

1 **Characteristics of membrane fouling by consecutive chemical cleaning in**
2 **pressurized ultrafiltration as pre-treatment of seawater desalination**

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4 Yun Chul Woo^{a,b}, Jeong Jun Lee^a, Leonard D. Tijning^b, Ho Kyong Shon^b, Minwei Yao^b and
5 Han-Seung Kim^{a,*}

6
7 ^aDepartment of Environmental Engineering and Energy, Myongji University, 116 Myongji-Ro, Cheoin-Gu,
8 Yongin-Si, Gyeonggi-Do 449-728, Republic of Korea

9 ^bCentre for Technology in Water and Wastewater (CTWW), School of Civil and Environmental Engineering,
10 University of Technology, Sydney, P.O. Box 123, Broadway, NSW 2007, Australia

11
12 *Corresponding author: H.-S. Kim, Tel: +82(31)330-6695, Fax: +82(31)336-6336, Email: kimhs210@mju.ac.kr

13
14 **Abstract**

15
16 In the present study, the effect of consecutive chemical cleaning on the fouling control of
17 pressurized ultrafiltration (UF) as a pre-treatment process for desalination was investigated.
18 Oxalic acid and sodium hypochlorite were chosen as chemical agents for the cleaning
19 methods. Initial tests showed that the cleaning in series of oxalic acid-sodium hypochlorite-
20 oxalic acid had the optimal cleaning efficiency. A flux recovery of over 91.0 % via
21 continuous chemical cleaning experiments for UF process using real seawater as feed was
22 obtained. However, the decrease in flux recovery was observed with the increase of the
23 number of cleaning cycles due to continuous fouling formation on the membrane. It was
24 found that hydrophobic organic foulants were relatively easier to be removed from the
25 membrane surface by using the chemicals in this study, while hydrophilic inorganic foulants
26 such as Na⁺ and Cl⁻ were found to adhere more on the membrane surface after cleaning. The

27 presence of foulants on the membrane has reduced its tensile strength but it was retrieved
28 near its initial tensile strength after chemical cleaning. The consecutive chemical cleaning has
29 recovered about 96.8% in the first cleaning, but more rapid fouling was observed thereafter.
30 This was attributed to the presence of inorganic scales, which were not fully removed during
31 the cleaning process, thus it combined with organic foulants over time, resulting to faster
32 fouling and lesser cleaning efficiency with the increase of cleaning cycles. Thus, it is
33 important the inorganic foulants should be thoroughly removed so as to minimize the extent
34 of fouling formation after each chemical cleaning.

35

36 *Keywords:* Chemical cleaning; desalination; membrane fouling; ultrafiltration; pre-treatment.

37

38 **1. Introduction**

39

40 Nowadays, many regions of the world suffer from the scarcity of fresh water resources for
41 potable, industrial and agricultural purposes. The main problem is the difficulty to supply
42 potable water in water shortage areas. Several illnesses are associated with contaminated
43 drinking water. One of the alternative and sustainable ways to produce fresh water is through
44 seawater desalination. Desalination processes include multi stage flash (MSF) and multi-
45 effect distillation (MED), and reverse osmosis (RO) [1-3]. The RO process is derived from a
46 membrane technology that only allows water to pass through a semi-permeable membrane,
47 and reject the solute (i.e., salt). Seawater is fed to the RO system by applying high pressure to
48 get drinking water. Compared to the distillation processes, RO has three times lower specific
49 energy consumption, and has easier construction and system operation [4].

50 However, seawater cannot be fed directly to RO due to some reasons: first, seawater has
51 inorganic and organic compounds, which can contribute to membrane fouling; and second, if

52 seawater recorded a silt density index (SDI) value of over 5, this could strain the RO
53 membrane. For these reasons, it is necessary to incorporate a pre-treatment method such as
54 coagulation, flocculation, media filtration, multi-media filtration (MMF) and
55 microfiltration/ultrafiltration (MF/UF) in desalination process prior to RO process [5].

56 There are several advantages in using MF/UF as pre-treatment of RO process. (1) SDI values
57 between 2 to 4 are possible to obtain using this membrane-based pre-treatment, which is
58 more stable compared to other methods; (2) MF/UF is more compact compared to other
59 processes, thus requiring less-footprint; (3) MF/UF has a stable flux, and; (4) it can be
60 automated. However, there are also some drawbacks with the use of MF/UF, which include
61 the need for high electrical energy consumption, operating cost and higher initial capital cost
62 [6-9]. Additionally, similar with the RO process, membrane fouling can happen to MF/UF
63 process in a long-term operation, which deters its performance. To combat fouling, physical
64 cleaning is needed to be carried out periodically such as backwashing, aeration, air-
65 scrubbing, and chemical enhanced backwashing (CEB). However, physical cleaning and CEB
66 are limited for long-term operation so as not to disrupt the operation [10]. Usually, operation
67 for more than 6 months requires chemical cleaning with various chemical agents. It takes
68 almost one day to perform cleaning in place (CIP) every 6 months operation.

69 As you can see **Table 1**, many researchers used various chemical agents for a wide range of
70 filtration process. The membrane surfaces are exposed to high concentrations of chemical
71 agents for the cleaning process. Different concentrations of chemicals for CIP have been
72 suggested such as 0.5% nitric acid [11], 2% nitric acid [12], 2% sodium hypochlorite, and
73 1 % sodium hydroxide. Kwon et al [13]., used 500 ppm sodium hypochlorite, 250 ppm
74 sodium hydroxide, 2500 ppm citric acid and 250 ppm sodium hypochlorite. Our previous
75 work [14] utilized 0.1 %, 0.5 %, 1 %, 2 % and 5 % sodium hydroxide in addition to various
76 concentrations (1, 2, and 3%) of nitric acid. However, based from our review of literature, no

77 one has yet investigated the use of chemical cleaning for pressurized hollow fiber
78 ultrafiltration as pre-treatment of desalination by real seawater.

79 In the present study, fouling of membrane was generated using seawater as feed. The
80 recovery rate was measured after chemical cleaning using various chemical agents at
81 different concentrations, in addition to recovery rates for alkaline and acid. The most efficient
82 chemical agents based on recovery rate were used for combination chemical cleaning. Flux
83 recovery rate was measured and the membrane performance was evaluated after chemical
84 cleaning. In addition, foulant characteristics were evaluated using different analytical
85 methods such as SDI test, Fourier transform infrared spectroscopy (FT-IR), contact angle,
86 scanning electron microscopy (SEM), energy dispersive x-ray spectroscopy (EDX) and
87 tensile strength. To our knowledge, this is the first report of chemical cleaning for pressurized
88 hollow fiber ultrafiltration as pre-treatment of desalination using real seawater, as well as the
89 analysis of fouling characteristics on the ultrafiltration membrane.

90

91

[Table 1]

92

93 **2. Materials & methods**

94 2.1 Specification of UF membrane

95

96 Polyvinylidene fluoride (PVDF) hollow fiber membrane was used in this study, which is
97 widely employed in microfiltration and ultrafiltration. The advantages of PVDF membrane
98 include high mechanical strength, high thermal stability, low cost and high chemical
99 resistance [36, 37]. The hollow fiber membranes had a pore size of 0.038 μm . Each fiber has
100 an internal diameter (I.D) of 0.8 mm, an outer diameter (O.D) of 1.2 mm, a length of 15 cm
101 and a membrane area of $2.26 \times 10^{-3} \text{ m}^2$. Specifications of the hollow fiber membrane are
102 summarized in **Table 2**.

103

104

[Table 2]

105

106 2.2 Filtration system

107

108 A dead-end filtration set-up was used in the present study as shown schematically in **Fig. 1**.

109 The feed flows perpendicularly to the membrane surface. Dead-end filtration experiment was

110 conducted at constant pressure of 0.5 bars. The virgin membrane recorded an initial flux of

111 140 LMH. The flux of the fouled membrane was observed to decrease obtaining only 35

112 LMH [9, 14, 38]. The schematic diagram of the lab-scale MF/UF system is shown in Figure

113 1. Seawater from the southern sea (location: Kijang-gun, Busan, South Korea), was used as

114 feed without any initial pre-treatment. The seawater was first passed through the MF/UF

115 membrane for a specific duration until fouling is observed. After which, Chemical cleaning

116 was started by pumping chemical cleaning agents through the membrane in a recirculating

117 mode The applied pressure was set at 0.5 bar measured by a pressure gauge [9, 14]

118

119

[Figure 1]

120

121 2.3 Batch test

122

123 Batch tests were performed in two cleaning modes: (1) by single chemical cleaning and (2)

124 by chemical cleaning in series. Chemical cleaning in series was conducted based on the

125 results from the single chemical cleaning. The results here indicated a need for a continuous

126 chemical cleaning experiment.

