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1 **Evaluating the resilience of social license to operate towards NIMBY**
2 **facilities: a cloud model-based approach**

3

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14 **ABSTRACT**

15 This research aims to enhance the understanding of Social License to Operate (SLO)
16 resilience in Not-In-My-Backyard (NIMBY) facilities. The dynamic nature of SLO acquisition is
17 explored, and its implications for the relationship between industries and local communities in
18 NIMBY contexts. Employing a systematic literature review, the study identifies indicators for
19 SLO resilience, categorizes them through principal component analysis, and constructs an

20 evaluation framework from diverse stakeholder perspectives. A cloud model approach is
21 developed for SLO resilience evaluation, departing from traditional models. The study combines
22 qualitative and quantitative methods, ensuring a comprehensive analysis of the chosen NIMBY
23 facility. The research reveals that SLO resilience in NIMBY facilities is a complex system
24 influenced by such factors as information disclosure, fairness, and community education.
25 Government, community, and business resilience are essential components, with the study
26 identifying specific indicators for each. A Quzhou case study provides valuable insights into the
27 proposed evaluation model's practical application and validity. The findings offer actionable
28 recommendations for stakeholders involved in NIMBY facility construction and operation.
29 Emphasizing transparency, fairness, and strengthened legal frameworks for public participation,
30 the study guides for improving the resilience of SLOs in the face of evolving community
31 dynamics. It contributes to the existing literature by integrating resilience theory with the SLO
32 concept, offering a novel perspective on social licensing in NIMBY facilities. Introducing a cloud
33 model approach for SLO resilience evaluation adds a methodological contribution to the field.
34 The research's value lies in its potential to guide governments and enterprises in managing
35 NIMBY facility challenges and balancing the interests of diverse stakeholders.

36

37 *Keywords:* Cloud modeling; Not-In-My-Backyard facilities; Social License to Operate;
38 Evaluation; Resilience theory; Environmental risk avoidance

39 **1. Introduction**

40 The term NIMBY (Not-In-My-Backyard) emerged in the 1970s and was first
41 introduced in academic literature by [O'Hare \(1988\)](#). It refers to economic projects or
42 public facilities that, while providing societal benefits, impose negative externalities on
43 local residents. As a result, these projects often face opposition from the communities
44 directly affected by their presence ([Michael, 1992](#)). NIMBY facilities, such as municipal
45 solid waste disposal and nuclear power facilities, are crucial for a country's economy and
46 society globally ([Xu et al., 2023](#)). However, by definition, they are often opposed by local
47 residents due to potential environmental or health hazards or property loss (e.g., [Wang et](#)
48 [al., 2019](#)), as seen in China's Hangzhou Jiufeng waste-to-energy incineration plant ([Liu](#)
49 [et al., 2019](#)) and Xiamen P-Xylene plant ([Sun et al., 2016](#)). Although some economists,
50 such as [Fischel \(1986\)](#) and [Esaiasson \(2014\)](#), have argued that NIMBYism may lead to
51 excessive local government intervention and restrictive construction policies, potentially
52 impeding economic and social development, the growing prevalence of anti-NIMBY
53 movements worldwide has elevated the question of how to enhance and secure social
54 acceptance of NIMBY facilities to a critical issue.

55 Social License to Operate (SLO) has its origins in the extractive industries ([Jijelava](#)
56 [and Vanclay, 2018](#)) and is used by academics and practitioners to evaluate local residents'
57 acceptance of NIMBY facilities. SLO is non-linear, dynamic, and better suited for
58 providing feedback on the complex attitudes and behaviors of NIMBY facilities than the

59 PA (Public Acceptance) framework, which originated in the nuclear power industry (Xu
60 et al., 2023). However, it is ‘at risk of collapse’ (Wolsink, 2010) due to its dynamic nature
61 and potential destabilization over time or environmental changes (Dare et al., 2014). For
62 example, while nuclear power plants have been granted an SLO (Hoadl, 2018), the
63 nuclear leakage accident in Fukushima has led to a decline in trust in nuclear power plants
64 (Kim and Song, 2018), highlighting the need for further research and development.

65 Maintaining SLO can be interpreted as SLO *resilience*, which refers to the ability to
66 withstand external shocks to social infrastructure (Adger, 2000). Resilience is dynamic,
67 uncertain, and non-linear scientific thinking based on the same system-sustaining
68 characteristics as SLO (Smits et al., 2016). Studying the complexity, dynamics, and
69 comprehensiveness of NIMBY facilities from the perspective of resilience theory can
70 help better cope with external factors and perturbations. Research has illuminated the
71 pivotal role of Environmental Impact Assessments (EIA) in shaping the permitting
72 process for large capital projects (de Souza Hacon et al., 2018). Additionally,
73 environmental production and investment standards are crucial in determining the
74 feasibility of mining operations (Yıldız, 2021). These elements not only shape SLO but
75 also enhance its resilience. Therefore, this study raises the following research questions:
76 What are the indicators of SLO resilience of NIMBY projects, and how do these differ
77 among various stakeholder groups?

78 Most current research into SLO focuses on its formation mechanism and analytical
79 framework, with little attention to its maintenance. Theoretical research combining SLO
80 with resilience is relatively slow. Extant studies mainly examine resilience measurement
81 methods in disaster management (Qiang et al., 2023; Saja et al., 2018), the role of social
82 capital in enhancing community resilience (Carmen et al., 2022; Pfefferbaum et al., 2017;
83 Zhang and Sung, 2023), and resilience attributes at community and individual levels
84 (Abramson et al., 2015, Shapira et al., 2020). However, these studies mainly focus on
85 urban disaster contexts, with studies of SLO social resilience for NIMBY facilities only
86 recently emerging. Existing research is also mainly qualitative (Saja et al., 2018;
87 Pfefferbaum et al., 2017; Khalili et al., 2015), and the absence of quantitative evaluation
88 frameworks or a mature indicator system.

89 The present study identifies SLO resilience indicators through a resilience theory
90 lens through a systematic literature review. It constructs a robust evaluation model using
91 cloud modeling and principal component analysis. Validated in a case study, it enhances
92 the adaptive capacity of NIMBY facilities and fortifies system resilience post-risk. This
93 research bridges the existing gap and advances understanding in the field, providing a
94 clearer roadmap for its contribution to the broader knowledge landscape. In addition, the
95 developed knowledge system of the SLO's maintenance mechanism offers insights for
96 governmental bodies and enterprises involved in NIMBY infrastructure projects by acting

97 as a roadmap for constructing and operating NIMBY infrastructures, contributing to the
98 existing SLO resilience knowledge base.

99 In summary, this study delves into the resilience of SLO within NIMBY facilities.
100 It pinpoints essential indicators, constructs an extensive evaluation model utilizing cloud
101 modeling and principal component analysis, and validates these methodologies through
102 a case study with Everbright Environmental Energy (Quzhou) Co. Ltd., significantly
103 contributing to existing knowledge. This novel approach offers actionable insights for
104 stakeholders. It advances the broader discourse on SLO resilience, providing a clearer
105 roadmap for understanding and addressing critical gaps in the current research landscape.

106 **2. Literature review**

107 *2.1. Social License to Operate*

108 As part of impact assessment, Social License to Operate (SLO) is gradually
109 becoming an important dimension in evaluating the social feasibility and sustainability of
110 projects (Bice, 2017). The concept of SLO had been applied to industries such as mining,
111 forestry, wind and bioenergy, and a range of frameworks for assessing (Edwards and
112 Trafford, 2016, O'Brien et al., 2015), constructing and maintaining SLO had emerged. As
113 Prno and Slocomb point out, natural capital was becoming increasingly important as the
114 public had more power to influence the development of government decisions and the
115 concept of sustainability, and businesses needed to acquire, maintained, and improve SLO

116 (Prno and Slocombe, 2014). Because SLO has similar characteristics to formal regulatory
117 permits, it requires a set of processes with clear logic and standardized conditions of use
118 (Ford and Williams, 2016). SLO is dynamic and sustainable, and prevents companies
119 from taking into account people's wishes only at the initial stage of project decision-
120 making, while ignoring public opinion after the project is put into operation (Boutilier et
121 al., 2012).

122 Access to SLO is dynamic and non-permanent, and beliefs, opinions, and
123 perceptions may change as new information is acquired. For the first time, the Three-Step
124 Process clarifies how to maintain SLO (Thomson and Boutilier, 2011). In the SLO
125 concept, social acceptance is not simply defined in linear terms such as 'low' or 'high,'
126 but can be defined in terms of thresholds that separate different states of acceptance, and
127 this focus on states, thresholds, and nonlinear change links the SLO concept to systems
128 thinking. The maintenance and enhancement of SLO is characterized by systematic
129 uncertainty and nonlinear changes that cannot be explained by simple determinism or
130 reductionism.

131 *2.2 Social Resilience*

132 Resilience was often used in the fields of engineering (Shen and Ying, 2022),
133 disasters (Saja et al., 2018), urban and planning (Lu et al., 2021), and sociology (Liang
134 and Cao, 2021), was a diverse and interconnected set of non-linear attributes in complex
135 and dynamic social system that typically vary over time (Abenayake et al., 2016). Adger

136 first extended the concept of resilience from the ecological domain to the human social
137 domain, exploring the link between social resilience and ecological resilience, defining it
138 as ‘the ability of a group or community to withstand external shocks to the social
139 infrastructure’. (Adger, 2000)

140 In their study of resilience in cities, neighborhoods, and infrastructure, Moya and
141 Goenechea (2022) constructed a conceptual framework for social resilience by
142 synthesizing the characteristics of community resilience and integrating different
143 approaches in sociology to view community resilience as a dynamic, multilevel, and
144 evolutionary process. Saja et al. (2018) proposed an inclusive and adaptive ‘5S’ social
145 resilience framework based on discussions in the existing literature, including social
146 structure, social capital, social mechanisms, social equity, and social beliefs. In addition,
147 the development of systematic resilience assessment methods and tools is also extremely
148 important, and some scholars have proposed a number of resilience assessment
149 frameworks that combine different contexts and perspectives. Peacock et al. proposed the
150 CDRI framework in 2010 by analyzing and studying several communities along the U.S.
151 Gulf of Mexico Coast, focusing on surveying their community leaders to identifying their
152 social, economic, human, and material capitals (Peacock, 2010). Alshehri et al. in 2014
153 used the AHP method through a combination of quantitative and qualitative methods to
154 construct the CRDSA framework, which contains dimensions related to social, economic,
155 physical and environmental, governance, health and well-being, and information and

156 communication (Alshehri et al., 2015). Therefore, further research on the ‘resilience’ of
157 SLO needs to be carried out with a systemic approach, which will also help to improve
158 enterprise-land relations and enhance social acceptance in the long term.

