Ngo et al.](#)
77 [2024](#), [Sheng et al. 2020](#), [Zhao and Ping 2018](#)), among others, (iii) Under sleeper pads - small
78 pads made from waste rubber that are placed beneath the sleepers to improve their stability and
79 reduce vibration ([Le Pen et al. 2018](#), [Jayasuriya et al. 2019](#), [Esmaeili et al. 2020](#), [Ngamkhanong](#)
80 [and Kaewunruen 2020](#), [Moubeké et al. 2021](#)), and (iv) Neo ballast – a substitute for traditional
81 ballast aggregates made entirely from recycled rubber particles ([Fontserè et al. 2016](#), [Sol-](#)
82 [Sánchez et al. 2018](#), [Jing et al. 2019](#)). The performance of these recycled rubber components
83 was evaluated under various loading conditions in laboratory settings, confirming their
84 suitability for railway applications ([Signes et al., 2017](#); [Qi et al., 2024](#), among others).
85 Additionally, field tests were conducted to validate the feasibility and practicality of this
86 innovative and sustainable approach ([Indraratna et al., 2024](#); [Sol-Sánchez et al., 2015](#); [Luo et](#)
87 [al., 2023](#)). This eco-friendly solution not only reduces waste but also enhances the efficiency
88 and durability of rail infrastructure, representing a significant advancement towards a greener
89 and more resilient transportation network ([Indraratna et al., 2022](#); [Cai et al., 2020](#)).

90 Above studies have indicated that the use of recycled rubber can increase the overall
91 performance of railway tracks effectively. A recent technique that was invented by the authors
92 is the use of a Tyre Cell Track Foundation (TCTF); this involves removing one of the side
93 walls of a waste rubber tyre to create a hollow structure that is then filled with granular
94 materials and compacted. In recent years, these infilled tyre cells have been placed in track in
95 lieu of a traditional capping layer to provide greater confinement to the track substructure,
96 while exploiting the increased damping properties or the cushioning effect of rubber
97 ([Indraratna et al. 2017](#), [Indraratna et al. 2018](#), [Indraratna et al. 2022](#)). While the TCTF does not
98 require heavy mechanical processing like other applications of waste rubber, their use in rail

99 tracks will require geotechnical engineering analysis of the existing and proposed parameters
100 to ensure the design and construction is fit for purpose. The TCTF is still a relatively new
101 technology that requires further research to quantify its performance when subjected to
102 multistage loading.

103 Introduced initially by Indraratna et al. (2017), plate loading tests have shown that TCTF could
104 (i) improve the load bearing capacity of the track, (ii) increase the stiffness of sub-ballast by
105 about 50%, and (iii) reduce the stress transferred to the subgrade. Using large-scale testing,
106 Indraratna et al. (2018) further assessed the effectiveness of TCTF under cyclic loading (40-
107 tonne axle load at a frequency of 15 Hz for 500,000 loading cycles) with two types of infill
108 materials. These findings indicate that TCTF actively limits the lateral spreading of ballast
109 particles and reduces the permanent settlement. TCTF improves the damping properties of the
110 system, which in turns can reduce the degradation of ballast, thus enhancing track longevity.

111 In the previous study (Indraratna et al. 2018), TCTF could only be tested with a ballast
112 thickness of 150 mm due to the size limitation of the prismoidal testing chamber, where 1:1
113 scale track section could be tested to simulate the stress-strain behaviour closer to reality. Apart
114 from this, only 1 combination of loading frequency and axle load was tested, thereby the
115 broader effects of loading and frequency on the performance of tyre cell were not captured.
116 Nevertheless, it is important to note that the current study focuses on the performance of TCTF
117 with two different infill materials subjected to multistage loading and frequency conditions,
118 utilising a modified prismoidal track process simulation apparatus (1000 mm in depth; 800 mm
119 in the transverse direction; 600 mm in the longitudinal direction), with an overlying ballast
120 layer of 300mm in thickness and a subgrade thickness of 350 mm. In addition, this study
121 includes field testing to demonstrate an original conceptual design of Tyre Cell Track
122 Foundation (TCTF) subjected to actual train loading. The field data captures the role of
123 subgrade soil depth, whereas the laboratory cubical chamber is restrained by a non-

124 displacement (rigid) boundary located about 1m below the surface, thus leading to a
125 constrained vertical stress propagation compared to most field conditions.

126 **Laboratory Testing Program**

127 *Large-scale track process simulation apparatus*

128 In this study, a large-scale track process simulation apparatus designed in-house was used to
129 simulate the cyclic loading conditions in track. The dimensions of the test box are 1000 mm in
130 height, with a plan area of 800 mm x 600 mm. Exploiting double symmetry, this represents a
131 unit cell of a track [Fig. 1(a)]. The dimension of 800 mm in the transverse direction represents
132 1/3 of the Australian standard gauge with a sleeper length of 2400 mm, while the longitudinal
133 dimension of 600 mm represents the typical sleeper spacing (Indraratna et al. 2015). Compared
134 to conventional triaxial testing equipment that applies fluid pressure to control the confining
135 stress, this equipment allowed two walls perpendicular to a sleeper length to be moveable upon
136 applied loading while a specific lateral pressure can be maintained to simulated track's in-situ
137 stress conditions. The height of 1000 mm is sufficient to allow the placement of all track
138 substructure layers including a standard ballast thickness of 300 mm [Fig. 1(b)]. This test
139 apparatus also includes a control unit that operates the loading mechanism (dynamic actuator)
140 which applies the pre-desired cyclic loading to simulate the passage of a train at constant speed.
141 The cyclic load applied by the servo-hydraulic actuator [Fig. 1(a) and Fig. 1(f)] through a 100
142 mm diameter cylindrical steel ram was transmitted to the ballast layer by a rail-sleeper
143 assembly [Fig. 1(b)]. This test assembly is also equipped with various instrumentation devices
144 (e.g. settlement pegs, lateral displacement transducers, strain gauges, and pressure plates),
145 connected to a fully automated, multi-channel data acquisition system. A thin layer of Teflon
146 spray (anti friction dry PTFE lubricant) was applied on the four side walls of the testing
147 chamber before materials' placement. Based on the calibration using a pressure plate in relation

148 to applied vertical load, the pressure loss at the bottom of the ballast layer was expected to be
149 negligible.

150

151 ***Materials Tested***

152 The test materials used in this study included fresh ballast, TCTF (infill and tyre cell), subgrade
153 soil, and geotextile. The fresh ballast (latite basalt) collected from a quarry south of Sydney
154 consisted of coarse angular aggregates. The ballast was washed, sieved, and prepared according
155 to the Australian standard gradation following AS 2758.7 (2015). Two different materials were
156 used as infill for the tyre cell, namely: (i) Capping-1 consisted of crushed basalt aggregates
157 mimicking sandy gravel, well-graded with a maximum nominal size of 20 mm, as required by
158 Transport for NSW, and (ii) Capping-2 was made of recycled ballast aggregates collected from
159 a railway waste stockpile. Although the gradation of Capping-2 was similar to that of ballast,
160 it did not meet the angularity specifications and was therefore used as sub-ballast. A
161 hydrometer test has been conducted on the subgrade materials, followed the ASTM D7928
162 (2017) to determine particle size distribution, and the subgrade was mostly categorised as silty
163 sands representative of the coastal terrains. The particle size distribution curves of these
164 materials are shown in Fig. 2, and their physical properties including d_{\max} (maximum particle
165 size), d_{\min} (minimum particle size), d_{50} (mean particle size), C_u (coefficient of uniformity), C_c
166 (coefficient of curvature), and γ_b (bulk density) are given in Table 1.

167

168 ***Preparation of infilled tyre cells***

169 Tyre cells were cut from used passenger car tyres with an external diameter of 560 mm, a rim
170 diameter of 330 mm, a width of 165 mm, and the tyre cell thickness of 10 mm. The tread width

171 of 165 mm conveniently served as the vertical dimension of the infilled tyre cell replacing the
172 traditional capping layer that is usually 150mm in thickness in Australian heavy haul tracks. A
173 layer of geotextile was also used at ballast-capping and capping-subgrade interfaces as a
174 separator to prevent different materials from mixing, for instance the upward migration of
175 subgrade soil particles under cyclic loading. One side wall of the tyre (top) was removed to
176 facilitate convenient filling and compaction of the infill material, whereas the other side wall
177 (bottom) remained intact to maintain stability of the cell with sufficient base friction. To
178 determine the stress-strain relationship of the tyre as per ASTM D4885 (2018), four samples
179 were extracted from the tread of the tyre and then cut into an I-shape. The samples from a tyre
180 tread represent the actual composite rubber and radial steel similar to those used in the
181 experiment and field test. When sections of the tread are cut along the thread, they resemble an
182 'I' shape to clamp the wider flange in the test clamps. The flanges were then fixed inside the
183 test machine clamps such that the web part had a width of 40 mm and the gauge length was
184 kept at 80 mm. These samples were tested under uniaxial tension at a strain rate of 2.5%/min.
185 Results of these uniaxial tensile tests are shown in Fig. 3(a) where the average tensile stress at
186 2% strain was 6.4 MPa, whereas that at 5% strain was 15.9 MPa. Fig. 3(b) shows the dynamic
187 mechanical analyser (DMA) used to determine the damping ratio of the rubber samples having
188 a thickness of 5mm and a diameter of 10-12 mm [Fig. 3(c)]. Test results showed that the
189 damping ratio for the rubber samples was in the proximity of 0.21. It is noted that tyres are not
190 easily ignitable and require oxygen to burn, neither of which are present when they are buried
191 under 250 mm of railway ballast. Goryunov et al. (2019) demonstrated that tyres meeting
192 proper Australian standards can withstand operational temperatures of up to 100°C (max.
193 120°C), while their combustion point is above 350°C. In general, the thickness of the capping
194 layer in Australian heavy haul tracks is in the range of 150-200 mm. To ensure a fair
195 comparison, tyres with similar widths were chosen, and the selected car tyres had a width of

196 @ 200mm. Depending on the thickness of this layer, various types of tyres, including passenger
197 car tyres, 4WD tyres, light truck tyres, and truck tyres, can all be engineered into these tyre cell
198 structures.

199

200 ***Test program and sample preparation***

201 The test specimens were prepared as per the track profile shown in Fig. 1(b), with and without
202 the inclusion of the tyre cell. Multistage cyclic loading was conducted in four different stages
203 for each test, as detailed in Table 2. Different stages of specimen preparation are illustrated in
204 Fig. 4. Firstly, the subgrade material was filled inside the test box and compacted in three sub-
205 layers to a unit weight of 17.5 kN/m^3 and a total thickness of 350 mm, using a hand-held
206 vibratory compactor. A pressure plate was placed on the top of compacted subgrade to measure
207 the vertical stress, followed by a layer of geotextile [Fig. 4(a)]. This was then followed by
208 placing a 200mm thick compacted capping layer. A tyre cell [Fig. 4(b)] (with biaxial strain
209 gauges attached) was placed above the layer of geotextile for the reinforced samples. For the
210 unreinforced sample, Capping-1 material was compacted to a unit weight of 21.6 kN/m^3 . The
211 tyre cell was infilled with the pre-made granular materials as shown in Fig. 4(c and d) (i.e.
212 Capping-1 for Test 2 and Capping-2 for Test 3) which were compacted to the desired unit
213 weight as mentioned in Table 1. Upon applying cyclic loading, the tyre cell filled with infill
214 material would undergo vertical compression and outward radial expansion, exhibiting two
215 degrees of freedom. This outward radial expansion induces hoop stress, which subsequently
216 provides additional confinement to the infill within the tyre cell. After preparing the capping
217 layer, a pressure plate was placed followed by a geotextile as a separator. A 300 mm thick
218 ballast was then placed on top of the geotextile layer simulating the actual track conditions.
219 The ballast layer had been prepared to the Standard grading limits and compacted to a unit

220 weight of 15.6 kN/m³ [Fig. 4(e)]. A concrete sleeper-steel rail assembly was then placed on the
221 ballast surface, and finally crib ballast placed and lightly tamped to be in level with the sleeper
222 surface.

223 The test chamber was then positioned in the loading frame with an axial dynamic actuator. A
224 lateral confining pressure of 15 kPa was applied to the specimen in the transverse lateral
225 direction (i.e., parallel to the sleeper), emulating typical in-situ confinement of 10-20 kPa
226 measured in most Australian ballast tracks (Indraratna et al. 2011). Displacement in the
227 longitudinal direction (i.e. perpendicular to the sleeper) along the track was restrained,
228 simulating a plane strain condition with no lateral displacement. The axial cyclic load was
229 applied incrementally to avoid any damage to the actuators. The track settlements, lateral
230 displacement and vertical stresses were continually recorded during the testing phase.

231

232 *Applied cyclic loading program*

233 The performance of track specimens was investigated using four different cyclic loading stages.
234 To calculate the cyclic stress ($\sigma_{1_{cyc}}$), Equation 1 (AREA 1974, Jeffs and Tew 1991) and
235 Equation 2 (Raymond 1977) were used as follows:

$$236 \quad \sigma_{1_{cyc}} = \left(\frac{2q_r}{Bl} \right) F_2 \quad (1)$$

$$237 \quad q_r = 0.5P \quad (2)$$

238 where q_r = rail seat load, P = design wheel load = ½ Axle load, B = sleeper width, l = sleeper
239 length, F_2 = Typical values of F_2 between 2 and 3 have been recommended by various rail
240 organisations; a value of $F_2=3$ was used for the load calculations. Jeffs and Tew (1991) showed
241 that the uniform contact pressure between the sleeper and ballast is generally assumed within
242 the effective sleeper length which is 1/3 of its total length. In this study, the sleeper length is

243 0.68m and width is 0.22 m to fit inside the testing chamber. The maximum magnitude of load
244 applied from the vertical actuator can be calculated to be $F_{\max}=35.2$ kN and $F_{\max} = 49.3$ kN for
245 25 tonne and 35t tonne axle load, respectively.

246 The cyclic load was applied on the top of the steel rail through a steel load cell attached to the
247 vertical dynamic actuator [Fig. 4(f)]. The details of cyclic loading scheme are shown in Fig. 5.
248 In the first and second loading stages, the maximum loading magnitude was kept at $\sigma_{1cyc,max} =$
249 235 kPa, which corresponds to a 25-tonne axle load. The loading frequency was increased from
250 $f=10$ Hz to 15 Hz to assess the role of increasing train speed. Considering a standard gauge
251 Australian track and typical axle arrangement of a freight train, this will represent the increase
252 in speed from about 70 km/h to 110 km/h (Indraratna et al. 2015, Indraratna et al. 2018). In the
253 third and fourth stages, the loading magnitude was increased to $\sigma_{1cyc,max} =330$ kPa, which
254 corresponds to a 35-tonne axle load, while the loading frequency was increased from $f=10$ Hz
255 (Stage 3) to 15 Hz (Stage 4). There was a short rest period (0.5 hour) between the different
256 loading stages for taking data measurements and adjusting the applied loading and frequency.
257 The increase in vertical deviator stress was necessary to investigate the influence of axle load
258 on ballast behaviour including deformation and particle breakage.