127

128 2.3.1 Single chemical cleaning

129

130 Three types of chemical cleaning agents were tested in this study: alkaline (sodium hydroxide
131 (NaOH) and sodium hypochlorite (NaOCl)), organic acid (citric acid (C₆H₈O₇) and oxalic
132 acid (C₂H₂O₄)), and inorganic acid (sulfuric acid (H₂SO₄) and nitric acid (HNO₃)). The
133 chemical cleaning agents were diluted to obtain different concentrations: 0.1 %, 1 %, 3 % and
134 5 %. To determine the effectiveness of each chemical agent on the flux recovery during a
135 single cleaning mode, each chemical was passed on the surface of the MF/UF mini-module
136 system for 30 minutes followed by 10 minutes rinsing with de-ionized water. Thereafter, flux
137 recovery was measured using seawater as feed for 10 minutes. The experiment was repeated
138 at different contact times – 1 hour and 2 hours. The flux (L/m²h or LMH) was calculated
139 using the equation

$$\text{Flux (LMH)} = \frac{Q}{A} \times \frac{\eta_T}{\eta_{25}} \quad (\text{Eq. 1})$$

140 where Q is the filtration flow rate (L/h), A is the effective surface area of the membrane
141 (m²), η_T is the viscosity at actual temperature, and η_{25} is the viscosity at 25 °C. The equation
142 used to calculate the recovery rate is as follows,

$$\text{Recovery rate (\%)} = \frac{\text{Flux}_C \text{ (LMH)}}{\text{Flux}_I \text{ (LMH)}} \times 100 \text{ (\%)} \quad (\text{Eq. 2})$$

$$\text{Recovery efficiency (\%)} = \frac{\text{Flux}_C \text{ (LMH)}}{\text{Flux}_P \text{ (LMH)}} \times 100 \text{ (\%)} \quad (\text{Eq. 3})$$

144 where Flux_C is the flux after chemical cleaning, Flux_I is the initial pure water flux and
145 Flux_P is the flux previous chemical cleaning.

146

147 2.3.2 Chemical cleaning in series

148

149 Chemical cleaning of the membranes was also conducted by subjecting the fouled membrane

150 with different chemical agents in series. Two sequences were tested: (1) acid – alkaline –
151 acid, and; (2) alkaline – acid – alkaline. First, the initial flux of the hollow fiber membrane in
152 a mini-module was measured using seawater. This was followed by chemical cleaning for 30
153 minutes using either acid or alkaline agent. After which, cleaning was conducted for 1 hour,
154 then followed by another cleaning for 30 minutes. Immediately after the chemical cleaning,
155 the flux of the cleaning membrane was measured using de-ionized water, and the percent
156 recovery rate was calculated. The total duration of the chemical cleaning was 2 hours, with
157 cleaning sequence of 30 minutes – 1 hour – 30 minutes [39, 40].

158

159 2.4 Method of the consecutive chemical cleaning on fouling mitigation

160

161 Alkaline and acid agents were chosen for single chemical cleaning, and chemical cleaning in
162 series experiments. Flux of the fouled membrane was found to decreased by 75% compared
163 to the initial flux. Chemical cleaning was repeated four times and the cleaning duration was
164 maintained for 2 hours, with cleaning sequence of 30 minutes – 1 hour – 30 minutes.

165

166 2.5 Analytical methods

167

168 In order to determine the degree of wettability, the hollow fiber membranes were subjected to
169 a contact angle measurement test using a tension meter (Sigma 701, Biolin Scientific). The
170 morphology of the hollow fiber membrane and the foulants was examined by scanning
171 electron microscopy (SEM) (Hitachi S-3500N) and energy dispersive X-ray spectroscopy
172 (EDS) attached to SEM. Hollow fiber membranes were mounted in a universal testing
173 machine (LF Plus, Lloyd Instruments, AMETEK) to evaluate their mechanical properties. A
174 gauge length of 5 cm and a speed of 50 mm/min were maintained for all tests. The outer

175 diameter of the membranes was determined using a digital micro-caliper. A Varian 2000
176 Fourier transform infrared spectroscope (FT-IR) was used to obtain the spectra of the
177 membranes. All spectra were acquired by signal averaging 32 scans at a resolution of 8 cm^{-1}
178 in ATR mode. The SDI_{15} and PF factor were analyzed by GE Osmonics auto SDI tester.
179 Turbidity was measured by HACH 2100N from HACH company. Shimadzu UV
180 spectrophotometer UV-1800 and TOC-5000 were used to measure UV_{254} and DOC
181 concentration, respectively. Total dissolved solids (TDS) and pH were analyzed by Orion 4-
182 star plus pH/conductivity meter from Thermo Scientific.

183

184 **3. Results and discussion**

185 3.1 Results of the single chemical cleaning

186

187 **[Figure 2]**

188

189 Six chemical cleaning agents divided into alkaline and acid agents were used in the present
190 study: sodium hydroxide, sodium hypochlorite, sulfuric acid, nitric acid, citric acid and oxalic
191 acid. Each chemical agent was prepared at different concentrations of 0.1 %, 1 %, 3 % and
192 5 %. The pH of each solution is listed in **Table 3**. **Fig. 3** shows the results of cleaning at
193 different durations of 30 min, 1 h and 2 h. The results showed consistently better cleaning
194 effect by the acid agents compared to the alkaline agents regardless of the cleaning time. The
195 alkaline sodium hypochlorite showed better cleaning compared to sodium hydroxide at
196 different concentrations. The use of sodium hydroxide showed increasing flux recovery as its
197 concentration increased from 0.1 to 5%. On the other hand, sodium hypochlorite showed
198 increasing cleaning effectiveness up to 3% concentration, but declined its efficiency at $>3\%$.
199 The pH of the alkaline solutions showed very high value of around 12, which is considered a

200 harsh condition for the membrane [41, 42]. The photographic images in **Fig. 2** showed
201 browning of the mini-module after exposure to pH 12, which is attributed to the partial
202 dissolution of the epoxy on the potting site making it undesirable to use. Thus, to minimize
203 the effect of very high pH, a much lower pH was preceded for the cleaning test. For the
204 alkaline agent, the 1% sodium hypochlorite treatment showed the optimum result as there
205 was not a big gap in effectiveness between 1 and 3% concentrations.

206 The acid cleaning showed varying trends for each cleaning agent. The highest flux recovery
207 was obtained by oxalic acid, followed by citric acid then nitric acid and sulfuric acid. The
208 increase of acid concentration has also resulted to better cleaning efficiency, however,
209 decreased recovery was observed for sulfuric acid, nitric acid and citric acid at concentration
210 >3%. The best result among all cleaning agents was obtained using oxalic acid. Furthermore,
211 the results also indicated that longer cleaning duration has resulted to increased flux recovery.
212 From among all agents, the oxalic acid at 1% showed the best result considering that there
213 was not big difference in flux recovery for 1, 3 and 5% oxalic acid cleaning. Thus, for further
214 cleaning tests, the 1% oxalic acid was chosen.

215

216 **[Figure 3]**

217 **[Table 3]**

218

219 3.2 Results of the chemical cleaning in series

220

221 Based from our initial results, 1% sodium hypochlorite and 1% oxalic acid as cleaning agents
222 were chosen for chemical cleaning in series experiments. Since the pH of sodium
223 hypochlorite is around 12, it would be wise to use lower concentration for cleaning, thus 1%
224 concentration is selected. The chemical cleaning in series tests were carried out by

225 conducting interval cleaning using both 1% sodium hypochlorite (NaOCl) and 1% oxalic
226 acid. Two sets of tests were carried out at two different cleaning durations. The first set
227 (Series 1) was cleaning with oxalic acid, then NaOCl, then oxalic acid for a time of 15-30-15
228 min, respectively. The other set (Series 2) was NaOCl first, then oxalic, then NaOCl for the
229 same time duration of 15-30-15 min, respectively. Another two sets (Series 3 and 4) were
230 carried for the same series of experiments but at longer duration of 30-60-30 min. **Fig. 4**
231 shows the results of the different cleaning in series experiments. The cleaning Series 1 (oxalic
232 acid-NaOCl-oxalic acid) at a shorter time duration showed better flux recovery of 77%
233 compared to Series 2 at 65%. The same trend was observed when the cleaning duration was
234 increased to 30-60-30 min, obtaining around 94% recovery for oxalic acid-sodium
235 hypochlorite-oxalic acid cleaning. In general, acid agents are known to treat inorganic
236 foulants, while alkaline agents are best at cleaning organic foulants [43]. During filtration,
237 inorganic foulants such as Na^+ and Cl^- were observed to have more serious effect than
238 organic foulants to the membrane in desalination process, because salt ions can interact
239 strongly with organic foulants [44]. For this reason, an acid chemical should be used first to
240 remove the inorganic foulants and then a base chemical should follow to enhance the removal
241 efficiency.