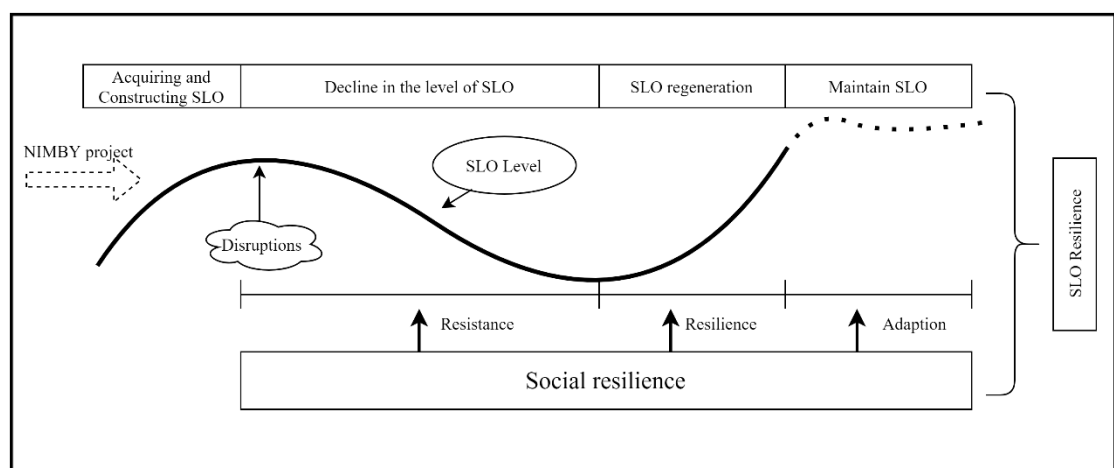
159 *2.3 SLO Resilience*

160 A comprehensive review of the relevant literature reveals that research on SLO has gradually
161 advanced, leading to the development of a relatively mature theoretical framework that effectively
162 addresses the degree of acceptance of NIMBY facilities by local residents. Meanwhile, resilience-
163 related research has yielded even more significant advancements in fields such as urban systems,
164 social-ecological systems, public safety, and individual psychology. Given that individual views
165 and attitudes can evolve over time and across changing environments, SLO is inherently dynamic
166 and sustainable. This characteristic prevents companies from focusing solely on public
167 preferences during the initial stages of project decision-making while neglecting public opinion
168 once the project is operational (Boutilier et al., 2012). In particular, adaptation plays a more
169 critical role in sustaining SLO than in establishing it, making it a key variable in assessing SLO
170 resilience (Prno and Slocombe, 2014). In this regard, Baumber (2019) further emphasizes
171 the importance of considering adaptation as an independent factor in the context of SLO
172 resilience.

173 The majority of current research on SLO focuses on its formation mechanisms and analytical
174 frameworks, while studies on strategies for maintaining SLO remain relatively insufficient. By
175 integrating the concept of SLO with the systems thinking approach to resilience, it

176 becomes evident that the level of SLO fluctuates when a project is impacted by external
 177 risks (Moffat and Zhang, 2014; Smits et al., 2017). During such instances, the system's
 178 inherent resilience mitigates the risk of a complete loss of SLO. Subsequently, the
 179 resilience and adaptability within the SLO system operate sequentially to restore and
 180 stabilize the SLO level. Research on social resilience is often embedded within broader
 181 studies on urban resilience or social-ecological system resilience (Saja et al., 2021).
 182 Critical factors such as social capital, social support, community participation, and social
 183 identity constitute foundational elements in the development of a resilience theory for
 184 SLO. Resilience research enhances the ability of SLO systems to manage risks and remain
 185 renewable, as Joyce and Thomson (2000) noted, emphasizing that SLO systems must
 186 possess the capacity to adapt to contingencies in order to maintain their stability and
 187 sustainability. The theoretical framework for this study is illustrated in Fig 1.

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189

190

Fig.1 Theoretical framework

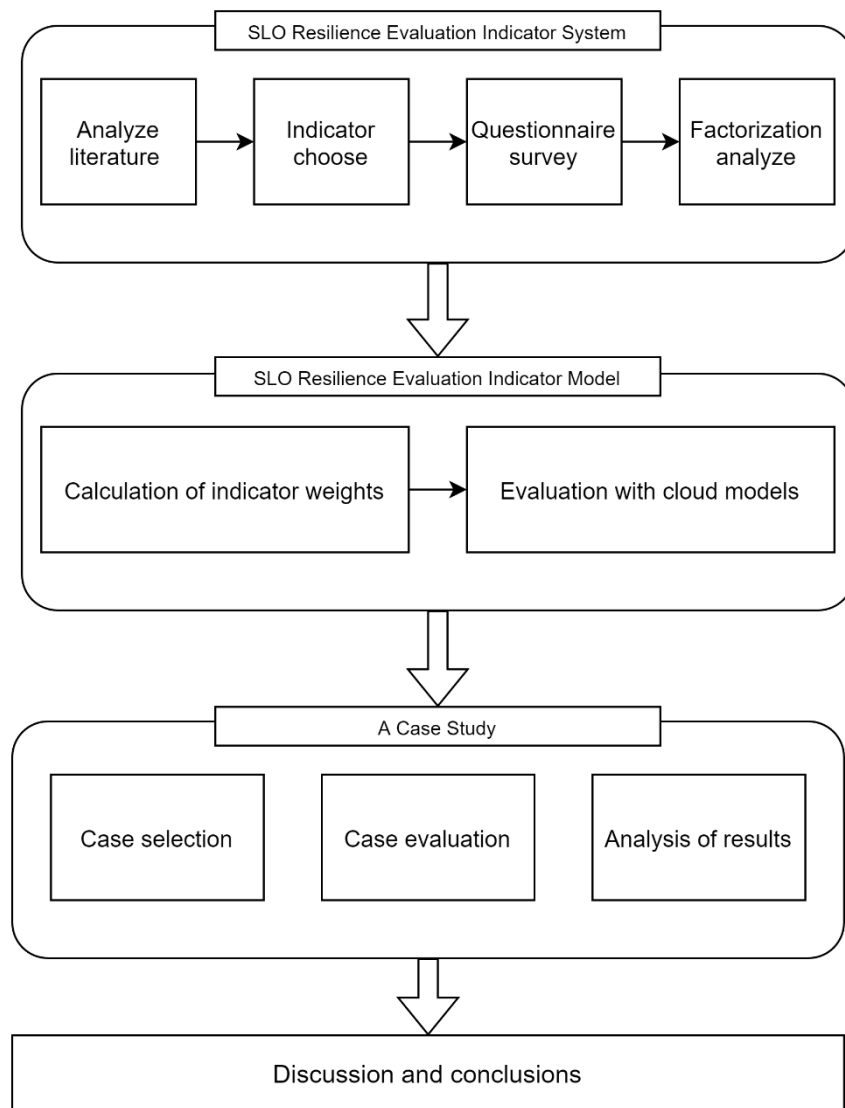
191 **3. Materials and methods**

192 [Fig. 2](#) shows the overall analytical framework. This study contains the following
193 three steps:

194 *Step one:* The SLO resilience evaluation indicators are identified by the systematic
195 literature review method to screen related literature in the China Knowledge Network
196 database and the Web of Science Core Collection with ‘resilience/resilient’, ‘community’,
197 ‘social resilience’, ‘social license/permission’ as keywords.

198 *Step two:* The basic data are obtained by questionnaire, and the questionnaire results
199 are factor analyzed using Kaiser’s principle ([Kaiser, 1960](#)) and Scree’s test ([Ledesma et](#)
200 [al., 2015](#)). The weight calculation results are introduced into the cloud model to generate
201 an SLO resilience evaluation benchmark cloud map, which is used to construct a SLO
202 toughness evaluation model.

203 *Step three:* A classic case validates the SLO toughness evaluation model. Evaluation
204 of the selected cases by local residents is obtained through field research. The obtained
205 data are imported into the constructed SLO resilience evaluation model to obtain the
206 evaluation cloud of the case and compared with the benchmark cloud to obtain the final
207 evaluation results. The evaluation results are then compared with the actual situation to
208 verify the scientific rationality of the model.



209

210

Fig. 2. The overall research framework

211 *3.1. Identifying SLO resilience evaluation indicators*

212 To explore the indicators of resistance, resilience, and adaptability within the

213 government, community, and business subsystems, principal component analysis was

214 conducted firstly based on Kaiser’s principle and Scree’s test to extract the number of