259

260 **Results and discussion**

261 *Measured settlement and lateral displacement*

262 Figure 6a shows the total track settlements measured at different loading cycles (N) for all three
263 tests. As expected, when loading commenced, all the tests exhibited a sudden increase in
264 settlement up to $N=1000$ cycles due to particle re-arrangement. In stage 1, Test 1 (without a
265 tyre cell) shows greater deformation than Tests 2 & 3 with the TCTF. However, in Test 2

266 (Capping-1 infilled with a tyre cell), the rate of deformation stabilizes after $N=1000$ cycles
267 while that of Test 3 (Capping-2 infilled with a tyre cell) tends to increase continually, but at a
268 slower rate. At the end of Stage 1 ($N=25000$ cycles), the overall settlement of the track model
269 with TCTF is smaller than that of the unreinforced test (i.e. without a tyre cell). As the test
270 progresses towards Stage 2 (loading frequency increased to 15 Hz), there is a slight increase in
271 track settlement until $N=26000$ cycles; followed by swift stabilisation for all three tests. In
272 comparison, the specimen with TCTF shows less track settlement (9.4 mm for Capping-1 and
273 10.5 mm for Capping-2) compared to the unreinforced track at the end of Stage 2 (11.2 mm).
274 The results from Stages 1 and 2 indicate that the increased loading frequency results in an
275 increase in track settlement. This observation also indicates that at a lower loading range (up
276 to 25 tonnes), TCTF helps to reduce track settlement with both types of infill materials.

277

278 At the start of Stage 3 (loading increases from 25 to 35 tonnes), all specimens experience a
279 pronounced increase in settlement up to $N=60,000$, beyond which the rate of settlement
280 decreases. However, Test 3 (TCTF with Capping 2) experiences the highest settlement,
281 probably attributed to the increased stresses at the contact points of the spent (recycled) ballast
282 causing the particles to break, displace, and undergo particle re-arrangement of the granular
283 mass. It could also be partly due to a reduction in the apparent friction angle and the particle
284 interlocking of spent ballast (Bolton et al. 2008). In Stage 4, the increase in loading frequency
285 causes all specimens to experience a slight increase in settlement, where the unreinforced
286 specimen shows the highest settlement. The total settlements of the unreinforced test (Test 1)
287 and tyre-cell reinforced capping-2 (Test 3) are almost the same at the end of the test (18.6 mm
288 and 18.5 mm, respectively). The specimen with tyre-cell reinforced Capping-1 (Test 2) is the
289 most stable, showing insignificant increase in deformation during the final loading stage;
290 reaching a total settlement of about 15.1 mm that is lower than that of all the other tests. Results

291 from Stages 3 and 4 also demonstrate the pronounced effect that the loading magnitude has on
292 track settlement compared to the frequency.

293 Figure 6(b) shows the measured lateral displacement (in transverse direction) of all tests. The
294 results indicate that an increase in the loading magnitude and frequency affects the lateral
295 displacement similarly to track settlement. For instance, in Stages 1 and 2, the specimen with
296 capping-2 experiences the lowest lateral deformation of around 0.55 mm, while the specimen
297 with capping-1 exhibits a lateral displacement of about 0.84 mm. The tyre+capping-2 (spent
298 ballast) performed better than the tyre+capping-1 (crushed basalt) in these loading stages,
299 which can be attributed to the combined effect of internal interlocking of large aggregates
300 (spent ballast) and the additional confinement provided by the tyre cell that arrests lateral
301 movement.. In Stage 3, with an increase in the loading magnitude, Test 1 (without tyre cell)
302 shows the highest lateral movement of about 3.08 mm, while Tests 2 &3 (with Capping-1 and
303 Capping-2) exhibit lateral displacements of approximately 2.59 mm and 2.23 mm, respectively.
304 The accumulated lateral displacements at Stage 4 of the reinforced specimens (Test 2, 3) are
305 less than that of the unreinforced case (Test 1) which shows an increasing trend of lateral strain
306 accumulation. Not surprisingly, the test data also prove that less deformation is observed for
307 the specimens with the tyre cell that offers additional confinement as further elaborated below.

308 The use of Capping-2 material (spent ballast) has been carried out previously by the first author
309 in the laboratory ([Indraratna and Salim 2003](#), [Indraratna et al. 2018](#)) as well as in field testing
310 ([Indraratna et al. 2010](#)). Test results have demonstrated that the recycled ballast has a lower
311 tensile strength compared to fresh ballast and, hence requires geosynthetic reinforcement if
312 used in practice. The reduced strength of the aggregates makes recycled ballast more vulnerable
313 to breakage upon loading. Recycled ballast exhibits > 95% higher breakage compared to fresh
314 aggregates, and therefore it cannot be considered as the main load-bearing layer. However,
315 with the inclusion of a reinforcement layer (e.g. woven geotextile, geogrid), the settlement of

316 recycled ballast decreases to an acceptable level. Field testing on recycled ballast (Indraratna
317 et al. 2010) further proved that when reinforced with a geocomposite, the corresponding track
318 shows less settlement compared to a standard ballast track. Recently, spent ballast (Capping-
319 2) was tested in the laboratory as a control test by Indraratna et al. (2018). The test results
320 showed that there was an increase in settlement by about 10 mm when spent ballast was used
321 in contrast to conventional capping material (crushed basalt). At N=100,000 cycles, Capping-
322 2 exhibited a lateral displacement of about 7.2 mm while the crushed basalt capping showed
323 insignificant lateral displacement [(Fig. 6(b)]. However, when capping 2 material (recycled
324 ballast) was confined within the tyre cells, a substantial reduction in lateral displacement was
325 observed to be in par with Capping 1 material. More details about test results can be found in
326 Indraratna et al. (2018). According to track design guidelines specified by Transport for NSW
327 and previous tests conducted on recycled ballast (i.e., discarded after track maintenance), the
328 spent ballast that is usually substantially degraded (i.e. reduced size, less angularity and less
329 interlocking friction when compacted), is recommended on its own as a capping material.

330 *Additional confining pressure and mobilised strain in tyre cell*

331 Upon loading, the tyre cell expands and generates hoop stress that provides additional
332 confining pressure to the infilled material, resulting in enhanced stability and load-bearing
333 capacity of the substructure. This additional confining pressure also helps the track to resist
334 lateral deformation and settlement over time (Lackenby et al. 2007). In order to determine the
335 additional confining pressure provided by the rubber tyre cell, the cell wall was equipped with
336 biaxial strain gauges, which enabled the measurement of axial and circumferential (hoop)
337 strains, as shown earlier in [Fig. 4(b)]. The variations in circumferential strain in the tyre cell
338 wall with the number of cycles for both capping infill materials (Tests 2 & 3) are shown in Fig.

339 7(a)]. Measured circumferential and axial strains indicate tension in the lateral direction and
340 compression in the vertical direction, respectively.

341 In Stage 1, the mobilised circumferential strain is approximately 0.25% caused by the placing
342 and compaction of the infill plus the effect of overburden stress applied by ballast; this then
343 increased to 0.35% as the load was applied. In Stage 2 (frequency increased to 15 Hz), the
344 strain increases slightly to 0.39%. When the loading magnitude increases in Stage 3, the tyre
345 cell reaches a maximum circumferential strain of about 0.43% and remains almost unchanged
346 until the end of testing. The axial compressive strains in the tyre cell are relatively small in the
347 vicinity of 0.01%, and this can probably be attributed to the significant rigidity of the rubber
348 tyre cell in compression.

349 The measured circumferential strains can be used to calculate the additional confining pressure
350 ($\Delta\sigma_3$), using the hoop tension theory introduced by Henkel and Gilbert (1952).

$$351 \quad \Delta\sigma_3 = \frac{2M\varepsilon_c}{D_\varepsilon} \left(\frac{1}{1-\varepsilon} \right) \quad (3)$$

352 where, $\Delta\sigma_3$ = additional confining pressure, M = extension modulus of the tyre cell ($M=1335$
353 kN/m as measured in the uniaxial tension test), ε_c = mobilised circumferential strain of the tyre,
354 D_ε =diameter of tyre cell at the strain ε_c , ε = axial strain in the infill materials.

355 Fig. 7(b) shows the calculated additional confining pressure ($\Delta\sigma_3$) provided by the tyre.
356 During the first two initial stages, the $\Delta\sigma_3$ increases from 12.5 kPa to 18 kPa. With an increase
357 in the cyclic loading in Stages 3 and 4, $\Delta\sigma_3$ increases to 20.5 kPa. Indraratna et al. (2017) found
358 that an increase in confining pressure generated by a rubber tyre cell could improve the
359 durability and performance of a capping layer. The lateral confining pressure (minor stress) at
360 the perimeter of the unit cell (i.e. beyond the tyre cell) was applied in the transverse direction

361 (parallel to sleeper) by external hydraulic jacks, and this stress was kept unchanged as $\sigma_3=15$
362 kPa during the test. The axial strain in the infill materials within tyre cell was measured by
363 settlement pegs that were installed at the top surface and bottom level of the infill-capping
364 material. In this apparatus the side walls are not rigid as they can move on frictionless casters
365 and the lateral displacements and lateral pressures change according to the applied cyclic
366 loading mimicking real-life conditions (Indraratna et al. 2011). Therefore, unlike testing
367 conducted within a rigid box, the stress-strain behaviour of the materials confined in this
368 prismatic testing chamber is more realistic. The sides of the box are free to displace upon the
369 application of the external cyclic stresses, where the vertical stress represents the train axle
370 load, and the frequency of load is a function of the train speed. Therefore, the internal
371 deformation of the infilled granular medium within the flexible tyre cell will influence the
372 corresponding internal stresses accordingly. In other words, a tangential hoop stress develops
373 around the rubber tyre cell (measured by bonded strain gauges to the interior of the cell wall)
374 and the corresponding radial stresses develop within the infilled material as the rock aggregates
375 deform during the application of this repeated (cyclic) loading.

376 It is noteworthy that dilation of the infilled material can still occur as the transverse direction
377 (parallel to the sleeper) moves significantly more than the longitudinal direction (perpendicular
378 to the sleeper), hence approximating a quasi-plane strain condition like in a real-life track,
379 where the strain in the longitudinal direction (i.e. direction of train passage) is relatively small
380 due to the confinement provided by the concrete sleepers. If the cumulative lateral strains
381 exceed the vertical strain, then the internal material becomes dilative, and if the vertical strain
382 is greater than the cumulative lateral strains, then the material becomes contractive. Measured
383 results have shown that greater the dilation of the aggregates infilling the tyre cell, greater the
384 hoop stress and the vice versa. It is of course possible for the stresses and strains of fill materials
385 inside the chamber to vary in relation to the boundary displacements (and stresses), unlike in a

386 rigid box where zero movement of the boundaries of the box will not generally effect stress
387 changes of the material within the box.

388

389 ***Resilient Modulus***

390 The resilient modulus (M_R) of ballast is an important parameter that is commonly used to
391 characterize the load-deformation response of ballast under cyclic loading. Fig. 8 shows how
392 the resilient modulus M_R , can be determined by utilising the loading-unloading curves. During
393 each loading stage, bursts of data were recorded at specific loading cycles to determine the
394 resilient modulus (M_R) as follows:

$$395 \quad M_R = \frac{\Delta q}{\varepsilon_{a,rec}} \quad (4)$$

396 where, the deviator stress (Δq) is determined as the difference between the maximum and
397 minimum cyclic stress [Fig. 8(a)], and the recoverable axial strain ($\varepsilon_{a,rec}$) that is determined
398 based on the deformations measured on top of the ballast layer (by subtracting the axial strains
399 of the loading-unloading cycle), as shown in Fig. 8(b).

400 Fig. 9 shows the variations of resilient modulus (M_R) with the number of loading cycles during
401 4 loading stages. In Stage 1, ballast aggregates experience an initial compaction with a rapid
402 increase in M_R to around 280 MPa within 1000 cycles [Fig. 9(a)]. The rate of increase then
403 decreases as the number of cycles approaches N=25,000. The M_R of ballast for the unreinforced
404 specimen (Test 1, $M_R=339$ MPa) is higher than that of the reinforced specimens (e.g., $M_R=302$
405 and 316 MPa for Tests 2 & 3, respectively). However, as the loading frequency increases to 15
406 Hz in Stage 2, a slight drop in initial M_R is observed [Fig. 9(b)], and this is because higher
407 loading frequencies lead to a greater extent of vibration, which in turn can increase ballast
408 deformation (Sun et al. 2019). However, as the number of cycles increases, the value of M_R

409 also increases and begins to stabilise towards the end of Stage 2. The value of M_R of the
410 unreinforced sample (Test 1) remains higher than that of the reinforced specimens, but it is
411 noteworthy that the difference is not as significant when compared to Stage 1.

412
413 In Stage 3, as the loading magnitude increases to 35 tonnes, the value of M_R decreases
414 significantly for all tests, as the ballast aggregates experience further deformation under
415 increased load [Fig. 9(c)]. However, these values increase rapidly after 1000 cycles (i.e.
416 $N=51,000$), followed by a gradual increase towards the end of the loading stage. The
417 magnitudes of M_R of the reinforced specimens (i.e. $M_R=275$ and 278 MPa for Tests 2 and 3,
418 respectively) are higher than that of the unreinforced specimen ($M_R=258$ MPa); these
419 observations verify the effect of TCTF at higher loading. In stage 4, for the reinforced
420 specimens there is only a slight reduction of 3.7 % in M_R but it regains its magnitude as the
421 number of loading cycles increases to $N = 80,000$ cycles [Fig. 9(d)]. With the unreinforced
422 specimen, the drop in resilient modulus is noticeable to about 214 MPa, but yet it increases
423 with the number of loading cycles after $N=75,000$ cycles.

424 It is observed that in the first two stages, the resilient modulus is higher for the unreinforced
425 condition, whereas in the last two stages, the opposite is observed. This is probably attributed
426 to insufficient compaction of the infill materials inside the tyre cell, causing higher elastic
427 strains initially until the infill aggregates become denser with time with increasing number of
428 loading cycles. It is found that excessive tamping of particles inside the tyre cells would lead
429 to increased breakage and therefore the compacted unit weight of the aggregates within the tyre
430 cells was kept to about 16 kN/m^3 to minimise particle breakage during tamping. Practically,
431 with the inclusion of tyre cells, initial track settlement may be high as the infill materials
432 continue to undergo significant compression. Track settlement is stabilised once the
433 confinement provided by tyre cells is fully mobilised, providing maximum lateral confinement
434 and enhanced stiffness. Overall, TCTF can provide a more stable foundation where there is no

435 substantial decrease in the resilient modulus of ballast with increased magnitudes of loading
436 and frequency.