242

243 **[Figure 4]**

244

245 3.4 Effect of the consecutive chemical cleaning on fouling mitigation

246

247 Continuous fouling and cleaning tests were carried out for more than 2 days (**Fig. 5**). In the
248 first 20 h, the flux declined steadily from an initial flux of 142 LMH to 36 LMH, or a decline
249 of around 25% due to the fouling formation. Using the series cleaning of oxalic acid-NaOCl-

250 oxalic acid for 30-60-30 min interval, the first cleaning was carried out to the fouled
251 membrane and recovered 96.8% of the initial flux (137.4 LMH). However, as soon as
252 cleaning was finished, the flux again drastically declined in the next 12 h until a decrease to
253 75% from the initial flux value. Three more cleaning cycles were carried out at different
254 intervals, resulting to 92.7, 91.1, and 91.0% of initial flux for each cleaning, respectively. The
255 third and fourth chemical cleaning showed very similar flux recovery, which indicates a
256 critical point for cleaning after three cleaning cycles. This means that after second cleaning
257 time, the flux can be recovered to the previously recovered flux. As shown in the Fig. 5c, the
258 recovery efficiency of the after first, second, third and fourth cleaning were 96.8, 95.8, 98.3
259 and 99.9%, respectively. It showed that the flux was almost fully recovered to the previous
260 recovered value as cleaning times increased. After each cleaning, the fouling tendency tends
261 to be higher. This could be due to the pore blocking of some foulants especially inorganic
262 salts that could not be successfully removed by chemical cleaning. Additionally, the cleaning
263 process could have roughened the surfaces of the membrane, which could provide additional
264 sites for fouling to occur and develop. The fouling rate was found to increase with the
265 increase in the number of cleaning cycles (**Table 4**), which could be attributed to the
266 incomplete cleaning of the inorganic foulants in the previous cleanings, which eventually
267 served as attachment sites for other foulants to adhere and form rapidly.

268

269 **[Figure 5]**

270 **[Table 4]**

271

272 3.5 Tensile strength

273

274 Tensile strength is a relatively new parameter investigated in autopsy studies. It presents the
275 mechanical strength of the membrane fiber, and hence is directly related to the material

276 properties of the membrane [45, 46]. The tensile strengths of the virgin, fouled and cleaning
277 membranes were evaluated using a universal testing machine, and was calculated using the
278 following equation:

$$279 \quad \sigma_{\beta} = l_{\beta} \times A_T \quad (\text{Eq. 4})$$

280 where σ_{β} is the tensile strength (gf/mm²), l_{β} is the maximum load (gf), and A_T is the
281 membrane area (mm²) [47, 48].

282

283 **[Figure 6]**

284

285 Tensile strength is commonly used in the structural material for stress and strain relationship.
286 The tensile strength was measured by extending the hollow fiber strings until rupture at a rate
287 of 5 mm/min. Triplicate tests were performed and the values were averaged. As shown in **Fig.**
288 **6**, the virgin membrane obtained a tensile strength of 256.76 gf/mm². However, in the fouled
289 membrane, the tensile strength was found to decrease by 14% at a value of 220.05 gf/mm².
290 After the first chemical cleaning, the membrane tensile strength recovered its tensile strength
291 similar to the virgin membrane, which could indicate that most of the foulants were removed
292 from the surface. However, after consecutive cleanings, the membrane showed decreasing
293 tensile strengths as more cleanings progressed. This could be attributed to the possible
294 presence of foulants inside and/or surface the membrane pores even after cleaning. This is in
295 congruent to the results of the continuous cleaning and fouling tests in **Fig. 6**. Additionally,
296 the exposure of the membrane surface to cleaning chemicals could have degraded a little bit
297 of the membrane material, resulting to a slight decrease of tensile strength. However, even
298 from several cleaning cycles, the cleaning membrane still showed higher tensile strength than
299 the fouled membrane. This indicates the positive effect of cleaning in maintaining the
300 mechanical properties of the membrane.

301

302 3.6 FT-IR

303

304 **[Figure 7]**

305 **[Table 5]**

306

307 To analyse the composition of foulants and the membrane surface, FTIR spectra were taken.

308 **Fig. 7** and **Table 5** show the spectra and corresponding band vibrations of the virgin, fouled

309 and cleaning membranes. All membranes showed the same wavelengths of the basic

310 characteristic of a PVDF material at 841 cm^{-1} , 880 cm^{-1} and 1072 cm^{-1} , 1173 cm^{-1} , 1273 cm^{-1} ,

311 and 1404 cm^{-1} , which correspond to CH_2 rocking, m C-C asymmetric stretching, CF_2

312 symmetric stretching, CF out of plane deformation, and CH_2 wagging, respectively [49].

313 This signifies that the membranes did not change in their characteristics. However,

314 transmittance intensity was observed to decrease after the chemical cleaning process. This

315 could be due to the clogging of some pores of the membranes due to foulants that could have

316 lessened the penetration of light, thus resulting to lower transmittance intensity. However, it

317 can be deduced from the results that if chemical cleaning duration is increased, it could

318 produce better cleaning efficiency thus more foulants will be removed, resulting to more

319 pronounced transmittance intensity as with the virgin membrane [16, 19].

320

321 3.7 SEM & EDX

322

323 **[Figure 8]**

324

325 The morphological characteristics of the membrane surface and the inner pores were

326 characterized by SEM (**Fig. 8**) and EDX (**Table 6**). **Fig. 8a** showed smooth and clean surface

327 of the virgin membrane, i.e., before the fouling process. However, after 20 h of test, the
328 membrane surface was covered with a big mass of foulant (**Fig. 8b**). After the first cleaning
329 (**Fig. 8c**), the membrane showed scattered small-sized particles, which seems to be inorganic
330 particles [50]. The particles were confirmed to be inorganic salts after EDX analysis (**Table**
331 **6**). Similar observation was seen after 2-3 successive cleaning cycles (**Figs. 8d-e**). However,
332 after 4th cleaning cycle (**Fig. 8f**), the membrane showed an agglomeration of particles, which
333 could be a mixture of organic and inorganic fouling. This illustrates that after several cleaning
334 cycles, the efficiency of cleaning has decreased, which could be due to more pore blocking by
335 foulants, as well as roughening of the surface due to many cleanings, which enhances the area
336 for fouling to occur. Additional analysis by EDX (**Table 6**) showed mainly C and F elements
337 in the virgin membrane, however new peaks (i.e., elements) were observed for the fouled and
338 cleaning membrane. For the fouled membrane, numerous elements were observed on the
339 membrane surface, which are usually present in seawater properties with high concentrations
340 of Na⁺ and Cl⁻, indicating the presence of inorganic scales. The cleaning of the membranes
341 resulted to decreased Na⁺ content, but showed increasing Cl⁻ content with the increasing
342 number of cleaning cycles. Mg element was also observed after the first cleaning. Increasing
343 Na/F and Cl/F ratios (**Table 7**) were observed with the increase of cleaning cycles, which
344 signifies that NaCl were adhered to the surface, and were not easy to remove most probably
345 because of short chemical cleaning duration. The deposition of NaCl on the membrane has
346 made the hydrophobic surface into hydrophilic because of the effect of hydrophilic properties
347 of the inorganic NaCl. It was supposed that if membrane chemical cleaning duration is
348 increased, higher cleaning efficiency is expected and could remove most of the inorganic
349 scale deposits.