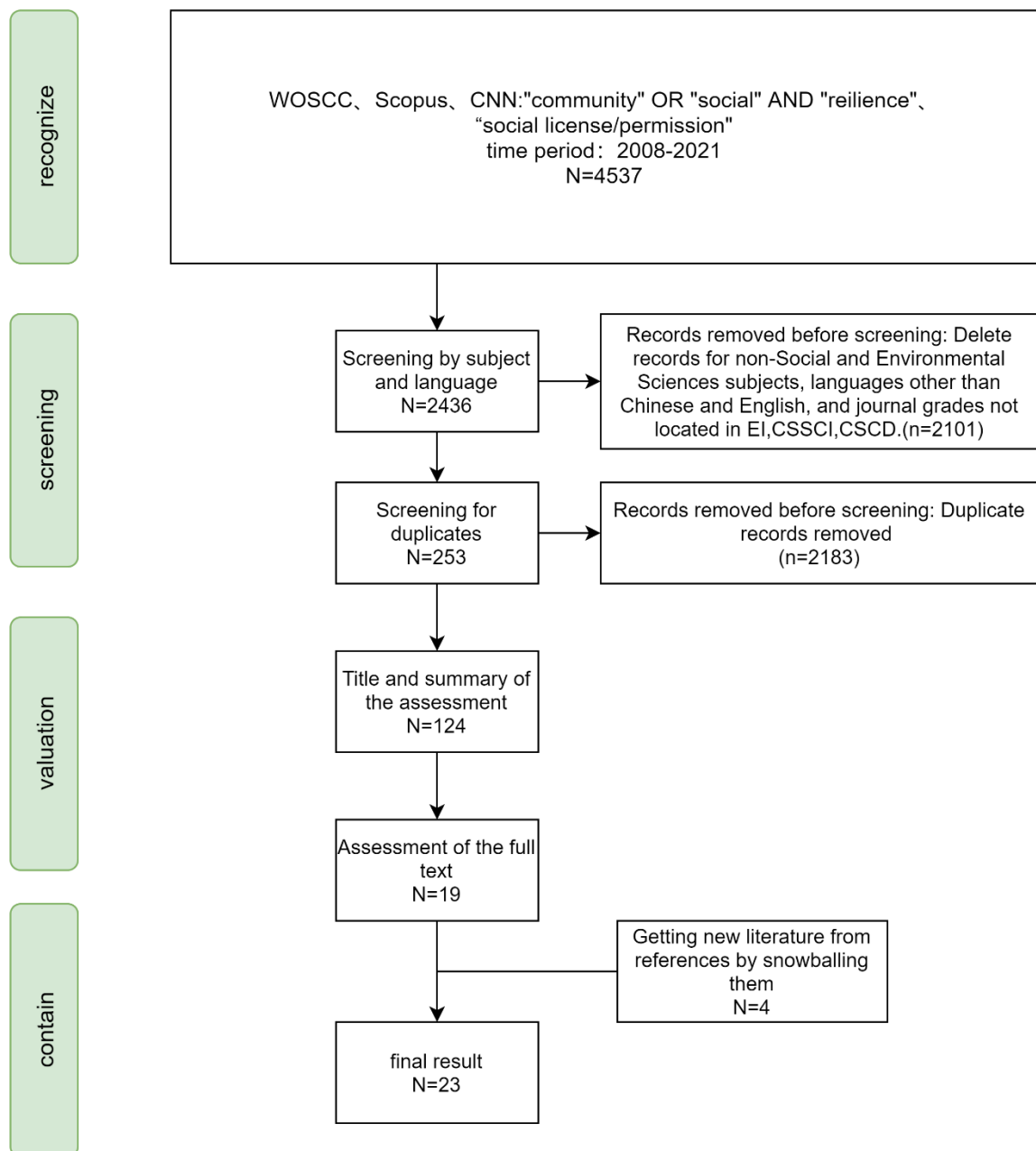
215 factors for each stakeholder. Secondly, the component matrices corresponding to each

216 indicator were analyzed using the maximum variance method after several rotation

217 iterations. The rotation method used was orthogonal rotation with Kaiser normalization.
218 The final feature set, which captures the amount of information present in the dataset, is
219 obtained (See Tables B-D in the Appendix). In the SLO Resilience Evaluation Metrics
220 System for NIMBY Facilities, the *secondary indicator* weights can be calculated using
221 the principal component analysis discussed earlier, reflecting the correlation and
222 interdependence among multiple indicators. The process of calculating the weights
223 involves the following three main steps:

224 In the first step, calculating the coefficients of the factors in a linear combination
225 requires dividing the initial factor loading coefficients by the square root of the
226 corresponding eigenvalues. In the second step, the coefficients of the composite score
227 model were calculated using the variance contribution. The linear combination
228 coefficients were then accumulated by multiplying them with the rotated variance
229 explained rate and dividing by the cumulative variance explained rate. In the third step,
230 the weight coefficients were calculated for each factor, and the model coefficients were
231 normalized for each factor's composite score.

232 *3.2. Selection of indicators*



233

234

235

236 SLO resilience-related indicators are screened based on past social/community

237 resilience evaluation indicators combined with NIMBY facilities' SLO characteristics

238 and influencing factors in the 23 screened items of literature, with cross-cutting and
239 similar indicators merged. The literature screening process is shown in [Fig. 3](#).

240 Based on a structured Expert Workshop, an electronic questionnaire was distributed
241 to a total of nine people, including experts in the relevant fields from universities,
242 Master's and doctoral students, and people managing NIMBY facilities, to inquire about
243 the reasonableness of the indicators, as well as whether any are missing or need to be
244 added. The list of indicators for evaluating the resilience of SLO for NIMBY facilities is
245 categorized according to the three stakeholders in the complex system of SLO:
246 government, community, and business. [Tables 1 to 3](#) provide the indicator labels with
247 specific interpretations for each subsystem.

248 The PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-
249 Analyses) ([Liberati et al., 2009](#)) guidelines were used to screen the literature from
250 multiple databases, establishing the foundation for selecting the SLO resilience metrics
251 for NIMBY facilities. The screening process is shown in [Fig.3](#), which resulted in 23
252 documents. Drawing from previous social/resilience evaluation indicators and
253 considering the unique characteristics and influencing factors of SLO in NIMBY
254 facilities, a preliminary list of indicators is formed. The list can be found in the Appendix
255 (see Table A), followed by the merging of cross-cutting and similar indicators.

256 Invitations for the structured expert workshops were extended *via* email to a diverse
257 group, including university experts in the relevant fields, master’s and doctoral students,
258 and NIMBY facility administrators. Nine people agreed to participate in the online
259 workshop facilitated through Tencent conferencing software. Participants engaged in an
260 electronic questionnaire, providing valuable insights on the reasonableness of the
261 indicators and suggesting any necessary additions or modifications. The indicators
262 designed to assess SLO resilience at NIMBY facilities are categorized according to the
263 three stakeholders within the complex system of SLO: government, community, and
264 business.

265 *Government:* The relevant authorities at the prefecture-level city responsible for
266 overseeing and regulating the project;

267 *Community:* The local communities adjacent to the project site that are affected by
268 the presence and operations of the NIMBY facilities;

269 *Business:* The corporation or entity tasked with project design, construction, and
270 operational management.

271 Tables 1 to 3 provide indicator labels and specific explanations for each subsystem.

272

273 **Table 1**

274 Interpretation of evaluation indicators on the government

Serial	Indicators	Interpretation of indicators
--------	------------	------------------------------

G1	Government credibility	Local residents' satisfaction with local government
G2	Emergency preparedness	The government has prepared a more comprehensive emergency plan for the project
G3	Popularization of science	Grassroots government science outreach to surrounding communities in NIMBY facilities
G4	Equitable distribution	Transparent and fair distribution of financial compensation by the government
G5	Supervision of enterprises	The government can monitor businesses
G6	Disclosure of information	The government will publicize the EIA report and information related to government decisions
G7	Pacified communities	Grassroots government will provide psychological comfort and emotional relief to community residents
G8	Government governance	The enterprise can assume its responsibilities under the governmental governance structure and governance mechanisms
G9	Specialized working groups	The government will set up a thematic working group for the project
G10	Laws and regulations	Improvement of laws related to protecting the environment, public participation processes, etc.
G11	Modulating effect	Government becomes the link between business and the community and plays a moderating role

275

276 **Table 2**

277 Interpretation of evaluation indicators in the community

Serial	Indicators	Interpretation of indicators
C1	Age structure	Proportion of young adults in local communities
C2	Educational attainment	Educational attainment of local community residents at the tertiary level and above
C3	Sense of community	Residents have a strong sense of identity and belonging to the region
C4	Learn about the program	Community members took the initiative to learn about the program
C5	Community leadership	There are trusted community leaders in the community
C6	Local committee	The local committee informs the community about important developments in the project
C7	Community expectations	The needs and expectations of community residents can be met
C8	Expression of opinion	Community residents have the opportunity to express their wishes
C9	Key figure	There are key people in the community who know the right people to help with tasks
C10	Moral of the population	Community members have a strong sense of morality
C11	Shared vision	Community residents can work together with government and businesses to solve related problems
C12	Risk awareness	Risk awareness among community members themselves

278

279 **Table 3**

280 Interpretation of evaluation indicators for the business

Serial	Indicators	Interpretation of indicators
E1	Corporate reputation	Word of mouth, reputation, and satisfaction of the business in the surrounding community
E2	Emergency drills	Businesses conduct regular drills for unforeseen events
E3	Popularization of science	Enterprises educate residents of neighboring communities about the facility's publicity and science
E4	Views of the population	Businesses listen to and respect community residents
E5	Emergency measures	Enterprises have a comprehensive emergency protection system for emergencies
E6	Disclosure of information	Enterprises organize field trips to projects for local residents, public annual reports
E7	Protecting the environment	Maintenance of local future sustainability of groundwater, farmland
E8	Corporate decision-making	Businesses consider local residents in some of their decisions
E9	Quality of communication	Business engagement with local residents can be effective in solving residents' problems
E10	Frequency of communication	Enterprises will hold symposiums to communicate with local residents' representatives frequently
E11	Corporate governance structure	The government regulates businesses to meet the needs of society
E12	Long-term monitoring	Businesses pay long-term attention to the opinions and sentiments of community residents

281

282 *3.3. Validation of indicators*

283 The questionnaire used the evaluation indicators as variables. It was distributed
284 online through the Questionnaire Star software and offline by hard copy distributed face-
285 to-face and collected on the spot. Snowball sampling was used to reduce the chances of
286 random or invalid questionnaires. Data collection took two months, with 145 and 67
287 questionnaires issued online and offline, respectively, of which 142 and 65 were retrieved.
288 Those with extreme ratings and no significant differences were considered invalid (Zhong
289 Xiaoyu, 2020). The total number of valid questionnaires for analysis after screening is

290 204, with an effective recovery rate of 96.23%. [Table 4](#) summarizes the respondents'
 291 socio-demographic information.