437

438 ***Damping Ratio and Dissipated Energy***

439 Ballast aggregates subjected to cyclic loading display a distinct hysteresis response where
440 mechanical strain energy is stored and then dissipated during the loading-unloading process.
441 Including the TCTF can certainly improve the damping characteristics of the system under
442 dynamic loads (Indraratna et al. 2018). Energy reservoirs offered by the rubber can reduce the
443 amplitude of the vibrations and noise generated by the train, thus improving the ride comfort
444 and reducing the rate of deterioration of track components. Hysteresis loops are plotted at a
445 selected number of loading cycles (N) for 3 tests as shown in Fig. 10(a)-10(c). It is seen that
446 the inclusion of a tyre cell increases the subtended area of the hysteretic loops. For instance, at
447 $N=50$ cycles, the area subtended by the hysteresis loop was highest in Test 2, followed by Test
448 3, and then the unreinforced sample (Test 1). This shows that including the tyre cells can
449 improve energy dissipation through enhanced damping properties of the track substructure.

450

451 The energy dissipation and damping ratio can be determined as shown schematically in Fig.
452 10(d), based on ASTM D3999 (2003). In relation to the hysteresis loops, the dissipated energy
453 (E_d) for each test was calculated and presented in Fig. 11. In Stage 1, within 1000 cycles, E_d
454 decreases with the number of cycles due to the high dissipation of energy because of the re-
455 arrangement and associated compaction of ballast. Test 1 (without a tyre cell) shows the value
456 of E_d to be about 48 J/m^3 , which is slightly lower than those specimens having a tyre cell (i.e.
457 Test 2: 55 J/m^3 ; Test 3: 52 J/m^3). In Stage 2, the increase in loading frequency causes the E_d to
458 decrease slightly in the initial cycles, and then almost stabilize towards the end of the loading
459 stage. The value of E_d remains higher for the reinforced specimens than that of the unreinforced

460 counterpart, but the difference is not as significant as in the subsequent loading stages. In Stage
461 3, with an increase in the loading magnitude, the dissipated energy increases rapidly in the first
462 50 cycles. However, as the number of loading cycles increases, this energy level decreases and
463 tends to stabilise at about 97 J/m^3 (Test 2) and 85 J/m^3 (Test 1). The increased frequency in
464 Stage 4 has a slight effect on the reinforced specimens but causes a significant decrease to
465 about 68 J/m^3 for the unreinforced Test 1.

466

467 The variations of damping ratio (D) of three tests measured at different loading stages are
468 shown in Fig.12. In Stage 1, both reinforced and unreinforced tests show an average damping
469 ratio of 0.20 and 0.18, respectively. With the increase in frequency (Stage 2), the damping ratio
470 in all tests decreases slightly to 0.18 (Test 2) and 0.16 (Test 1). There is no significant change
471 in damping ratio in subsequent loading Stages 3 &4 for which the damping ratio is about 0.15
472 for the tests with tyre cell. In contrast, there is noticeable drop in damping ratio for the
473 unreinforced specimens to about 0.10. In a practical perspective, these results imply that
474 placing a rubber tyre cell assembly in heavy haul tracks can offer increased damping of the
475 substructure leading to a reduction in ballast breakage.

476

477 ***Measured stress distribution with depth***

478 Pressure plates were placed at the interface of the sleeper/ballast, ballast/capping and
479 capping/subgrade to measure the vertical stress propagation along the depth. The vertical
480 stresses for all three tests at $N=100,000$ (corresponding to a 35-tonne load) are shown in Fig.
481 13. These measurements show that while the vertical stresses decrease with depth, the stresses
482 at the sleeper-ballast interface of the unreinforced specimen (Test 1: $\sigma_v = 311 \text{ kPa}$) was higher
483 than those of the reinforced specimens ($\sigma_v = 256 \text{ kPa}$ and $\sigma_v = 287 \text{ kPa}$ for Test 2 (Capping 1)

484 and Test 3 (Capping 2), respectively). The overall values of stiffness of the tested samples
485 were different (i.e., different capping materials, and with/without the presence of the tyre cell),
486 resulting in a corresponding variation of the measured stresses at the sleeper-ballast interface.
487 As explained above, this is an application of dynamic loads with moving external boundaries,
488 hence this process replicates real-life track conditions where the internal stresses of the infilled
489 material do change when the sides of the chamber displace under the applied cyclic loading. A
490 controlled loading mechanism was employed through dynamic actuator that adjusts the applied
491 force using feedback systems in real-time. The internal stress changes were measured by the
492 pressure cells placed inside the testing chamber (box) in both vertical and horizontal positions,
493 as well as strain gauges bonded to the tyre cell wall. These results have been plotted to explain
494 the behaviour in Figures 7 & 10, and discussed in detail previously. The TCTF enhances the
495 stiffness of capping, hence increasing the stresses measured at the top of capping layer ($\sigma_v =$
496 126 and 146 kPa for Test 2 (Capping 1) and Test 3 (Capping 2), respectively, as compared to
497 $\sigma_v = 122$ kPa for Test 1). The vertical stress had transmitted to the top of subgrade for Test 1
498 was 67 kPa (without tyre cell), but only 44.5 kPa and 49.7 kPa for the reinforced specimens in
499 Tests 2 and 3, respectively. This indicates that the TCTF enables a stress reduction of about
500 34% and 26% measured at the top of subgrade, and the increased damping of TCTF also helps
501 to reduce the stress transferred to the underlying layers.

502 It is noted that due to the lack of sufficient planar space that can restrict the development of an
503 accurate pressure bulb in the controlled laboratory tests. However, in this apparatus the side
504 walls are not rigid as they can move on casters and the lateral displacements and lateral
505 pressures can be measured ([Indraratna et al. 2011](#)). In the field, the stress disperses and transfers
506 naturally to underneath substructure layers, resulting in potentially different stress patterns
507 compared to what can be simulated in the lab. There are always differences in both boundary
508 effects and scale effects, which make the laboratory different to field data. Given that the

509 geotechnical community is fully aware of the scale-effect limitations in experimental
510 geomechanics, field trialling is the best solution to alleviate the potential limitations of
511 laboratory testing in relation to both boundary effects and scale effects. A more comprehensive
512 and reliable set of results could be obtained through a fully instrumented field trial in contrast
513 to the laboratory environment.

514

515 For comparison, the stresses measured in the field and lab tests are also included in Fig. 13.
516 The field tests performed at the Bulli track (Indraratna et al. 2010) and a study carried out by
517 Rose et al. (2004) correspond to 25 and 40-tonne axle loads, respectively. In this laboratory
518 test, the stresses measured under the sleeper (278 kPa) are in reasonable agreement with those
519 obtained from the field (Indraratna et al. 2010, Rose et al. 2004). In contrast, stresses at the top
520 of the capping layer (110 kPa) obtained from this study have notable variations with the field
521 tests, and this may be attributed to inevitable differences in capping layer stiffness and
522 boundary conditions. It is noteworthy that the above-mentioned stresses are also in reasonable
523 agreement with the laboratory tests performed by Qi and Indraratna (2023) for axle loading of
524 25 tonnes.

525 ***Ballast Breakage***

526 The ballast breakage index (BBI) introduced by Indraratna et al. (2011) was used to measure
527 the degree of degradation or fragmentation of ballast aggregates. The BBI can be calculated by
528 comparing the particle size distribution of ballast before and after testing, as shown in Fig. 14
529 and Table 3. After visually examining and then sieving the tested ballast, it is seen that the most
530 noticeable modes of breakage include particle splitting, corner breakage, and attrition of the
531 edges, and the greatest damage is in the 37.5mm size range. The inclusion of TCTF with
532 capping-1 (crushed basalt) and capping-2 (spent ballast) leads to a reduction in BBI of 40.1%

533 and 28.3%, respectively. In a practical point of view, these results indicate that rubber tyre cells
534 improve the stability of tracks by increasing the confinement of the infilled granular materials
535 while providing enhanced damping of the track, both of which lead to a reduction in ballast
536 breakage.

537 In this study, the track process simulation testing carried out on a single infilled tyre
538 representing the unit cell concept (Indraratna et al. 2017) demonstrated a significantly
539 improved performance of the track element under cyclic loading. However, these test results
540 alone cannot be applied to accurately predict the field behaviour that benefits from a multi-tyre
541 assembly with distinctly different real-life moving loads and boundary effects (e.g. the depth
542 of subgrade in the field). So, while the single tyre testing was useful in demonstrating the
543 concept, a more comprehensive and reliable set of results could be obtained through a fully-
544 instrumented field trial in contrast to the laboratory environment. The field data capture the
545 role of subgrade soil depth, whereas the laboratory cubical chamber is restrained by a non-
546 displacement boundary located about 1m below the surface, thus leading to a constrained
547 vertical stress propagation compared to reality.

548

549 **Field Application of TCTF**

550 An instrumented track (20m long) was constructed within a maintenance yard for heavy-haul
551 rolling stock in Chullora, a suburb of Western Sydney [Fig. 15(a)], in collaboration with
552 Transport for NSW (State Government) and Ecoflex International. For the first time in the
553 world, this field trial promoted the concept of Tyre Cell Track Foundation (TCTF) in lieu of a
554 traditional capping layer (compacted well-graded sandy gravel). In each tyre cell, the top
555 sidewall was removed for ease of compaction when filled with recycled spent ballast that was
556 equivalent to Capping-2 material used in the laboratory. A cross-section of the TCTF is

557 schematically illustrated in Fig.15(b). The performance of the TCTF track could be compared
558 with an adjoining standard track also 20 m long. The TCTF section was strategically located
559 in an area where the heavy haul trains decelerate quickly when approaching the maintenance
560 yard, so this is a section where track movements and stresses need to be controlled effectively.

561

562 ***Site Geology, Track Construction and Loading***

563 Field reconnaissance included the drilling five boreholes (BH 1 to BH 5) in accordance with
564 the Australian Standard, AS 1726 (2017) for geotechnical site investigations. The subsurface
565 strata comprised a compacted granular fill (500 mm in thickness) that was placed above the
566 natural subgrade consisting of a thin layer of sandy clayey silt of low plasticity (PI = 12%),
567 followed by residual silty clays and claystone gravel overlying a stiff clay deposit, and then the
568 bedrock of mainly weathered shale (approx. 3.8m m below the base of the TCTF). Although
569 the water table in this area was generally about 5 m below the ground surface, the subgrade
570 beneath the TCTF was found to be saturated due to medium to heavy regular rainfall and
571 impeded subsurface drainage. The soft and saturated subgrade soil in this area was known to
572 be vulnerable for excessive undrained yielding and mud pumping (Sydney Train Report 2021),
573 hence the role of TCTF was expected to carry a significant vertical stress and thereby attenuate
574 the load propagation to the deeper soft subgrade.

575 Off-the-road truck tyres were delivered to the testing test site and placed in a honeycomb
576 pattern over the compacted fill and then infilled with discarded ballast recycled from a stockpile.
577 These infilled rock aggregates were compacted using a vibratory roller passing over the TCTF
578 section to a unit weight in the proximity of 16.0 kN/m³. The adjoining standard section had a
579 conventional granular capping layer consisting of a compacted sandy gravel up to 200mm in
580 thickness (unit weight approaching 17 kN/m³), and levelled with the top surface of the TCTF.

581 A feasibility study from an environmental perspective on the effect of the TCTF on
582 groundwater contamination is out of the scope of this study. However, it is noted that the
583 recycled rubber tyres and the recycled spent ballast used in the lab and field testing were
584 carefully selected, ensuring they are free from contaminants. An array of instrumentation
585 including settlement pegs, horizontal transducers, pressure cells, and accelerometers were
586 installed in both sections [Fig. 15(c)] and connected to an automatic data acquisition system.
587 Protected strain gauge rosettes were bonded to selected tyre cells at predetermined locations to
588 measure the mobilised strains upon the passage of trains. In both track sections, a number of
589 flat pressure cells were placed at different depths (sleeper-ballast interface, within the ballast
590 layer, ballast-TCTF interface and below the capping layer) to measure the vertical stress
591 distribution with depth. More details on track construction and instrumentation can be found
592 elsewhere (Indraratna et al. 2024). Quarried fresh ballast (latite basalt) complying with the
593 revised Australian ballast standard (i.e. 60-graded; AS 2758.7-2015) developed through past
594 research and reported by Indraratna et al. (2011) was then placed to a thickness of about 300mm.
595 The ballast layer was compacted by a vibratory roller before the concrete sleeper-steel rail
596 assembly was constructed at the top, and then the track sections finally filled and levelled with
597 crib ballast.

598 A locomotive of 22-tonne axle load and two fully-loaded ballast wagons were employed to run
599 over the trial track at a relatively low speed @ 15-20 km/h, given the decelerated speed at the
600 maintenance yard. Loading of the field track by the moving train was completed within 6 days
601 with a total of 1003 passes (in both directions), thus imparting over 6,000 loading cycles. This
602 loading was sufficient to allow the track sections to undergo initial compaction to reach a stable
603 state in terms of the track settlement which was recorded continually.

604

605 ***Measured vertical stress***

606 Figure 16 presents a comparison of vertical stresses measured at different depths for the
607 standard track and TCTF sections. During the initial loading stages ($N=1-1000$ cycles), the
608 vertical stresses at the TCTF's sleeper-ballast interface (142 - 184 kPa) are slightly less than
609 those of the standard section (172 – 238 kPa), while the vertical stresses at the ballast-capping
610 interface of TCTF (73-137 kPa) are higher than those of the standard section (62-98 kPa). This
611 can be attributed to the significant compression of infilled aggregates within the tyre cells
612 compared to a highly compacted traditional capping material. The initial train passes would
613 allow the infilled aggregates to re-arrange and re-compact within the tyre cell before its hoop
614 stress could be activated. So, in the subsequent loading cycles ($N > 1000$), the stresses at the
615 sleeper-ballast interface of the TCTF are expected to be higher than those in the standard
616 section. For instance, at $N=3000$ cycles, the maximum stresses measured in the TCTF section
617 was about 305 kPa, while the standard track experienced a maximum stress that was
618 considerably less (194 kPa) for the same number of loading cycles. In other words, at larger
619 number of loading cycles, the compacted infill (recycled ballast) within the confinement of
620 activated tyre cells (hoop stress measured in the range of 35-40 kPa) had contributed to an
621 increase in stiffness of the TCTF assembly, thereby sustaining a greater vertical stress.