350

351

[Table 6]

352

353

[Table 7]

354

355 3.8 Water quality

356

357

[Table 8]

358

359 The effect of chemical cleaning can be determined by evaluating the water quality of the feed
360 and permeate streams. Generally, total dissolved solids (TDS) cannot be removed by MF/UF
361 process. However, as shown in **Table 8**, the TDS of the permeate water was much lower than
362 that of the feed water, even after several cycles of cleaning. This indicate that some fouling
363 matters especially inorganic NaCl, which consists the bulk of TDS, were still present in/on
364 the membrane that resulted to constriction of the membrane pores (**Fig. 8**), thus more TDS
365 were retained on the membrane resulting to the decreased TDS values. The silt density index
366 or SDI₁₅ is one of the commonly used parameters to predict membrane fouling. Normally, the
367 SDI₁₅ should be within 3 to 5 for efficient desalination process. If the SDI₁₅ is more than 5
368 going through the RO process, the RO membrane will experience a lot of burden and will
369 consume a lot of energy due to the deposition of big foulant particles. The SDI₁₅ is a simple
370 correlation of the decrease in filtration time of a known volume of the feed after a certain
371 period of filtration time (usually 15 min). The SDI₁₅ is calculated from the equation:

$$SDI_{15} = \frac{1 - (t_i/t_f)}{T_f} \times 100 \quad (\text{Eq. 5})$$

372 where t_i is initial filtration time (to filter a fixed volume), t_f the final filtration time (to filter
373 the same fixed volume), and T_f is the elapsed time [51, 52] according ASTM D4189-95 [53,
374 54]. Unlike turbidity, which pertains to the amount of solids in a given sample, SDI₁₅
375 determines the contaminants that could probably plug the membrane pores [55]. Thus,

376 plugging factor was also determined, which is considered as one of the frequently used terms
377 in measuring the amount of suspended solids present in a water sample. PF can be calculated
378 from the following equation:

$$PF (\%) = 1 - (t_i/t_f) \times 100 \approx \frac{SDI_{15}}{T_f} \quad (\text{Eq. 6})$$

379 where t_i is initial filtration time (to filter a fixed volume), t_f the final filtration time (to filter
380 the same fixed volume), and T_f the elapsed time [51, 55, 56].

381 The initial SDI_{15} of the feed was 6.43, which was very high, but was reduced drastically to
382 0.39 ~ 1.01 after passing through the UF process even after many times of cleaning cycles.
383 This has big implication to lessening the burden for the RO process, thus making the UF a
384 good pre-treatment fit. Similarly, the turbidity and PF of the feed has steeply decreased after
385 the UF process, though increasing trend could be seen with the increase of the number of
386 cleaning cycles. This increase could be explained by the tendency of some foulants
387 (especially the small molecular weight hydrophobic foulants) to deposit at the inner core of
388 the membrane wherein through continuous consecutive cleaning, the adhered foulants are
389 detached and are carried away with the permeate, thus increasing the SDI_{15} , PF and turbidity
390 of the permeate.

391 All other parameters including UV_{254} and DOC also showed decreased values after passing
392 through UF. DOC is often used in most membrane studies to evaluate NOM removal
393 efficiency [57]. However, the SUVA values showed increasing trend with the increase of
394 cleaning cycle. SUVA is the ratio of UV_{254} and DOC as shown in the following equation:

$$SUVA_{254} (\text{m}^{-1} \text{ of absorbance per mg/l of DOC} = \text{L/mg} \cdot \text{m}) = \frac{UV_{254}}{DOC} \quad (\text{Eq. 7})$$

396 This increasing trend of SUVA could be attributed to the increased presence of organic
397 foulants (humic acid and fulvic acid) on/in the surface as determined by the increasing C/F
398 ratio in **Table 7**. Fulvic acid particles are generally smaller than the UF membrane pore so

399 that it could pass through it easily. On the contrary, humic acid is a larger size particle that
400 could not easily pass through the UF membrane, thus it accumulates on the surface and attach
401 as foulants.

402

403 3.9 Contact angle

404

405 **[Figure 9]**

406

407 **Fig. 9** shows the contact angle (CA) measurements of the membranes. The virgin membrane
408 showed an initial CA of 83.8° , indicating a slightly hydrophilic membrane. However, when
409 foulants were formed, the CA of the membrane surface increased to 131.8° , which is
410 hydrophobic. This could be attributed to the presence of some suspended and total solids
411 present on the surface, which are known to be hydrophobic [13, 24, 58, 59]. After chemical
412 cleaning, the surface became more and more hydrophilic with the increase in cleaning cycles.
413 This signifies that many hydrophobic organic foulants were removed during the cleaning
414 process, thereby decreasing the hydrophobicity of the surface. Additionally, some hydrophilic
415 inorganic particles are still attached on/in the membrane surface even after several cleanings,
416 thus, they contributed to the decrease in CA.

417

418 **4. Conclusion**

419 In the present study, pressurized ultrafiltration (UF) was used as pre-treatment for
420 desalination, and the effect of different chemicals and cleaning modes on the removal of
421 fouling formation on UF membrane was investigated. Acid and alkali-based chemicals were
422 used as cleaning agents. Our initial tests showed that oxalic acid and sodium hypochlorite had
423 high efficiency in removing different types of foulants, thus they were applied for the

424 consecutive cleaning tests. Chemical in series cleaning consisting of either oxalic acid-
425 sodium hypochlorite-oxalic acid series or sodium hypochlorite-oxalic acid- sodium
426 hypochlorite were conducted at different cleaning times of 15-30-15 min or 30-60-30 min.

427 The following are the summary and conclusions drawn from this study:

- 428 • Flux recovery by chemical cleaning was greatly affected by the kinds of chemicals
429 and the sequence of dosage as well as contact time. The better efficiency was obtained
430 by the sequence of acid-base-acid in series under the cleaning condition of same kinds
431 of chemicals and contact time.
- 432 • The results of consecutive chemical cleaning showed that the flux was almost fully
433 recovered to the previous recovered value as cleaning times increased; recovery
434 efficiency of 96.8%, 95.8%, 98.3% and 99.9% after first, second, third and fourth
435 time of cleaning, respectively. This implies that a stable flux could be maintained after
436 several times of cleaning frequency; around 91% of initial flux was maintained after
437 third chemical cleaning.
- 438 • However, the cleaning interval or filtration running time has been shortened due to the
439 changes in the membrane surface structure by contact with chemical cleaning agents
440 during every cleaning time. As seen from the analyses of contact angle and FTIR
441 spectra, the surface of membrane has been gradually changed to hydrophilic nature
442 due to the presence of hydrophilic inorganic foulants being not fully removed by
443 chemical cleaning, which indicates that membrane fouling is progressed although
444 apparent recovery efficiency seems to be high and stable.
- 445 • In terms of long-term operation and maintenance of membrane pre-treatment using
446 MF/UF in desalination processes, it will be necessary that an enhanced chemical
447 cleaning strategy on treating hydrophilic inorganic foulants as well as hydrophobic
448 organic ones for the efficient management of desalination plants.

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450 **5. Acknowledgement**

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454 **6. References**

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604 **Figure list**

- 605 ▪ Figure 1. Schematic diagram of the pressurized hollow fiber UF system
- 606 ▪ Figure 2. Hollow fiber membrane before and after chemical cleaning ($\text{pH} \geq 12$)
- 607 ▪ Figure 3. Recovery rate for single chemical cleaning using various cleaning agents at
608 different cleaning durations: (a) 30 minutes, (b) 1 hour and (c) 2 hours
- 609 ▪ Figure 4. Recovery rates for chemical cleaning in series: (a, c) oxalic acid-sodium
610 hypochlorite-oxalic acid, and (b, d) sodium hypochlorite-oxalic acid-sodium
611 hypochlorite for (a, b) 15min-30min-15min, and (c, d) 30min-60min-30min
- 612 ▪ Figure 5. (A) Flux and (B) recovery rate and efficiency using chemical cleaning process
- 613 ▪ Figure 6. Tensile strength of the hollow fiber membranes: (a) virgin membrane, (b)
614 fouled membrane and membranes after (c) 1st cleaning, (d) 2nd cleaning, (e) 3rd cleaning,
615 and (f) 4th cleaning
- 616 ▪ Figure 7. FT-IR spectra of the different membrane conditions
- 617 ▪ Figure 8. Surface SEM images of the (a) virgin membrane, (b) fouled membrane, and
618 membranes after (c) 1st cleaning, (d) 2nd cleaning, (e) 3rd cleaning and (f) 4th cleaning.
619 Insets: SEM corresponding SEM images of the inner pores
- 620 ▪ Figure 9. Contact angle measurement of different hollow fiber membranes: (a) virgin
621 membrane, (b) fouled membrane and membranes after (c) 1st cleaning, (d) 2nd cleaning,
622 (e) 3rd cleaning and (f) 4th cleaning