292

293 **Table 4**

294 Sample basic information statistics

Profile (N=204)	Category	Frequency	Proportion
Genders	Male	113	55.39%
	Female	91	44.61%
Work unit	Universities and research institutions	59	28.92%
	Government branch	49	24.02%
	NIMBY facilities businesses	70	34.31%
	Other businesses	26	12.75%
Education level	Specialized and below	45	22.06%
	Undergraduate	88	43.14%
	Bachelor's degree	50	24.51%
	PhD and above	21	10.29%
Working experience	5 years and under	55	26.96%
	5-10 years	32	15.69%
	10-20 years	40	19.61%
	More than 20 years	77	37.75%

295

296 Worthy of mention is that the number of males is slightly higher than that of females,
 297 with a ratio of 11:9. Their work units are mainly NIMBY facilities and university research
 298 institutions, accounting for 34.31% and 28.92% of the total sample, respectively. In terms
 299 of academic qualifications, Bachelor's degrees account for the vast majority of
 300 respondents, with 88 people, or 43.14% of the total, and respondents with Master's and
 301 doctoral degrees account for 34.8% of the total. Hence, respondents generally have higher
 302 academic qualifications. In the statistics of working experience, the respondents with
 303 more than 20 years of experience account for 37.75%, indicating that the survey

304 respondents have more seniority and work experience in the industry. Overall, the sample
305 characteristics are more evenly distributed, and the sample data are credible and
306 representative.

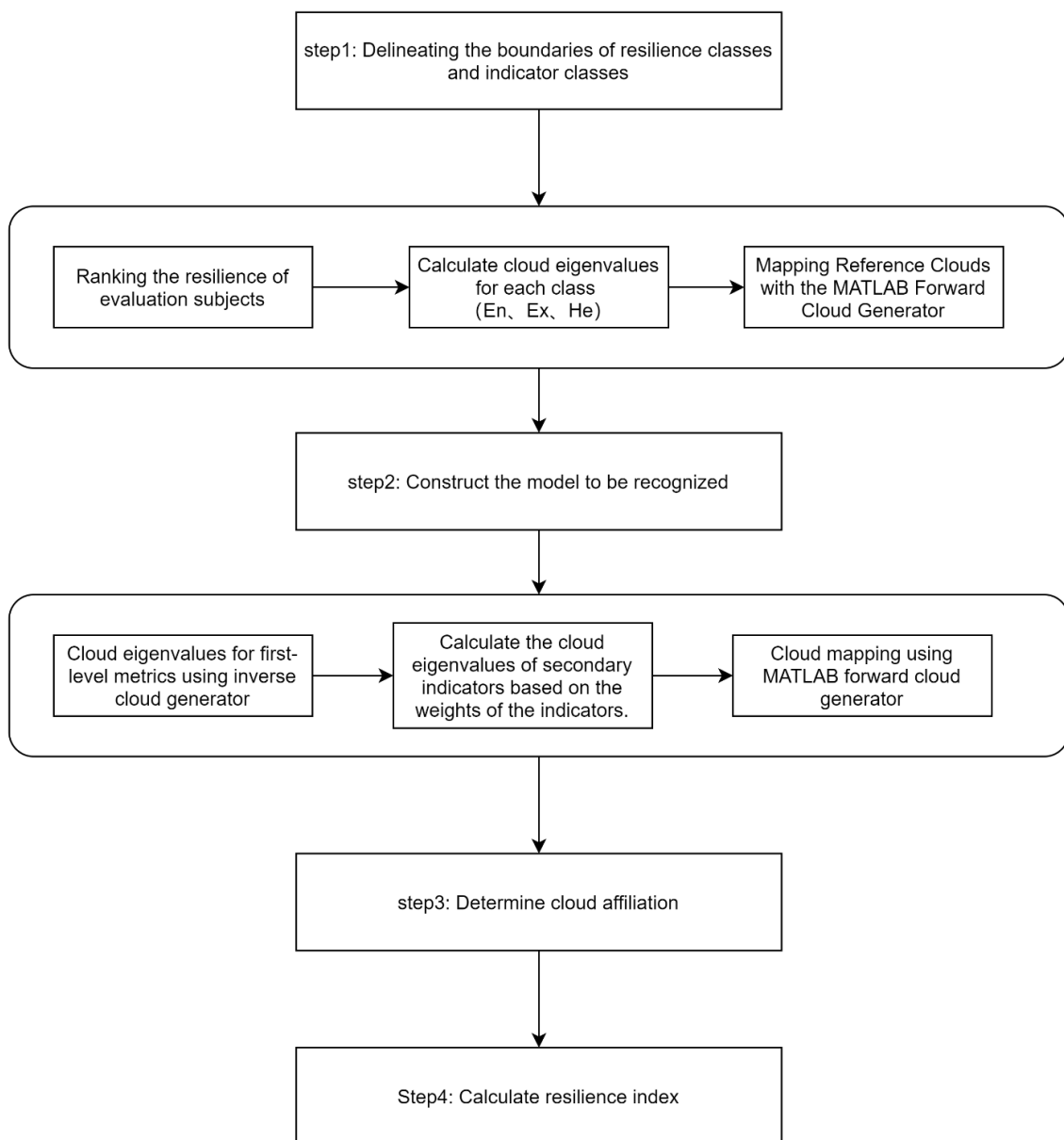
307

308 *3.4. Cloud model-based approach for SLO resilience evaluation*

309 [Deyi Li and Du \(2016\)](#) initially proposed [Deyi Li and Du \(2016\)](#) the cloud model
310 concept in the 1990s by combining the stochastic functions of traditional probability
311 theory with the theories of modern fuzzy mathematics as models that can be interchanged
312 between qualitative concepts and quantitative data. The model is widely used in the
313 evaluation of complex systems and uncertainty risks, such as in the study of urban disaster
314 emergency response capacity ([Xu et al., 2022](#)) and ecological risks([Yang et al., 2018](#)),
315 and in recent years it has also begun to be applied in resilience evaluation([Qiao and Pei,](#)
316 [2022](#)). In this study, the cloud model approach is used to calculate the SLO resilience,
317 and a questionnaire evaluates the resilience of NIMBY facilities' SLO during the case
318 evaluation process.

319 The evaluation steps for the resilience of NIMBY facilities' SLO are based on the
320 cloud model implementation process, which is obtained in combination with the weights
321 of the indicators identified in the previous section. The Benchmark Cloud is generated by
322 dividing the rubric set after organizing and categorizing each stakeholder's indicator and
323 guideline layers. The resilience indicators are calculated by determining the membership

324 through the forward and inverse cloud generators. Fig. 4 shows the steps for the
 325 comprehensive evaluation of the cloud model. This approach has the randomness and
 326 fuzziness of cloud modeling. Fuzziness and randomness are co-expressed throughout the
 327 data processing process, and the cloud model materializes both characteristics. The
 328 number of comments can better respond to the model's differences in new data.



329

330 **Fig. 4.** Cloud modeling evaluation steps

331

332 *3.5. Validating models with a case study*

333 The case object selected for this study is the Everbright Environmental Energy
334 (Quzhou) Co. Ltd. Waste disposal facility in Kecheng District, Quzhou City, Zhejiang
335 Province, established on August 28, 2017, which treats 1,500 tons of domestic waste daily.
336 It has gained the support of local residents during the construction and operation process,
337 and has won many awards, such as the Luban Award and Top Ten Environmental
338 Protection Facilities, and has become a benchmark enterprise in the construction and
339 operation of NIMBY facilities.

340 To assess the resilience of NIMBY facilities' SLO, the questionnaire is divided into
341 three main sections based on stakeholders – government, residents, and businesses – and
342 the questions are determined according to the developed indicator system. To understand
343 the respondents' background information, the questionnaire established relevant options
344 before the SLO Resilience Measurement Scale, including age, gender, and education level.
345 The question items remain on a 5-point Likert scale, ranging from 1 (“not at all”) to 5
346 (“fully”) conforming. The primary respondents were residents within 3km of the project,
347 as studies such as [Ren et al. \(2016\)](#) indicate that these are more likely to be opposed to
348 the project and involved in mass demonstrations.

349 **4. Results**

350 *4.1. The model*

351 *(1) Reliability and validity*

352 Because the absolute skewness value of each indicator is less than 1.2 and kurtosis
353 is less than 1, the sample indicators are approximately normally distributed, so they can
354 continue to be analyzed for reliability and validity. The overall Cronbach α coefficient
355 for the government respondents is 0.88, indicating good reliability, and is above 0.9 for
356 community and enterprise, with very good reliability. For all the indicators, Cronbach's
357 α values are still greater than 0.8 after deleting the question item, so the scale has good
358 reliability. Therefore, each question item meets the requirements. Subsequently, the KMO
359 test and Bartlett's test for sphericity are performed. The KMO values of the various
360 subjects of interest are all greater than 0.7, and the probability of significance of Bartlett's
361 test of sphericity is 0.000, much less than 0.01, so the data have good validity.

362

363 *(2) Exploratory factor analysis*

364 Kaiser's principle determines how many of the main factors can be retained, which
365 is satisfied before the extracted components can be considered to explain the original
366 variables better. There are three main steps in the extraction and categorization of public
367 factors; the first step is to interpret the total variance by Kaiser's principle, the results of
368 which are shown in Table 5; the second step is to test whether the extraction results are

369 ideal or not by Scree's test, and the third step is to categorize the extracted public factors
 370 according to the results. The results of the categorization are shown in Table 6. Table 5
 371 shows the total explained variance. The eigenvalues of the public factors extracted for
 372 government, community, and business are greater than unity, and the cumulative variance
 373 contributions are greater than 60%, explaining most of the variance. The Scree plots
 374 similarly show steeper slopes for the first three factors with eigenvalues greater than unity
 375 for government, community, and business, with the slopes leveling off after the third
 376 factor, which suggests that the appropriate number of principal components are extracted.

377 **Table 5**

378 Total explained variance ratio

Interested parties	Factor	Initial eigenvalue			Extracted sum of squares loadings			Rotation sum of squares loadings		
		Total	Variance contribution ratio(%)	Cumulative (%)	Total	Variance contribution ratio(%)	Cumulative (%)	Total	Variance contribution ratio(%)	Cumulative (%)
Government	1	5.07	46.093	46.093	5.07	46.093	46.093	2.883	26.209	26.209
	2	1.458	13.251	59.344	1.458	13.251	59.344	2.467	22.428	48.637
	3	1.252	11.378	70.722	1.252	11.378	70.722	2.429	22.084	70.722
Community	1	6.074	50.619	50.619	6.074	50.619	50.619	3.398	28.319	28.319
	2	1.229	10.24	60.859	1.229	10.24	60.859	2.798	23.314	51.634
	3	1.155	9.625	70.485	1.155	9.625	70.485	2.262	18.851	70.485
Business	1	6.479	53.99	53.99	6.479	53.99	53.99	3.138	26.152	26.152
	2	1.385	11.54	65.53	1.385	11.54	65.53	3.056	25.469	51.62
	3	1.181	9.843	75.373	1.181	9.843	75.373	2.85	23.753	75.373

379

380 Orthogonal variable rotation for government, community, and business sides with
 381 Kaiser normalization converges after five iterations. Since the loading of every indicator

382 is greater than 0.5, there is no need to modify or delete the indicators. Based on the
 383 adaptive cycle theory, which was originated by [Holling and Gunderson \(2001\)](#), indicators
 384 are categorized as resistance, adaptation, and resilience indicators ([Gunderson, 2003](#)).
 385 Table 6 shows the categorization.