622 The ability to carry a significantly greater vertical stress by the TCTF compared to a standard
623 capping layer is a tangible long-term benefit. The resulting stress propagated to the underlying
624 saturated soft subgrade would now be diminished, thereby minimising the adverse potential for
625 unacceptable deformation and alleviating the potential for soft soil fluidization (mud pumping)
626 under prolonged cyclic loading (Indraratna et al. 2020). The lateral (radial) stress as measured
627 by pressure cells sandwiched at the interface of 2 tyre cells approached 2 kPa, while that for
628 the standard track was in the proximity of 1.2 kPa. This observation further verified the
629 increased lateral confinement offered by the TCTF. As shown in the bottom part of Fig.16,
630 towards the end of the loading stage ($N=6000$), an increase in vertical stress (298 kPa) is

631 observed at the sleeper-ballast and ballast-tyre interfaces of the TCTF, in comparison with the
632 standard section (184 kPa). So as expected, the maximum vertical stress (< 50 kPa) transferred
633 to the underlying subgrade soil layer is about 10% smaller in the TCTF track compared to that
634 for the standard section (> 56 kPa). This result further verifies that over time ($N > 6000$), the
635 TCTF stratum would offer a stiffer sub-ballast capping to accommodate increased vertical
636 stress thus ensuring a reduced vertical stress transmission to the softer subgrade, thereby
637 curtailing soil yielding and increasing the substructure stability.

638

639 ***Measured track settlement***

640 Figure 17 shows the comparison of total track settlements measured for the TCTF and standard
641 track sections. During the initial $N=2000$ loading cycles, the total track settlement in the TCTF
642 increases to 8.6 mm, as compared to 6.1 mm in the standard section. During initial train loading
643 and associated vibrations, the ballast particles can displace, rotate and break, hence the
644 corresponding void structure and the particle contacts change rapidly as shown by DEM studies
645 (Tutumluer et al. 2013, Chen et al. 2023). As mentioned earlier, until the tyre cells are activated
646 to provide the maximum lateral confinement and enhanced stiffness of the TCTF, the infilled
647 material (i.e. more rounded used ballast) continue to undergo significant compression during
648 initial train loading. However, for the subsequent loading stage ($N > 2000$ cycles), the
649 settlement tends to stabilise for both sections; the additional settlement at the standard section
650 (2.1 mm) is smaller compared to that of TCTF that still shows some notable settlement (4.1
651 mm), albeit considerably smaller compared to the initial loading stage ($N < 1000$).

652 At the end of this loading stage, the total settlement of the TCTF of 12.7 mm is greater than
653 that of the standard track (8.2 mm), but it is emphatically noted that much of the settlement of
654 the former had occurred during the initial loading period. For instance, the settlement of TCTF

655 section for $N < 1000$ is about 6 mm compared to about 3.8 mm of the standard track. Once the
656 infilled aggregates compact tightly against the tyre cell wall (generated hoop stress > 35 kPa
657 for $N > 3000$), the increased stiffness of the TCTF is significant (28 kPa/mm), compared to that
658 of the conventional capping (21 kPa/mm). These values were measured in the field using
659 pressure cells, strain gauges and settlement pegs, while the stiffnesses are calculated based on
660 the measured stresses and deformation. This further clarifies the longer term efficacy of the
661 TCTF to carry a much higher normal stress (> 300 kPa) compared to standard capping (< 200
662 kPa). It is noted that in the field trial, the TCTF experienced higher settlement than the standard
663 track (without tyre cells). This is because during initial train loading and associated vibrations,
664 the ballast particles could displace, rotate and break; hence the corresponding void structure
665 and the particle contacts would change rapidly. As mentioned earlier, an increased initial
666 settlement may be due to insufficient compaction of the infill materials inside the tyre cell,
667 causing higher elastic strains initially until the infill aggregates become denser through cyclic
668 loading over time.

669 *Measured track vibration*

670 Figure 18 presents the measured accelerations in the TCTF section as compared to the standard
671 section at the inception of loading ($N=1-6$ cycles) as well as at the end of loading ($N=6000-$
672 6006 cycles). The track sections were instrumented with accelerometers on the rail to measure
673 vibrations during the train passage. At the initial loading cycles, significant vibration was
674 recorded for the TCTF (0.046 g) compared to 0.014 g in the standard track [Fig. 18(a)]. This
675 increased vibration in the TCTF corroborates to the increased settlement during the initial
676 loading stage as discussed earlier. However, with increased number of loading cycles, the
677 vibration measured in the TCTF decreased swiftly to 0.026 g, while the vibration in the standard
678 track remained relatively unchanged [Fig. 18(b)].

679

680 **Conclusions**

681 This study had presented the results of large-scale track process simulation tests and field
682 testing with and without the inclusion of tyre cell track foundation (TCTF) infilled with
683 compacted granular mass, i.e., either crushed quarried basalt (Capping 1) or recycled spent
684 ballast (Capping 2), the former being considerably finer in its gradation. On the basis of this
685 study, the following specific conclusions can be drawn:

- 686 • The inclusion of TCTF reduced track settlement with both types of infill materials at
687 lower stress levels of up to 25-tonne axle load. At an increased stress level (35-tonne),
688 the Capping-1 infill material showed less settlement compared to Capping-2. TCTF
689 could reduce the lateral displacement from 3.4 mm for conventional capping to 2.3 mm
690 and 2.8 mm for Capping-1 and Capping-2, respectively. This leads to the conclusion
691 that Capping-1 rockfill (crushed basalt) would perform marginally better than Capping-
692 2 (recycled used ballast) in terms of effective settlement control.
- 693
- 694 • The magnitudes of M_R of the tyre-reinforced specimens (i.e. $M_R=275$ and 278 MPa for
695 Tests 2 and 3, respectively) were notably higher than that of the unreinforced specimen
696 ($M_R=258$ MPa). In Stage 4, a slight reduction (3.7 %) in M_R for reinforced specimens
697 was observed when the loading frequency was increased from 10 Hz to 15 Hz but the
698 corresponding M_R values recovered again as the number of loading cycles exceeded N
699 = 80,000 cycles. In contrast, M_R of the unreinforced test specimen dropped noticeably
700 to 214 MPa, and then increased at the subsequent loading cycles ($N > 75,000$).
- 701 • The values of vertical stress transmitted to the top of subgrade showed a reduction of
702 about 34% and 26% for Capping-1 (Test 2) and Capping-2 (Test 3) infilled tyre cell
703 specimens. In real-life practice, this observation translates to the use of TCTF being

704 particularly advantageous in soft subgrade deposits (e.g. coastal estuarine soils) which
705 may otherwise experience premature yielding in the absence of effective control of the
706 vertical stress distribution with depth.

707 • The test results showed an increase in damping ratio and energy dissipation properties
708 of the tyre cell stabilised specimens. Tests 2 and 3 with Capping-1 and Capping-2 infill
709 materials resulted in a reduction in ballast breakage index (BBI) by 40.1% and 28.3%,
710 respectively. In practice, this significant reduction in ballast breakage attributed to
711 TCTF implies the benefits of:promoting recycling of waste rubber tyres and cost
712 savings for railway industry.

713 • Field measurements showed that in comparison to the standard track section, an
714 increase in vertical stress was measured at the sleeper-ballast interface of the TCTF
715 (about 36% compared to the standard track), which helped to reduce the vertical stress
716 transfer to the subgrade soil. Despite the relatively high initial settlement of infilled
717 material within the tyre cells, in the longer term the ability to carry a much larger
718 vertical stress culminates in the tangible benefit of reducing the vertical stress
719 propagation to underlying soft and saturated subgrade, thereby alleviating the potential
720 for excessive deformation.

721

722 **Data Availability Statement**

723 All of the data, models, and codes that support the findings of this study are available from the
724 corresponding author upon reasonable request. (Test data; field testing results).

725 **Conflicts of Interest**

726 The authors all declare no conflict of interest.

727

728

729

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912 **List of Tables:**

913 **Table 1.** Properties of the test materials

Test Materials	d_{max}	d_{min}	d_{50}	C_u	C_c	γ_b
	(mm)	(mm)	(mm)			(kN/m ³)
Ballast	53	19	45	1.47	1.06	15.6
Capping-1	19	0.075	2.2	15.9	1.42	21.6
Capping-2	53	13.2	40.5	1.59	1.05	16.1
Subgrade	4.75	0.075	0.48	3.87	1.30	17.5

Optimum moisture content: 12%

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Table 2. Test Program

Test No.	Tyre cell inclusion	Infill materials	Loading stage	Cumulative number of cycles, N	Axle load tonne	Confining pressure kPa	Frequency Hz
1	No	Capping-1 (crushed basalt aggregate)	1	25000	25	15	10
			2	50000	25	15	15
			3	75000	35	15	10
			4	100000	35	15	15
2	Yes	Capping-1 (crushed basalt aggregate)	1	25000	25	15	10
			2	50000	25	15	15
			3	75000	35	15	10
			4	100000	35	15	15
3	Yes	Capping-2 (recycled spent ballast)	1	25000	25	15	10
			2	50000	25	15	15
			3	75000	35	15	10
			4	100000	35	15	15

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Table 3. Particle size distribution (PSD) for ballast before and after the different tests

Sieve Size (mm)	% Passing			
	Initial particle size distribution	Capping-1 (Test 1)	Tyre cell + Capping-1 (Test 2)	Tyre cell + Capping-2 (Test 3)
63	100	100	100	100
53	85	86.7	85.5	85.7
37.5	20	29.2	25.5	26.5
26.5	5	10.3	7.7	8.4
19	0	4.1	3.4	3.9
2.36	0	0	0	0
BBI		0.187	0.112	0.134
Reduction in breakage (%)		-	40.1	28.3

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930 **List of Figures**

931 Fig.1: (a) Large-scale track simulation apparatus; and (b) Schematic diagram of the chamber
932 showing the layer profile (unit: mm)

933 Fig. 2: Particle size distribution curves of ballast, capping materials and subgrade

934 Fig. 3: (a) Tensile stress-strain relationships for the tyre samples; (b) Dynamic Mechanical
935 Analyzer (DMA) to determine damping ratio; and (c) A photo of rubber samples used in
936 DMA testing

937 Fig.4: Sample preparation: (a) Compacted subgrade with pressure cell; (b) Geotextile and
938 strain gauges attached to a tyre cell placed over the subgrade; (c) Capping-1 material inside
939 the tyre cell for Test 2; (d) Capping-2 material inside the tyre cell for Test 3; (e) Pressure
940 plate on ballast layer; and (f) Concrete sleeper with a rail placed within the ballast layer

941 Fig.5: Multistage cyclic loading program

942 Fig.6: Measured deformations during the multi-stage loading: (a) track settlements; and (b)
943 lateral displacements

944 Fig.7: (a) Mobilised circumferential strain of a tyre cell; (b) variation in increased confining
945 pressure with the number of loading cycles

946 Fig. 8: (a) Applied cyclic loading parameters, (b) calculation of resilient modulus

947 Fig. 9: Measured resilient modulus (M_R) at different loading stages: (a) Stage-1; (b) Stage-2;
948 (c) Stage-3; and (d) Stage-4

949 Fig.10: Hysteresis loops from: (a) Test 1, (b) Test 2, (c) Test 3; (d) schematic diagram of
950 hysteresis loop showing the calculation of damping ratio and dissipated energy

951 Fig. 11: Variations of dissipated energy (E_d): (a) Stage-1; (b) Stage-2; (c) Stage-3; and (d)
952 Stage-4

953 Fig. 12: Variations of damping ratio (D): (a) Stage-1; (b) Stage-2; (c) Stage-3; and (d) Stage-
954 4

955 Fig. 13: Vertical stress distributions along the depth in comparison with previous lab and
956 field data

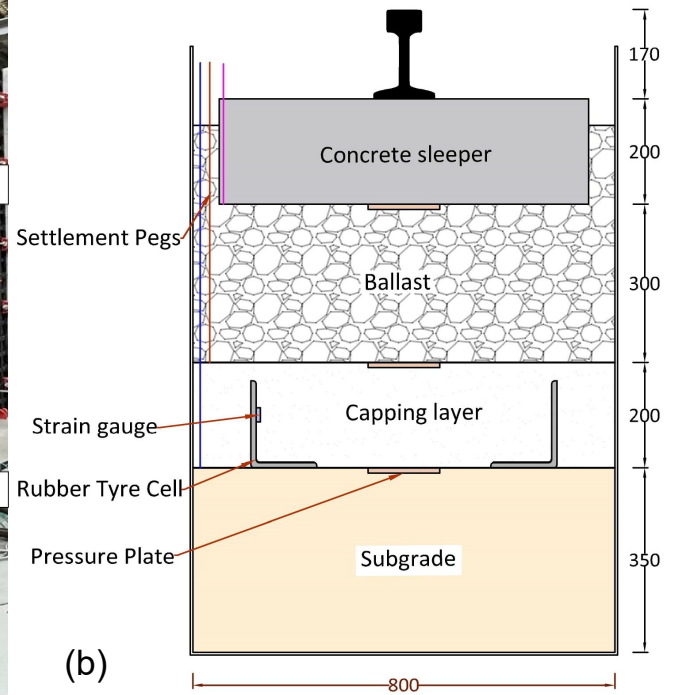
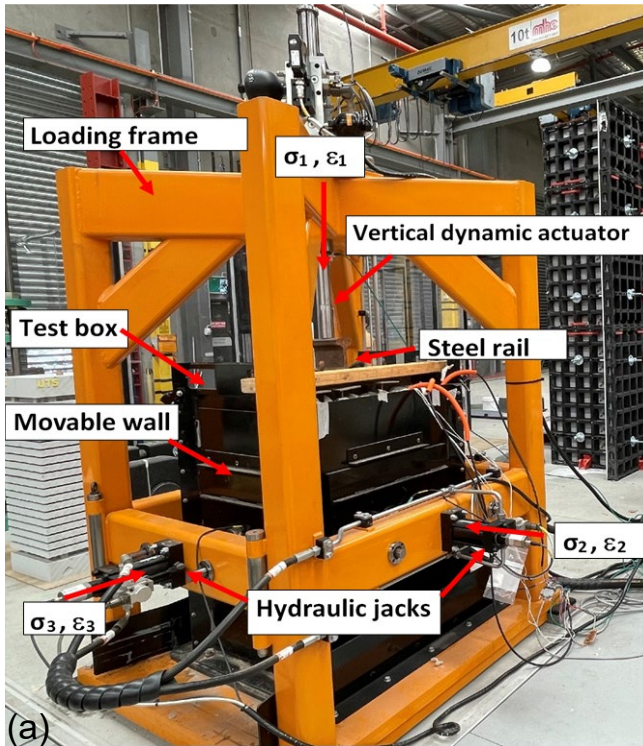
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958 Fig. 15. Application of Tyre Cell Track Foundation in Chullora field testing precinct, NSW,
959 Australia: (a) Placement of infilled in the tyre cells laid on geotextile; (b) Typical cross-
960 section of the TCTF; and (c) Instrumentations installed in the TCTF track