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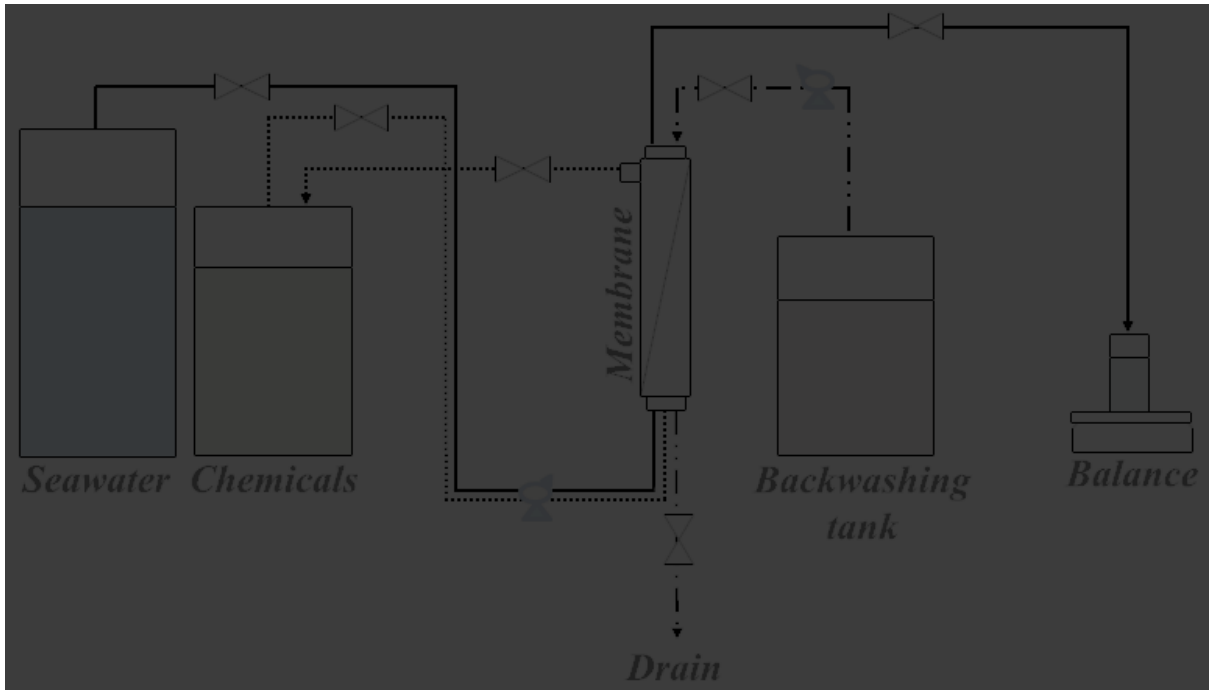


Figure 1. Schematic diagram of the pressurized hollow fiber UF system

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Figure 2. Hollow fiber membrane before and after chemical cleaning ($\text{pH} \geq 12$)

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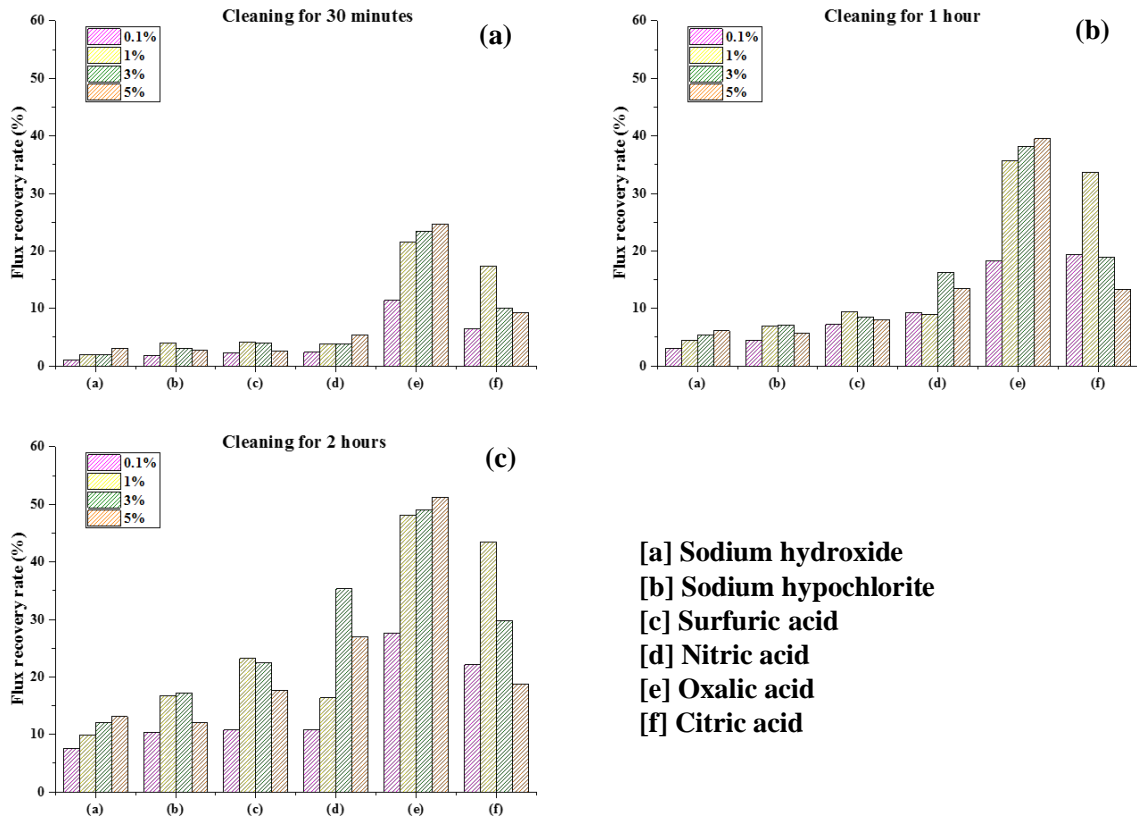
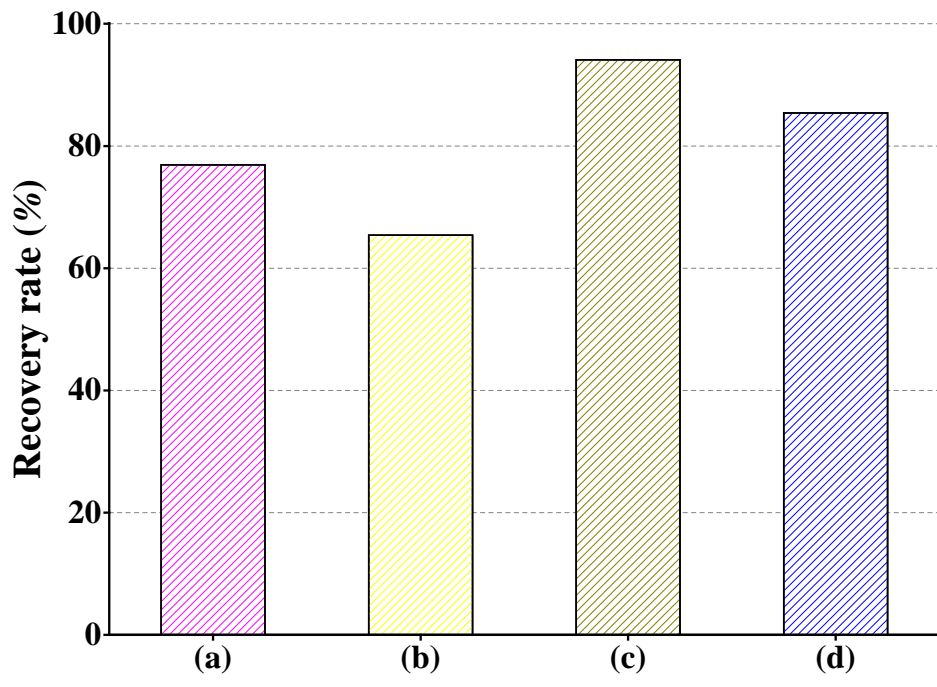