386 **Table 6**
 387 Indicator categorization

	Government	Community	Business
Resistance	G3 Popularization of science	C1 Age structure	E2 Emergency drills
	G5 Supervision of enterprises	C2 Educational attainment	E3 Popularization of science
	G2 Emergency preparedness	C3 Sense of community	E5 Emergency measure
	G8 Government governance		E6 Disclosure of information
Adaptation	G9 Specialized working groups	C5 Community leadership	E1 Corporate reputation
	G10 Laws and regulations	C6 Local committee	E10 Frequency of communication
	G11 Modulating effect	C7 Community expectations	E11 Corporate governance structure
		C9 Key figure	E12 Long-term monitoring
Resilience	G1 Government credibility	C4 Learn about the program	E4 Views of the population
	G7 Pacified communities	C8 Expression of opinion	E7 Protecting the environment
	G4 Equitable distribution	C10 Moral of the population	E8 Corporate decision-making
	G6 Disclosure of information	C12 Risk awareness	E9 Quality of communication

388 *(3) Constructing the SLO resilience evaluation model*

389 For the *public factor* weights, the three common factors are first extracted. Then, the
 390 weighted variance explained is obtained by dividing the rotated variance explained of the
 391 common factors by the rotated cumulative variance explained. [Table 7](#) shows the weights
 392 of each common factor.

393 **Table 7**
 394 Weight of each metric factor

Interested party	Factor	Weighted variance explained ratio	Weight
Government	GR1	37.059%	0.371
	GR2	31.713%	0.317
	GR3	31.226%	0.312
Community	CR1	40.177%	0.402
	CR2	33.077%	0.331

Business	CR3	26.745%	0.267
	ER1	34.697%	0.347
	ER2	33.791%	0.338
	ER3	31.514%	0.315

395

396 For the *government factor*, $GR1 > GR2 > GR3$ is obtained after the weighting
397 process, corresponding to resistance, adaptability, and resilience dimensions. Of these,
398 resistance is the most heavily weighted and important. Adaptation and resilience weights
399 are not very different, and their importance is comparable. In the *community factor*, $CR1$
400 $> CR2 > CR3$ correspond to the dimensions of adaptability, resilience, and resistance,
401 respectively, and the adaptive weights are significantly greater than the remaining factors.
402 For the *business factor*, resilience is of low importance — $ER1 > ER2 > ER3$. They
403 correspond to the adaptability, resilience, and resistance dimensions, respectively, with
404 their order of importance similar to the community dimensions. However, the weights of
405 the dimensions are similar, as is their importance.

406 [Tables 8 to 10](#) show the overall and intra-group ranking of the indicators yielded in
407 this way, providing a good basis for the subsequent use of the assessment methodology.

408 **Table 8**

409 Government indicator weights

Dimension	Dimension weight	Evaluation indicator	Weighting within groups	Group ranking	Combined weight	Comprehensive ranking
Resistance	0.371	Emergency preparedness.	0.236	4	0.087	9
		Popularization of science.	0.251	3	0.093	4
		Government governance.	0.278	1	0.103	1

Resilience	0.312	Supervision of enterprises.	0.267	2	0.099	3
		Government credibility.	0.291	3	0.070	11
		Pacified communities.	0.268	4	0.089	8
		Equitable distribution.	0.318	1	0.089	7
Adaptation	0.317	Disclosure of information.	0.293	2	0.091	6
		Specialized working groups.	0.226	2	0.092	5
		Laws and regulations.	0.285	3	0.085	10
		Modulating effect.	0.285	1	0.101	2

410

411 **Table 9**

412 Community indicator weights

Dimension	Dimension weight	Evaluation indicator	Weighting within groups	Group ranking	Combined weight	Comprehensive ranking
Resistance	0.267	Educational attainment.	0.183	2	0.049	11
		Sense of community.	0.183	3	0.049	12
		Age structure.	0.351	1	0.094	4
Resilience	0.331	Learn about the program.	0.244	4	0.081	10
		Expression of opinion.	0.259	3	0.086	7
		Risk awareness.	0.292	1	0.097	2
		Moral of the population.	0.292	2	0.096	3
Adaptation	0.402	Community expectations.	0.220	3	0.088	6
		Local committee.	0.249	1	0.100	1
		Shared vision.	0.226	2	0.091	5
		Key figure.	0.209	5	0.084	9
		Community leadership.	0.212	4	0.085	8

413

414 **Table 10**

415 Business indicator weights

Dimension	Dimension weight	Evaluation indicators	Weighting within groups	Group ranking	Combined weight	Comprehensive ranking
Resistance	0.315	Popularization of science.	0.262	2	0.082	8

		Emergency measure.	0.259	3	0.082	9
		Emergency drills.	0.219	4	0.069	12
		Disclosure of information.	0.276	1	0.087	3
Resilience	0.338	Protecting the environment.	0.241	2	0.081	10
		Views of the population.	0.218	4	0.084	6
		Corporate decision-making.	0.252	1	0.085	5
		Quality of communication.	0.230	3	0.078	11
Adaptation	0.347	Corporate governance structure.	0.255	2	0.089	2
		Frequency of communication.	0.242	4	0.084	7
		Long-term monitoring.	0.271	1	0.094	1
		Corporate reputation.	0.246	3	0.085	4

416 *(4) Building the benchmark cloud model*

417 Based on the characteristics of SLO and other studies on infrastructure resilience, the
418 SLO resilience evaluation ratings are categorized into five levels (Table 11). The
419 comment sets are {lower, low, medium, high, and higher}. The value field is [0,5]. The
420 intervals corresponding to each evaluation level are [0,2.25), [2.25,3), [3,4), [4,4.5),
421 [4.5,5]. The entropy value is obtained after several experimental adjustments using
422 MATLAB, and the value of k is finally determined to be 0.02. A benchmark cloud model
423 of NIMBY facilities' SLO resilience is then computed.

424

425

426 **Table 11**

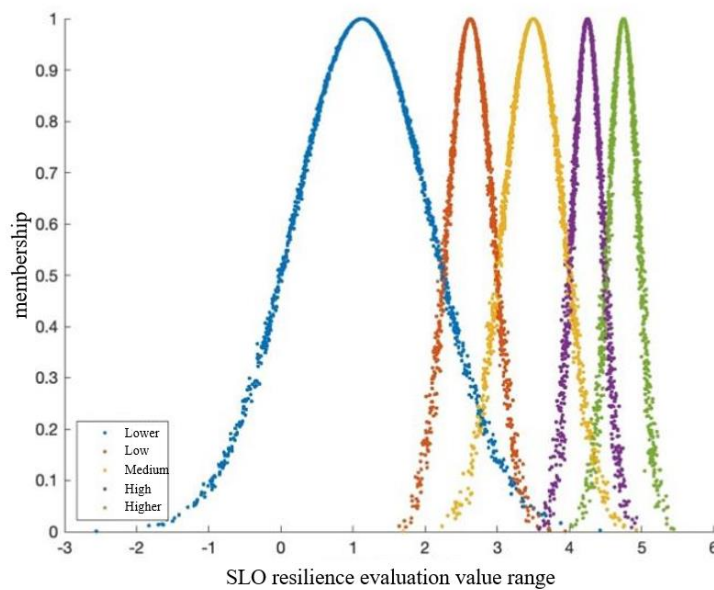
427 SLO resilience evaluation delineation

Evaluation	Rating	Evaluation interval	Ex	En	He
Lower	I	[0,2.25)	1.125	0.955	0.02
Low	II	[2.25,3)	2.625	0.318	0.02

Medium	III	[3,4)	3.5	0.425	0.02
High	IV	[4,4.5)	4.25	0.212	0.02
Higher	V	[4.5,5]	4.75	0.212	0.02

428

429 Based on the three numerical features calculated, the baseline cloud map for SLO
430 resilience evaluation is generated using MATLAB’s forward cloud generator with the
431 number of cloud droplets set to 1500 (Fig. 5).



432

Fig. 5. SLO resilience evaluation benchmark cloud

433

434

435 In evaluating SLO for NIMBY facilities, a larger *Ex* indicates a higher average
436 resilience and a greater horizontal position on the graph; a larger *En* implies a wider range
437 of data, reflecting a broader span on the image, with more dispersed and volatile
438 individual evaluations of the project. A larger *He* suggests a thicker cloud, representing
439 less stable resilience.

440

441 4.2. Case evaluation

442 (1) Implementation

443 On November 5, 2022, the research team organized relevant members to visit
 444 Quzhou Everbright Environmental Energy Company for a week-long visit. The returned
 445 questionnaires identified the relevant study villages as Shandi Village, Siqian Village,
 446 Zhangjia Village, Jangyao Village, Shangzhu Village, and Huangjia Village.

447 One hundred fifty questionnaires were distributed in this case study, of which 146
 448 were considered valid, with a percentage of 97.33%. Since the project perimeter was
 449 mostly located in suburban and rural areas, the residents were generally less educated and
 450 may be unable to complete the questionnaire independently. Hence, the team members
 451 interacted with the respondents face-to-face and verbally explained the questionnaire to
 452 help them understand the content and complete it on the spot.