961 Fig. 16. Vertical stress distribution at different granular layer interfaces in TCTF section
962 compared to Standard section

963 Fig. 17. Total track settlements measured at Chullora test tracks: Standard section vs. TCTF
964 section

965 Fig. 18. Measured accelerations in the TCTF track compared to the Standard section: (a) at
966 $N=1-6$ cycles; and (b) at $N=6000-6006$ cycles
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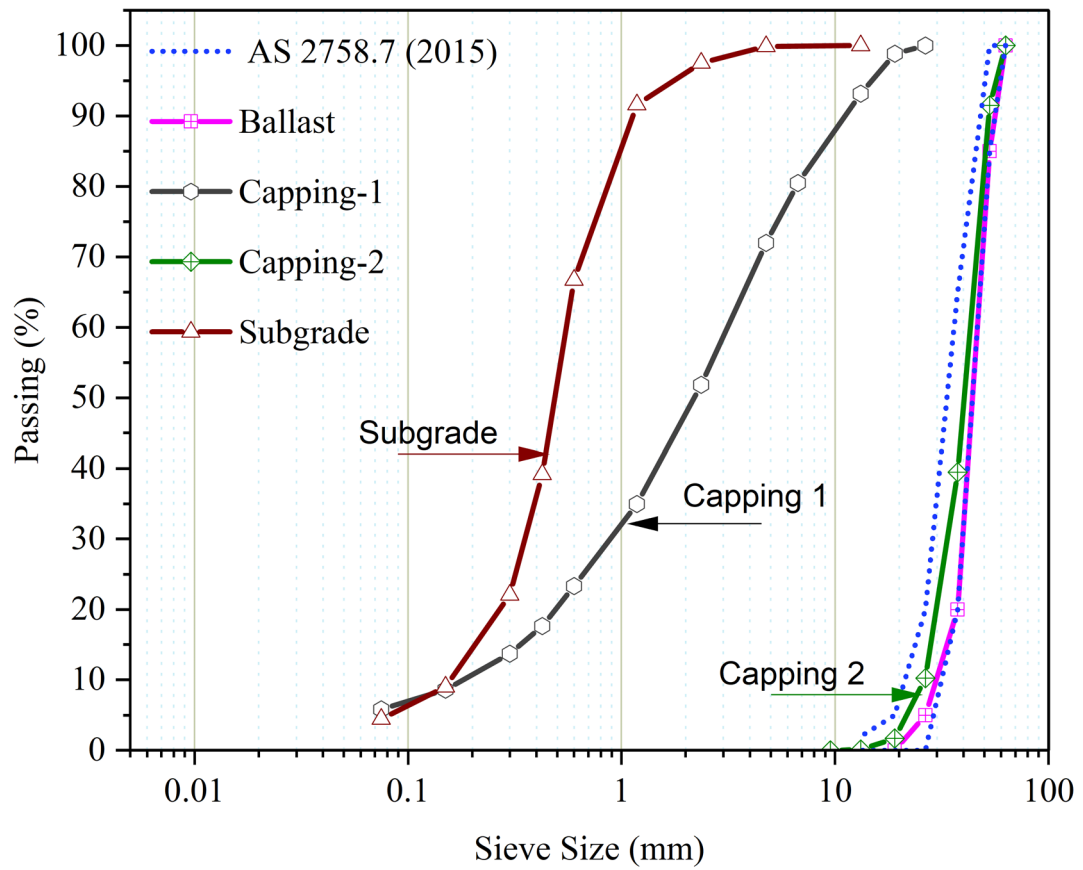
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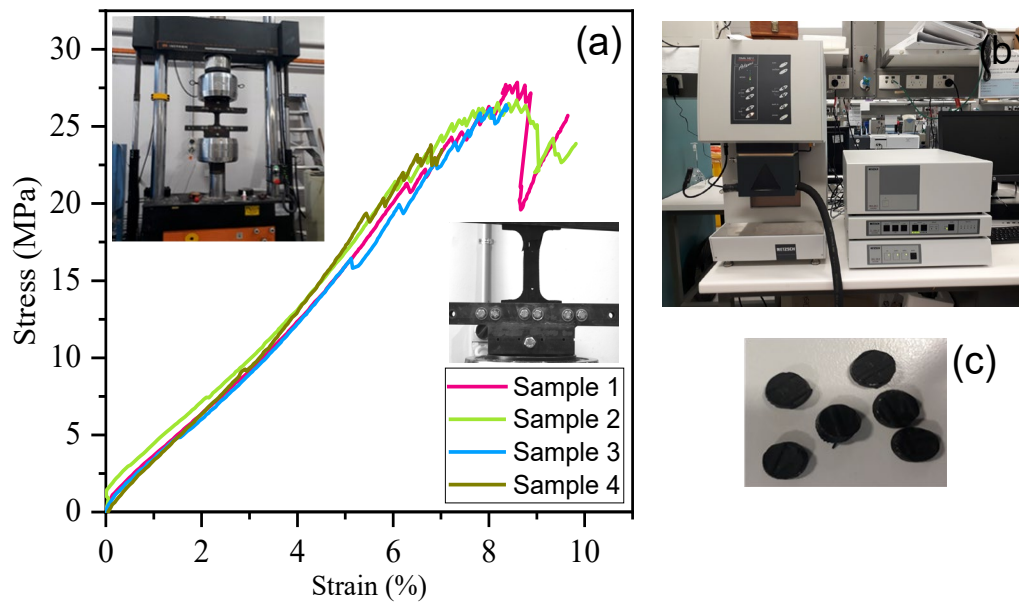
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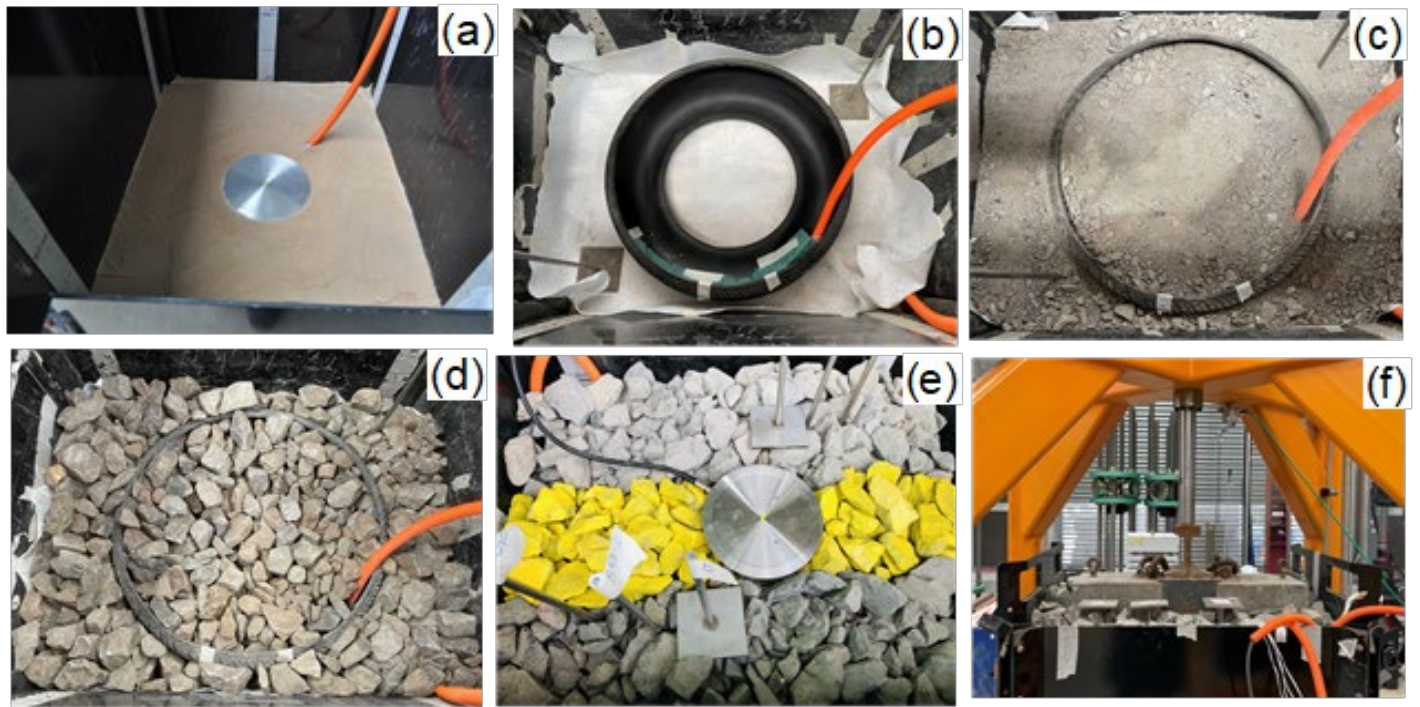
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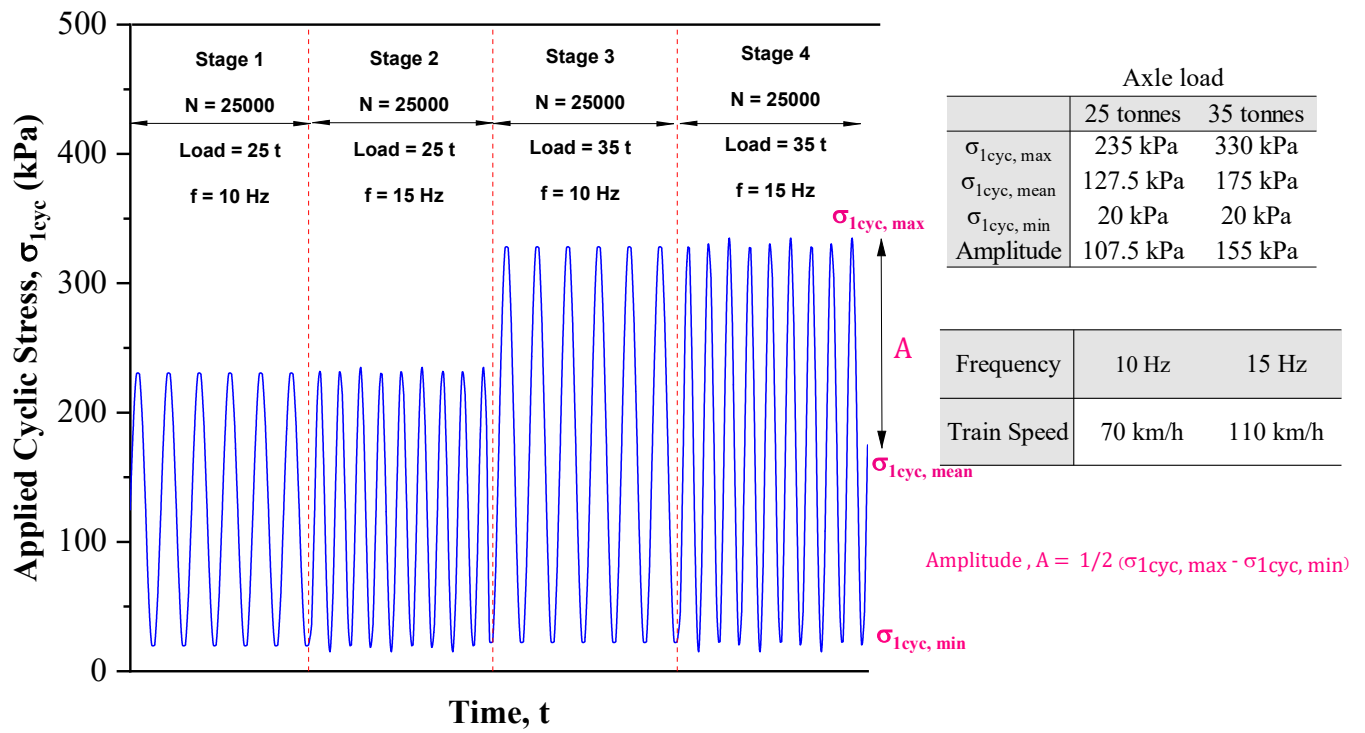


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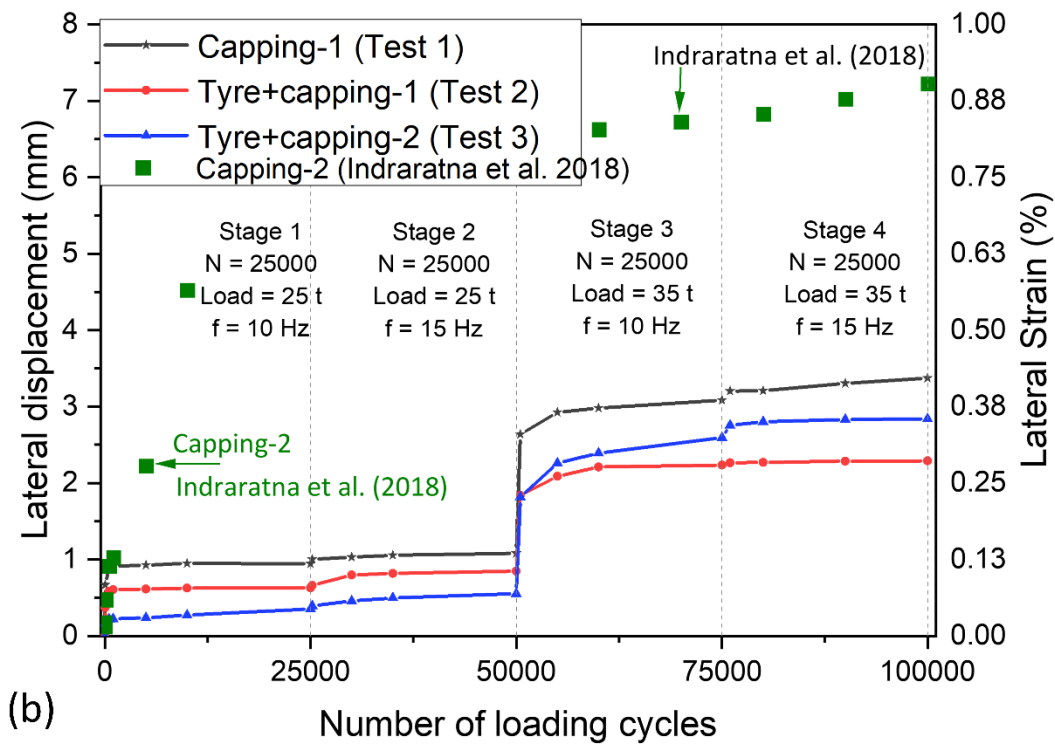
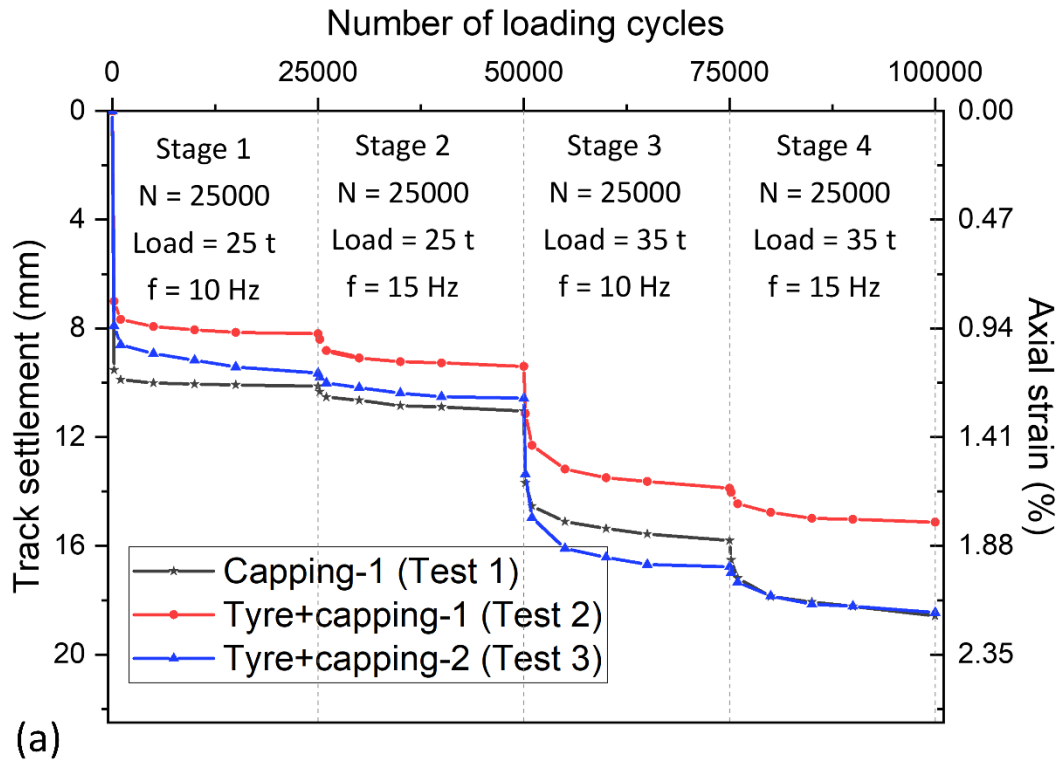
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Fig. 5. Multistage cyclic loading program