Figure 3. Recovery rate for single chemical cleaning using various cleaning agents at different cleaning durations: (a) 30 minutes, (b) 1 hour and (c) 2 hours

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Figure 4. Recovery rates for chemical cleaning in series: (a, c) oxalic acid-sodium hypochlorite-oxalic acid, and (b, d) sodium hypochlorite-oxalic acid-sodium hypochlorite for (a, b) 15min-30min-15min, and (c, d) 30min-60min-30min

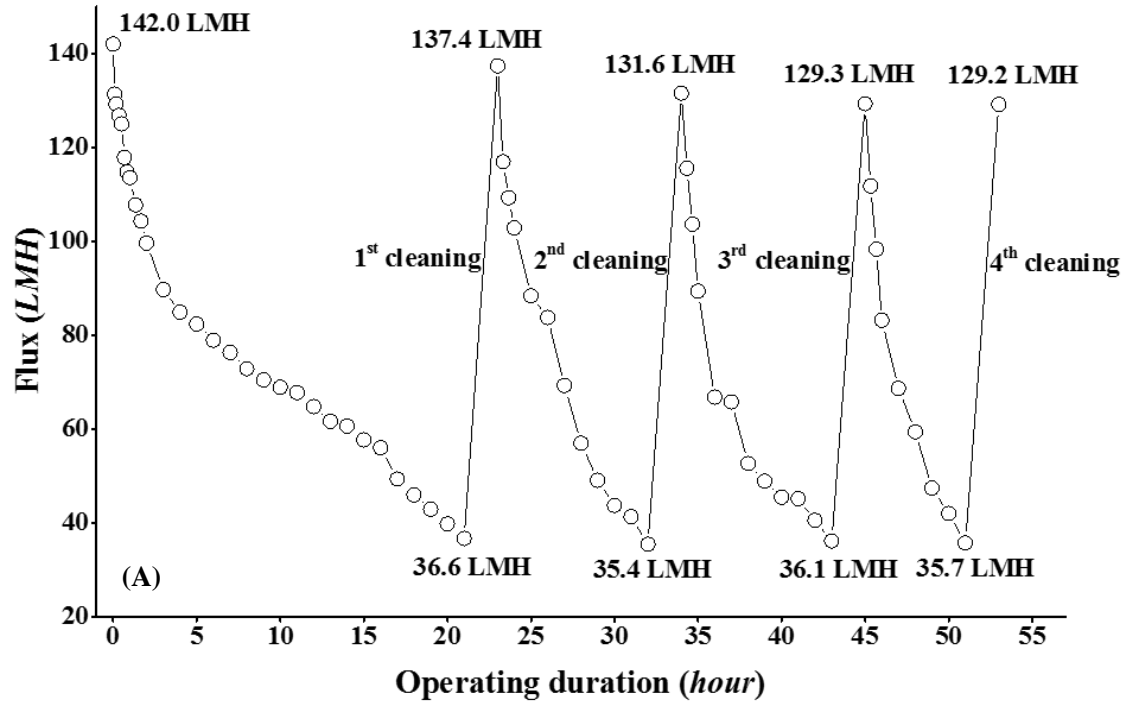
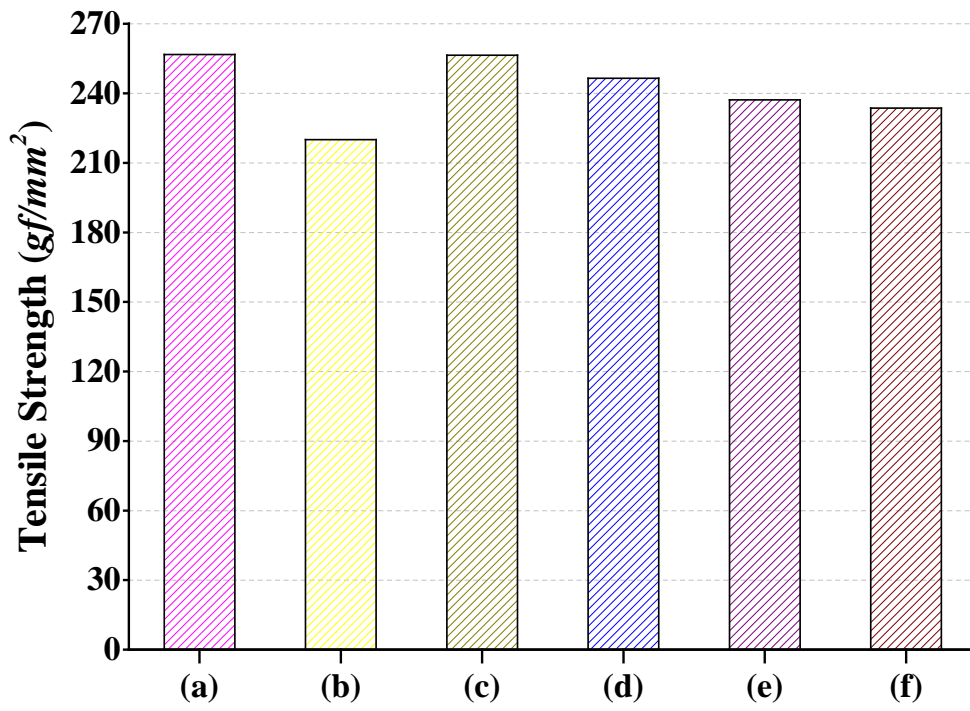


Figure 5. (A) Flux and (B) recovery rate and efficiency using chemical cleaning process

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Figure 6. Tensile strength of the hollow fiber membranes: (a) virgin membrane, (b) fouled membrane and membranes after (c) 1st cleaning, (d) 2nd cleaning, (e) 3rd cleaning, and (f) 4th cleaning