453 **Table 12**

454 Sample demographic information

Profile	Category	Frequency	Proportion
Gender	Male	61	41.78%
	Female	85	58.22%
Age	18-25	6	4.11%
	26-35	10	6.85%
	36-44	30	20.55%
	45-60	64	43.84%
	≥60	36	24.66%
Highest degree	Junior high school and below	88	60.27%
	High school or junior college	34	23.29%
	Senior college	18	12.33%

Undergraduate	4	2.74%
≥Graduate	2	1.37%

455

456 [Table 12](#) shows the respondents' demographics, with 39.3% more females than
 457 males, over two-thirds over 35, and many not even receiving a secondary education. This
 458 is because of the remoteness of the villages where the project is located and that most of
 459 the male and young labor force is out of work. It was also found during the field research
 460 that most of the women who stayed behind were middle-aged and above.

461

462 *(2) Comprehensive cloud evaluation*

463 BCG was used to calculate *Ex*, *En*, and *He* for each metric in the collected NIMBY facility
 464 SLO resilience data through MATLAB. These represent the indicator stratocumulus cloud
 465 eigenvalue. The weights of each indicator obtained above are then used to calculate *Ex*, *En*, and
 466 *He* for the criterion layer using the indicator layer cloud eigenvalues. This process resulted in the
 467 final cloud model for each party ([Tables 13 to 15](#)).

468 **Table 13**

469 Government cloud modeling

Standardize d layer	Standardized layer cloud model (<i>Ex</i> , <i>En</i> , <i>He</i>)	Indicator	Indicator layer cloud model (<i>Ex</i> , <i>En</i> , <i>He</i>)
Resistance	(3.1135, 1.0634, 0.2477)	G ₁₁ Popularization of science	(3.0479, 1.2064, 0.4099)
		G ₁₂ Supervision of enterprises	(2.9658, 0.9498, 0.0118)
		G ₁₃ Emergency preparedness	(3.0205, 0.9943, 0.2924)
		G ₁₄ Government governance	(3.0411, 0.9819, 0.2620)
Resilience	(3.2054, 1.0917, 0.2310)	G ₂₁ Government credibility	(3.0959, 0.8276, 0.0961)
		G ₂₂ Pacified communities	(3.1233, 1.0426, 0.2324)
		G ₂₃ Equitable distribution	(2.7877, 1.0101, 0.1029)

Adaptation	(2.6497, 0.7991, 0.2039)	G ₂₄ Disclosure of information	(2.8151, 1.0953, 0.3890)
		G ₃₁ Specialized working groups	(3.0342, 0.8183, 0.3688)
		G ₃₂ Laws and regulations	(2.9041, 0.9396, 0.2710)
		G ₃₃ Modulating effect	(3.1096, 0.9723, 0.0753)

470

471 **Table 14**

472 Community cloud modeling

Standardized layer	Standardized layer cloud model (<i>Ex, En, He</i>)	Indicator	Indicator layer cloud model (<i>Ex, En, He</i>)
Resistance	(2.8687, 0.6428, 0.1967)	C ₁₁ Educational attainment	(1.6164, 0.9313, 0.2236)
		C ₁₂ Sense of community	(3.8288, 0.6756, 0.3576)
		C ₁₃ Age structure	(2.2192, 0.9944, 0.2575)
Resilience	(3.7630, 1.0366, 0.2866)	C ₂₁ Learn about the program	(3.0479, 1.2358, 0.3963)
		C ₂₂ Expression of opinion	(3.3219, 1.0908, 0.3953)
		C ₂₃ Risk awareness	(3.6370, 0.9011, 0.1657)
		C ₂₄ Moral of the population	(3.7534, 0.6463, 0.1328)
Adaptation	(3.3300, 1.1852, 0.2654)	C ₃₁ Community expectations	(2.7877, 0.9992, 0.2918)
		C ₃₂ Local committee	(2.8904, 1.1519, 0.2648)
		C ₃₃ Shared vision	(3.1096, 1.0696, 0.2835)
		C ₃₄ Key figure	(2.9726, 1.0391, 0.0673)
		C ₃₅ Community leadership	(3.1644, 1.0325, 0.2693)

473

474 **Table 15**

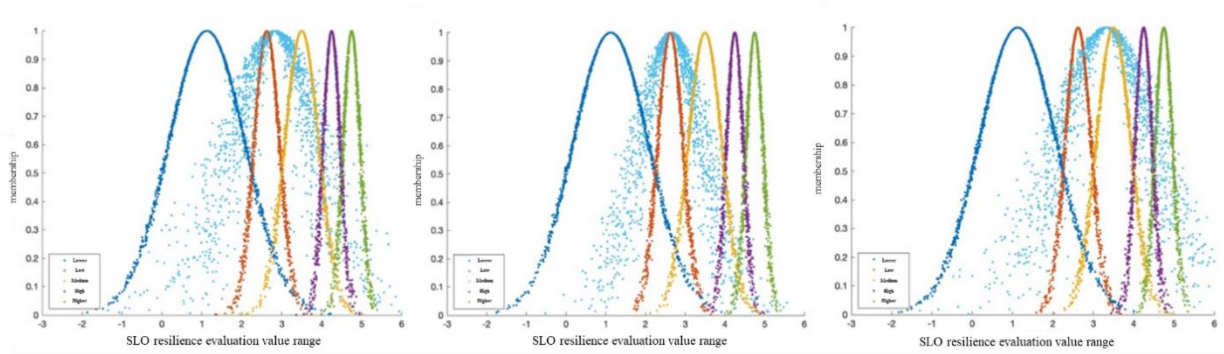
475 Business cloud modeling

Standardized layer	Standardized layer cloud model (<i>Ex, En, He</i>)	Indicator	Indicator layer cloud model (<i>Ex, En, He</i>)
Resistance	(2.8293, 1.0501, 0.3061)	E ₁₁ Popularization of science	(2.7260, 1.1519, 0.3839)
		E ₁₂ Emergency measure	(3.1096, 0.9647, 0.1507)
		E ₁₃ Emergency drills	(2.5753, 1.0473, 0.3839)
		E ₁₄ Disclosure of information	(2.7123, 0.9789, 0.2996)
Resilience	(2.6288, 0.9537, 0.3631)	E ₂₁ Protecting the environment	(3.0890, 0.7942, 0.3560)
		E ₂₂ Views of the population	(2.6164, 1.0790, 0.3922)
		E ₂₃ Corporate decision-making	(2.5068, 0.9892, 0.3463)
		E ₂₄ Quality of communication	(2.6164, 1.0633, 0.4018)
Adaptation	(2.6069, 0.9942, 0.3485)	E ₃₁ Corporate governance structure	(2.5822, 1.0674, 0.3493)
		E ₃₂ Frequency of communication	(2.5274, 0.9195, 0.3129)
		E ₃₃ Long-term monitoring	(2.5274, 0.9981, 0.3530)

476

477 There are two main steps involved in applying the cloud model for assessment. One
 478 compares and judges the resulting evaluation cloud map with the baseline cloud map. At
 479 the same time, the other calculates the affiliation between the evaluated cloud model and
 480 the baseline cloud model and decides the resilience level according to the principle of
 481 maximum affiliation.

482



483

(A) Resistance

(B) Resilience

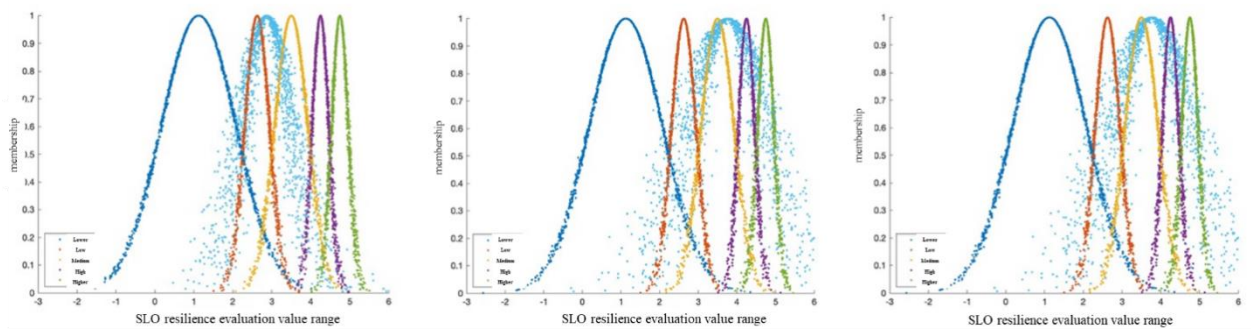
(C) Adaptation

484

Fig. 6. Stage-by-stage cloud map of government

485

486



487

(A) Resistance

(B) Resilience

(C) Adaptation

488

Fig. 7. Stage-by-stage cloud map of community

489

490

491

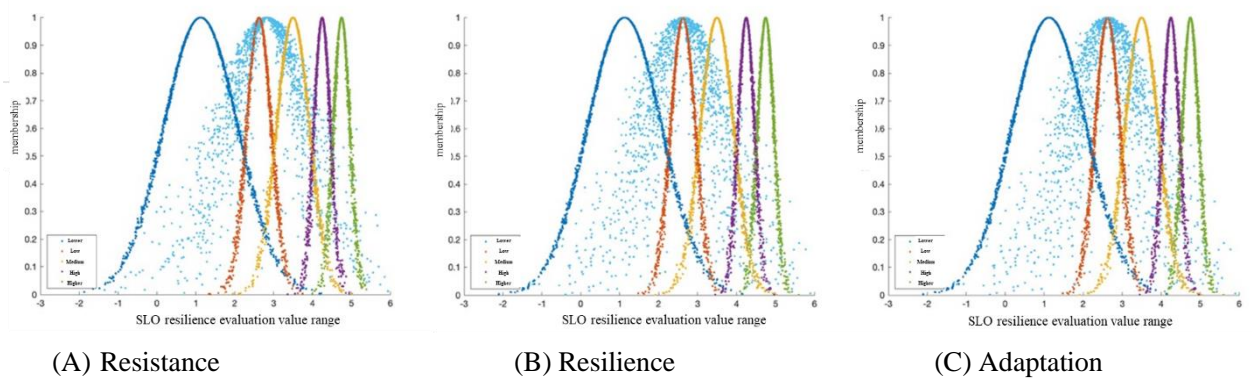


Fig. 8. Stage-by-stage cloud map of business

492
493
494
495

496 For the analysis of the criterion layer, a forward cloud generator is used to generate
497 a cloud map of the evaluation results for each dimension (Fig. 6). Each of these cloud
498 drops is a potential assessment of the resilience of the NIMBY facilities' SLO. The
499 horizontal axis represents the evaluation score, and the vertical axis is the likelihood of
500 this value occurring.