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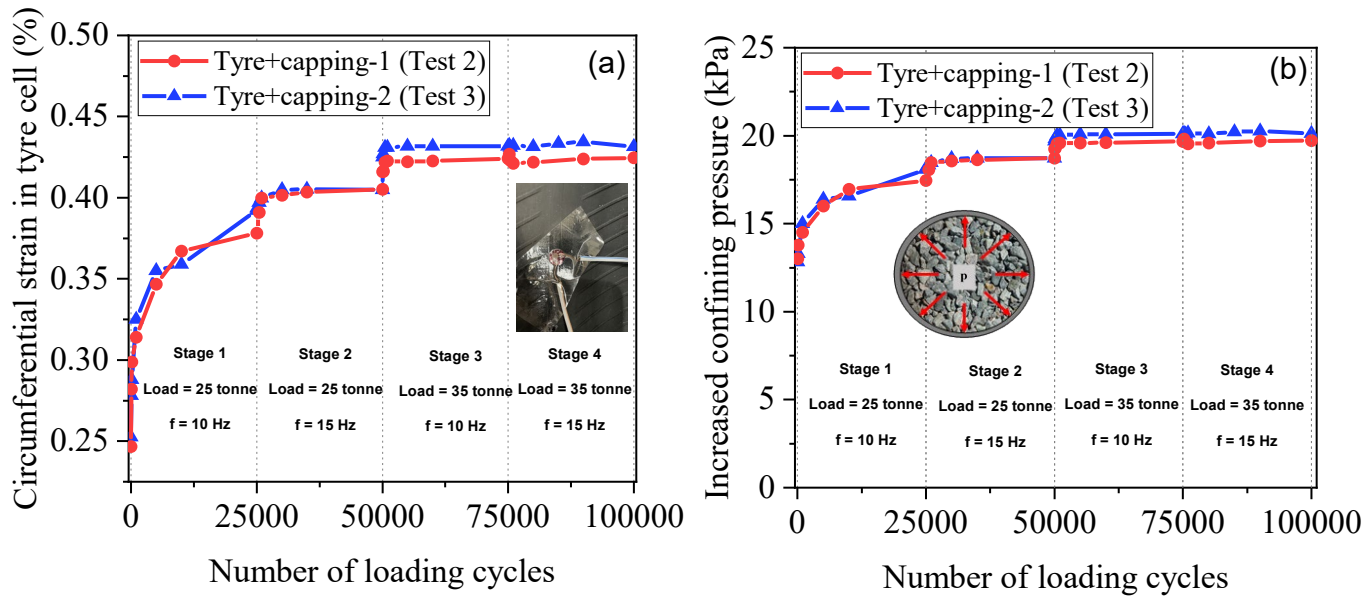


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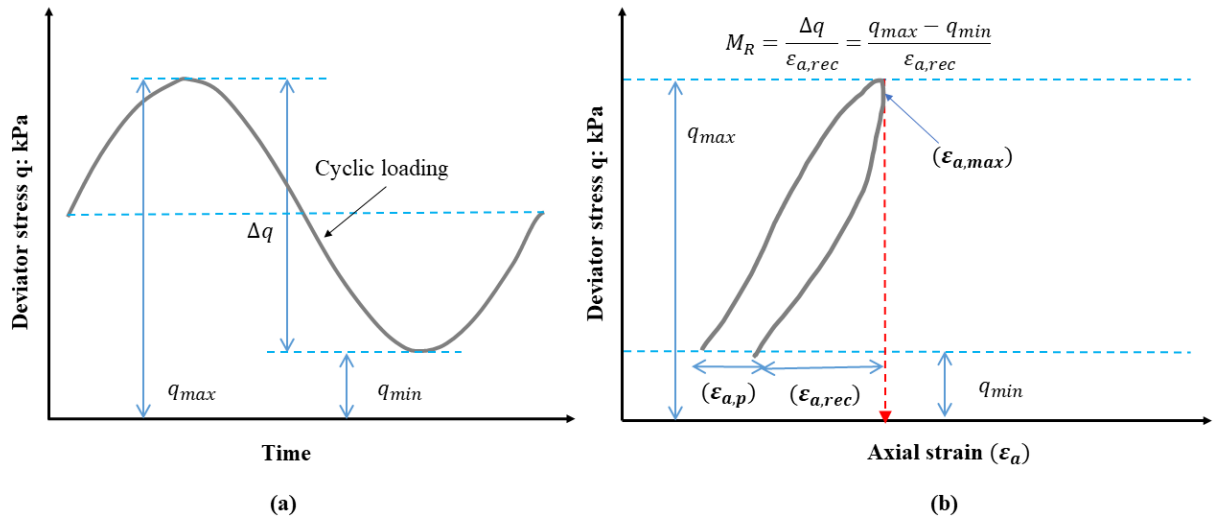


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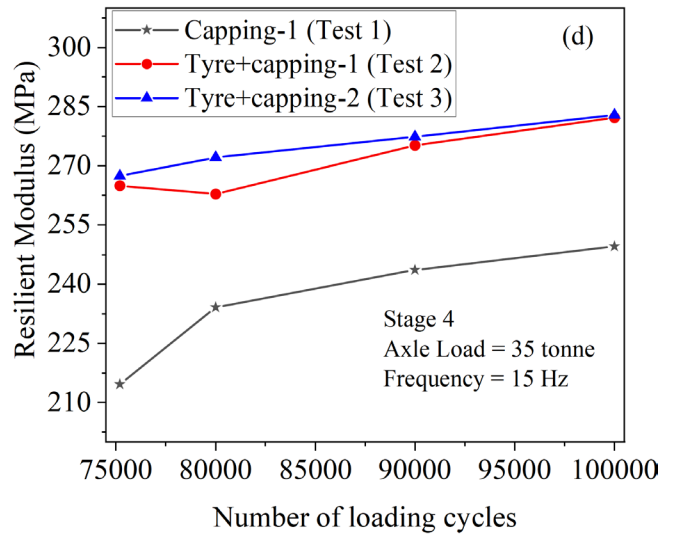
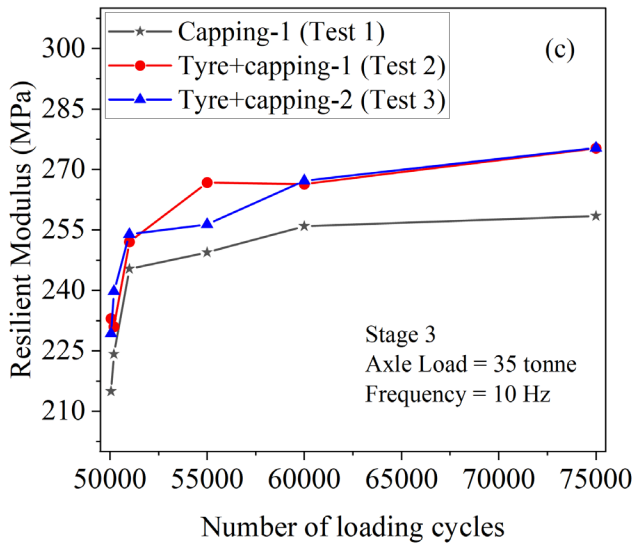
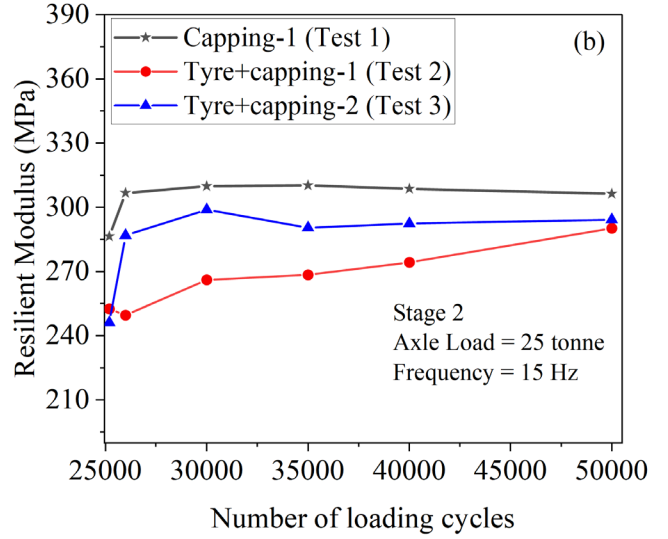
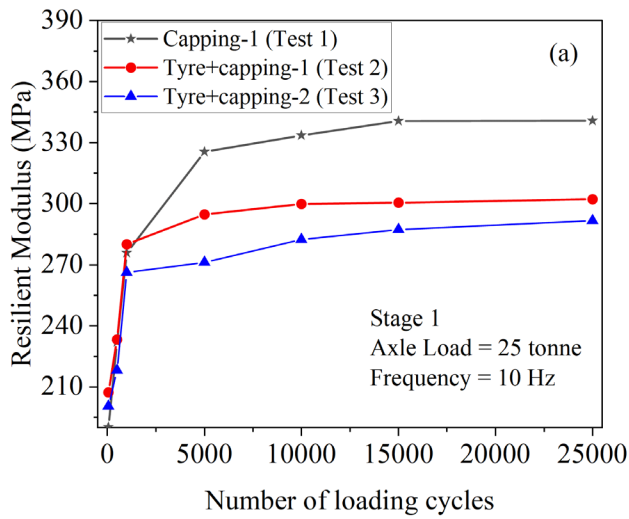