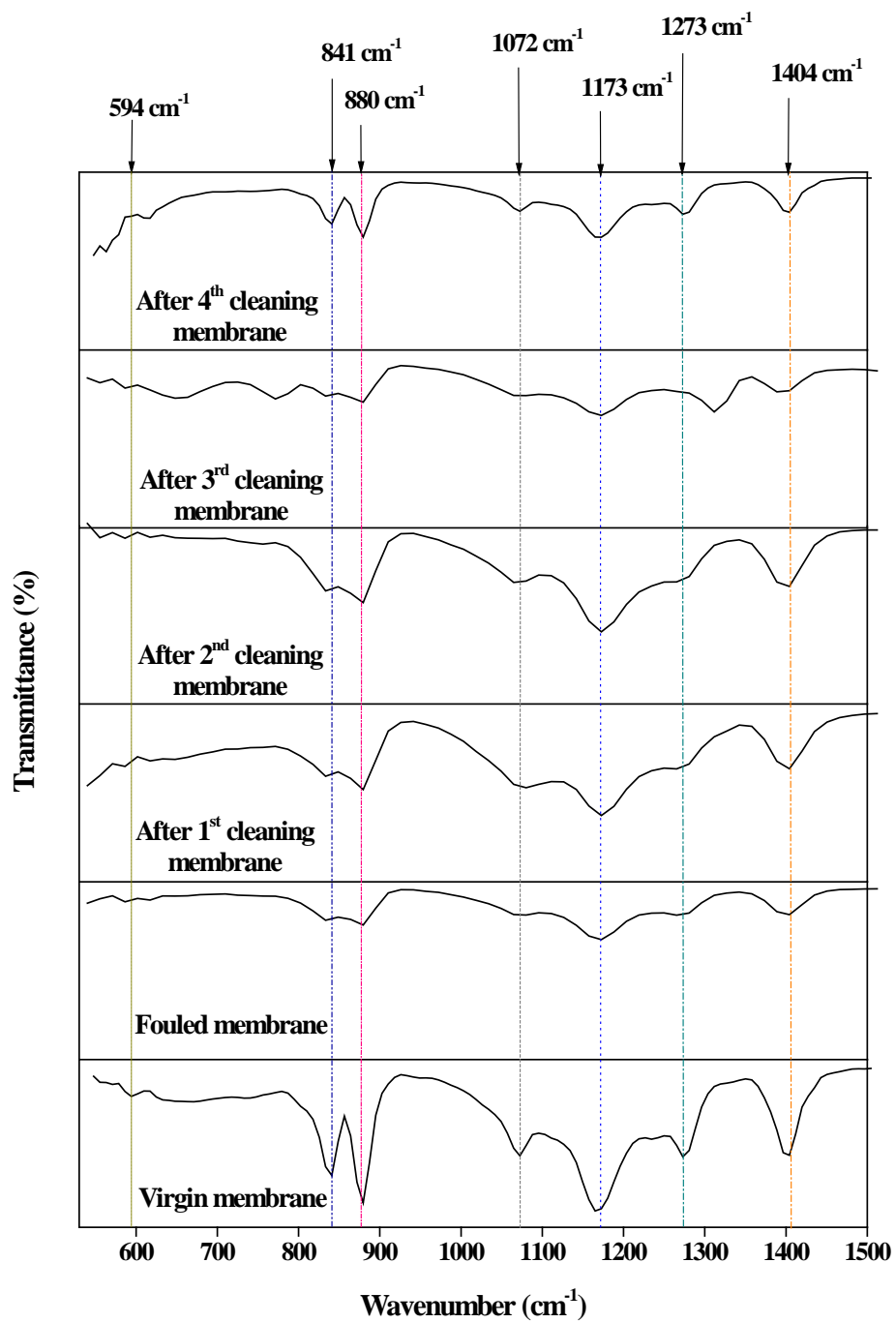


Figure 7 FT-IR spectra of the different membrane conditions

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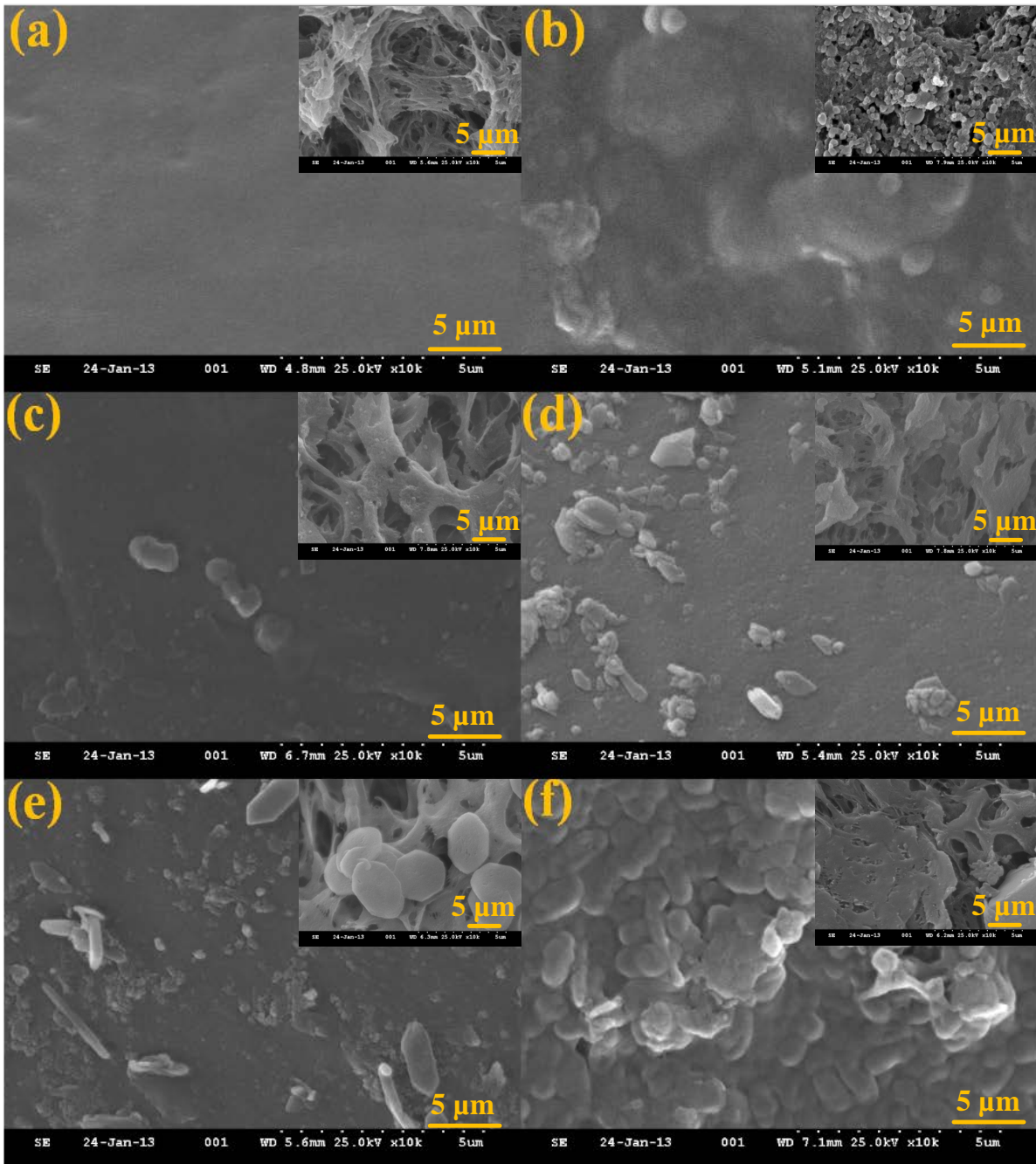
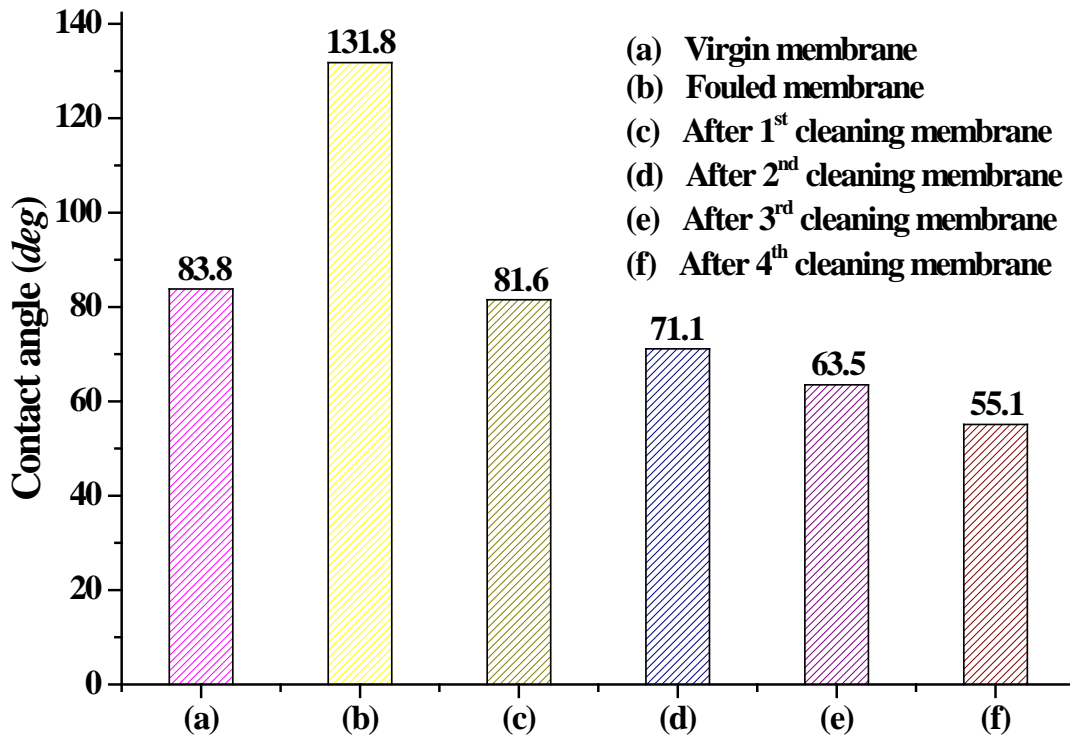


Figure 8. Surface SEM images of the (a) virgin membrane, (b) fouled membrane, and membranes after (c) 1st cleaning, (d) 2nd cleaning, (e) 3rd cleaning and (f) 4th cleaning. Insets: SEM corresponding SEM images of the inner pores