501 The resistance, resilience, and adaptability cloud eigenvalues as a benchmark
502 parameter calculate its corresponding resilience evaluation level affiliation, which,
503 according to the principle of maximum affiliation, can be divided into its resilience level.
504 Tables 16 to 19 show the calculation results for the resilience level affiliation for each
505 dimension.

Table 16

507 Affiliation to the government's resilience hierarchy across dimensions

Dimension	Membership				
	Lower	Low	Medium	High	Higher
Resistance	0.1170	0.2624	0.2714	0.2033	0.1459
Resilience	0.1061	0.2535	0.2689	0.2150	0.1565
Adaptation	0.1441	0.3758	0.2712	0.1365	0.0724

508

509 **Table 17**

510 Affiliation to the community’s resilience hierarchy across dimensions

Dimension	Membership				
	Lower	Low	Medium	High	Higher
Resistance	0.0808	0.4167	0.3128	0.1290	0.0608
Resilience	0.0566	0.1896	0.2786	0.2633	0.2119
Adaptation	0.1064	0.2338	0.2668	0.2198	0.1732

511

512 **Table 18**

513 Affiliation to the business’s resilience hierarchy across dimensions

Dimension	Membership				
	Lower	Low	Medium	High	Higher
Resistance	0.1458	0.2972	0.2629	0.1721	0.1219
Resilience	0.1597	0.3397	0.2576	0.1461	0.0969
Adaptation	0.1671	0.3262	0.2487	0.1536	0.1045

514

515 In the case of government-side resilience, for example, the maximum affiliation is

516 0.2714 in medium resilience. The resistance resilience evaluation cloud is closest to the

517 medium resilience criteria cloud, indicating that the government side of resistance has a

518 medium resilience level. The maximum affiliation for resilience is 0.2689. The Resilience

519 Evaluation Cloud is closest to the Medium Resilience Criteria Evaluation Cloud,

520 indicating that the government side has a medium resilience level. The adaptive maximum

521 affiliation is 0.3758. The Adaptive Resilience Evaluation Cloud is closest to the Lower

522 Resilience Criteria Evaluation Cloud, suggesting that government-side adaptation has a

523 lower resilience level.

524 The resilience coefficients e1, e2, e3, e4, and e5 are assigned values of 1, 2, 3, 4, and
 525 5 for each of the five classes. Based on the affiliation of the government side of the
 526 dimensions, it is possible to calculate the resistance indicators of the government side as
 527 2.9987, the resilience indicators as 3.0622, and the adaptability indicators as 2.6175.

528 **Table 19**
 529 Indicators of resilience across stakeholder dimensions

	Government	Community	Business
Resistance	2.9987	2.6725	2.8271
Resilience	3.0622	3.3842	2.6807
Adaptation	2.6175	3.1195	2.7022

530

531 *(3) Analysis of synthesized evaluation results*

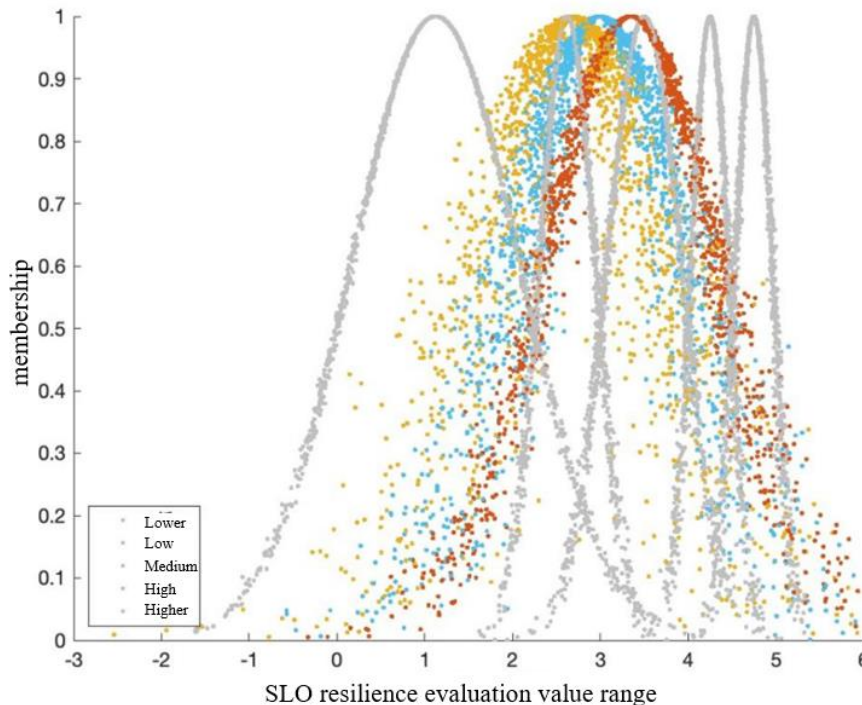
532 In the previous section, the levels at which the government side, the community side,
 533 and the business side are at each stage when uncertain risks perturb the NIMBY facilities
 534 are calculated separately. The overall cloud eigenvalues of each stakeholder are
 535 calculated, and their results are derived from the affiliation calculation program written
 536 in MATLAB ([Table 20](#)).

537 **Table 20**
 538 Cloud modeling and membership for each stakeholder

Stakeholders	Cloud eigenvalue	Membership				
		Lower	Low	Medium	High	Higher
Governments	(2.9951, 0.9884, 0.2286)	0.1180	0.2874	0.2771	0.1872	0.1302
Community	(3.3498, 0.9910, 0.1116)	0.0778	0.2447	0.2822	0.2265	0.1689
Business	(2.6844, 0.9982, 0.3401)	0.1626	0.3202	0.2495	0.1615	0.1062

539

540 Based on the [Table 20](#) eigenvalues, a three-way resilience cloud comparison is
541 plotted using a forward cloud generator ([Fig. 9](#)). Blue, red, and yellow represent the
542 government-, community-, and business sides, respectively. Most of the cloud droplets
543 generated by the government side are concentrated around the medium resilience level.
544 On the other hand, the cloud droplets generated by the community side lie between lower
545 and medium resilience. The cloud drops generated by the business side are focused on a
546 lower level of resilience. This also corroborates the values obtained from the affiliation
547 calculations – the maximum affiliation of the government side of 0.2874 has a low
548 resilience level, while the maximum affiliation of the community side is 0.2822 at a
549 medium level, and the maximum affiliation of the business side is 0.3202 at a low level.



550 **Fig. 9.** Comparative cloud diagram of resilience evaluation of SLO subsystems
551

552

553 In terms of the overall resilience of each stakeholder, the results show the
554 government-, community-, and business-side resilience indicators to be 2.9240, 3.1639,
555 and 2.7286, respectively. After evaluating the SLO resilience evaluation model, it is
556 concluded that the government has a medium resistance and resilience level and a high
557 capacity to withstand disturbances. Surrounding neighborhoods are generally in the
558 moderate range of resilience and adaptive improvement. Business-side SLO resilience is
559 low for all stages, with only the ‘Emergency Response’ and ‘Protecting the Environment’
560 indicators in the medium range.

561 **5. Discussion**

562 Research indicates that SLO acquisition is neither a single approval-seeking process
563 nor permanent. Early support from local populations may be affected during operations,
564 potentially causing SLO to diminish (Ford and Williams, 2016). Resilience, a non-linear
565 form of scientific thinking based on dynamics and uncertainty, is similar to the system
566 maintenance characteristics of SLO. The present study introduces resilience theory into
567 SLO to expand its application and enrich research results related to SLO and social risk.

568 For NIMBY facilities, the SLO system is a complex system (Shi et al., 2021) that
569 involves negotiations between businesses, communities, and government actors (Filer
570 and Gabriel, 2018). The local government plays a crucial role in project promotion,
571 providing guidance and publicity to residents and regulating enterprises (Renn and

572 [Schweizer, 2009](#)). The local community is the most directly affected by the project, and
573 the SLO awarded is the subject of the SLO. NIMBY facilities are constructed and
574 operated by companies that listen to community concerns and offer financial
575 compensation to reduce potential threats to the living environment ([Kirsch, 2007](#)).
576 Therefore, the present study selects three stakeholders (i.e., government, community, and
577 business) to construct the resilience evaluation indicators system.

578 The study uses a cloud model approach for evaluating SLO resilience, a departure
579 from traditional models like the resilience matrix ([Mosleh et al., 2023](#); [Mustajoki and](#)
580 [Marttunen, 2019](#); [Zebardast, 2022](#)), hierarchical factor analysis ([Santos et al., 2018](#);
581 [Alshehri et al., 2015](#), [Javari et al., 2021](#)), multidimensional analysis ([Saja et al., 2018](#)),
582 and TOPSIS ([Qiao and Pei, 2022](#), [Xun and Yuan, 2020](#)). This method converts qualitative
583 assessments to quantitative values, effectively addressing uncertainty and stochastic
584 attributes in NIMBY facilities. The cloud model, guided by an algorithm system,
585 efficiently handles events with dual attributes, eliminating redundant calculations and
586 large sample data. Its ability to integrate both qualitative and quantitative aspects
587 enhances its suitability for this study, providing a more nuanced and contextually relevant
588 evaluation of SLO resilience.

589 A typical case was introduced in this study to validate the feasibility of the model.
590 This case was honored with the 2022 Top Ten Environmental Protection Facilities Open
591 Unit award. During the field research, it was also found that, in the initial stage of the

592 project, the village committees of the surrounding villages, acting as grass-roots
593 representatives of the government, conducted scientific briefings for the residents to raise
594 their understanding and support of the project. This clearly shows that the model's
595 evaluation results align with reality. The selected case in this study received positive
596 evaluations across all aspects. The project exemplifies notable practices when compared
597 to other NIMBY facilities that have experienced conflicts or poor practices. This
598 distinction may lead to different outcomes when applying the model to their assessments.
599 In project communities facing NIMBY conflicts, local governments and companies
600 implement laws, regulations, technical norms, and economic compensation. However,
601 residents frequently perceive these efforts as insufficient, resulting in resilience indexes
602 that may not accurately reflect the situation.