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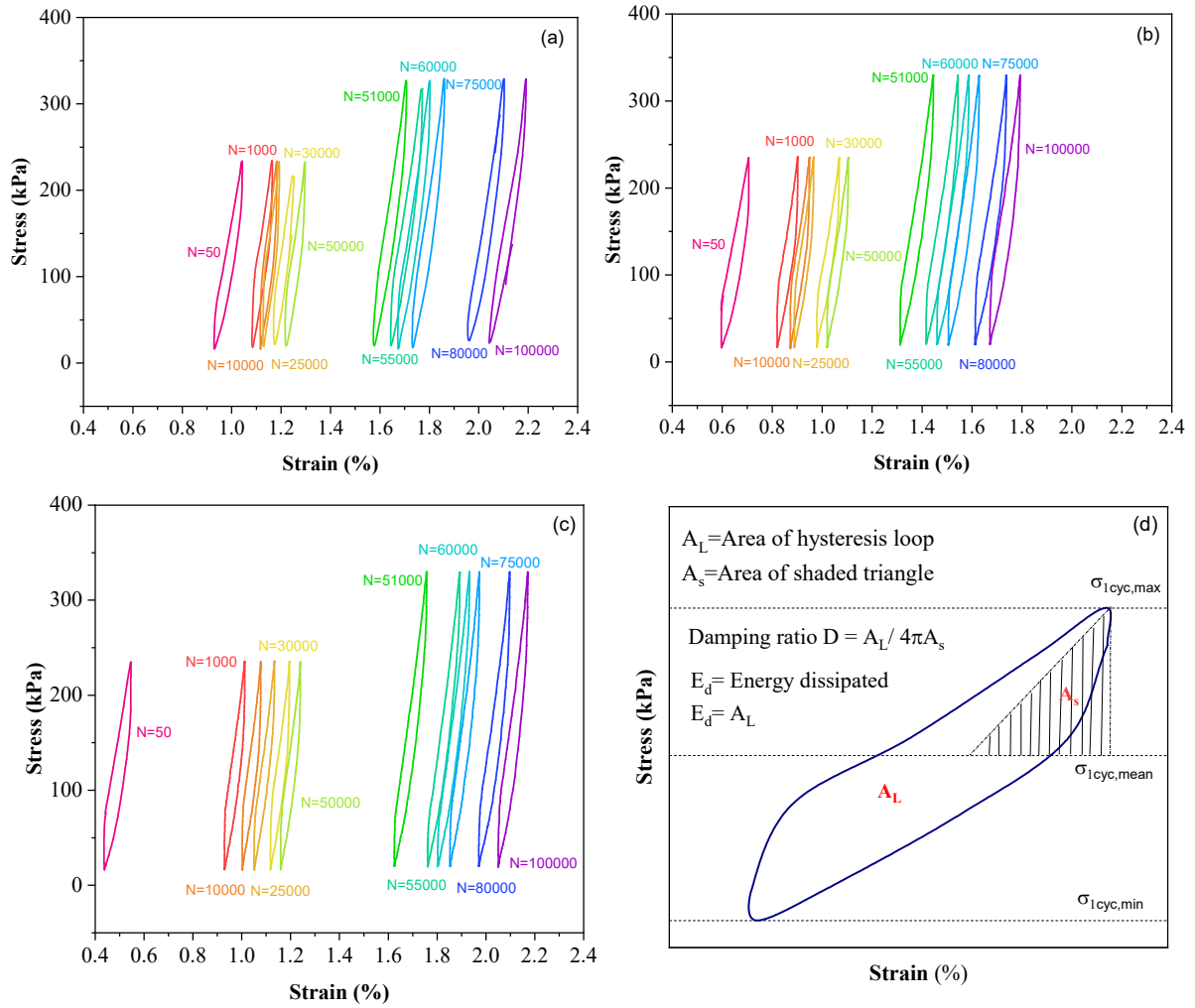
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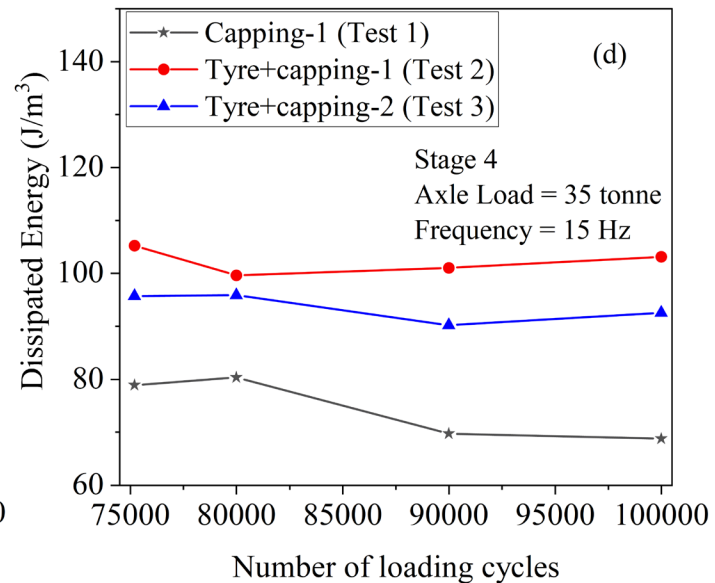
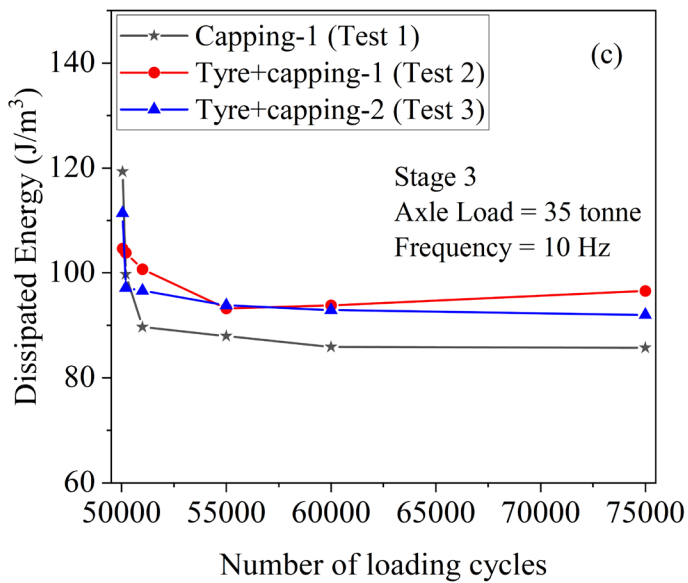
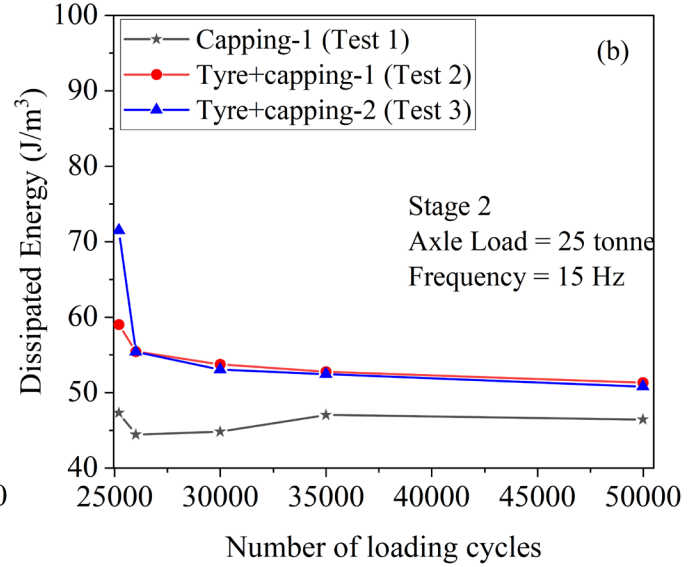
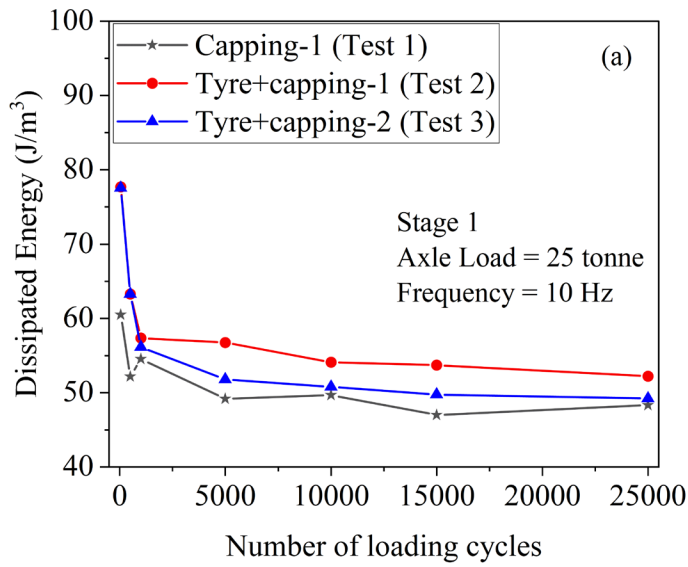
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hysteresis loop showing the calculation of damping ratio and dissipated energy



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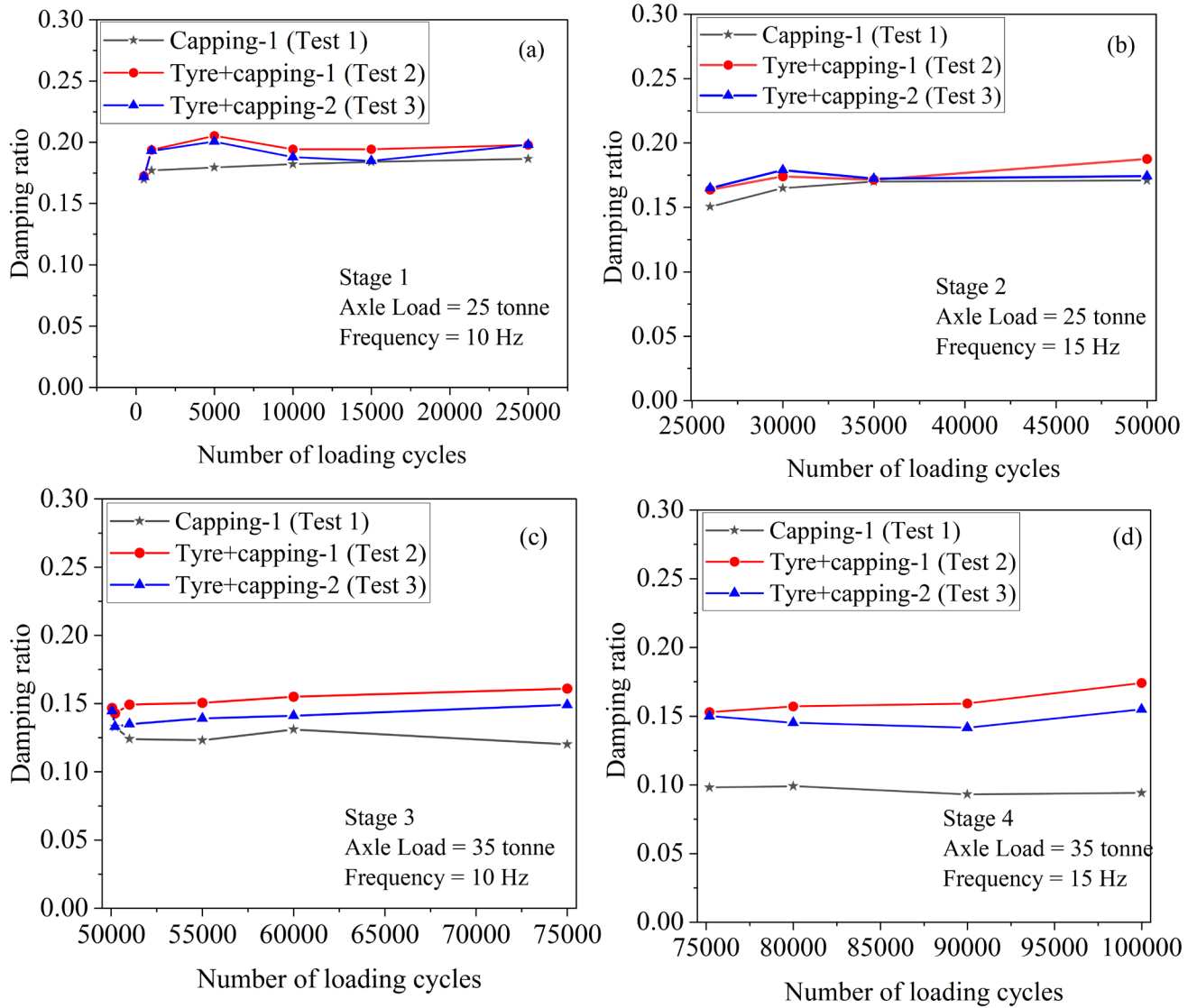
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Stage-4

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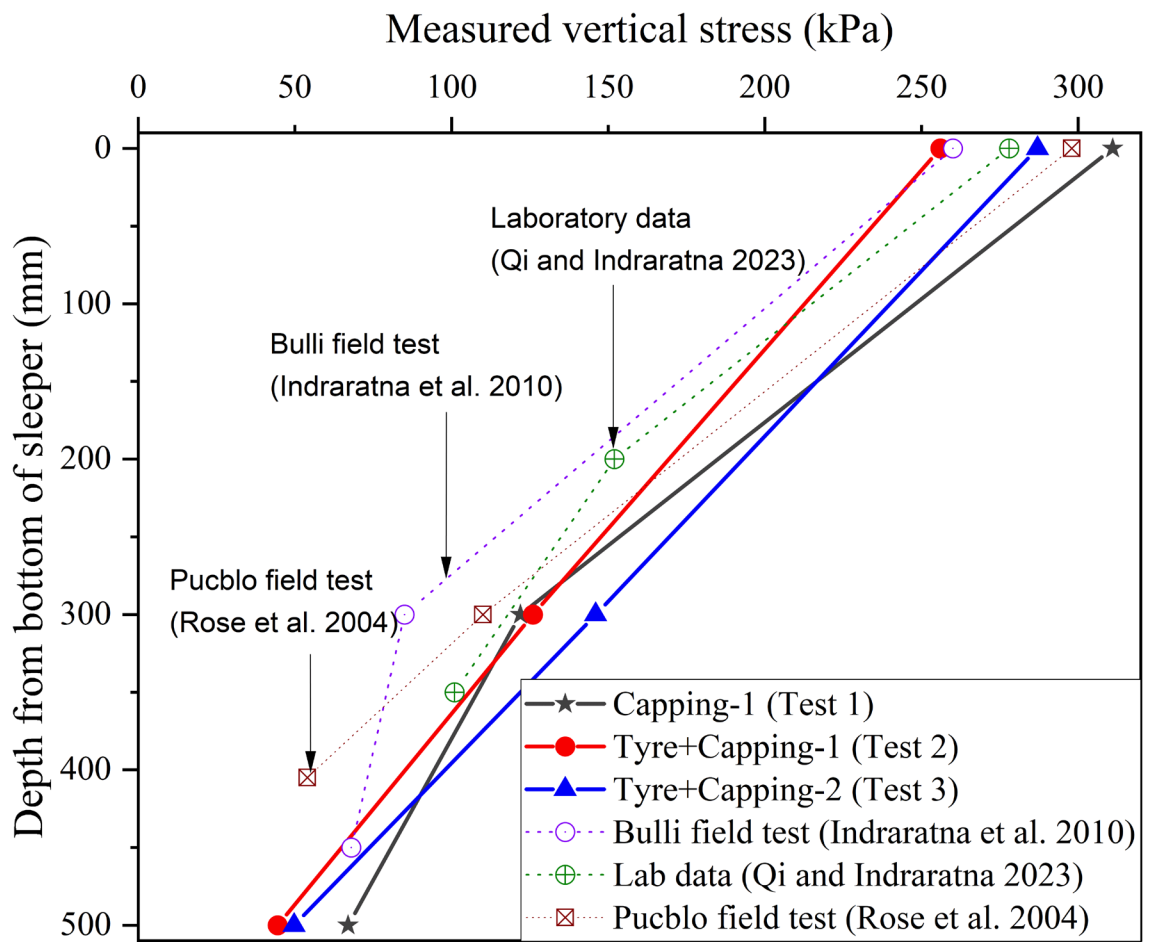
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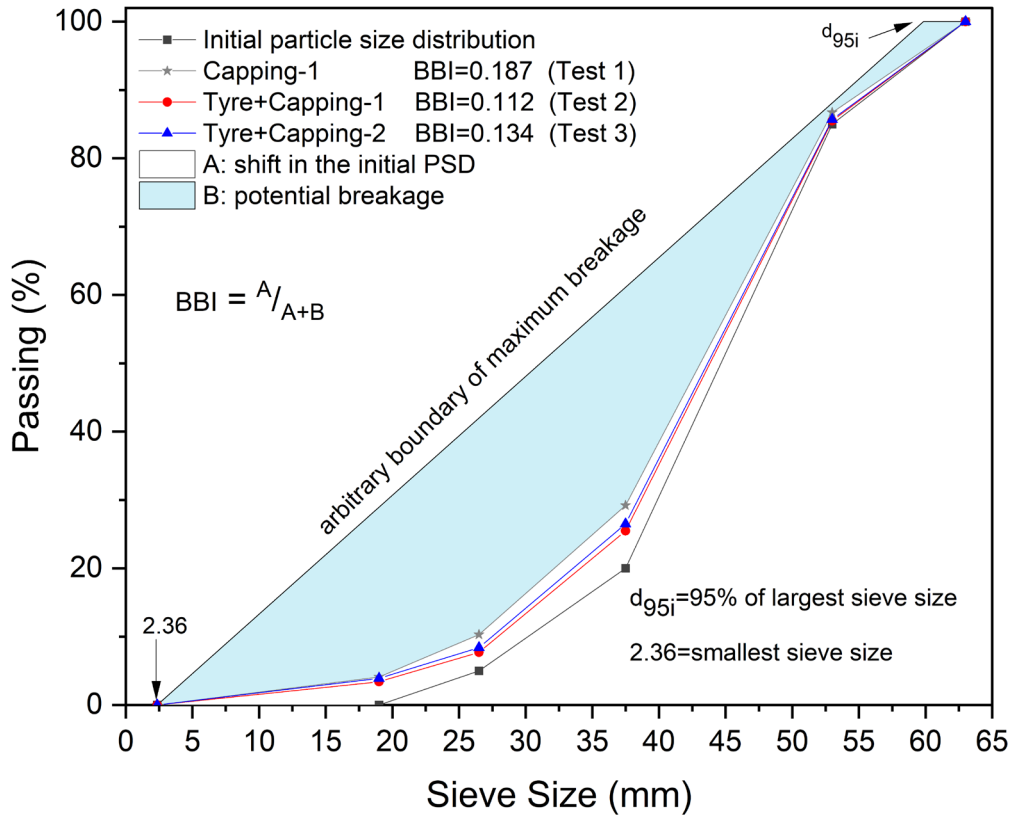