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Figure 9. Contact angle measurement of different hollow fiber membranes: (a) virgin membrane, (b) fouled membrane and membranes after (c) 1st cleaning, (d) 2nd cleaning, (e) 3rd cleaning and (f) 4th cleaning

809 **Table list:**

- 810 ▪ Table 1. Published reports in literature using different cleaning agents for various
- 811 processes
- 812 ▪ Table 2. Specification of the hollow fiber UF membrane
- 813 ▪ Table 3. pH of cleaning solutions at different percent concentrations
- 814 ▪ Table 4. Time elapsed for membrane fouling at different stages
- 815 ▪ Table 5. Different bands of the FT-IR analysis
- 816 ▪ Table 6. EDX of hollow fiber membrane surface
- 817 ▪ Table 7. Ratio of the element divided by fluorine
- 818 ▪ Table 8. Water quality of feed and permeate before and after chemical cleaning

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Table 1. Published reports in literature using different cleaning agents for various processes

Filtration process	Feed solution	Chemical agents	Reference Number
Pressurized MF	Synthetic water (humic acid, Fe, Mn and Ca ²⁺)	HNO ₃ , NaOH	[14]
Ceramic MF	3.5 wt % whey protein	NaOH	[11]
Ceramic MF	0.1 g/L of yeast in 10 g/L sugar solution	HNO ₃ , NaOCl, NaOH	[12]
Submerged MF	Stream water and secondary water from plant	C ₆ H ₈ O ₇ , NaOCl, NaOH	[13]
Spiral-wound UF	Skimmed milk (11 g/L proteins, 16 g/L lactose and 3 g/L salts)	NaOH, Tween 20, Ultrasil 10	[15]
Submerged UF	Algal-rich water	EDTA, HCl, NaOCl, NaOH	[16]
Submerged MF and UF	Potable water	C ₆ H ₈ O ₇ , NaOCl, NaOH	[17]
RO and NF	Licorice aqueous solutions	EDTA, NaOH, HNO ₃ , H ₂ SO ₄ , CH ₃ (CH ₂) ₁₀ CH ₂ OSO ₃ Na	[18]
Flat-sheet MF	3.5 g/L of sodium alginate and 2 g/L of BSA	NaOCl	[19]
UF	Algae	C ₆ H ₈ O ₇ , NaOCl, NaOH	[20]
UF	Proteins	NaOCl, NH ₄ OH, Machine powder	[21]
UF	Surface water and ground water	C ₆ H ₈ O ₇ , NaCl, NaOH, CH ₃ (CH ₂) ₁₀ CH ₂ OSO ₃ Na	[22]
UF	proteins	NaOCl, NaOH, Tween 20	[23]
Submerged UF	Surface water	C ₆ H ₈ O ₇ , Ethanol, NaOH	[24]
UF	Whey protein isolate	HCl, NaOH	[25]
UF	Surface water	C ₆ H ₈ O ₇ , H ₂ O ₂ , HCl, Kleen MTC 411, P3 Ultrasil 115, P3 Ultrasil 70, P3 Aquadean Sal, 4AquacleanFer 12	[26]
Capillary UF and MF	Reservoir water	C ₆ H ₈ O ₇ , H ₂ O ₂ , HCl, NaOCl, NaOH	[27]
Submerged MF	Micro-polluted raw water	HCl, NaOCl	[28]
NF	NOM with ionic compounds	NaOH, CH ₃ (CH ₂) ₁₀ CH ₂ OSO ₃ Na	[29]
RO	Alginate acid with 32 g/L of synthetic seawater	De-Ionized water, EDTA, NaCl	[30]
Hollow fiber UF	20, 10 and 10 mg/L of humic acid, sodium alginate and BSA	Milli-Q, NaOCl	[31]
Submerged hollow fiber UF	Seawater	NaOCl	[32]
NF and RO	Oil sands process-affected water	HCl, NaOH	[33]
Flat-sheet MF	1 % of milk solution	EDTA	[34]
Flat-sheet UF and MF	Whey protein concentrate	NaOH	[35]

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851 Table 2. Specification of the hollow fiber UF membrane

Shape	Hollow fiber pressurized module
Pore size, μm	0.038
Material	PVDF (Polyvinylidene fluoride)
Filtration flux, $\text{L}/\text{m}^2\text{h}$	130 ± 15
Membrane area, m^2	2.26×10^{-3}
Dimension ($\pi \times D \times l \times \text{units}$)	$\pi \times 150 \text{ mm} \times 1.2 \text{ mm} \times 4 \text{ units}$
Operating pressure, bar	0.5

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Table 3. pH of cleaning solutions at different percent concentrations

Chemical	0.1 %	1 %	3 %	5 %
Sodium hypochlorite	11.10	11.76	12.10	12.23
Sodium hydroxide	12.82	13.13	13.44	13.89
Sulfuric acid	1.72	0.78	0.56	0.34
Nitric acid	1.59	0.75	0.34	0.12
Citric acid	2.41	2.24	2.12	1.90
Oxalic acid	2.29	1.48	1.22	1.08

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905 Table 4. Time elapsed for membrane fouling at different stages

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	1 st fouling	2 nd fouling	3 rd fouling	4 th fouling	909
Total fouled time (min)	1260	540	540	360	910 911 912
$\left(\frac{C_F}{C_I}\right) \times 100 \%$	100.0	42.9	42.9	28.6	913 914 915

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936 Table 5. Different bands of the FT-IR analysis

IR band (cm ⁻¹)	Range given in the literature (cm ⁻¹)	Type of vibration
		CH ₂ rocking
841	839 ~ 845	CF ₂ stretching CC stretching
880	880	C-C (asymmetric stretch)
1072	1074	C-C (asymmetric stretch)
1173	1184	CF ₂ (symmetric stretch)
1273	1279	CF (out of plane deformation)
1404	1401-1406	CH ₂ wagging

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954 Table 6. EDX of hollow fiber membrane surface

Element	Initial	Fouled	After 1 st	After 2 nd	After 3 rd	After 4 th
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		membrane	cleaning	cleaning	cleaning	cleaning
			membrane	membrane	membrane	membrane
	Weight (%)	Weight (%)	Weight (%)	Weight (%)	Weight (%)	Weight (%)
C	40.95	42.11	37.99	39.09	39.12	39.14
F	59.05	47.70	57.66	52.68	52.68	52.58
O	-	2.79	1.97	3.30	2.90	2.56
Cl	-	3.98	1.35	3.00	3.10	3.29
Na	-	2.66	1.02	1.62	1.93	2.15
Mg	-	0.49	-	0.31	0.27	0.28
Al	-	0.10	-	-	-	-
K	-	0.10	-	-	-	-
Ca	-	0.08	-	-	-	-
Totals	100.00	100.00	100.00	100.00	100.00	100.00

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968 Table 7. Ratio of the element divided by fluorine

<i>Element/F</i>	Initial	Fouled	After 1 st	After 2 nd	After 3 rd	After 4 th
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		membrane	cleaning	cleaning	cleaning	cleaning
			membrane	membrane	membrane	membrane
<i>C/F</i>	0.693	0.883	0.659	0.742	0.743	0.744
<i>F/F</i>	1.000	1.000	1.000	1.000	1.000	1.000
<i>O/F</i>		0.058	0.034	0.063	0.055	0.049
<i>Cl/F</i>		0.083	0.023	0.057	0.059	0.063
<i>Na/F</i>		0.056	0.018	0.031	0.037	0.041
<i>Mg/F</i>		0.010		0.006	0.005	0.005
<i>Al/F</i>		0.002				
<i>K/F</i>		0.002				
<i>Ca/F</i>		0.002				

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984 Table 8. Water quality of feed and permeate before and after chemical cleaning

	Feed	Initial	After cleaning	1 st After cleaning	2 nd After cleaning	3 rd After cleaning	4 th After cleaning
TDS (ppm)	35557	26693	26942	27540	27650	27956	
SDI ₁₅	6.43	0.39	0.54	0.71	0.89	1.01	
PF (%)	76.0	5.0	7.0	11.0	14.0	17.0	
Turbidity (NTU)	49.6	0.079	0.171	0.269	0.344	0.356	
DOC (ppm)	14.07	7.894	7.658	6.982	6.498	6.355	
UV ₂₅₄ (cm ⁻¹)	0.104	0.051	0.057	0.058	0.061	0.064	
SUVA ₂₅₄ (L/mg · m)	0.739	0.646	0.744	0.831	0.939	1.007	

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