603 Although the metrics used to construct the cloud model in this study are derived
604 from a broad review of existing global literature, the supporting evidence in this paper is
605 limited to a single case study located within China. It is well understood that significant
606 differences exist between China's social structure and political system and those of
607 Western countries. [Eakin et al. \(2017\)](#) argue that efforts to enhance social resilience must
608 account for variations in social and political environments, as factors such as political
609 decision-making, resource allocation, and policy prioritization across different countries
610 can influence the resilience of SLO in NIMBY facilities. [Poelzer \(2023\)](#) found that
611 variations in the values of native communities across different countries necessitate

612 distinct approaches to securing SLO. [Zhang and Moffat \(2015\)](#) concluded that the
613 economic development stage of mining communities or nations likely influences how
614 people perceive the impacts and benefits. This study has shown that the model is
615 applicable in social environments similar to China's. However, further cross-sectional
616 studies are necessary to extend its applicability to countries with different cultural
617 contexts.

618 The study elucidates that various factors shape government resilience in the context
619 of NIMBY facilities. For instance, the extent of information disclosure, the fairness of
620 decision-making processes, the modulating effect on public concerns, the establishment
621 of specialized working groups, and adherence to relevant laws and regulations
622 collectively contribute to the overall resilience of governmental entities involved in these
623 facilities. However, these factors contribute to a low overall resilience. The community
624 is primarily governed by age structure and education, which are difficult to change
625 regionally. High-impact indicators on the business side include long-term monitoring,
626 corporate governance structure, communication frequency, corporate reputation, and
627 information disclosure. Therefore, to improve the resilience of NIMBY facilities' SLO,
628 stakeholders can start with the following.

629 (1) The government should provide timely and accurate information to community
630 residents regarding the implementation of the NIMBY program ([Kou and Yang,](#)
631 [2023](#)). Establishing a robust complaint mechanism empowers residents to

632 monitor enterprises effectively, address concerns, and manage environmental
633 issues promptly. Moreover, the government should also strengthen its
634 supervision of enterprises and form a relevant working group for public opinion
635 surveys, ensuring every resident can understand the project and its advantages
636 (Wu and Tham, 2023). It is important to implement a framework for public
637 participation in formulating relevant laws and regulations (Smits et al., 2017).
638 Additionally, rigorous government monitoring is essential to ensure these
639 measures are implemented.

640 (2) The community plays a vital role in cultivating a positive atmosphere among
641 residents. By enhancing residents' understanding of scientific principles related
642 to NIMBY facilities and providing emotional support during internal community
643 meetings (Luke, 2017), the community can foster greater understanding and
644 solidarity. Strengthening community cohesion is essential for improving
645 resilience (Dare et al., 2014). Typically, communities will implement the policy
646 recommendations proactively to ensure stability, maintain smooth community
647 operations, and safeguard their rights and interests.

648 (3) Businesses need to actively engage with the local community and pay long-term
649 attention to the sentiments of their residents (Moffat and Zhang, 2014). Elevating
650 employee training and developing a scientifically sound and reasonable
651 emergency management program is crucial. At the same time, companies should

652 be clear that while profits are important, they need to focus on long-term benefits
653 to sustain community support rather than jeopardizing it for short-term gains
654 ([Saenz, 2023](#)).

655 **6. Conclusions**

656 The study uses a literature review and expert surveys to identify indicators for SLO
657 resilience in NIMBY facilities. It categorizes indicators and constructs an evaluation
658 framework from diverse stakeholder perspectives. The model is rigorously calculated
659 based on factor contributions and loading matrices, and its reliability is confirmed through
660 application to the Quzhou Everbright Waste Incineration Power Generation Project.

661 The theoretical framework enhances the study of NIMBY facilities' SLO by
662 analyzing resilience characteristics and system components, providing a new perspective
663 in social licensing research. Combining theoretical insights with practical indicators and
664 evaluation models ensures deeper theoretical understanding and practical application.

665 The study provides insightful recommendations for governments and businesses
666 involved in the construction and operation of NIMBY facilities, emphasizing the
667 importance of transparency, fairness, community education, and effective business
668 communication. It calls for enhancing legal frameworks to facilitate public participation
669 and promote a comprehensive approach to environmental risk management. In essence,
670 this study explores the intricate dynamics of SLO resilience within the context of NIMBY

671 facilities, bridging the theoretical foundations of SLO with the practical implications of
672 resilience theory. The study presents a novel approach using the cloud model to evaluate
673 SLO resilience, departing from traditional models. It identifies the crucial factors
674 influencing the resilience of government, community, and business stakeholders. The
675 findings underscore the importance of maintaining a stable SLO throughout the project
676 lifecycle, shedding light on specific strategies for enhancing resilience. By addressing the
677 complexities of NIMBY facilities, these insights provide valuable guidance for
678 stakeholders, policymakers, and industries involved, paving the way for more robust and
679 socially accepted infrastructure projects in the future.

680 The focus on the Quzhou Everbright Waste Incineration Power Generation Project
681 limits its inclusivity of resilience factors. Its reliance on subjective methods, such as
682 literature reviews and expert surveys, hinders the model's universality. Improvements are
683 needed for the reliability of indicators and the applicability of recommendations for
684 stakeholders in NIMBY facilities. Future research should enhance the indicator selection
685 process, broaden the evaluation scope, and integrate comparative analyses to create a
686 balanced framework for evaluating SLO resilience and explore adaptability across
687 different regions and communities.

688 **Highlights**

689 1. A set of SLO resilience evaluation indicators system is constructed

- 690 2. Constructed a resilience evaluation model using cloud modeling as a methodology
- 691 3. Validated the rationality of the model using case studies
- 692 4. Helping to clarify the mechanism of SLO resilience maintenance

693

694 **Declaration of competing interest**

695 The authors declare that they do not have any competing financial interests or

696 personal relationships that could have appeared to influence the work reported in this

697 paper.

698 **Data availability**

699 Data will be made available on request.

700 **Acknowledgments**

701 We want to thank the survey work implemented by the graduate students from

702 Zhejiang Sci-Tech University. This work was supported by the National Natural Science

703 Foundation of China (NSFC) (Grant Nos. 72072165, 72373166, and 72001079); the Soft

704 Science Research Program of Zhejiang Province, China (Grant No. 2020C35055); and

705 the Research Foundation of Education Bureau of Zhejiang Province, China (Grant No.

706 Y202045460).

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897

898 **Appendix**

899 **Table A**

900 Initial Summary of SLO Resilience Indicators

Topics	Evaluation indicators			
Social license to operate	Government credibility (4)	Distributional equity (3)	Environmental impact assessment (4)	Government governance (2)
	Leadership (3)	Supervise and manage (1)	Environmental monitoring (2)	Regulatory capacity (1)
	Corporate reputation (5)	Public Information (3)	Shared vision (3)	Community consolation (2)
	Community expectation (3)	Governance structure (2)	Community views (3)	Local committee (3)
	Communication frequency (4)	Confidence level (3)	Thematic working groups (2)	Expression of opinion (3)
	Public participation (5)	Good reputation (2)	Follow-up testing (3)	Local economies (2)
	Frequency of interaction (2)	Social communication (4)	Resident expectations (4)	Observation monitoring (3)
	Sense of belonging (2)	Community connections (2)	Government interventions (2)	Community Leaders (2)
Laws and regulations (3)	Expression of opinion (3)	Quality of communication (3)	moral sense (1)	
Social/community resilience	Age structure (10)	Knowledge (7)	Emergency plan (11)	Community expectations (5)
	Educational attainment (9)	Community Leaders (3)	Social trust (6)	Communication skills (7)
	Community involvement (6)	Disaster education (4)	Safeguard (7)	Open and transparent (3)
	Risk perception (6)	Planning exercises (5)	Disclosure of information (6)	Community identity (7)
	Attachment to a place (8)	Government governance (6)	Community pacification (9)	Key figure (3)
	Accidental drills (7)	Community views (5)	Community cohesion (8)	Sense of belonging (5)
	popular science (8)	Leadership (6)	Disaster prevention training (4)	Program policy (3)
	Collective effectiveness (6)	Standard (7)	Risk perception (3)	Information sharing (4)

901

902 **Table B**

903 Government-side rotated component matrix

Serial	Indicators	ingredient		
		1	2	3
G2	Emergency preparedness	0.851		
G3	Popularization of science	0.846		
G8	Government governance	0.81		

G5	Supervision of enterprises	0.67		
G9	Specialized working groups		0.868	
G10	Laws and regulations		0.851	
G11	Modulating effect		0.818	
G1	Government credibility			0.811
G7	Pacified communities			0.728
G4	Equitable distribution			0.715
G6	Disclosure of information			0.652

904 Extraction methods: Principal component analysis

905 Rotation method: Orthogonal rotation method with Kaiser normalization.

906 The rotation converges after 5 iterations.

907

908 **Table C**

909 Community-side rotated component matrix

Serial	Indicators	ingredient		
		1	2	3
C7	Community expectations	0.78		
C6	Local committee	0.78		
C11	Shared vision	0.755		
C9	Key figure	0.754		
C5	Community leadership	0.708		
C4	Learn about the program		0.79	
C8	Expression of opinion		0.787	
C12	Risk awareness		0.76	
C10	Moral of the population		0.712	
C2	Educational attainment			0.798
C3	Sense of community			0.779
C1	Age structure			0.738

910 Extraction methods: Principal component analysis

911 Rotation method: Orthogonal rotation method with Kaiser normalization.

912 The rotation converges after 5 iterations.

913

914 **Table D**

915 Business-side rotated component matrix

Serial	Indicators	ingredient		
		1	2	3
E11	Corporate governance structure	0.87		
E10	Frequency of communication	0.81		
E12	Long-term monitoring	0.786		
E1	Corporate reputation	0.731		
E7	Protecting the environment		0.849	
E4	Views of the population		0.803	
E8	Corporate decision-making		0.782	
E9	Quality of communication		0.719	
E3	Popularization of science			0.836
E5	Emergency measures			0.823
E2	Emergency drills			0.754
E6	Disclosure of information			0.673

916 Extraction methods: Principal component analysis

917 Rotation method: Orthogonal rotation method with Kaiser normalization.

918 The rotation converges after 5 iterations.

919