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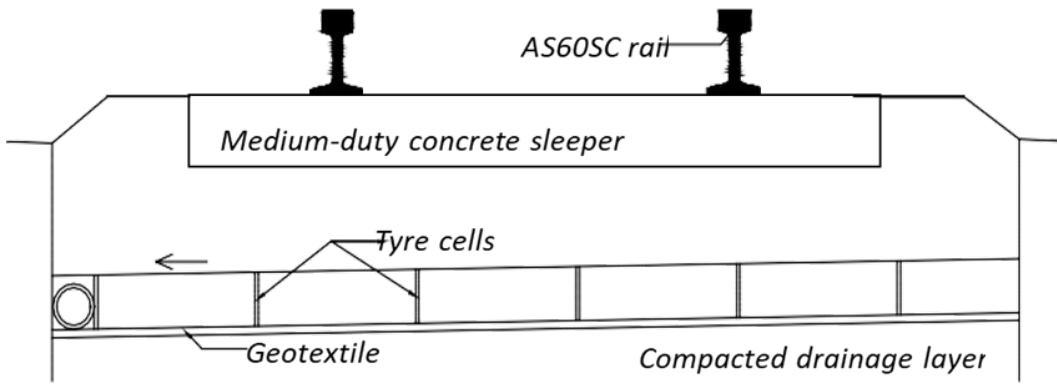
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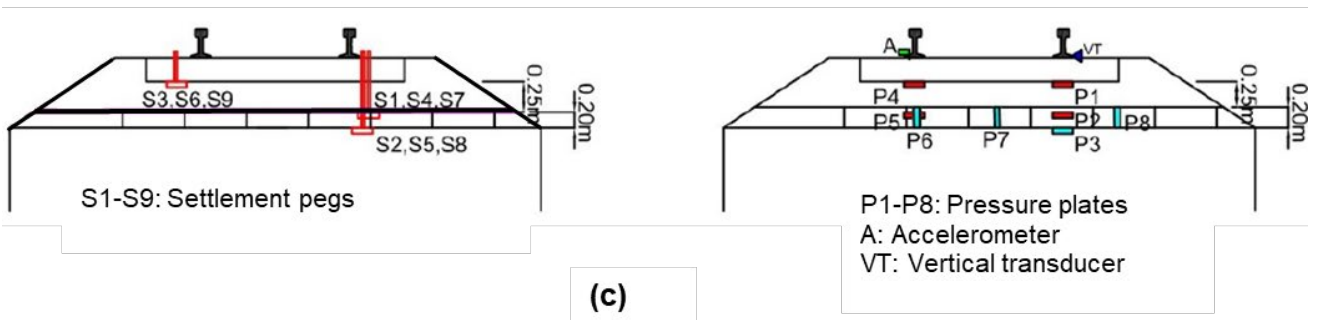
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(a)



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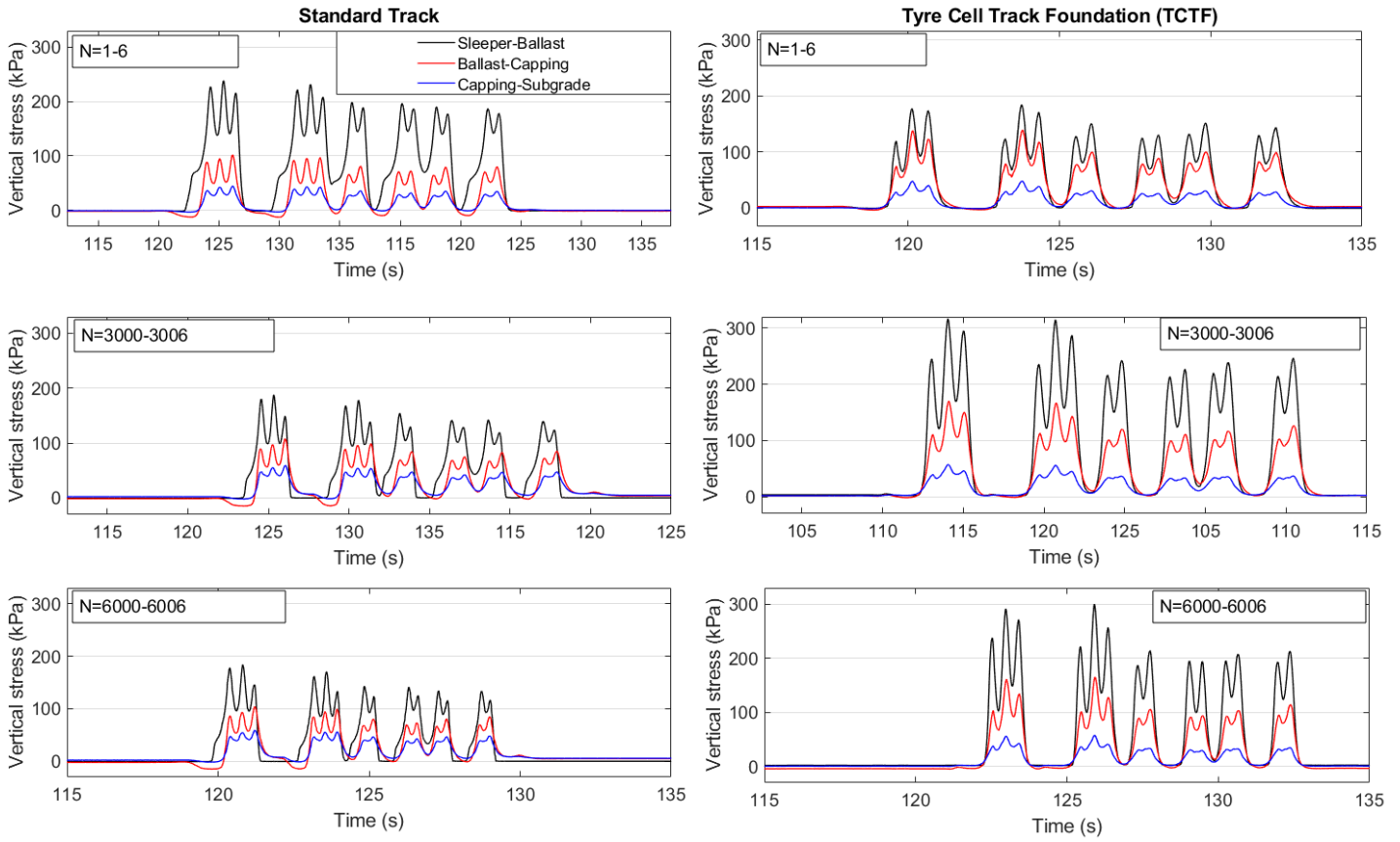
(c)

1024

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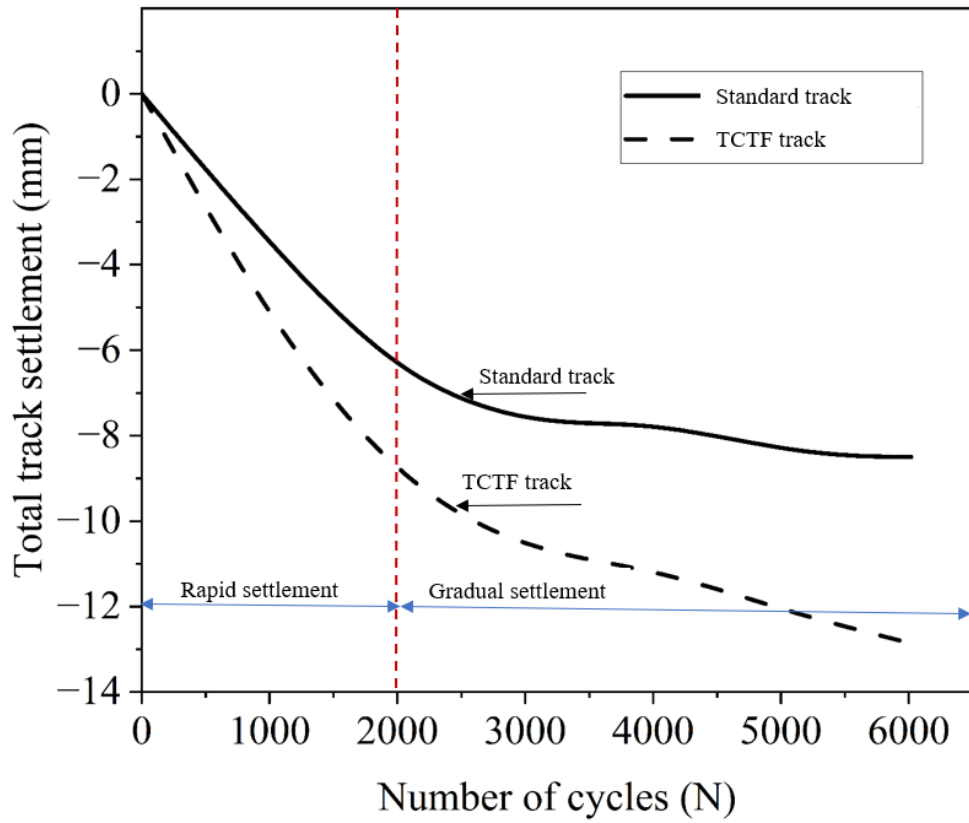
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Fig. 16. Vertical stress distribution at different granular layer interfaces in TCTF section

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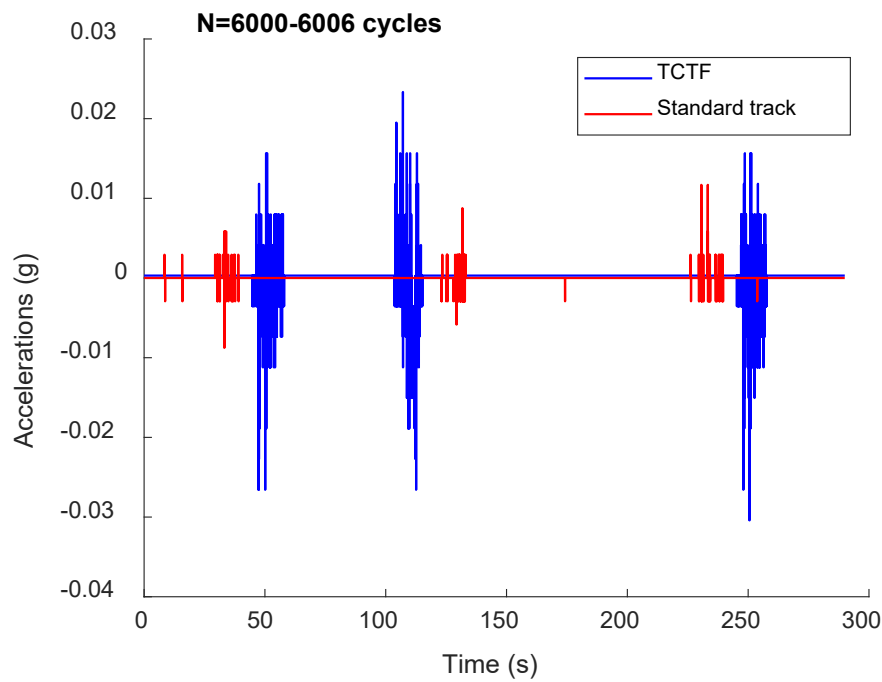
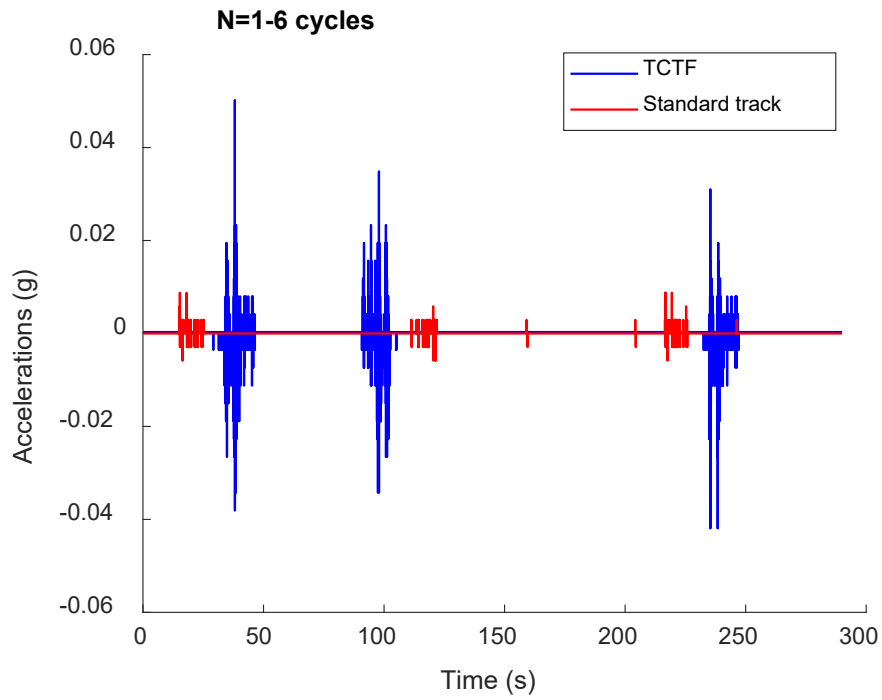
compared to Standard section

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1033 **Fig. 17.** Total track settlements measured at Chullora test tracks: Standard section vs. TCTF
 1034 section



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1036 **Fig. 18.** Measured accelerations in the TCTF track compared to the Standard section: (a) at

1037 N=1-6 cycles; and (b) at N=6000-6006 cycles